Open-ended Intergovernmental Working Group on the Prevention of Corruption
Vienna, 22-24 August 2011

Provisional agenda and annotations

1. Organizational matters:
   (a) Opening of the meeting;
   (b) Adoption of the agenda and organization of work.

2. Implementation of resolution 3/2 of the Conference of the States Parties, entitled "Preventive measures", and of the recommendations made by the Working Group at its first meeting:
   (a) Good practices and initiatives in the prevention of corruption:
       (i) Awareness-raising policies and practices, with special reference to articles 5, 7, 12 and 13 of the United Nations Convention against Corruption: thematic discussion;
       (ii) The public sector and prevention of corruption; codes of conduct (article 8 of the Convention) and public reporting (article 10 of the Convention): thematic discussion;
   (b) Other recommendations.

3. Future priorities.

4. Adoption of the report.

Annotations

1. Organizational matters
   (a) Opening of the meeting

The meeting of the Open-ended Intergovernmental Working Group on the Prevention of Corruption will be opened on Monday, 22 August 2011, at 10 a.m.
(b) Adoption of the agenda and organization of work

The provisional agenda for the meeting was prepared in accordance with resolution 3/2, entitled “Preventive measures”, adopted by the Conference of the States Parties at its third session, held in Doha from 9 to 13 November 2009, and in accordance with the conclusions and recommendations agreed upon by the Working Group at its first meeting, held in Vienna from 13 to 15 December 2010.

The proposed organization of work annexed to the present document was prepared in accordance with Conference resolution 3/2 and the recommendations agreed upon by the Working Group at its first meeting, in December 2010, to enable the Working Group to consider the agenda items within the time and according to the conference services available.

The resources available to the meeting will permit the holding of two plenary meetings per day with full interpretation in the official languages of the United Nations.

2. Implementation of resolution 3/2 of the Conference of the States Parties, entitled “Preventive measures”, and of the recommendations made by the Working Group at its first meeting

In its resolution 3/2, the Conference stressed the importance of implementing articles 5 to 14 of the United Nations Convention against Corruption to prevent and fight corruption.

In the same resolution, the Conference recalled article 61, paragraph 2, of the Convention, which stresses the importance of developing and sharing best practices in the prevention of corruption. The Conference further recalled article 63, paragraph 4, of the Convention, which refers to the need to facilitate the exchange of information among States on successful practices for preventing corruption, and the objective of the Conference of promoting the implementation of the Convention, inter alia, by facilitating the exchange of information among States on successful practices for preventing corruption.

In the same resolution, the Conference decided to establish an open-ended intergovernmental working group to advise and assist the Conference in the implementation of its mandate on the prevention of corruption.

Also in the same resolution, the Conference decided that the working group should perform the following functions:

(a) Assist the Conference in developing and accumulating knowledge in the area of prevention of corruption;

(b) Facilitate the exchange of information and experience among States on preventive measures and practices;

(c) Facilitate the collection, dissemination and promotion of best practices in the prevention of corruption;

(d) Assist the Conference in encouraging cooperation among all stakeholders and sectors of society in order to prevent corruption.
At its first meeting, in December 2010, the Working Group recommended that each of its future meetings focus on a specific and manageable number of substantive topics drawn from chapter II of the United Nations Convention against Corruption.

The Working Group further decided that the Secretariat should continue to carry out its information-gathering activities relating to chapter II of the Convention, concentrating on good practices and initiatives relating to the implementation of that chapter as established by States parties. It should also continue to collect information on existing expertise within the United Nations system and other relevant organizations in the prevention of corruption, paying attention to the specific features of anti-corruption strategies and policies in different sectors. The priority in gathering such information should be to facilitate the discussion on specific topics at the meetings of the Working Group so as to assist States parties in implementing effectively those articles of the Convention relating to the prevention of corruption.

The Working Group noted that the availability of adequate expertise on the specific topics to be addressed at its future meetings would benefit the discussion of those topics.

The Working Group encouraged States parties to share their initiatives and good practices in the area of prevention of corruption, particularly those practices relevant to specific topics to be addressed at future meetings of the Working Group, and to communicate information on such initiatives and good practices to the Secretariat.

