THE BOTA FOUNDATION: THE FIRST FOUNDATION IN THE WORLD ESTABLISHED TO ASSIST VICTIMS OF CORRUPTION - WHAT DID IT DO?
Presentation Topics

1. Background on BOTA
2. BOTA Programs: CCT, SSP and TAP
3. Budget Expenditure/Cost/Transfer Ratio
4. Key Lessons?
5. Where to find more answers.
BACKGROUND ON BOTA
• Discussions on settlement in relation to $84m, plus interest, between the U.S., Kazakhstan and Switzerland, started in 2005, brokered by the World Bank; resulting 2007 MoU had force as an international treaty

• BOTA, founded in 2008 by the three Parties and 5 local founders, overseen by the World Bank, started operations in 2009 with $115 m

• Mission of BOTA: to assist impoverished children, youth and mothers through three programs: Conditional Cash Transfer (CCT); Social Protection NGO grants (SSP); & TAP scholarships for vocational/higher ed

• BOTA was operational for 5.5 years, and was a “spend down” foundation, which served over 200,000 beneficiaries

• Worked closely with GoK ministries in supporting national development goals, as well as multilaterals like UNICEF and the WB.
Impoverished and vulnerable children and youth in Kazakhstan are safe, healthy, well-educated, and able to exercise their rights and achieve their full potential.

Recognizes: fundamental goal of the foundation, based on founding documents

Based on: significant need, with more than 300,000 children and youth suffering from poverty in Kazakhstan

Compliments: stated objectives of the RoK on reducing poverty, universal healthcare, universal basic education and social protection
BOTA PROGRAMS
CONDITIONAL CASH TRANSFER PROGRAM (CCT)

- Monthly electronic transfers to >95,000 households; >150,000 beneficiaries.
- Designed to < poverty AND > human capital by linking bf’s to state services.
- CCT returned > $56m directly to poor.
- 4 assistance categories
- Worked closely with Min of Labor/Social Protection
BOTA CCT Accomplishments

- **74,470 children attended preschool** => better prepared to start school.
- **50,072 pregnant and lactating women** received regular medical checks and program training and support. => reduced anemia
- **21,064 young people** received employment and entrepreneurship skills => more household income possibilities.
- **8,635 parents** attended trainings on home-based care for children with special needs => greater socialization, < pressure for institutionalization
- **3,000 volunteers** recruited and trained to assist with monitoring and training beneficiaries => many integrated into GoK assistance program
SOCIAL SERVICE (NGO GRANTS) PROGRAM

• BOTA largest NGO grant maker in KZ. $12 million spent over 8 grant rounds.
• 632 Grants to 300+ NGOs; from $2K to $100K; 5 grant categories.
• More than 50,000 end beneficiaries; > 1,000 new services offered by grantees
• 125 Trainings to strengthen NGOs/sector
• Networking
• Worked closely with Min. of Ed.
### BOTA SSP Accomplishments

<table>
<thead>
<tr>
<th>Category</th>
<th>Benefit</th>
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</thead>
<tbody>
<tr>
<td>Maternal and Child health projects</td>
<td>2,221 children, young women and mothers benefited from projects including promoting mother and child nutrition and health, ensuring safe home environments, and preventing child abandonment</td>
</tr>
<tr>
<td>Early Child Development projects</td>
<td>2,524 children and parents benefited from projects which included new or expanded preschool facilities, better access for poor families to preschool, and improvements in quality of preschool offerings</td>
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<tr>
<td>Livelihood projects</td>
<td>22,708 youth benefited from projects, including vocational training, entrepreneurship, micro-business promotion, and on the job training.</td>
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<tr>
<td>Child Protection beneficiaries</td>
<td>26,119 children and youth were beneficiaries covering special needs (e.g., treatments, vocational training, school integration), and services for those children and youth in difficult life situations (e.g., diversion programs, juvenile justice, suicide prevention).</td>
</tr>
</tbody>
</table>
Tuition Assistance Scholarship Program (TAP)

- Full 4/or 2 year scholarships for higher or vocational ed degrees given to 841 mostly rural & female students aimed at breaking cycle of poverty. $9 million spent.
- 91% of students first in family to obtain higher ed.
- Students free to study anywhere in KZ
- TAP covered tuition, lodging, travel, books
- Case support approach led to 90% retention rate
- “Soft Skills” training (problem solving, communications, etc.) given by TAP staff
- Over 100,000 hours of volunteer community service. 2X requirement.
- Worked closely with Min. of Education
BUDGET AND COST/TRANSFER INFO
## 2008-2014 BOTA PROGRAM BUDGET

<table>
<thead>
<tr>
<th>Year</th>
<th>Amount, in 000' US$</th>
<th>in %</th>
</tr>
</thead>
<tbody>
<tr>
<td>2008/2009</td>
<td>$3,816</td>
<td>3%</td>
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<tr>
<td>2010</td>
<td>$7,879</td>
<td>7%</td>
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<tr>
<td>2011</td>
<td>$15,923</td>
<td>14%</td>
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<tr>
<td>2012</td>
<td>$27,122</td>
<td>23%</td>
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<tr>
<td>2013</td>
<td>$37,281</td>
<td>32%</td>
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<tr>
<td>2014</td>
<td>$23,801</td>
<td>21%</td>
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<tr>
<td><strong>Total</strong></td>
<td><strong>$115,822</strong></td>
<td><strong>100%</strong></td>
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COST/TRANSFER RATIO UPDATE - 2

Distribution of cumulative expenditure, in %

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<tr>
<th></th>
<th>11.21</th>
<th>2.62</th>
<th>1.23</th>
<th>0.73</th>
<th>0.50</th>
<th>0.45</th>
<th>0.46</th>
<th>0.59</th>
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<tbody>
<tr>
<td>Dec.09</td>
<td>75%</td>
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<tr>
<td>Dec.10</td>
<td>51%</td>
<td>21%</td>
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<td>Dec.11</td>
<td>34%</td>
<td>21%</td>
<td>45%</td>
<td>58%</td>
<td>64%</td>
<td>69%</td>
<td>69%</td>
<td>63%</td>
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<tr>
<td>Dec.12</td>
<td></td>
<td>22%</td>
<td>20%</td>
<td>18%</td>
<td>14%</td>
<td>17%</td>
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<td>21%</td>
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<td>Dec.13</td>
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<td>Aug.14</td>
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<tr>
<td>Dec.14</td>
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<tr>
<td>Supervisory Agreement</td>
<td>8%</td>
<td>28%</td>
<td>45%</td>
<td>58%</td>
<td>64%</td>
<td>69%</td>
<td>69%</td>
<td>63%</td>
</tr>
</tbody>
</table>

Operational Expenditures
Direct Program Cost
Grants

Cumulative target 63% grants by end of 2014
KEY QUESTIONS AND ANSWERS
1. The concept is proven – it can be done!
2. Important role of “honest broker” putting two sides together.
3. Appropriate mission is critical for receiving support.
4. Host country political will is fundamental for success.
5. Civil society should have a seat at the table.
6. There should be strict oversight – not bureaucratic overkill.
7. Money can be managed out of the country if necessary.
Please see:
Please contact me for further info

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THANK YOU FOR YOUR ATTENTION!