

**Questionnaire on the implementation of the
Protocol against the Illicit Manufacturing of and Trafficking in Firearms,
Their Parts and Components and Ammunition, supplementing the
United Nations Convention against Transnational Organized Crime**

Completed questionnaires should be sent to:

Conference Support Section
Organized Crime and Illicit Trafficking Branch
Division for Treaty Affairs
United Nations Office on Drugs and Crime
Vienna International Centre
PO Box 500
1400 Vienna, Austria
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General information

Please provide general information on the ratification and status in your country of the Protocol against the Illicit Manufacturing of and Trafficking in Firearms, Their Parts and Components and Ammunition, supplementing the United Nations Convention against Transnational Organized Crime. (Use the "Use template answer" button in the answer field to see a sample reporting text).

Ratification of the Firearms Protocol

The Firearms Protocol was signed on [DATE], ratified by [PARLIAMENT/OTHER] on [DATE] and signed by the [PRESIDENT OF THE REPUBLIC/HEAD OF STATE] on [DATE]. [MEMBER STATE] deposited its instrument of ratification with the Secretary-General of the United Nations on [DATE].

The Firearms Protocol and the legal system of [MEMBER STATE]

Article [X] of the Constitution states that generally accepted rules of international law and international conventions, when they have been ratified by an act and have come into effect, shall form an integral part of the domestic law of [MEMBER STATE] and shall override any other contrary provision of domestic law.

V.12-50635 (E)



Please recycle 

Accordingly, the Firearms Protocol has become an integral part of the domestic law of [MEMBER STATE], following its ratification by the [PARLIAMENT/OTHER] on [DATE], signature by the [PRESIDENT OF THE REPUBLIC/HEAD OF STATE] on [DATE] and entry into force on [DATE], in accordance with article 18 of the Protocol. According to article [X] of the Constitution, judicial decisions [HAVE/DO NOT HAVE] the same legal weight as laws.

The Protocol ranks high among statutory instruments, just below the Constitution but above other laws. Accordingly, the provisions of the Protocol override any contrary provision in domestic law.

Has your country ever assessed the effectiveness of its measures against the illicit manufacturing of and trafficking in firearms, their parts and components and ammunition? If available, please attach any relevant documents (e.g. gap analyses, reports of other international and regional review mechanisms, policy studies).

Please provide the name and contact details of the relevant authority responsible for combating the trafficking in firearms, their parts and components and ammunition:

If your country has a definition of “firearm” in its domestic law, please cite and attach that definition here.

If available, please cite and attach this definition in any (other) official language(s) of the United Nations (Arabic, Chinese, English, French, Russian and/or Spanish).

If your country has a definition of “parts and components” in its domestic law, please cite and attach that definition here.

If available, please cite and attach this definition in any (other) official language(s) of the United Nations (Arabic, Chinese, English, French, Russian and/or Spanish).

If your country has a definition of “ammunition” in its domestic law, please cite and attach that definition here.

If available, please cite and attach this definition in any (other) official language(s) of the United Nations (Arabic, Chinese, English, French, Russian and/or Spanish).

If your country has a definition of “illicit manufacturing” in its domestic law, please cite and attach that definition here.

If available, please cite and attach this definition in any (other) official language(s) of the United Nations (Arabic, Chinese, English, French, Russian and/or Spanish).

If your country has a definition of “illicit trafficking” in its domestic law, please cite and attach that definition here.

If available, please cite and attach this definition in any (other) official language(s) of the United Nations (Arabic, Chinese, English, French, Russian and/or Spanish).

If your country has a definition of “tracing” in its domestic law, please cite and attach that definition here.

If available, please cite and attach this definition in any (other) official language(s) of the United Nations (Arabic, Chinese, English, French, Russian and/or Spanish).

If applicable, please attach relevant draft bills, policies and/or other measures that your country wants to be taken into account in the present review.

Paragraph 1 (a) of article 5

1. Each State Party shall adopt such legislative and other measures as may be necessary to **establish as criminal offences** the following conduct, when committed intentionally:

- (a) **Illicit manufacturing of firearms, their parts and components and ammunition;**

Level of obligation: mandatory

Has your country taken measure(s) to implement the provision under review?

Yes Yes, in part No Information not available

Please cite and attach the applicable policy or policies, law(s) and/or other measure(s).

Please cite the text(s).

Please attach the text(s).

If available, please attach the text(s) in any (other) official language(s) of the United Nations (Arabic, Chinese, English, French, Russian and/or Spanish).

Please outline the steps or action (and related time frame) that domestic or other authorities would need to take to ensure the implementation of the provision under review.

In order to fully implement the provision under review, [AUTHORITY (OR AUTHORITIES)] would need to take/adopt the following steps or measures:

1. [XXX, to be taken/adopted by DATE]
2. [XXX, to be taken/adopted by DATE]
3. [XXX, to be taken/adopted by DATE]

Please provide an account of your country's efforts to date to implement the provision under review.

Since its [SIGNATURE OF/ADHERENCE TO] the Protocol, [COUNTRY] has taken the following steps to implement the provision under review:

1. [XXX]
2. [XXX]
3. [XXX]

These steps have proven to be [FULLY SUCCESSFUL/PARTLY SUCCESSFUL/NOT SUCCESSFUL] due to [REASON].

Please outline the steps or action (and related time frame) that domestic or other authorities would need to take to ensure full compliance with the provision under review.

In order to ensure full compliance with the provision under review, [AUTHORITY (OR AUTHORITIES)] would need to take/adopt the following steps or measures:

1. [XXX, to be taken/adopted by DATE]
2. [XXX, to be taken/adopted by DATE]
3. [XXX, to be taken/adopted by DATE]

Please provide examples of the successful implementation of measures adopted to comply with the provision under review and related court or other cases.

Please provide details on the prosecution of offences in this article in your country (including the total number of investigations, prosecutions and convictions/acquittals).

In [LATEST YEAR], [NUMBER] persons were under investigation in [NUMBER] cases, while [NUMBER] cases were prosecuted, [NUMBER] cases were concluded with the conviction of defendant(s) and [NUMBER] cases were concluded with the acquittal of defendant(s).

Please provide examples of cases and attach case law, documentation or other case materials if applicable for this article.

The successful implementation of the article under review was beneficial to the following case(s):

1. [CASE 1]

Title/case reference number:

Date of judgement:

Details of case:

Outcome:

2. [CASE 2]

Title/case reference number:

Date of judgement:

Details of case:

Outcome:

(If there have not been any investigations/prosecutions, please explain why they have not taken place.)

Was the conduct described in this article a criminal offence before your country ratified the Protocol?

Yes No

Paragraph 1 (b) of article 5

1. Each State Party shall adopt such legislative and other measures as may be necessary to **establish as criminal offences** the following conduct, when committed intentionally:

...

(b) Illicit trafficking in firearms, their parts and components and ammunition;

Level of obligation: mandatory

Has your country taken measure(s) to implement the provision under review?

Yes Yes, in part No Information not available

Please cite and attach the applicable policy or policies, law(s) and/or other measure(s).

Please cite the text(s).

Please attach the text(s).

If available, please attach the text(s) in any (other) official language(s) of the United Nations (Arabic, Chinese, English, French, Russian and/or Spanish).

Please outline the steps or action (and related time frame) that domestic or other authorities would need to take to ensure the implementation of the provision under review.

In order to fully implement the provision under review, [AUTHORITY (OR AUTHORITIES)] would need to take/adopt the following steps or measures:

1. [XXX, to be taken/adopted by DATE]
2. [XXX, to be taken/adopted by DATE]
3. [XXX, to be taken/adopted by DATE]

Please provide an account of your country's efforts to date to implement the provision under review.

Since its [SIGNATURE OF/ADHERENCE TO] the Protocol, [COUNTRY] has taken the following steps to implement the provision under review:

1. [XXX]
2. [XXX]
3. [XXX]

These steps have proven to be [FULLY SUCCESSFUL/PARTLY SUCCESSFUL/NOT SUCCESSFUL] due to [REASON].

Please outline the steps or action (and related time frame) that domestic or other authorities would need to take to ensure full compliance with the provision under review.

In order to ensure full compliance with the provision under review, [AUTHORITY (OR AUTHORITIES)] would need to take/adopt the following steps or measures:

1. [XXX, to be taken/adopted by DATE]
2. [XXX, to be taken/adopted by DATE]
3. [XXX, to be taken/adopted by DATE]

Paragraph 1 (c) of article 5

1. Each State Party shall adopt such legislative and other measures as may be necessary to **establish as criminal offences** the following conduct, when committed intentionally:

...

(c) **Falsifying or illicitly obliterating, removing or altering the marking(s) on firearms** required by article 8 of this Protocol.

Level of obligation: mandatory

Has your country taken measure(s) to implement the provision under review?

Yes Yes, in part No Information not available

Please cite and attach the applicable policy or policies, law(s) and/or other measure(s).

Please cite the text(s).

Please attach the text(s).

If available, please attach the text(s) in any (other) official language(s) of the United Nations (Arabic, Chinese, English, French, Russian and/or Spanish).

Please outline the steps or action (and related time frame) that domestic or other authorities would need to take to ensure the implementation of the provision under review.

In order to fully implement the provision under review, [AUTHORITY (OR AUTHORITIES)] would need to take/adopt the following steps or measures:

1. [XXX, to be taken/adopted by DATE]
2. [XXX, to be taken/adopted by DATE]

3. [XXX, to be taken/adopted by DATE]

Please provide an account of your country's efforts to date to implement the provision under review.

Since its [SIGNATURE OF/ADHERENCE TO] the Protocol, [COUNTRY] has taken the following steps to implement the provision under review:

1. [XXX]
2. [XXX]
3. [XXX]

These steps have proven to be [FULLY SUCCESSFUL/PARTLY SUCCESSFUL/NOT SUCCESSFUL] due to [REASON].

Please outline the steps or action (and related time frame) that domestic or other authorities would need to take to ensure full compliance with the provision under review.

In order to ensure full compliance with the provision under review, [AUTHORITY (OR AUTHORITIES)] would need to take/adopt the following steps or measures:

1. [XXX, to be taken/adopted by DATE]
2. [XXX, to be taken/adopted by DATE]
3. [XXX, to be taken/adopted by DATE]

Paragraph 2 (a) of article 5

<p>2. Each State Party shall also adopt such legislative and other measures as may be necessary to establish as criminal offences the following conduct:</p> <p>(a) Subject to the basic concepts of its legal system, attempting to commit or participating as an accomplice in an offence established in accordance with paragraph 1 of this article;</p>

<p>Level of obligation: mandatory</p>
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Has your country taken measure(s) to implement the provision under review?

Yes Yes, in part No Information not available

Please cite and attach the applicable policy or policies, law(s) and/or other measure(s).

Please cite the text(s).

Please attach the text(s).

If available, please attach the text(s) in any (other) official language(s) of the United Nations (Arabic, Chinese, English, French, Russian and/or Spanish).

Please outline the steps or action (and related time frame) that domestic or other authorities would need to take to ensure the implementation of the provision under review.

In order to fully implement the provision under review, [AUTHORITY (OR AUTHORITIES)] would need to take/adopt the following steps or measures:

1. [XXX, to be taken/adopted by DATE]
2. [XXX, to be taken/adopted by DATE]
3. [XXX, to be taken/adopted by DATE]

Please provide an account of your country's efforts to date to implement the provision under review.

Since its [SIGNATURE OF/ADHERENCE TO] the Protocol, [COUNTRY] has taken the following steps to implement the provision under review:

1. [XXX]
2. [XXX]
3. [XXX]

These steps have proven to be [FULLY SUCCESSFUL/PARTLY SUCCESSFUL/NOT SUCCESSFUL] due to [REASON].

Please outline the steps or action (and related time frame) that domestic or other authorities would need to take to ensure full compliance with the provision under review.

In order to ensure full compliance with the provision under review, [AUTHORITY (OR AUTHORITIES)] would need to take/adopt the following steps or measures:

1. [XXX, to be taken/adopted by DATE]
2. [XXX, to be taken/adopted by DATE]
3. [XXX, to be taken/adopted by DATE]

Paragraph 2 (b) of article 5

2. Each State Party shall also adopt such legislative and other measures as may be necessary to establish as criminal offences the following conduct:

...

(b) **Organizing, directing, aiding, abetting, facilitating or counselling the commission of an offence** established in accordance with paragraph 1 of this article.

Level of obligation: mandatory

Has your country taken measure(s) to implement the provision under review?

Yes Yes, in part No Information not available

Please cite and attach the applicable policy or policies, law(s) and/or other measure(s).

Please cite the text(s).

Please attach the text(s).

If available, please attach the text(s) in any (other) official language(s) of the United Nations (Arabic, Chinese, English, French, Russian and/or Spanish).

Please outline the steps or action (and related time frame) that domestic or other authorities would need to take to ensure the implementation of the provision under review.

In order to fully implement the provision under review, [AUTHORITY (OR AUTHORITIES)] would need to take/adopt the following steps or measures:

1. [XXX, to be taken/adopted by DATE]
2. [XXX, to be taken/adopted by DATE]
3. [XXX, to be taken/adopted by DATE]

Please provide an account of your country's efforts to date to implement the provision under review.

Since its [SIGNATURE OF/ADHERENCE TO] the Protocol, [COUNTRY] has taken the following steps to implement the provision under review:

1. [XXX]
2. [XXX]
3. [XXX]

These steps have proven to be [FULLY SUCCESSFUL/PARTLY SUCCESSFUL/NOT SUCCESSFUL] due to [REASON].

