

PROJECT AD/BRA/05/S07

INVITATION NO. 1/2010 – PROJECT SELECTION

The United Nations Office on Drugs and Crime (UNODC) and the General Comptroller Office (Controladoria-Geral da União – CGU) have decided to publish this Call for Proposals for the selection of projects, in the scope of Project AD/BRA/05/S07, and to invite all interested candidates to submit proposals in accordance with the terms and conditions herein established.

1. CONTEXT

Among the institutional objectives of the Secretariat of Corruption Prevention and Strategic Information (Secretaria de Prevenção da Corrupção e Informações Estratégicas – SPCI) of the CGU is enhancement of the instruments indispensable for strengthening the integrity systems of Federal Government bodies, with a view to preventing corruption and reinforcing the Brazilian integrity system.

To accomplish this objective, a number of strategic actions have been formulated, including the production and dissemination of knowledge on the phenomenon of corruption. Of particular note, are the cooperation projects undertaken by the CGU with various institutions for purposes of promoting studies and research on the challenge of corruption, in addition to two workshops with experts and researchers in the field to discuss ways and methods to identify and measure the phenomena.

CGU has endeavored to produce studies and research on corruption measurement, in recognition that a full understanding of corruption is required to confront the problem effectively.

Beginning in the 1990s, corruption measurement tools were developed and indicators applied to measure corruption in various countries. These included: Transparency International's 'Corruption Perception Index'; the World Bank's 'Good Governance Indicators' and Global Integrity's 'Global Integrity Index'.

However, while measuring corruption is clearly not an easy task, methodologies that oversimplify the phenomena through the exclusive adoption of perception criteria designed to gauge increases or decreases in corruption in different countries does not offer the most appropriate alternative in the quest for reliable information to effectively understand and confront the problem.

In this light, many of the indicators currently used to measure corruption levels have been the subject of intensified scrutiny and criticism. The primary concern regards the excessive subjectivity of the research surveys. For rather than examining actual existing corruption levels in different countries, the studies have tended to center on opinions and perceptions on the occurrence of corruption. To be sure, this approach is susceptible to a number of unpredictable factors, including the prevailing socioeconomic and cultural setting as well as the timing of disclosures of corruption occurring concurrently with or shortly prior to the related surveys. In other words, stepped-up anticorruption

efforts and the corresponding recurring news coverage generated by the identification and investigation of corruption cases can serve to trigger the false perception that the phenomenon is on the rise when, in fact, it is the investigation and disclosure of illicit activities that has expanded.

Another problem with many of the related surveys and indexes derives from the common failure of assessments performed abroad to account for the specific legal, political, geographic, economic, and social context of a country, potentially resulting in inaccurate analyses.

With this in mind, and with a view to expanding knowledge on corruption measurement methodologies, including their virtues, weaknesses and deficiencies, and identifying possible improvements to corruption assessment procedures, the present Call for Proposals is aimed at selecting studies on the subject matter, in the manner described below.

2. OBJECTIVE

Development of an in-depth study on existing corruption measurement methodologies, including recommendations to enhance, or proposals to develop new, assessment methods or procedures.

The Brazilian case will serve as the reference source for purposes of verifying the applicability of the recommendations or proposals submitted by the selected projects.

3. ELEGIBILITY

Study projects submitted by national or foreign natural or legal persons with proven knowledge and experience in the subject matter are eligible to submit proposals.

Candidates who are natural persons will hold a Ph.D. and present published academic or professional work related to the subject matter set forth in this Call for Proposals.

In the case of candidates who are legal persons or project proposals submitted by research teams, the requirements set forth above will be fulfilled by at least the project coordinator.

4. QUALIFICATION

All candidates interested in participating in the selection process will submit the following additional documents:

I – Draft study of the proposal clearly stating the subject, method, and objectives of the research.