The Working Group requested the Secretariat to report to it at its second meeting on the activities undertaken pursuant to the recommendations made at its first meeting.

(a) Good practices and initiatives in the prevention of corruption

(i) Awareness-raising policies and practices with special reference to articles 5, 7, 12 and 13 of the United Nations Convention against Corruption: thematic discussion

(ii) The public sector and prevention of corruption; codes of conduct (article 8 of the Convention) and public reporting (article 10 of the Convention): thematic discussion

At its first meeting, the Working Group recommended the topics in agenda items 2 (a)(i) and (ii) as the focus of discussions at its second meeting.

(b) Other recommendations

A debate will be held on the implementation of the other recommendations made by the Working Group at its first session.

Documentation

Background paper prepared by the Secretariat on good practices and initiatives in the prevention of corruption: awareness-raising policies and practices with special reference to articles 5, 7, 12 and 13 of the United Nations Convention against Corruption (CAC/COSP/WG.4/2011/2)

Background paper prepared by the Secretariat on good practices and initiatives in the prevention of corruption: the public sector and prevention of corruption; codes
of conduct (article 8 of the Convention) and public reporting (article 10 of the Convention); (CAC/COSP/WG.4/2011/3)


3. Future priorities

A discussion will be held on further steps to be taken towards full implementation of resolution 3/2 of the Conference of the State Parties, entitled “Preventive measures”, and on future possibilities for the Working Group in advising and assisting the Conference of the States Parties in the implementation of its mandates on the prevention of corruption.

Particularly in preparation for the review of the implementation of chapter II of the Convention in the next review cycle, scheduled to commence in 2015, the Working Group may wish to discuss how it sees its role in support of the full implementation of chapter II of the Convention.

4. Adoption of the report

The Working Group is to adopt a report on its meeting, the draft of which will be prepared by the Secretariat. The report is expected to contain recommendations on how to promote the implementation of articles 5 to 14 of the Convention, for consideration and action by the Conference at its fourth session.
Annex

**Proposed organization of work**

<table>
<thead>
<tr>
<th>Date</th>
<th>Time</th>
<th>Item</th>
<th>Title or description</th>
</tr>
</thead>
<tbody>
<tr>
<td>Monday, 22 August</td>
<td>10 a.m.-1 p.m.</td>
<td>1 (a)</td>
<td>Opening of the meeting</td>
</tr>
<tr>
<td></td>
<td></td>
<td>1 (b)</td>
<td>Adoption of the agenda and organization of work</td>
</tr>
<tr>
<td></td>
<td></td>
<td>2 (a)(i)</td>
<td>Good practices and initiatives in the prevention of corruption: awareness-raising policies and practices with special reference to articles 5, 7, 12 and 13 of the United Nations Convention against Corruption: thematic discussion</td>
</tr>
<tr>
<td></td>
<td>3-6 p.m.</td>
<td>2 (a)(i)</td>
<td>Good practices and initiatives in the prevention of corruption: awareness-raising policies and practices with special reference to articles 5, 7, 12 and 13 of the United Nations Convention against Corruption: thematic discussion (continued)</td>
</tr>
<tr>
<td>Tuesday, 23 August</td>
<td>10 a.m.-1 p.m.</td>
<td>2 (a)(ii)</td>
<td>Good practices and initiatives in the prevention of corruption: the public sector and prevention of corruption; codes of conduct (article 8 of the Convention) and public reporting (article 10 of the Convention): thematic discussion</td>
</tr>
<tr>
<td></td>
<td>and 3-6 p.m.</td>
<td>2 (a)(ii)</td>
<td>Good practices and initiatives in the prevention of corruption: the public sector and prevention of corruption; codes of conduct (article 8 of the Convention) and public reporting (article 10 of the Convention): thematic discussion (continued)</td>
</tr>
<tr>
<td></td>
<td></td>
<td>2 (b)</td>
<td>Other recommendations</td>
</tr>
<tr>
<td>Wednesday, 24 August</td>
<td>10 a.m.-1 p.m.</td>
<td>3</td>
<td>Future priorities</td>
</tr>
<tr>
<td></td>
<td>3-6 p.m.</td>
<td>4</td>
<td>Adoption of the report</td>
</tr>
</tbody>
</table>