Please outline the steps or action (and related time frame) that domestic or other authorities would need to take to ensure full compliance with the provision under review.

In order to ensure full compliance with the provision under review, [AUTHORITY (OR AUTHORITIES)] would need to take/adopt the following steps or measures:

1. [XXX, to be taken/adopted by DATE]
2. [XXX, to be taken/adopted by DATE]
3. [XXX, to be taken/adopted by DATE]

Technical Assistance

Please describe challenges your country has faced in practice in the implementation of the article under review. (Check all the answers that apply and provide an explanation in the "Comments" field.)

(NONE) None

(JUDG) Judges unfamiliar with and/or misinterpreting the Protocol

(FORMUL) Problems with the formulation of legislation

(INAD) Need for further implementing legislation (laws, regulations, decrees etc.)

(RELUC) Reluctance of practitioners to use legislation

(DISSEM) Insufficient dissemination of legislation

(INTACO) Inter-agency coordination

(MYSYS) Specificities in our legal system

(COMPRI) Competing priorities

(LIRIM) Limited resources for implementation (please specify)

(LICOS) Limited or no cooperation from other States

(AWARE) Lack of awareness

(ISSUE) Other issues (please specify)

Is your country already providing technical assistance?

() Yes () No

To whom is your country providing such assistance?

[DONOR X] has assisted/is assisting [MEMBER STATE] by providing [e.g. LEGAL EXPERTISE] and [e.g. BEST PRACTICES].

[INSTITUTION/ORGANIZATION Y] has developed/is developing an action plan for implementation, together with the authorities of [MEMBER STATE].

If such assistance is provided in the context of a programme or project, please provide a description of that programme or project and attach the relevant document(s).

Programme/project title:

Starting date:

Expected duration:

Financially supported by:

Budget (US\$):

Implemented by:

Expected final outcome(s):

Outcome(s) to date:

Does your country require technical assistance for the (full) implementation of this article?

Yes No

Which of the following forms of technical assistance, if available, would assist your country in (fully) implementing this article? (Check all the answers that apply.)

(ADV) Legal advice

(DRAFT) Legislative drafting support

(MOLEG) Model legislation/regulation(s)

(MOARR) Model agreement(s)

(SOP) Standard operating procedures

(PLAN) Development of strategies/policies, including action plans

(BEST) Good practices/lessons learned

(CAPB) Capacity-building through the training of prosecutors/practitioners and/or the training of trainers

(CAPJ) Capacity-building through awareness-raising among the judiciary

(EXREL) On-site assistance by a relevant expert

(INSTB) Institution-building/strengthening

(PREV) Prevention/awareness-raising

(TECH) Technological assistance

(MSHAR) Establishment of a database

(REGCOP) Measures to enhance regional cooperation

(INTCOP) Measures to enhance international cooperation

(OTHER) Other assistance (please specify)

Is your country already receiving technical assistance in these areas?

Yes Yes, in part No Information not available

Who provides such assistance?

[DONOR X] has assisted/is assisting [MEMBER STATE] by providing [e.g. LEGAL EXPERTISE] and [e.g. BEST PRACTICES].

[INSTITUTION/ORGANIZATION Y] has developed/is developing an action plan for implementation, together with the authorities of [MEMBER STATE].

If such assistance is provided in the context of a programme or project, please provide a description of that programme or project and attach the relevant document(s).

Programme/project title:

Starting date:

Expected duration:
Financially supported by:
Budget (US\$):
Implemented by:
Expected final outcome(s):
Outcome(s) to date:

Paragraph 1 of article 6

1. Without prejudice to article 12 of the Convention, States Parties shall adopt, to the greatest extent possible within their domestic legal systems, such measures as may be necessary to enable confiscation of firearms, their parts and components and ammunition that have been illicitly manufactured or trafficked.
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Level of obligation: mandatory

Has your country taken measure(s) to implement the provision under review?

Yes Yes, in part No Information not available

Please cite and attach the applicable policy or policies, law(s) and/or other measure(s).

Please cite the text(s).

Please attach the text(s).

If available, please attach the text(s) in any (other) official language(s) of the United Nations (Arabic, Chinese, English, French, Russian and/or Spanish).

Please provide information on the following:

The number and types of cases in which firearms, their parts and components and ammunition were confiscated, including annual statistics for such cases;

The number and types of firearms, their parts and components and ammunition that were confiscated, including annual statistics.

Please outline the steps or action (and related time frame) that domestic or other authorities would need to take to ensure the implementation of the provision under review.

In order to fully implement the provision under review, [AUTHORITY (OR AUTHORITIES)] would need to take/adopt the following steps or measures:

1. [XXX, to be taken/adopted by DATE]
2. [XXX, to be taken/adopted by DATE]
3. [XXX, to be taken/adopted by DATE]

Please provide an account of your country's efforts to date to implement the provision under review.

Since its [SIGNATURE OF/ADHERENCE TO] the Protocol, [COUNTRY] has taken the following steps to implement the provision under review:

1. [XXX]
2. [XXX]
3. [XXX]

These steps have proven to be [FULLY SUCCESSFUL/PARTLY SUCCESSFUL/NOT SUCCESSFUL] due to [REASON].

Please outline the steps or action (and related time frame) that domestic or other authorities would need to take to ensure full compliance with the provision under review.

In order to ensure full compliance with the provision under review, [AUTHORITY (OR AUTHORITIES)] would need to take/adopt the following steps or measures:

1. [XXX, to be taken/adopted by DATE]
2. [XXX, to be taken/adopted by DATE]
3. [XXX, to be taken/adopted by DATE]

Please provide examples of the successful implementation of measures adopted to comply with the article under review and related court or other cases.

Paragraph 2 of article 6

2. States Parties shall adopt, within their domestic legal systems, such measures as may be necessary to prevent illicitly manufactured and trafficked firearms, parts and components and ammunition from falling into the hands of unauthorized persons by seizing ... such firearms, their parts and components and ammunition ...

Level of obligation: mandatory

Does your country have measures to enable the seizure of illicitly manufactured and trafficked firearms, their parts and components and ammunition?

() Yes () Yes, in part () No () Information not available

Please cite and attach the applicable policy or policies, law(s) and/or other measure(s).

Please cite the text(s).

Please attach the text(s).

If available, please attach the text(s) in any (other) official language(s) of the United Nations (Arabic, Chinese, English, French, Russian and/or Spanish).

Please provide information on the number and type of firearms, their parts and components and ammunition which have been seized. If available, please provide figures for each year.

Please outline the steps or action (and related time frame) that domestic or other authorities would need to take to ensure the implementation of the provision under review.

In order to fully implement the provision under review, [AUTHORITY (OR AUTHORITIES)] would need to take/adopt the following steps or measures:

1. [XXX, to be taken/adopted by DATE]
2. [XXX, to be taken/adopted by DATE]
3. [XXX, to be taken/adopted by DATE]

Please provide an account of your country's efforts to date to implement the provision under review.

Since its [SIGNATURE OF/ADHERENCE TO] the Protocol, [COUNTRY] has taken the following steps to implement the provision under review:

1. [XXX]
2. [XXX]
3. [XXX]

These steps have proven to be [FULLY SUCCESSFUL/PARTLY SUCCESSFUL/NOT SUCCESSFUL] due to [REASON].

Please outline the steps or action (and related time frame) that domestic or other authorities would need to take to ensure full compliance with the provision under review.

In order to ensure full compliance with the provision under review, [AUTHORITY (OR AUTHORITIES)] would need to take/adopt the following steps or measures:

1. [XXX, to be taken/adopted by DATE]
2. [XXX, to be taken/adopted by DATE]
3. [XXX, to be taken/adopted by DATE]

Paragraph 2 of article 6

<p>2. States Parties shall adopt, within their domestic legal systems, such measures as may be necessary to prevent illicitly manufactured and trafficked firearms, parts and components and ammunition from falling into the hands of unauthorized persons by ... destroying such firearms, their parts and components and ammunition unless other disposal has been officially authorized, provided that the firearms have been marked and the methods of disposal of those firearms and ammunition have been recorded.</p>
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Level of obligation: mandatory

Does your country have measures to enable the destruction of illicitly manufactured and trafficked firearms, their parts and components and ammunition?

Yes Yes, in part No Information not available

Please provide an account of your country's efforts to date to implement the provision under review.

Please cite and attach the applicable policy or policies, law(s) and/or other measure(s).

Please cite the text(s).

Please attach the text(s).

If available, please attach the text(s) in any (other) official language(s) of the United Nations (Arabic, Chinese, English, French, Russian and/or Spanish).

Please describe the method(s) of destruction and the legal requirements for destroying these items.

Please provide information on the number and type of firearms, their parts and components and ammunition which have been destroyed and specify which method was applied. If available, please provide figures for each year.

If your country applies any other method(s) of disposal, please specify them and indicate the legal requirements for their authorization, in particular specific marking and recording requirements.

Please provide information on the number and types of seized and confiscated firearms, their parts and components and ammunition that have been disposed of in ways other than through destruction. If available, please provide figures for each year.

Please outline the steps or action (and related time frame) that domestic or other authorities would need to take to ensure the implementation of the provision under review.

In order to fully implement the provision under review, [AUTHORITY (OR AUTHORITIES)] would need to take/adopt the following steps or measures:

1. [XXX, to be taken/adopted by DATE]
2. [XXX, to be taken/adopted by DATE]
3. [XXX, to be taken/adopted by DATE]

Please provide an account of your country's efforts to date to implement the provision under review.

Since its [SIGNATURE OF/ADHERENCE TO] the Protocol, [COUNTRY] has taken the following steps to implement the provision under review:

1. [XXX]

2. [XXX]

3. [XXX]

These steps have proven to be [FULLY SUCCESSFUL/PARTLY SUCCESSFUL/NOT SUCCESSFUL] due to [REASON].

Please outline the steps or action (and related time frame) that domestic or other authorities would need to take to ensure full compliance with the provision under review.

In order to ensure full compliance with the provision under review, [AUTHORITY (OR AUTHORITIES)] would need to take/adopt the following steps or measures:

1. [XXX, to be taken/adopted by DATE]

2. [XXX, to be taken/adopted by DATE]

3. [XXX, to be taken/adopted by DATE]

Technical Assistance

Please describe challenges your country has faced in practice in the implementation of the article under review. (Check all the answers that apply and provide an explanation in the "Comments" field.)

(NONE) None

(JUDG) Judges unfamiliar with and/or misinterpreting the Protocol

(FORMUL) Problems with the formulation of legislation

(INAD) Need for further implementing legislation (laws, regulations, decrees etc.)

(RELUC) Reluctance of practitioners to use legislation

(DISSEM) Insufficient dissemination of legislation

(INTACO) Inter-agency coordination

(MYSYS) Specificities in our legal system

(COMPRI) Competing priorities

(LIRIM) Limited resources for implementation (please specify)

(LICOS) Limited or no cooperation from other States

(AWARE) Lack of awareness

(ISSUE) Other issues (please specify)

Is your country already providing technical assistance?

() Yes () No

To whom is your country providing such assistance?

[DONOR X] has assisted/is assisting [MEMBER STATE] by providing [e.g. LEGAL EXPERTISE] and [e.g. BEST PRACTICES].

[INSTITUTION/ORGANIZATION Y] has developed/is developing an action plan for implementation, together with the authorities of [MEMBER STATE].

If such assistance is provided in the context of a programme or project, please provide a description of that programme or project and attach the relevant document(s).

Programme/project title:

Starting date:

Expected duration:

Financially supported by:

Budget (US\$):

Implemented by:

Expected final outcome(s):

Outcome(s) to date:

Does your country require technical assistance for the (full) implementation of this article?

Yes No

Which of the following forms of technical assistance, if available, would assist your country in (fully) implementing this article? (Check all the answers that apply.)

(ADV) Legal advice

(DRAFT) Legislative drafting support

(MOLEG) Model legislation/regulation(s)

(MOARR) Model agreement(s)

(SOP) Standard operating procedures

(PLAN) Development of strategies/policies, including action plans

(BEST) Good practices/lessons learned

(CAPB) Capacity-building through the training of prosecutors/practitioners and/or the training of trainers

(CAPJ) Capacity-building through awareness-raising among the judiciary

(EXREL) On-site assistance by a relevant expert

(INSTB) Institution-building/strengthening

(PREV) Prevention/awareness-raising

(TECH) Technological assistance

(MSHAR) Establishment of a database

(REGCOP) Measures to enhance regional cooperation

(INTCOP) Measures to enhance international cooperation

(OTHER) Other assistance (please specify)

Is your country already receiving technical assistance in these areas?

Yes Yes, in part No Information not available

Who provides such assistance?

[DONOR X] has assisted/is assisting [MEMBER STATE] by providing [e.g. LEGAL EXPERTISE] and [e.g. BEST PRACTICES].

[INSTITUTION/ORGANIZATION Y] has developed/is developing an action plan for implementation, together with the authorities of [MEMBER STATE].

If such assistance is provided in the context of a programme or project, please provide a description of that programme or project and attach the relevant document(s).

Programme/project title:

Starting date:

Expected duration:

Financially supported by:

Budget (US\$):

Implemented by:

Expected final outcome(s):

Outcome(s) to date:

Subparagraph (a) of article 7

1. Each State Party shall ensure the **maintenance**, for not less than **ten years**, of **information in relation to** firearms and, where appropriate and feasible, **their parts and components and ammunition** that is necessary to trace and identify those firearms and, where appropriate and feasible, their parts and components and ammunition which are illicitly manufactured or trafficked and to prevent and detect such activities. Such information **shall include**:

(a) The **appropriate markings** required by article 8 of this Protocol;

Level of obligation: mandatory

Has your country adopted measure(s) to implement the provision under review?

Yes Yes, in part No Information not available

Please cite and attach the applicable policy or policies, law(s) and/or other measure(s).

Please cite the text(s).

Please attach the text(s).

If available, please attach the text(s) in any (other) official language(s) of the United Nations (Arabic, Chinese, English, French, Russian and/or Spanish).

Please specify which information is kept in the records.

Please indicate for how long your country keeps records of firearms.

Please outline the steps or action (and related time frame) that domestic or other authorities would need to take to ensure the implementation of the provision under review.

In order to fully implement the provision under review, [AUTHORITY (OR AUTHORITIES)] would need to take/adopt the following steps or measures:

1. [XXX, to be taken/adopted by DATE]
2. [XXX, to be taken/adopted by DATE]
3. [XXX, to be taken/adopted by DATE]

Please provide an account of your country's efforts to date to implement the provision under review.

Since its [SIGNATURE OF/ADHERENCE TO] the Protocol, [COUNTRY] has taken the following steps to implement the provision under review:

1. [XXX]
2. [XXX]
3. [XXX]

These steps have proven to be [FULLY SUCCESSFUL/PARTLY SUCCESSFUL/NOT SUCCESSFUL] due to [REASON].

Please outline the steps or action (and related time frame) that domestic or other authorities would need to take to ensure full compliance with the provision under review.

In order to ensure full compliance with the provision under review, [AUTHORITY (OR AUTHORITIES)] would need to take/adopt the following steps or measures:

1. [XXX, to be taken/adopted by DATE]
2. [XXX, to be taken/adopted by DATE]
3. [XXX, to be taken/adopted by DATE]

Please provide examples of the successful implementation of measures adopted to comply with the article under review and related court or other cases.

Subparagraph (b) of article 7

Each State Party shall ensure the maintenance, for not less than ten years, of information in relation to firearms and, where appropriate and feasible, their parts and components and ammunition that is necessary to trace and identify those firearms and, where appropriate and feasible, their parts and components and ammunition

which are illicitly manufactured or trafficked and to prevent and detect such activities. Such information shall include:

...

(b) In cases involving international transactions in firearms, their parts and components and ammunition, the issuance and expiration dates of the appropriate licences or authorizations, the country of export, the country of import, **the transit countries**, where appropriate, and the **final recipient** and the **description** and **quantity** of the articles.

Level of obligation: mandatory

Has your country taken measure(s) to implement the provision under review?

() Yes () Yes, in part () No () Information not available

Please cite and attach the applicable policy or policies, law(s) and/or other measure(s).

Please cite the text(s).

Please attach the text(s).

If available, please attach the text(s) in any (other) official language(s) of the United Nations (Arabic, Chinese, English, French, Russian and/or Spanish).

Please specify which information is kept in the records.

Please indicate for how long your country keeps records of fire arms.

Please outline the steps or action (and related time frame) that domestic or other authorities would need to take to ensure the implementation of the provision under review.

In order to fully implement the provision under review, [AUTHORITY (OR AUTHORITIES)] would need to take/adopt the following steps or measures:

1. [XXX, to be taken/adopted by DATE]
2. [XXX, to be taken/adopted by DATE]
3. [XXX, to be taken/adopted by DATE]

Please provide an account of your country's efforts to date to implement the provision under review.

Since its [SIGNATURE OF/ADHERENCE TO] the Protocol, [COUNTRY] has taken the following steps to implement the provision under review:

1. [XXX]
2. [XXX]
3. [XXX]

These steps have proven to be [FULLY SUCCESSFUL/PARTLY SUCCESSFUL/NOT SUCCESSFUL] due to [REASON].

Please outline the steps or action (and related time frame) that domestic or other authorities would need to take to ensure full compliance with the provision under review.

In order to ensure full compliance with the provision under review, [AUTHORITY (OR AUTHORITIES)] would need to take/adopt the following steps or measures:

1. [XXX, to be taken/adopted by DATE]
2. [XXX, to be taken/adopted by DATE]
3. [XXX, to be taken/adopted by DATE]

Technical Assistance

Please describe challenges your country has faced in practice in the implementation of the article under review. (Check all the answers that apply and provide an explanation in the "Comments" field.)

(NONE) None

(JUDG) Judges unfamiliar with and/or misinterpreting the Protocol

(FORMUL) Problems with the formulation of legislation

(INAD) Need for further implementing legislation (laws, regulations, decrees etc.)

(RELUC) Reluctance of practitioners to use legislation

(DISSEM) Insufficient dissemination of legislation

(INTACO) Inter-agency coordination

(MYSYS) Specificities in our legal system

(COMPRI) Competing priorities

(LIRIM) Limited resources for implementation (please specify)

(LICOS) Limited or no cooperation from other States

(AWARE) Lack of awareness

(ISSUE) Other issues (please specify)

Is your country already providing technical assistance?

() Yes () No

To whom is your country providing such assistance?

[DONOR X] has assisted/is assisting [MEMBER STATE] by providing [e.g. LEGAL EXPERTISE] and [e.g. BEST PRACTICES].

[INSTITUTION/ORGANIZATION Y] has developed/is developing an action plan for implementation, together with the authorities of [MEMBER STATE].

If such assistance is provided in the context of a programme or project, please provide a description of that programme or project and attach the relevant document(s).

Programme/project title:

Starting date:

Expected duration:

Financially supported by:

Budget (US\$):

Implemented by:

Expected final outcome(s):

Outcome(s) to date:

Does your country require technical assistance for the (full) implementation of this article?

() Yes () No

Which of the following forms of technical assistance, if available, would assist your country in (fully) implementing this article? (Check all the answers that apply.)

(ADV) Legal advice

(DRAFT) Legislative drafting support

(MOLEG) Model legislation/regulation(s)

(MOARR) Model agreement(s)

(SOP) Standard operating procedures

(PLAN) Development of strategies/policies, including action plans

(BEST) Good practices/lessons learned

(CAPB) Capacity-building through the training of prosecutors/practitioners and/or the training of trainers

(CAPJ) Capacity-building through awareness-raising among the judiciary

(EXREL) On-site assistance by a relevant expert

(INSTB) Institution-building/strengthening

(PREV) Prevention/awareness-raising

(TECH) Technological assistance

(MSHAR) Establishment of a database

(REGCOP) Measures to enhance regional cooperation

(INTCOP) Measures to enhance international cooperation

(OTHER) Other assistance (please specify)

Is your country already receiving technical assistance in these areas?

Yes Yes, in part No Information not available

Who provides such assistance?

[DONOR X] has assisted/is assisting [MEMBER STATE] by providing [e.g. LEGAL EXPERTISE] and [e.g. BEST PRACTICES].

[INSTITUTION/ORGANIZATION Y] has developed/is developing an action plan for implementation, together with the authorities of [MEMBER STATE].

If such assistance is provided in the context of a programme or project, please provide a description of that programme or project and attach the relevant document(s).

Programme/project title:

Starting date:

Expected duration:

Financially supported by:

Budget (US\$):

Implemented by:

Expected final outcome(s):

Outcome(s) to date:

Paragraph 1 (a) of article 8

1. For the purpose of identifying and tracing each firearm, States Parties shall:

(a) At the time of manufacture of each firearm, either require unique marking providing the name of the manufacturer, the country or place of manufacture and the serial number, or maintain any alternative unique user-friendly marking with simple geometric symbols in combination with a numeric and/or alphanumeric code, **permitting ready identification by all States of the country of manufacture;**

Level of obligation: mandatory

Has your country adopted measure(s) to implement the provision under review?

Yes Yes, in part No Information not available

Please cite and attach the applicable policy or policies, law(s) and/or other measure(s).

Please cite the text(s).

Please attach the text(s).

If available, please attach the text(s) in any (other) official language(s) of the United Nations (Arabic, Chinese, English, French, Russian and/or Spanish).

Please specify which information the markings provide:

Name of manufacturer

Country or place of manufacture

Serial number

Simple geometric symbols in combination with numeric or alphanumeric codes

Other (please specify)

Please outline the steps or action (and related time frame) that domestic or other authorities would need to take to ensure the implementation of the provision under review.

In order to fully implement the provision under review, [AUTHORITY (OR AUTHORITIES)] would need to take/adopt the following steps or measures:

1. [XXX, to be taken/adopted by DATE]
2. [XXX, to be taken/adopted by DATE]
3. [XXX, to be taken/adopted by DATE]

Please provide an account of your country's efforts to date to implement the provision under review.

Since its [SIGNATURE OF/ADHERENCE TO] the Protocol, [COUNTRY] has taken the following steps to implement the provision under review:

1. [XXX]
2. [XXX]
3. [XXX]

These steps have proven to be [FULLY SUCCESSFUL/PARTLY SUCCESSFUL/NOT SUCCESSFUL] due to [REASON].

Please outline the steps or action (and related time frame) that domestic or other authorities would need to take to ensure full compliance with the provision under review.

In order to ensure full compliance with the provision under review, [AUTHORITY (OR AUTHORITIES)] would need to take/adopt the following steps or measures:

1. [XXX, to be taken/adopted by DATE]
2. [XXX, to be taken/adopted by DATE]
3. [XXX, to be taken/adopted by DATE]

Please provide examples of the successful implementation of measures adopted to comply with the article under review and related court or other cases.

Paragraph 1 (b) of article 8

1. For the purpose of identifying and tracing each firearm, States Parties shall:

...

(b) **Require appropriate simple marking on each imported firearm**, permitting identification of the country of import and, where possible, the year of import and enabling the competent authorities of that country to trace the firearm, and a unique marking, if the firearm does not bear such a marking. The requirements of this subparagraph need not be applied to temporary imports of firearms for verifiable lawful purposes;

Level of obligation: mandatory

Has your country adopted measure(s) to implement the provision under review?

Yes Yes, in part No Information not available

Please cite and attach the applicable policy or policies, law(s) and/or other measure(s).

Please cite the text(s).

Please attach the text(s).

If available, please attach the text(s) in any (other) official language(s) of the United Nations (Arabic, Chinese, English, French, Russian and/or Spanish).

Please specify which information the markings provide:

Country of import

Year of import

Year of marking

Other (please specify)

Please outline the steps or action (and related time frame) that domestic or other authorities would need to take to ensure the implementation of the provision under review.

In order to fully implement the provision under review, [AUTHORITY (OR AUTHORITIES)] would need to take/adopt the following steps or measures:

1. [XXX, to be taken/adopted by DATE]

2. [XXX, to be taken/adopted by DATE]

3. [XXX, to be taken/adopted by DATE]

Please provide an account of your country's efforts to date to implement the provision under review.

Since its [SIGNATURE OF/ADHERENCE TO] the Protocol, [COUNTRY] has taken the following steps to implement the provision under review:

-
1. [XXX]
 2. [XXX]
 3. [XXX]

These steps have proven to be [FULLY SUCCESSFUL/PARTLY SUCCESSFUL/NOT SUCCESSFUL] due to [REASON].

Please outline the steps or action (and related time frame) that domestic or other authorities would need to take to ensure full compliance with the provision under review.

In order to ensure full compliance with the provision under review, [AUTHORITY (OR AUTHORITIES)] would need to take/adopt the following steps or measures:

1. [XXX, to be taken/adopted by DATE]
2. [XXX, to be taken/adopted by DATE]
3. [XXX, to be taken/adopted by DATE]

Paragraph 1 (c) of article 8

1. For the purpose of identifying and tracing each firearm, States Parties shall:

...

(c) Ensure, at the time of **transfer of a firearm from government stocks to permanent civilian use**, the **appropriate unique marking** permitting identification by all States Parties of the transferring country.

Level of obligation: mandatory

Has your country taken measure(s) to implement the provision under review?

() Yes () Yes, in part () No () Information not available

Please cite and attach the applicable policy or policies, law(s) and/or other measure(s).

Please cite the text(s).

Please attach the text(s).

If available, please attach the text(s) in any (other) official language(s) of the United Nations (Arabic, Chinese, English, French, Russian and/or Spanish).

Please specify which information the markings provide.

Please specify which method(s) are being used for the markings.

Please outline the steps or action (and related time frame) that domestic or other authorities would need to take to ensure the implementation of the provision under review.

In order to fully implement the provision under review, [AUTHORITY (OR AUTHORITIES)] would need to take/adopt the following steps or measures:

1. [XXX, to be taken/adopted by DATE]
2. [XXX, to be taken/adopted by DATE]
3. [XXX, to be taken/adopted by DATE]

Please provide an account of your country's efforts to date to implement the provision under review.

Since its [SIGNATURE OF/ADHERENCE TO] the Protocol, [COUNTRY] has taken the following steps to implement the provision under review:

1. [XXX]
2. [XXX]
3. [XXX]

These steps have proven to be [FULLY SUCCESSFUL/PARTLY SUCCESSFUL/NOT SUCCESSFUL] due to [REASON].

Please outline the steps or action (and related time frame) that domestic or other authorities would need to take to ensure full compliance with the provision under review.

In order to ensure full compliance with the provision under review, [AUTHORITY (OR AUTHORITIES)] would need to take/adopt the following steps or measures:

1. [XXX, to be taken/adopted by DATE]
2. [XXX, to be taken/adopted by DATE]
3. [XXX, to be taken/adopted by DATE]

Paragraph 2 of article 8

2. States Parties shall encourage the firearms manufacturing industry to develop measures against the removal or alteration of markings.
--

Level of obligation: mandatory

Has your country taken measure(s) to implement the provision under review?

() Yes () Yes, in part () No () Information not available

Please cite and attach the applicable policy or policies, law(s) and/or other measure(s).

Please cite the text(s).

Please attach the text(s).

If available, please attach the text(s) in any (other) official language(s) of the United Nations (Arabic, Chinese, English, French, Russian and/or Spanish).

Please outline the steps or action (and related time frame) that domestic or other authorities would need to take to ensure the implementation of the provision under review.

In order to fully implement the provision under review, [AUTHORITY (OR AUTHORITIES)] would need to take/adopt the following steps or measures:

1. [XXX, to be taken/adopted by DATE]
2. [XXX, to be taken/adopted by DATE]
3. [XXX, to be taken/adopted by DATE]

Please provide an account of your country's efforts to date to implement the provision under review.

Since its [SIGNATURE OF/ADHERENCE TO] the Protocol, [COUNTRY] has taken the following steps to implement the provision under review:

1. [XXX]
2. [XXX]
3. [XXX]

These steps have proven to be [FULLY SUCCESSFUL/PARTLY SUCCESSFUL/NOT SUCCESSFUL] due to [REASON].

Please outline the steps or action (and related time frame) that domestic or other authorities would need to take to ensure full compliance with the provision under review.

In order to ensure full compliance with the provision under review, [AUTHORITY (OR AUTHORITIES)] would need to take/adopt the following steps or measures:

1. [XXX, to be taken/adopted by DATE]
2. [XXX, to be taken/adopted by DATE]
3. [XXX, to be taken/adopted by DATE]

Technical Assistance

Please describe challenges your country has faced in practice in the implementation of the article under review. (Check all the answers that apply and provide an explanation in the "Comments" field.)

(NONE) None

(JUDG) Judges unfamiliar with and/or misinterpreting the Protocol

(FORMUL) Problems with the formulation of legislation

(INAD) Need for further implementing legislation (laws, regulations, decrees etc.)

(RELUC) Reluctance of practitioners to use legislation

(DISSEM) Insufficient dissemination of legislation

(INTACO) Inter-agency coordination

(MYSYS) Specificities in our legal system

(COMPRI) Competing priorities

(LIRIM) Limited resources for implementation (please specify)

(LICOS) Limited or no cooperation from other States

(AWARE) Lack of awareness

(ISSUE) Other issues (please specify)

Is your country already providing technical assistance?

Yes No

To whom is your country providing such assistance?

[DONOR X] has assisted/is assisting [MEMBER STATE] by providing [e.g. LEGAL EXPERTISE] and [e.g. BEST PRACTICES].

[INSTITUTION/ORGANIZATION Y] has developed/is developing an action plan for implementation, together with the authorities of [MEMBER STATE].

If such assistance is provided in the context of a programme or project, please provide a description of that programme or project and attach the relevant document(s).

Programme/project title:

Starting date:

Expected duration:

Financially supported by:

Budget (US\$):

Implemented by:

Expected final outcome(s):

Outcome(s) to date:

Does your country require technical assistance for the (full) implementation of this article?

Yes No

Which of the following forms of technical assistance, if available, would assist your country in (fully) implementing this article? (Check all the answers that apply.)

(ADV) Legal advice

(DRAFT) Legislative drafting support

(MOLEG) Model legislation/regulation(s)

(MOARR) Model agreement(s)

(SOP) Standard operating procedures

(PLAN) Development of strategies/policies, including action plans

(BEST) Good practices/lessons learned

(CAPB) Capacity-building through the training of prosecutors/practitioners and/or the training of trainers

(CAPJ) Capacity-building through awareness-raising among the judiciary

(EXREL) On-site assistance by a relevant expert

(INSTB) Institution-building/strengthening

(PREV) Prevention/awareness-raising

(TECH) Technological assistance

(MSHAR) Establishment of a database

(REGCOP) Measures to enhance regional cooperation

(INTCOP) Measures to enhance international cooperation

(OTHER) Other assistance (please specify)

Is your country already receiving technical assistance in these areas?

Yes Yes, in part No Information not available

Who provides such assistance?

[DONOR X] has assisted/is assisting [MEMBER STATE] by providing [e.g. LEGAL EXPERTISE] and [e.g. BEST PRACTICES].

[INSTITUTION/ORGANIZATION Y] has developed/is developing an action plan for implementation, together with the authorities of [MEMBER STATE].

If such assistance is provided in the context of a programme or project, please provide a description of that programme or project and attach the relevant document(s).

Programme/project title:

Starting date:

Expected duration:

Financially supported by:

Budget (US\$):

Implemented by:
Expected final outcome(s):
Outcome(s) to date:

Subparagraph (a) of article 9

A State Party that does not recognize a deactivated firearm as a firearm in accordance with its domestic law shall take the necessary measures, including the establishment of specific offences if appropriate, to prevent the illicit reactivation of deactivated firearms, consistent with the following general principles of deactivation:

(a) All essential parts of a deactivated firearm are to be rendered permanently inoperable and incapable of removal, replacement or modification in a manner that would permit the firearm to be reactivated in any way;

Level of obligation: mandatory

Has your country taken measure(s) to implement the provision under review?

Yes Yes, in part No Information not available

Please cite and attach the applicable policy or policies, law(s) and/or other measure(s).

Please cite the text(s).

Please attach the text(s).

If available, please attach the text(s) in any (other) official language(s) of the United Nations (Arabic, Chinese, English, French, Russian and/or Spanish).

Please provide examples of the successful implementation of measures adopted to comply with the provision under review and related court or other cases.

Please outline the steps or action (and related time frame) that domestic or other authorities would need to take to ensure the implementation of the provision under review.

In order to fully implement the provision under review, [AUTHORITY (OR AUTHORITIES)] would need to take/adopt the following steps or measures:

1. [XXX, to be taken/adopted by DATE]
2. [XXX, to be taken/adopted by DATE]
3. [XXX, to be taken/adopted by DATE]

Please provide an account of your country's efforts to date to implement the provision under review.

Since its [SIGNATURE OF/ADHERENCE TO] the Protocol, [COUNTRY] has taken the following steps to implement the provision under review:

-
1. [XXX]
 2. [XXX]
 3. [XXX]

These steps have proven to be [FULLY SUCCESSFUL/PARTLY SUCCESSFUL/NOT SUCCESSFUL] due to [REASON].

Please outline the steps or action (and related time frame) that domestic or other authorities would need to take to ensure full compliance with the provision under review.

In order to ensure full compliance with the provision under review, [AUTHORITY (OR AUTHORITIES)] would need to take/adopt the following steps or measures:

1. [XXX, to be taken/adopted by DATE]
2. [XXX, to be taken/adopted by DATE]
3. [XXX, to be taken/adopted by DATE]

Please provide examples of the successful implementation of measures adopted to comply with the article under review and related court or other cases.

Subparagraph (b) of article 9

A State Party that does not recognize a deactivated firearm as a firearm in accordance with its domestic law shall take the necessary measures, including the establishment of specific offences if appropriate, to prevent the illicit reactivation of deactivated firearms, consistent with the following general principles of deactivation:

...

(b) Arrangements are to be made for **deactivation measures to be verified**, where appropriate, **by a competent authority** to ensure that the modifications made to a firearm render it permanently inoperable;

Level of obligation: mandatory

Has your country taken measure(s) to implement the provision under review?

() Yes () Yes, in part () No () Information not available

Please cite and attach the applicable policy or policies, law(s) and/or other measure(s).

Please cite the text(s).

Please attach the text(s).

If available, please attach the text(s) in any (other) official language(s) of the United Nations (Arabic, Chinese, English, French, Russian and/or Spanish).

Please outline the steps or action (and related time frame) that domestic or other authorities would need to take to ensure the implementation of the provision under review.

In order to fully implement the provision under review, [AUTHORITY (OR AUTHORITIES)] would need to take/adopt the following steps or measures:

1. [XXX, to be taken/adopted by DATE]
2. [XXX, to be taken/adopted by DATE]
3. [XXX, to be taken/adopted by DATE]

Please provide an account of your country's efforts to date to implement the provision under review.

Since its [SIGNATURE OF/ADHERENCE TO] the Protocol, [COUNTRY] has taken the following steps to implement the provision under review:

1. [XXX]
2. [XXX]
3. [XXX]

These steps have proven to be [FULLY SUCCESSFUL/PARTLY SUCCESSFUL/NOT SUCCESSFUL] due to [REASON].

Please outline the steps or action (and related time frame) that domestic or other authorities would need to take to ensure full compliance with the provision under review.

In order to ensure full compliance with the provision under review, [AUTHORITY (OR AUTHORITIES)] would need to take/adopt the following steps or measures:

1. [XXX, to be taken/adopted by DATE]
2. [XXX, to be taken/adopted by DATE]
3. [XXX, to be taken/adopted by DATE]

Subparagraph (c) of article 9

A State Party that does not recognize a deactivated firearm as a firearm in accordance with its domestic law shall take the necessary measures, including the establishment of specific offences if appropriate, to prevent the illicit reactivation of deactivated firearms, consistent with the following general principles of deactivation:

...

(c) Verification by a competent authority is to include a **certificate or record** attesting to the deactivation of the firearm or a clearly visible mark to that effect stamped on the firearm.

Level of obligation: mandatory

Has your country taken measure(s) to implement the provision under review?

Yes Yes, in part No Information not available

Please cite and attach the applicable policy or policies, law(s) and/or other measure(s).

Please cite the text(s).

Please attach the text(s).

If available, please attach the text(s) in any (other) official language(s) of the United Nations (Arabic, Chinese, English, French, Russian and/or Spanish).

Please outline the steps or action (and related time frame) that domestic or other authorities would need to take to ensure the implementation of the provision under review.

In order to fully implement the provision under review, [AUTHORITY (OR AUTHORITIES)] would need to take/adopt the following steps or measures:

1. [XXX, to be taken/adopted by DATE]
2. [XXX, to be taken/adopted by DATE]
3. [XXX, to be taken/adopted by DATE]

Please provide an account of your country's efforts to date to implement the provision under review.

Since its [SIGNATURE OF/ADHERENCE TO] the Protocol, [COUNTRY] has taken the following steps to implement the provision under review:

1. [XXX]
2. [XXX]
3. [XXX]

These steps have proven to be [FULLY SUCCESSFUL/PARTLY SUCCESSFUL/NOT SUCCESSFUL] due to [REASON].

Please outline the steps or action (and related time frame) that domestic or other authorities would need to take to ensure full compliance with the provision under review.

In order to ensure full compliance with the provision under review, [AUTHORITY (OR AUTHORITIES)] would need to take/adopt the following steps or measures:

1. [XXX, to be taken/adopted by DATE]

-
2. [XXX, to be taken/adopted by DATE]
 3. [XXX, to be taken/adopted by DATE]

Technical Assistance

Please describe challenges your country has faced in practice in the implementation of the article under review. (Check all the answers that apply and provide an explanation in the "Comments" field.)

(NONE) None

(JUDG) Judges unfamiliar with and/or misinterpreting the Protocol

(FORMUL) Problems with the formulation of legislation

(INAD) Need for further implementing legislation (laws, regulations, decrees etc.)

(RELUC) Reluctance of practitioners to use legislation

(DISSEM) Insufficient dissemination of legislation

(INTACO) Inter-agency coordination

(MYSYS) Specificities in our legal system

(COMPRI) Competing priorities

(LIRIM) Limited resources for implementation (please specify)

(LICOS) Limited or no cooperation from other States

(AWARE) Lack of awareness

(ISSUE) Other issues (please specify)

Is your country already providing technical assistance?

Yes No

To whom is your country providing such assistance?

[DONOR X] has assisted/is assisting [MEMBER STATE] by providing [e.g. LEGAL EXPERTISE] and [e.g. BEST PRACTICES].

[INSTITUTION/ORGANIZATION Y] has developed/is developing an action plan for implementation, together with the authorities of [MEMBER STATE].

If such assistance is provided in the context of a programme or project, please provide a description of that programme or project and attach the relevant document(s).

Programme/project title:
Starting date:
Expected duration:
Financially supported by:
Budget (US\$):
Implemented by:
Expected final outcome(s):
Outcome(s) to date:

Does your country require technical assistance for the (full) implementation of this article?

Yes No

Which of the following forms of technical assistance, if available, would assist your country in (fully) implementing this article? (Check all the answers that apply.)

(ADV) Legal advice

(DRAFT) Legislative drafting support

(MOLEG) Model legislation/regulation(s)

(MOARR) Model agreement(s)

(SOP) Standard operating procedures

(PLAN) Development of strategies/policies, including action plans

(BEST) Good practices/lessons learned

(CAPB) Capacity-building through the training of prosecutors/practitioners and/or the training of trainers

(CAPJ) Capacity-building through awareness-raising among the judiciary

(EXREL) On-site assistance by a relevant expert

(INSTB) Institution-building/strengthening

(PREV) Prevention/awareness-raising

(TECH) Technological assistance

(MSHAR) Establishment of a database

(REGCOP) Measures to enhance regional cooperation

(INTCOP) Measures to enhance international cooperation

(OTHER) Other assistance (please specify)

Is your country already receiving technical assistance in these areas?

Yes Yes, in part No Information not available

Who provides such assistance?

[DONOR X] has assisted/is assisting [MEMBER STATE] by providing [e.g. LEGAL EXPERTISE] and [e.g. BEST PRACTICES].

[INSTITUTION/ORGANIZATION Y] has developed/is developing an action plan for implementation, together with the authorities of [MEMBER STATE].

If such assistance is provided in the context of a programme or project, please provide a description of that programme or project and attach the relevant document(s).

Programme/project title:

Starting date:

Expected duration:

Financially supported by:

Budget (US\$):

Implemented by:

Expected final outcome(s):

Outcome(s) to date:

Paragraph 1 of article 10

1. Each State Party shall establish or maintain an effective system of export and import licensing or authorization , as well as of measures on international transit , for the transfer of firearms, their parts and components and ammunition.
--

Level of obligation: mandatory

Has your country taken measure(s) to implement the provision under review?

Yes Yes, in part No Information not available

Please cite and attach the applicable policy or policies, law(s) and/or other measure(s).

Please cite the text(s).

Please attach the text(s).

If available, please attach the text(s) in any (other) official language(s) of the United Nations (Arabic, Chinese, English, French, Russian and/or Spanish).

Please outline the steps or action (and related time frame) that domestic or other authorities would need to take to ensure the implementation of the provision under review.

In order to fully implement the provision under review, [AUTHORITY (OR AUTHORITIES)] would need to take/adopt the following steps or measures:

1. [XXX, to be taken/adopted by DATE]

2. [XXX, to be taken/adopted by DATE]

3. [XXX, to be taken/adopted by DATE]

Please provide an account of your country's efforts to date to implement the provision under review.

Since its [SIGNATURE OF/ADHERENCE TO] the Protocol, [COUNTRY] has taken the following steps to implement the provision under review:

1. [XXX]
2. [XXX]
3. [XXX]

These steps have proven to be [FULLY SUCCESSFUL/PARTLY SUCCESSFUL/NOT SUCCESSFUL] due to [REASON].

Please outline the steps or action (and related time frame) that domestic or other authorities would need to take to ensure full compliance with the provision under review.

In order to ensure full compliance with the provision under review, [AUTHORITY (OR AUTHORITIES)] would need to take/adopt the following steps or measures:

1. [XXX, to be taken/adopted by DATE]
2. [XXX, to be taken/adopted by DATE]
3. [XXX, to be taken/adopted by DATE]

Please provide examples of the successful implementation of measures adopted to comply with the article under review and related court or other cases.

Paragraphs 2 (a) and (b) of article 10

2. Before issuing export licences or authorizations for shipments of firearms, their parts and components and ammunition, each State Party shall verify:

(a) That the importing States have issued import licences or authorizations; and

(b) That, without prejudice to bilateral or multilateral agreements or arrangements favouring landlocked States, the transit States have, at a minimum, given notice in writing, prior to shipment, that they have no objection to the **transit**.

Level of obligation: mandatory

Has your country adopted measure(s) to implement the provision under review?

() Yes () Yes, in part () No () Information not available

Please cite and attach the applicable policy or policies, law(s) and/or other measure(s).

Please cite the text(s).

Please attach the text(s).

If available, please attach the text(s) in any (other) official language(s) of the United Nations (Arabic, Chinese, English, French, Russian and/or Spanish).

Please outline the steps or action (and related time frame) that domestic or other authorities would need to take to ensure the implementation of the provision under review.

In order to fully implement the provision under review, [AUTHORITY (OR AUTHORITIES)] would need to take/adopt the following steps or measures:

1. [XXX, to be taken/adopted by DATE]
2. [XXX, to be taken/adopted by DATE]
3. [XXX, to be taken/adopted by DATE]

Please provide an account of your country's efforts to date to implement the provision under review.

Since its [SIGNATURE OF/ADHERENCE TO] the Protocol, [COUNTRY] has taken the following steps to implement the provision under review:

1. [XXX]
2. [XXX]
3. [XXX]

These steps have proven to be [FULLY SUCCESSFUL/PARTLY SUCCESSFUL/NOT SUCCESSFUL] due to [REASON].

Please outline the steps or action (and related time frame) that domestic or other authorities would need to take to ensure full compliance with the provision under review.

In order to ensure full compliance with the provision under review, [AUTHORITY (OR AUTHORITIES)] would need to take/adopt the following steps or measures:

1. [XXX, to be taken/adopted by DATE]
2. [XXX, to be taken/adopted by DATE]
3. [XXX, to be taken/adopted by DATE]

Paragraph 3 of article 10

<p>3. The export and import licence or authorization and accompanying documentation together shall contain information that, at a minimum, shall include the place and the date of issuance, the date of expiration, the country of export, the country of import, the final recipient, a description and the quantity of the firearms, their parts and components and ammunition and, whenever there is transit, the countries of transit. The information contained in the import licence must be provided in advance to the transit States.</p>
--

<p>Level of obligation: mandatory</p>
--

Has your country adopted measure(s) to implement the provision under review?

Yes Yes, in part No Information not available

Please cite and attach the applicable policy or policies, law(s) and/or other measure(s).

Please cite the text(s).

Please attach the text(s).

If available, please attach the text(s) in any (other) official language(s) of the United Nations (Arabic, Chinese, English, French, Russian and/or Spanish).

Please outline the steps or action (and related time frame) that domestic or other authorities would need to take to ensure the implementation of the provision under review.

In order to fully implement the provision under review, [AUTHORITY (OR AUTHORITIES)] would need to take/adopt the following steps or measures:

1. [XXX, to be taken/adopted by DATE]
2. [XXX, to be taken/adopted by DATE]
3. [XXX, to be taken/adopted by DATE]

Please provide an account of your country's efforts to date to implement the provision under review.

Since its [SIGNATURE OF/ADHERENCE TO] the Protocol, [COUNTRY] has taken the following steps to implement the provision under review:

1. [XXX]
2. [XXX]
3. [XXX]

These steps have proven to be [FULLY SUCCESSFUL/PARTLY SUCCESSFUL/NOT SUCCESSFUL] due to [REASON].

Please outline the steps or action (and related time frame) that domestic or other authorities would need to take to ensure full compliance with the provision under review.

In order to ensure full compliance with the provision under review, [AUTHORITY (OR AUTHORITIES)] would need to take/adopt the following steps or measures:

1. [XXX, to be taken/adopted by DATE]
2. [XXX, to be taken/adopted by DATE]
3. [XXX, to be taken/adopted by DATE]

Please specify the information that needs to be included in the import or export license or authorization and in the accompanying documentation:

Place and date of issuance

Date of expiration

Country of export

Country of import

Country of transit (where applicable)

Final recipient

Description of the items

Quantity of firearms, their parts and components and ammunition

Other (please specify)

Paragraph 4 of article 10

4. The importing State Party shall, upon request, inform the exporting State Party of the receipt of the dispatched shipment of firearms, their parts and components or ammunition.

Level of obligation: mandatory

Has your country adopted measure(s) to implement the provision under review?

() Yes () Yes, in part () No () Information not available

Please cite and attach the applicable policy or policies, law(s) and/or other measure(s).

Please cite the text(s).

Please attach the text(s).

If available, please attach the text(s) in any (other) official language(s) of the United Nations (Arabic, Chinese, English, French, Russian and/or Spanish).

Please outline the steps or action (and related time frame) that domestic or other authorities would need to take to ensure the implementation of the provision under review.

In order to fully implement the provision under review, [AUTHORITY (OR AUTHORITIES)] would need to take/adopt the following steps or measures:

1. [XXX, to be taken/adopted by DATE]
2. [XXX, to be taken/adopted by DATE]

3. [XXX, to be taken/adopted by DATE]

Please provide an account of your country's efforts to date to implement the provision under review.

Since its [SIGNATURE OF/ADHERENCE TO] the Protocol, [COUNTRY] has taken the following steps to implement the provision under review:

1. [XXX]
2. [XXX]
3. [XXX]

These steps have proven to be [FULLY SUCCESSFUL/PARTLY SUCCESSFUL/NOT SUCCESSFUL] due to [REASON].

Please outline the steps or action (and related time frame) that domestic or other authorities would need to take to ensure full compliance with the provision under review.

In order to ensure full compliance with the provision under review, [AUTHORITY (OR AUTHORITIES)] would need to take/adopt the following steps or measures:

1. [XXX, to be taken/adopted by DATE]
2. [XXX, to be taken/adopted by DATE]
3. [XXX, to be taken/adopted by DATE]

Paragraph 5 of article 10

5. Each State Party shall, within available means, take such measures as may be necessary to ensure that licensing or authorization procedures are secure and that the authenticity of licensing or authorization documents can be verified or validated.
--

Level of obligation: mandatory

Has your country taken measure(s) to implement the provision under review?

() Yes () Yes, in part () No () Information not available

Please cite and attach the applicable policy or policies, law(s) and/or other measure(s).

Please cite the text(s).

Please attach the text(s).

If available, please attach the text(s) in any (other) official language(s) of the United Nations (Arabic, Chinese, English, French, Russian and/or Spanish).

Please outline the steps or action (and related time frame) that domestic or other authorities would need to take to ensure the implementation of the provision under review.

In order to fully implement the provision under review, [AUTHORITY (OR AUTHORITIES)] would need to take/adopt the following steps or measures:

1. [XXX, to be taken/adopted by DATE]
2. [XXX, to be taken/adopted by DATE]
3. [XXX, to be taken/adopted by DATE]

Please provide an account of your country's efforts to date to implement the provision under review.

Since its [SIGNATURE OF/ADHERENCE TO] the Protocol, [COUNTRY] has taken the following steps to implement the provision under review:

1. [XXX]
2. [XXX]
3. [XXX]

These steps have proven to be [FULLY SUCCESSFUL/PARTLY SUCCESSFUL/NOT SUCCESSFUL] due to [REASON].

Please outline the steps or action (and related time frame) that domestic or other authorities would need to take to ensure full compliance with the provision under review.

In order to ensure full compliance with the provision under review, [AUTHORITY (OR AUTHORITIES)] would need to take/adopt the following steps or measures:

1. [XXX, to be taken/adopted by DATE]
2. [XXX, to be taken/adopted by DATE]
3. [XXX, to be taken/adopted by DATE]

Paragraph 6 of article 10

6. States Parties may adopt simplified procedures for the temporary import and export and the transit of firearms , their parts and components and ammunition for verifiable lawful purposes such as hunting, sport shooting, evaluation, exhibitions or repairs.

Level of obligation: optional

Has your country adopted measure(s) to implement the provision under review?

() Yes () Yes, in part () No () Information not available

Please cite and attach the applicable policy or policies, law(s) and/or other measure(s).

Please cite the text(s).

Please attach the text(s).

If available, please attach the text(s) in any (other) official language(s) of the United Nations (Arabic, Chinese, English, French, Russian and/or Spanish).

Please outline the steps or action (and related time frame) that domestic or other authorities would need to take to ensure the implementation of the provision under review.

In order to fully implement the provision under review, [AUTHORITY (OR AUTHORITIES)] would need to take/adopt the following steps or measures:

1. [XXX, to be taken/adopted by DATE]
2. [XXX, to be taken/adopted by DATE]
3. [XXX, to be taken/adopted by DATE]

Please provide an account of your country's efforts to date to implement the provision under review.

Since its [SIGNATURE OF/ADHERENCE TO] the Protocol, [COUNTRY] has taken the following steps to implement the provision under review:

1. [XXX]
2. [XXX]
3. [XXX]

These steps have proven to be [FULLY SUCCESSFUL/PARTLY SUCCESSFUL/NOT SUCCESSFUL] due to [REASON].

Please outline the steps or action (and related time frame) that domestic or other authorities would need to take to ensure full compliance with the provision under review.

In order to ensure full compliance with the provision under review, [AUTHORITY (OR AUTHORITIES)] would need to take/adopt the following steps or measures:

1. [XXX, to be taken/adopted by DATE]
2. [XXX, to be taken/adopted by DATE]
3. [XXX, to be taken/adopted by DATE]

Technical Assistance

Please describe challenges your country has faced in practice in the implementation of the article under review. (Check all the answers that apply and provide an explanation in the "Comments" field.)

(NONE) None

(JUDG) Judges unfamiliar with and/or misinterpreting the Protocol

(FORMUL) Problems with the formulation of legislation

(INAD) Need for further implementing legislation (laws, regulations, decrees etc.)

(RELUC) Reluctance of practitioners to use legislation

(DISSEM) Insufficient dissemination of legislation

(INTACO) Inter-agency coordination

(MYSYS) Specificities in our legal system

(COMPRI) Competing priorities

(LIRIM) Limited resources for implementation (please specify)

(LICOS) Limited or no cooperation from other States

(AWARE) Lack of awareness

(ISSUE) Other issues (please specify)

Is your country already providing technical assistance?

Yes No

To whom is your country providing such assistance?

[DONOR X] has assisted/is assisting [MEMBER STATE] by providing [e.g. LEGAL EXPERTISE] and [e.g. BEST PRACTICES].

[INSTITUTION/ORGANIZATION Y] has developed/is developing an action plan for implementation, together with the authorities of [MEMBER STATE].

If such assistance is provided in the context of a programme or project, please provide a description of that programme or project and attach the relevant document(s).

Programme/project title:

Starting date:

Expected duration:

Financially supported by:

Budget (US\$):

Implemented by:

Expected final outcome(s):

Outcome(s) to date:

Does your country require technical assistance for the (full) implementation of this article?

() Yes () No

Which of the following forms of technical assistance, if available, would assist your country in (fully) implementing this article? (Check all the answers that apply.)

(ADV) Legal advice

(DRAFT) Legislative drafting support

(MOLEG) Model legislation/regulation(s)

(MOARR) Model agreement(s)

(SOP) Standard operating procedures

(PLAN) Development of strategies/policies, including action plans

(BEST) Good practices/lessons learned

(CAPB) Capacity-building through the training of prosecutors/practitioners and/or the training of trainers

(CAPJ) Capacity-building through awareness-raising among the judiciary

(EXREL) On-site assistance by a relevant expert

(INSTB) Institution-building/strengthening

(PREV) Prevention/awareness-raising

(TECH) Technological assistance

(MSHAR) Establishment of a database

(REGCOP) Measures to enhance regional cooperation

(INTCOP) Measures to enhance international cooperation

(OTHER) Other assistance (please specify)

Is your country already receiving technical assistance in these areas?

() Yes () Yes, in part () No () Information not available

Who provides such assistance?

[DONOR X] has assisted/is assisting [MEMBER STATE] by providing [e.g. LEGAL EXPERTISE] and [e.g. BEST PRACTICES].

[INSTITUTION/ORGANIZATION Y] has developed/is developing an action plan for implementation, together with the authorities of [MEMBER STATE].

If such assistance is provided in the context of a programme or project, please provide a description of that programme or project and attach the relevant document(s).

Programme/project title:

Starting date:

Expected duration:

Financially supported by:

Budget (US\$):

Implemented by:
Expected final outcome(s):
Outcome(s) to date:

Subparagraph (a) of article 11

In an effort to detect, prevent and eliminate the theft, loss or diversion of, as well as the illicit manufacturing of and trafficking in, firearms, their parts and components and ammunition, each State Party shall take appropriate measures:

(a) To require **the security of firearms, their parts and components and ammunition at the time of manufacture, import, export and transit through its territory;**

Level of obligation: mandatory

Has your country taken measure(s) to implement the provision under review?

Yes Yes, in part No Information not available

Please cite and attach the applicable policy or policies, law(s) and/or other measure(s).

Please cite the text(s).

Please attach the text(s).

If available, please attach the text(s) in any (other) official language(s) of the United Nations (Arabic, Chinese, English, French, Russian and/or Spanish).

Please outline the steps or action (and related time frame) that domestic or other authorities would need to take to ensure the implementation of the provision under review.

In order to fully implement the provision under review, [AUTHORITY (OR AUTHORITIES)] would need to take/adopt the following steps or measures:

1. [XXX, to be taken/adopted by DATE]
2. [XXX, to be taken/adopted by DATE]
3. [XXX, to be taken/adopted by DATE]

Please provide an account of your country's efforts to date to implement the provision under review.

Since its [SIGNATURE OF/ADHERENCE TO] the Protocol, [COUNTRY] has taken the following steps to implement the provision under review:

1. [XXX]
2. [XXX]

3. [XXX]

These steps have proven to be [FULLY SUCCESSFUL/PARTLY SUCCESSFUL/NOT SUCCESSFUL] due to [REASON].

Please outline the steps or action (and related time frame) that domestic or other authorities would need to take to ensure full compliance with the provision under review.

In order to ensure full compliance with the provision under review, [AUTHORITY (OR AUTHORITIES)] would need to take/adopt the following steps or measures:

1. [XXX, to be taken/adopted by DATE]
2. [XXX, to be taken/adopted by DATE]
3. [XXX, to be taken/adopted by DATE]

Please provide examples of the successful implementation of measures adopted to comply with the article under review and related court or other cases.

Subparagraph (b) of article 11

In an effort to detect, prevent and eliminate the theft, loss or diversion of, as well as the illicit manufacturing of and trafficking in, firearms, their parts and components and ammunition, each State Party shall take appropriate measures:

...

(b) To **increase the effectiveness of import, export and transit controls**, including, where appropriate, border controls, and of police and customs transborder cooperation.

Level of obligation: mandatory

Has your country taken measure(s) to implement the provision under review?

() Yes () Yes, in part () No () Information not available

Please provide an account of your country's efforts to date to implement the provision under review.

Please cite and attach the applicable policy or policies, law(s) and/or other measure(s).

Please cite the text(s).

Please attach the text(s).

If available, please attach the text(s) in any (other) official language(s) of the United Nations (Arabic, Chinese, English, French, Russian and/or Spanish).

Please outline the steps or action (and related time frame) that domestic or other authorities would need to take to ensure the implementation of the provision under review.

In order to fully implement the provision under review, [AUTHORITY (OR AUTHORITIES)] would need to take/adopt the following steps or measures:

1. [XXX, to be taken/adopted by DATE]
2. [XXX, to be taken/adopted by DATE]
3. [XXX, to be taken/adopted by DATE]

Please provide an account of your country's efforts to date to implement the provision under review.

Since its [SIGNATURE OF/ADHERENCE TO] the Protocol, [COUNTRY] has taken the following steps to implement the provision under review:

1. [XXX]
2. [XXX]
3. [XXX]

These steps have proven to be [FULLY SUCCESSFUL/PARTLY SUCCESSFUL/NOT SUCCESSFUL] due to [REASON].

Please outline the steps or action (and related time frame) that domestic or other authorities would need to take to ensure full compliance with the provision under review.

In order to ensure full compliance with the provision under review, [AUTHORITY (OR AUTHORITIES)] would need to take/adopt the following steps or measures:

1. [XXX, to be taken/adopted by DATE]
2. [XXX, to be taken/adopted by DATE]
3. [XXX, to be taken/adopted by DATE]

Technical Assistance

Please describe challenges your country has faced in practice in the implementation of the article under review. (Check all the answers that apply and provide an explanation in the "Comments" field.)

(NONE) None

(JUDG) Judges unfamiliar with and/or misinterpreting the Protocol

(FORMUL) Problems with the formulation of legislation

(INAD) Need for further implementing legislation (laws, regulations, decrees etc.)

(RELUC) Reluctance of practitioners to use legislation

(DISSEM) Insufficient dissemination of legislation

(INTACO) Inter-agency coordination

(MYSYS) Specificities in our legal system

(COMPRI) Competing priorities

(LIRIM) Limited resources for implementation (please specify)

(LICOS) Limited or no cooperation from other States

(AWARE) Lack of awareness

(ISSUE) Other issues (please specify)

Is your country already providing technical assistance?

Yes No

To whom is your country providing such assistance?

[DONOR X] has assisted/is assisting [MEMBER STATE] by providing [e.g. LEGAL EXPERTISE] and [e.g. BEST PRACTICES].

[INSTITUTION/ORGANIZATION Y] has developed/is developing an action plan for implementation, together with the authorities of [MEMBER STATE].

If such assistance is provided in the context of a programme or project, please provide a description of that programme or project and attach the relevant document(s).

Programme/project title:

Starting date:

Expected duration:

Financially supported by:

Budget (US\$):

Implemented by:

Expected final outcome(s):

Outcome(s) to date:

Does your country require technical assistance for the (full) implementation of this article?

Yes No

Which of the following forms of technical assistance, if available, would assist your country in (fully) implementing this article? (Check all the answers that apply.)

(ADV) Legal advice

(DRAFT) Legislative drafting support

(MOLEG) Model legislation/regulation(s)

(MOARR) Model agreement(s)

(SOP) Standard operating procedures

(PLAN) Development of strategies/policies, including action plans

(BEST) Good practices/lessons learned

(CAPB) Capacity-building through the training of prosecutors/practitioners and/or the training of trainers

(CAPJ) Capacity-building through awareness-raising among the judiciary

(EXREL) On-site assistance by a relevant expert

(INSTB) Institution-building/strengthening

(PREV) Prevention/awareness-raising

(TECH) Technological assistance

(MSHAR) Establishment of a database

(REGCOP) Measures to enhance regional cooperation

(INTCOP) Measures to enhance international cooperation

(OTHER) Other assistance (please specify)

Is your country already receiving technical assistance in these areas?

Yes Yes, in part No Information not available

Who provides such assistance?

[DONOR X] has assisted/is assisting [MEMBER STATE] by providing [e.g. LEGAL EXPERTISE] and [e.g. BEST PRACTICES].

[INSTITUTION/ORGANIZATION Y] has developed/is developing an action plan for implementation, together with the authorities of [MEMBER STATE].

If such assistance is provided in the context of a programme or project, please provide a description of that programme or project and attach the relevant document(s).

Programme/project title:

Starting date:

Expected duration:

Financially supported by:

Budget (US\$):

Implemented by:

Expected final outcome(s):

Outcome(s) to date:

Paragraph 1 of article 12

1. Without prejudice to articles 27 and 28 of the Convention, States Parties shall exchange among themselves, consistent with their respective domestic legal and administrative systems, relevant case-specific
--

information on matters such as authorized producers, dealers, importers, exporters and, whenever possible, carriers of firearms, their parts and components and ammunition.

Level of obligation: mandatory

Has your country adopted measure(s) to implement the provision under review?

Yes Yes, in part No Information not available

Please cite and attach the applicable policy or policies, law(s) and/or other measure(s).

Please cite the text(s).

Please attach the text(s).

If available, please attach the text(s) in any (other) official language(s) of the United Nations (Arabic, Chinese, English, French, Russian and/or Spanish).

Please outline the steps or action (and related time frame) that domestic or other authorities would need to take to ensure the implementation of the provision under review.

In order to fully implement the provision under review, [AUTHORITY (OR AUTHORITIES)] would need to take/adopt the following steps or measures:

1. [XXX, to be taken/adopted by DATE]
2. [XXX, to be taken/adopted by DATE]
3. [XXX, to be taken/adopted by DATE]

Please provide an account of your country's efforts to date to implement the provision under review.

Since its [SIGNATURE OF/ADHERENCE TO] the Protocol, [COUNTRY] has taken the following steps to implement the provision under review:

1. [XXX]
2. [XXX]
3. [XXX]

These steps have proven to be [FULLY SUCCESSFUL/PARTLY SUCCESSFUL/NOT SUCCESSFUL] due to [REASON].

Please outline the steps or action (and related time frame) that domestic or other authorities would need to take to ensure full compliance with the provision under review.

In order to ensure full compliance with the provision under review, [AUTHORITY (OR AUTHORITIES)] would need to take/adopt the following steps or measures:

-
1. [XXX, to be taken/adopted by DATE]
 2. [XXX, to be taken/adopted by DATE]
 3. [XXX, to be taken/adopted by DATE]

Please provide examples of the successful implementation of measures adopted to comply with the article under review and related court or other cases.

Paragraphs 2 (a)–(d) of article 12

2. Without prejudice to articles 27 and 28 of the Convention, States Parties shall exchange among themselves, consistent with their respective domestic legal and administrative systems, relevant information on matters such as:

- (a) Organized criminal groups known to take part or suspected of taking part in the illicit manufacturing of or trafficking in firearms, their parts and components and ammunition;
- (b) The means of concealment used in the illicit manufacturing of or trafficking in firearms, their parts and components and ammunition and ways of detecting them;
- (c) Methods and means, points of dispatch and destination and routes customarily used by organized criminal groups engaged in illicit trafficking in firearms, their parts and components and ammunition; and
- (d) Legislative experiences and practices and measures to prevent, combat and eradicate the illicit manufacturing of and trafficking in firearms, their parts and components and ammunition.

Level of obligation: mandatory

Has your country adopted measure(s) to implement the provision under review?

Yes Yes, in part No Information not available

Please cite and attach the applicable policy or policies, law(s) and/or other measure(s).

Please cite the text(s).

Please attach the text(s).

If available, please attach the text(s) in any (other) official language(s) of the United Nations (Arabic, Chinese, English, French, Russian and/or Spanish).

Please provide information on the matters with regard to which your country shares information with other States parties and the agencies involved therein.

Please outline the steps or action (and related time frame) that domestic or other authorities would need to take to ensure the implementation of the provision under review.

In order to fully implement the provision under review, [AUTHORITY (OR AUTHORITIES)] would need to take/adopt the following steps or measures:

-
1. [XXX, to be taken/adopted by DATE]
 2. [XXX, to be taken/adopted by DATE]
 3. [XXX, to be taken/adopted by DATE]

Please provide an account of your country's efforts to date to implement the provision under review.

Since its [SIGNATURE OF/ADHERENCE TO] the Protocol, [COUNTRY] has taken the following steps to implement the provision under review:

1. [XXX]
2. [XXX]
3. [XXX]

These steps have proven to be [FULLY SUCCESSFUL/PARTLY SUCCESSFUL/NOT SUCCESSFUL] due to [REASON].

Please outline the steps or action (and related time frame) that domestic or other authorities would need to take to ensure full compliance with the provision under review.

In order to ensure full compliance with the provision under review, [AUTHORITY (OR AUTHORITIES)] would need to take/adopt the following steps or measures:

1. [XXX, to be taken/adopted by DATE]
2. [XXX, to be taken/adopted by DATE]
3. [XXX, to be taken/adopted by DATE]

Paragraph 3 of article 12

3. States Parties shall provide to or share with each other, as appropriate, relevant scientific and technological information useful to law enforcement authorities in order to enhance each other's abilities to prevent, detect and investigate the illicit manufacturing of and trafficking in firearms, their parts and components and ammunition and to prosecute the persons involved in those illicit activities.
--

Level of obligation: mandatory

Has your country adopted measure(s) to implement the provision under review?

() Yes () Yes, in part () No () Information not available

Please cite and attach the applicable policy or policies, law(s) and/or other measure(s).

Please cite the text(s).

Please attach the text(s).

If available, please attach the text(s) in any (other) official language(s) of the United Nations (Arabic, Chinese, English, French, Russian and/or Spanish).

Please outline the steps or action (and related time frame) that domestic or other authorities would need to take to ensure the implementation of the provision under review.

In order to fully implement the provision under review, [AUTHORITY (OR AUTHORITIES)] would need to take/adopt the following steps or measures:

1. [XXX, to be taken/adopted by DATE]
2. [XXX, to be taken/adopted by DATE]
3. [XXX, to be taken/adopted by DATE]

Please provide an account of your country's efforts to date to implement the provision under review.

Since its [SIGNATURE OF/ADHERENCE TO] the Protocol, [COUNTRY] has taken the following steps to implement the provision under review:

1. [XXX]
2. [XXX]
3. [XXX]

These steps have proven to be [FULLY SUCCESSFUL/PARTLY SUCCESSFUL/NOT SUCCESSFUL] due to [REASON].

Please outline the steps or action (and related time frame) that domestic or other authorities would need to take to ensure full compliance with the provision under review.

In order to ensure full compliance with the provision under review, [AUTHORITY (OR AUTHORITIES)] would need to take/adopt the following steps or measures:

1. [XXX, to be taken/adopted by DATE]
2. [XXX, to be taken/adopted by DATE]
3. [XXX, to be taken/adopted by DATE]

Paragraph 4 of article 12

<p>4. States Parties shall cooperate in the tracing of firearms, their parts and components and ammunition that may have been illicitly manufactured or trafficked. Such cooperation shall include the provision of prompt responses to requests for assistance in tracing such firearms, their parts and components and ammunition, within available means.</p>
--

<p>Level of obligation: mandatory</p>
--

Has your country adopted measure(s) to implement the provision under review?

Yes Yes, in part No Information not available

Please cite and attach the applicable policy or policies, law(s) and/or other measure(s).

Please cite the text(s).

Please attach the text(s).

If available, please attach the text(s) in any (other) official language(s) of the United Nations (Arabic, Chinese, English, French, Russian and/or Spanish).

Please outline the steps or action (and related time frame) that domestic or other authorities would need to take to ensure the implementation of the provision under review.

In order to fully implement the provision under review, [AUTHORITY (OR AUTHORITIES)] would need to take/adopt the following steps or measures:

1. [XXX, to be taken/adopted by DATE]
2. [XXX, to be taken/adopted by DATE]
3. [XXX, to be taken/adopted by DATE]

Please provide an account of your country's efforts to date to implement the provision under review.

Since its [SIGNATURE OF/ADHERENCE TO] the Protocol, [COUNTRY] has taken the following steps to implement the provision under review:

1. [XXX]
2. [XXX]
3. [XXX]

These steps have proven to be [FULLY SUCCESSFUL/PARTLY SUCCESSFUL/NOT SUCCESSFUL] due to [REASON].

Please outline the steps or action (and related time frame) that domestic or other authorities would need to take to ensure full compliance with the provision under review.

In order to ensure full compliance with the provision under review, [AUTHORITY (OR AUTHORITIES)] would need to take/adopt the following steps or measures:

1. [XXX, to be taken/adopted by DATE]
2. [XXX, to be taken/adopted by DATE]
3. [XXX, to be taken/adopted by DATE]

Paragraph 5 of article 12

5. Subject to the basic concepts of its legal system or any international agreements, each State Party shall **guarantee the confidentiality** of and **comply with any restrictions on the use of information that it receives from another State Party pursuant to this article**, including proprietary information pertaining to commercial transactions, if requested to do so by the State Party providing the information. If such confidentiality cannot be maintained, the State Party that provided the information **shall be notified prior to its disclosure**.

Level of obligation: mandatory

Has your country adopted measure(s) to implement the provision under review?

Yes Yes, in part No Information not available

Please cite and attach the applicable policy or policies, law(s) and/or other measure(s).

Please cite the text(s).

Please attach the text(s).

If available, please attach the text(s) in any (other) official language(s) of the United Nations (Arabic, Chinese, English, French, Russian and/or Spanish).

Please outline the steps or action (and related time frame) that domestic or other authorities would need to take to ensure the implementation of the provision under review.

In order to fully implement the provision under review, [AUTHORITY (OR AUTHORITIES)] would need to take/adopt the following steps or measures:

1. [XXX, to be taken/adopted by DATE]
2. [XXX, to be taken/adopted by DATE]
3. [XXX, to be taken/adopted by DATE]

Please provide an account of your country's efforts to date to implement the provision under review.

Since its [SIGNATURE OF/ADHERENCE TO] the Protocol, [COUNTRY] has taken the following steps to implement the provision under review:

1. [XXX]
2. [XXX]
3. [XXX]

These steps have proven to be [FULLY SUCCESSFUL/PARTLY SUCCESSFUL/NOT SUCCESSFUL] due to [REASON].

Please outline the steps or action (and related time frame) that domestic or other authorities would need to take to ensure full compliance with the provision under review.

In order to ensure full compliance with the provision under review, [AUTHORITY (OR AUTHORITIES)] would need to take/adopt the following steps or measures:

1. [XXX, to be taken/adopted by DATE]
2. [XXX, to be taken/adopted by DATE]
3. [XXX, to be taken/adopted by DATE]

Technical Assistance

Please describe challenges your country has faced in practice in the implementation of the article under review. (Check all the answers that apply and provide an explanation in the "Comments" field.)

(NONE) None

(JUDG) Judges unfamiliar with and/or misinterpreting the Protocol

(FORMUL) Problems with the formulation of legislation

(INAD) Need for further implementing legislation (laws, regulations, decrees etc.)

(RELUC) Reluctance of practitioners to use legislation

(DISSEM) Insufficient dissemination of legislation

(INTACO) Inter-agency coordination

(MYSYS) Specificities in our legal system

(COMPRI) Competing priorities

(LIRIM) Limited resources for implementation (please specify)

(LICOS) Limited or no cooperation from other States

(AWARE) Lack of awareness

(ISSUE) Other issues (please specify)

Is your country already providing technical assistance?

() Yes () No

To whom is your country providing such assistance?

[DONOR X] has assisted/is assisting [MEMBER STATE] by providing [e.g. LEGAL EXPERTISE] and [e.g. BEST PRACTICES].

[INSTITUTION/ORGANIZATION Y] has developed/is developing an action plan for implementation, together with the authorities of [MEMBER STATE].

If such assistance is provided in the context of a programme or project, please provide a description of that programme or project and attach the relevant document(s).

Programme/project title:
Starting date:
Expected duration:
Financially supported by:
Budget (US\$):
Implemented by:
Expected final outcome(s):
Outcome(s) to date:

Does your country require technical assistance for the (full) implementation of this article?

Yes No

Which of the following forms of technical assistance, if available, would assist your country in (fully) implementing this article? (Check all the answers that apply.)

(ADV) Legal advice

(DRAFT) Legislative drafting support

(MOLEG) Model legislation/regulation(s)

(MOARR) Model agreement(s)

(SOP) Standard operating procedures

(PLAN) Development of strategies/policies, including action plans

(BEST) Good practices/lessons learned

(CAPB) Capacity-building through the training of prosecutors/practitioners and/or the training of trainers

(CAPJ) Capacity-building through awareness-raising among the judiciary

(EXREL) On-site assistance by a relevant expert

(INSTB) Institution-building/strengthening

(PREV) Prevention/awareness-raising

(TECH) Technological assistance

(MSHAR) Establishment of a database

(REGCOP) Measures to enhance regional cooperation

(INTCOP) Measures to enhance international cooperation

(OTHER) Other assistance (please specify)

Is your country already receiving technical assistance in these areas?

Yes Yes, in part No Information not available

Who provides such assistance?

[DONOR X] has assisted/is assisting [MEMBER STATE] by providing [e.g. LEGAL EXPERTISE] and [e.g. BEST PRACTICES].

[INSTITUTION/ORGANIZATION Y] has developed/is developing an action plan for implementation, together with the authorities of [MEMBER STATE].

If such assistance is provided in the context of a programme or project, please provide a description of that programme or project and attach the relevant document(s).

Programme/project title:

Starting date:

Expected duration:

Financially supported by:

Budget (US\$):

Implemented by:

Expected final outcome(s):

Outcome(s) to date:

Paragraph 1 of article 13

1. States Parties shall cooperate at the bilateral, regional and international levels to prevent, combat and eradicate the illicit manufacturing of and trafficking in firearms, their parts and components and ammunition.
--

Level of obligation: mandatory

Has your country adopted measure(s) to implement the provision under review?

() Yes () Yes, in part () No () Information not available

Please cite and attach the applicable policy or policies, law(s) and/or other measure(s).

Please cite the text(s).

Please attach the text(s).

If available, please attach the text(s) in any (other) official language(s) of the United Nations (Arabic, Chinese, English, French, Russian and/or Spanish).

Please outline the steps or action (and related time frame) that domestic or other authorities would need to take to ensure the implementation of the provision under review.

In order to fully implement the provision under review, [AUTHORITY (OR AUTHORITIES)] would need to take/adopt the following steps or measures:

1. [XXX, to be taken/adopted by DATE]

2. [XXX, to be taken/adopted by DATE]

3. [XXX, to be taken/adopted by DATE]

Please provide an account of your country's efforts to date to implement the provision under review.

Since its [SIGNATURE OF/ADHERENCE TO] the Protocol, [COUNTRY] has taken the following steps to implement the provision under review:

1. [XXX]
2. [XXX]
3. [XXX]

These steps have proven to be [FULLY SUCCESSFUL/PARTLY SUCCESSFUL/NOT SUCCESSFUL] due to [REASON].

Please outline the steps or action (and related time frame) that domestic or other authorities would need to take to ensure full compliance with the provision under review.

In order to ensure full compliance with the provision under review, [AUTHORITY (OR AUTHORITIES)] would need to take/adopt the following steps or measures:

1. [XXX, to be taken/adopted by DATE]
2. [XXX, to be taken/adopted by DATE]
3. [XXX, to be taken/adopted by DATE]

Please provide examples of the successful implementation of measures adopted to comply with the article under review and related court or other cases.

Paragraph 2 of article 13

2. Without prejudice to article 18, paragraph 13, of the Convention, each State Party shall identify a national body or a single point of contact to act as liaison between it and other States Parties on matters relating to this Protocol.
--

Level of obligation: mandatory

Has your country adopted measure(s) to implement the provision under review?

() Yes () Yes, in part () No () Information not available

Please cite and attach the applicable policy or policies, law(s) and/or other measure(s).

Please cite the text(s).

Please attach the text(s).

If available, please attach the text(s) in any (other) official language(s) of the United Nations (Arabic, Chinese, English, French, Russian and/or Spanish).

Please provide the contact details of your country's relevant national body (or bodies), including e-mail address(es), website(s), address(es) and telephone and fax number(s).

Please outline the steps or action (and related time frame) that domestic or other authorities would need to take to ensure the implementation of the provision under review.

In order to fully implement the provision under review, [AUTHORITY (OR AUTHORITIES)] would need to take/adopt the following steps or measures:

1. [XXX, to be taken/adopted by DATE]
2. [XXX, to be taken/adopted by DATE]
3. [XXX, to be taken/adopted by DATE]

Please provide an account of your country's efforts to date to implement the provision under review.

Since its [SIGNATURE OF/ADHERENCE TO] the Protocol, [COUNTRY] has taken the following steps to implement the provision under review:

1. [XXX]
2. [XXX]
3. [XXX]

These steps have proven to be [FULLY SUCCESSFUL/PARTLY SUCCESSFUL/NOT SUCCESSFUL] due to [REASON].

Please outline the steps or action (and related time frame) that domestic or other authorities would need to take to ensure full compliance with the provision under review.

In order to ensure full compliance with the provision under review, [AUTHORITY (OR AUTHORITIES)] would need to take/adopt the following steps or measures:

1. [XXX, to be taken/adopted by DATE]
2. [XXX, to be taken/adopted by DATE]
3. [XXX, to be taken/adopted by DATE]

Paragraph 3 of article 13

3. States Parties shall seek the support and cooperation of manufacturers, dealers, importers, exporters, brokers and commercial carriers of firearms, their parts and components and ammunition to prevent and detect the illicit activities referred to in paragraph 1 of this article.

Level of obligation: mandatory

Has your country adopted measure(s) to implement the provision under review?

Yes Yes, in part No Information not available

Please cite and attach the applicable policy or policies, law(s) and/or other measure(s).

Please cite the text(s).

Please attach the text(s).

If available, please attach the text(s) in any (other) official language(s) of the United Nations (Arabic, Chinese, English, French, Russian and/or Spanish).

Please outline the steps or action (and related time frame) that domestic or other authorities would need to take to ensure the implementation of the provision under review.

In order to fully implement the provision under review, [AUTHORITY (OR AUTHORITIES)] would need to take/adopt the following steps or measures:

1. [XXX, to be taken/adopted by DATE]
2. [XXX, to be taken/adopted by DATE]
3. [XXX, to be taken/adopted by DATE]

Please provide an account of your country's efforts to date to implement the provision under review.

Since its [SIGNATURE OF/ADHERENCE TO] the Protocol, [COUNTRY] has taken the following steps to implement the provision under review:

1. [XXX]
2. [XXX]
3. [XXX]

These steps have proven to be [FULLY SUCCESSFUL/PARTLY SUCCESSFUL/NOT SUCCESSFUL] due to [REASON].

Please outline the steps or action (and related time frame) that domestic or other authorities would need to take to ensure full compliance with the provision under review.

In order to ensure full compliance with the provision under review, [AUTHORITY (OR AUTHORITIES)] would need to take/adopt the following steps or measures:

1. [XXX, to be taken/adopted by DATE]
2. [XXX, to be taken/adopted by DATE]
3. [XXX, to be taken/adopted by DATE]

Technical Assistance

Please describe challenges your country has faced in practice in the implementation of the article under review. (Check all the answers that apply and provide an explanation in the "Comments" field.)

(NONE) None

(JUDG) Judges unfamiliar with and/or misinterpreting the Protocol

(FORMUL) Problems with the formulation of legislation

(INAD) Need for further implementing legislation (laws, regulations, decrees etc.)

(RELUC) Reluctance of practitioners to use legislation

(DISSEM) Insufficient dissemination of legislation

(INTACO) Inter-agency coordination

(MYSYS) Specificities in our legal system

(COMPRI) Competing priorities

(LIRIM) Limited resources for implementation (please specify)

(LICOS) Limited or no cooperation from other States

(AWARE) Lack of awareness

(ISSUE) Other issues (please specify)

Is your country already providing technical assistance?

() Yes () No

To whom is your country providing such assistance?

[DONOR X] has assisted/is assisting [MEMBER STATE] by providing [e.g. LEGAL EXPERTISE] and [e.g. BEST PRACTICES].

[INSTITUTION/ORGANIZATION Y] has developed/is developing an action plan for implementation, together with the authorities of [MEMBER STATE].

If such assistance is provided in the context of a programme or project, please provide a description of that programme or project and attach the relevant document(s).

Programme/project title:

Starting date:

Expected duration:

Financially supported by:

Budget (US\$):

Implemented by:

Expected final outcome(s):
Outcome(s) to date:

Does your country require technical assistance for the (full) implementation of this article?

Yes No

Which of the following forms of technical assistance, if available, would assist your country in (fully) implementing this article? (Check all the answers that apply.)

(ADV) Legal advice

(DRAFT) Legislative drafting support

(MOLEG) Model legislation/regulation(s)

(MOARR) Model agreement(s)

(SOP) Standard operating procedures

(PLAN) Development of strategies/policies, including action plans

(BEST) Good practices/lessons learned

(CAPB) Capacity-building through the training of prosecutors/practitioners and/or the training of trainers

(CAPJ) Capacity-building through awareness-raising among the judiciary

(EXREL) On-site assistance by a relevant expert

(INSTB) Institution-building/strengthening

(PREV) Prevention/awareness-raising

(TECH) Technological assistance

(MSHAR) Establishment of a database

(REGCOP) Measures to enhance regional cooperation

(INTCOP) Measures to enhance international cooperation

(OTHER) Other assistance (please specify)

Is your country already receiving technical assistance in these areas?

Yes Yes, in part No Information not available

Who provides such assistance?

[DONOR X] has assisted/is assisting [MEMBER STATE] by providing [e.g. LEGAL EXPERTISE] and [e.g. BEST PRACTICES].

[INSTITUTION/ORGANIZATION Y] has developed/is developing an action plan for implementation, together with the authorities of [MEMBER STATE].

If such assistance is provided in the context of a programme or project, please provide a description of that programme or project and attach the relevant document(s).

Programme/project title:

Starting date:
Expected duration:
Financially supported by:
Budget (US\$):
Implemented by:
Expected final outcome(s):
Outcome(s) to date:

Article 14

States Parties **shall cooperate with each other and with relevant international organizations**, as appropriate, **so that States Parties may receive, upon request, the training and technical assistance necessary** to enhance their ability to prevent, combat and eradicate the illicit manufacturing of and trafficking in firearms, their parts and components and ammunition, including technical, financial and material assistance in those matters identified in articles 29 and 30 of the Convention.

Level of obligation: mandatory

Has your country adopted measure(s) to implement the provision under review?

Yes Yes, in part No Information not available

Please cite and attach the applicable policy or policies, law(s) and/or other measure(s).

Please cite the text(s).

Please attach the text(s).

If available, please attach the text(s) in any (other) official language(s) of the United Nations (Arabic, Chinese, English, French, Russian and/or Spanish).

Please provide examples of the successful implementation of measures adopted to comply with the provision under review and related court or other cases.

The provision under review has been implemented through the following [TRAINING SESSION(S) or SEMINAR(S)]:

[TRAINING SESSION/SEMINAR]: Providing/receiving State and agency, target groups, frequency and curriculum.

Please outline the steps or action (and related time frame) that domestic or other authorities would need to take to ensure the implementation of the provision under review.

In order to fully implement the provision under review, [AUTHORITY (OR AUTHORITIES)] would need to take/adopt the following steps or measures:

1. [XXX, to be taken/adopted by DATE]
2. [XXX, to be taken/adopted by DATE]
3. [XXX, to be taken/adopted by DATE]

Please provide an account of your country's efforts to date to implement the provision under review.

Since its [SIGNATURE OF/ADHERENCE TO] the Protocol, [COUNTRY] has taken the following steps to implement the provision under review:

1. [XXX]
2. [XXX]
3. [XXX]

These steps have proven to be [FULLY SUCCESSFUL/PARTLY SUCCESSFUL/NOT SUCCESSFUL] due to [REASON].

Please outline the steps or action (and related time frame) that domestic or other authorities would need to take to ensure full compliance with the provision under review.

In order to ensure full compliance with the provision under review, [AUTHORITY (OR AUTHORITIES)] would need to take/adopt the following steps or measures:

1. [XXX, to be taken/adopted by DATE]
2. [XXX, to be taken/adopted by DATE]
3. [XXX, to be taken/adopted by DATE]

Paragraphs 1 (a)–(c) of article 15

1. With a view to preventing and combating illicit manufacturing of and trafficking in firearms, their parts and components and ammunition, States Parties that have not yet done so shall consider establishing a system for regulating the activities of those who engage in brokering. Such a system could include one or more measures such as:

- (a) Requiring registration of brokers operating within their territory;
- (b) Requiring licensing or authorization of brokering; or
- (c) Requiring disclosure on import and export licences or authorizations, or accompanying documents, of the names and locations **of brokers involved in the transaction.**

Level of obligation: Consideration

Has your country taken measure(s) to implement the provision under review?

() Yes () Yes, in part () No () Information not available

Please cite and attach the applicable policy or policies, law(s) and/or other measure(s).

Please cite the text(s).

Please attach the text(s).

If available, please attach the text(s) in any (other) official language(s) of the United Nations (Arabic, Chinese, English, French, Russian and/or Spanish).

Please outline the steps or action (and related time frame) that domestic or other authorities would need to take to ensure the implementation of the provision under review.

In order to fully implement the provision under review, [AUTHORITY (OR AUTHORITIES)] would need to take/adopt the following steps or measures:

1. [XXX, to be taken/adopted by DATE]
2. [XXX, to be taken/adopted by DATE]
3. [XXX, to be taken/adopted by DATE]

Please provide an account of your country's efforts to date to implement the provision under review.

Since its [SIGNATURE OF/ADHERENCE TO] the Protocol, [COUNTRY] has taken the following steps to implement the provision under review:

1. [XXX]
2. [XXX]
3. [XXX]

These steps have proven to be [FULLY SUCCESSFUL/PARTLY SUCCESSFUL/NOT SUCCESSFUL] due to [REASON].

Please outline the steps or action (and related time frame) that domestic or other authorities would need to take to ensure full compliance with the provision under review.

In order to ensure full compliance with the provision under review, [AUTHORITY (OR AUTHORITIES)] would need to take/adopt the following steps or measures:

1. [XXX, to be taken/adopted by DATE]
2. [XXX, to be taken/adopted by DATE]
3. [XXX, to be taken/adopted by DATE]

Please provide examples of the successful implementation of measures adopted to comply with the article under review and related court or other cases.

Paragraph 2 of article 15

2. States Parties that have **established a system of authorization regarding brokering** as set forth in paragraph 1 of this article are encouraged to **include information on brokers and brokering in their exchanges of information under article 12** of this Protocol and to retain records regarding brokers and brokering in accordance with article 7 of this Protocol.

Level of obligation: optional

Has your country adopted measure(s) to implement the provision under review?

Yes Yes, in part No Information not available

Please cite and attach the applicable policy or policies, law(s) and/or other measure(s).

Please cite the text(s).

Please attach the text(s).

If available, please attach the text(s) in any (other) official language(s) of the United Nations (Arabic, Chinese, English, French, Russian and/or Spanish).

Please provide an account of your country's efforts to date to implement the provision under review.

Please outline the steps or action (and related time frame) that domestic or other authorities would need to take to ensure the implementation of the provision under review.

In order to fully implement the provision under review, [AUTHORITY (OR AUTHORITIES)] would need to take/adopt the following steps or measures:

1. [XXX, to be taken/adopted by DATE]
2. [XXX, to be taken/adopted by DATE]
3. [XXX, to be taken/adopted by DATE]

Please provide an account of your country's efforts to date to implement the provision under review.

Since its [SIGNATURE OF/ADHERENCE TO] the Protocol, [COUNTRY] has taken the following steps to implement the provision under review:

1. [XXX]
2. [XXX]
3. [XXX]

These steps have proven to be [FULLY SUCCESSFUL/PARTLY SUCCESSFUL/NOT SUCCESSFUL] due to [REASON].

Please outline the steps or action (and related time frame) that domestic or other authorities would need to take to ensure full compliance with the provision under review.

In order to ensure full compliance with the provision under review, [AUTHORITY (OR AUTHORITIES)] would need to take/adopt the following steps or measures:

1. [XXX, to be taken/adopted by DATE]
2. [XXX, to be taken/adopted by DATE]
3. [XXX, to be taken/adopted by DATE]

Technical Assistance

Please describe challenges your country has faced in practice in the implementation of the article under review. (Check all the answers that apply and provide an explanation in the "Comments" field.)

(NONE) None

(JUDG) Judges unfamiliar with and/or misinterpreting the Protocol

(FORMUL) Problems with the formulation of legislation

(INAD) Need for further implementing legislation (laws, regulations, decrees etc.)

(RELUC) Reluctance of practitioners to use legislation

(DISSEM) Insufficient dissemination of legislation

(INTACO) Inter-agency coordination

(MYSYS) Specificities in our legal system

(COMPRI) Competing priorities

(LIRIM) Limited resources for implementation (please specify)

(LICOS) Limited or no cooperation from other States

(AWARE) Lack of awareness

(ISSUE) Other issues (please specify)

Is your country already providing technical assistance?

() Yes () No

To whom is your country providing such assistance?

[DONOR X] has assisted/is assisting [MEMBER STATE] by providing [e.g. LEGAL EXPERTISE] and [e.g. BEST

PRACTICES].

[INSTITUTION/ORGANIZATION Y] has developed/is developing an action plan for implementation, together with the authorities of [MEMBER STATE].

If such assistance is provided in the context of a programme or project, please provide a description of that programme or project and attach the relevant document(s).

Programme/project title:

Starting date:

Expected duration:

Financially supported by:

Budget (US\$):

Implemented by:

Expected final outcome(s):

Outcome(s) to date:

Does your country require technical assistance for the (full) implementation of this article?

Yes No

Which of the following forms of technical assistance, if available, would assist your country in (fully) implementing this article? (Check all the answers that apply.)

(ADV) Legal advice

(DRAFT) Legislative drafting support

(MOLEG) Model legislation/regulation(s)

(MOARR) Model agreement(s)

(SOP) Standard operating procedures

(PLAN) Development of strategies/policies, including action plans

(BEST) Good practices/lessons learned

(CAPB) Capacity-building through the training of prosecutors/practitioners and/or the training of trainers

(CAPJ) Capacity-building through awareness-raising among the judiciary

(EXREL) On-site assistance by a relevant expert

(INSTB) Institution-building/strengthening

(PREV) Prevention/awareness-raising

(TECH) Technological assistance

(MSHAR) Establishment of a database

(REGCOP) Measures to enhance regional cooperation

(INTCOP) Measures to enhance international cooperation

(OTHER) Other assistance (please specify)

Is your country already receiving technical assistance in these areas?

Yes Yes, in part No Information not available

Who provides such assistance?

[DONOR X] has assisted/is assisting [MEMBER STATE] by providing [e.g. LEGAL EXPERTISE] and [e.g. BEST PRACTICES].

[INSTITUTION/ORGANIZATION Y] has developed/is developing an action plan for implementation, together with the authorities of [MEMBER STATE].

If such assistance is provided in the context of a programme or project, please provide a description of that programme or project and attach the relevant document(s).

Programme/project title:

Starting date:

Expected duration:

Financially supported by:

Budget (US\$):

Implemented by:

Expected final outcome(s):

Outcome(s) to date:

B. Other Information

Please provide any other information your country believes is important for the Conference of the Parties to the United Nations Convention against Transnational Organized Crime to consider at this stage regarding aspects of or difficulties in implementing the Protocol other than those mentioned above.