II – Curriculum Vitae, including email address, telephone number, certificate or other document demonstrating conferral of a Ph.D. degree. Legal persons will submit the documentation above in specific reference to the project coordinator, as well as Civil Register of Legal Person, Bylaw, and change in the bylaw if it is the case. Natural and legal persons alike will present documentation demonstrating fulfillment as to the

requirement regarding published academic and/or professional work prescribed in abovementioned item 3

III – Project execution schedule indicating the specific dates of product deliveries, in accordance with the disbursement schedule below. The projects must be executed within a period of eight (8) months from signing of the contract.

IV – Detailed costing of the project, setting out the planned actions to be executed with the financial resources made available for each project stage, to which end the schedule of disbursements and product delivery deadlines indicated below will be observed.

The project proposal may be submitted in Portuguese or English and the corresponding amounts expressed in US dollars or Brazilian *reais*, in accordance with the limits provided for in item 6, as per the individual case.

The project proposal will be signed by the contracted party. In the case of legal persons or research teams, the project proposal will be signed by the project coordinator and by the legal representative of the proposing institution, as per the individual case.

The proposal submissions will fully and strictly comply with the requirements in this Call for Proposals. Failure to fulfill any of the requirements provided for in this Call for Proposals will result in disqualification of the proposal.

5. PROPOSAL SELECTION CRITERIA

Proposals will be selected based on the following criteria:

Criterion I – Appropriateness to the subject matter and proposed guidelines;

Criterion II – Methodological efficiency and strategy;

Criterion III – Experience of the researchers with the specific subject matter;

Criterion IV – Level of knowledge and command of the issue and problem as reflected in the proposal submission.

Proposals will be evaluated in accordance with the above criteria and ranked in decreasing order. The maximum amount of points that can be reached in the evaluation is a hundred (100) points.

The proposal with the highest score will be supported. In case of budget's availability, CGU and UNODC may support more than one proposal.

6. FINANCIAL SUPPORT TO THE PROJECT AND DISBURSEMENT SCHEDULE

The financial resources authorized from Project AD/BRA/05/S07 signed between CGU and UNDOC will be applied for purposes of implementing the selected projects.

Financial support in the amount of up to R\$ One hundred and fifty one thousand and thirty hundred reais (R\$ 151.300,00) or eighty thousand US dollars (US\$ 80,000.00) will be provided to the selected project(s). Said amount will be disbursed as per the

The financial support from the present Call for proposals should not be used for payment of taxes or fess. Eventual fees or taxes should be paid by the contracted party with its own resources and will be consider as counterpart.

INSTALLMENT	PERCENT DISBURSED	DEADLINE
1 st installment: following signing of the contract	30%	-
2 nd installment: following submission of the Study Report on corruption measurement methodologies	30%	4 months after the signing of the contract
3 rd installment: following submission of the Study Report with recommendations to enhance existing methodologies or propose the development of new corruption assessment methods or procedures.	40%	8 months after the signing of the contract

7. PRODUCT DELIVERY AND ACCOUNTABILITY

Upon conclusion of each project stage, the contracted party will submit a study report in hard copy and electronic format (CD-ROM or DVD) on the project execution in the respective stage.

The contracted party must submit financial statements and records for the expenditures executed of at least 80% (eighty per cent) of the received resources on the previous installments, in conformity with the project proposal.

After thirty (30) days from the payment of the last installment, the contracted party must present a final financial statements and records for all the expenditures executed, in conformity with the project proposal. Eventually if is there any credit left, the amount should be returned to Project AD/BRA/05/S07 bank account.

8. DEADLINE AND LOCATION FOR PROPOSAL DELIVERY/SUBMISSION

Project proposals must be submitted by **6:00 p.m. on 02/12/2010** to the following email address: unodc.brasil@unodc.org, with the following title at the subject field: "Project AD/BRA/05/S07 – Call for Proposals 01/2010 – Development of a Detailed Study on Existing Corruption Measurement Methodologies."

Only project proposals submitted electronically, in PDF format and with the signature in accordance with item 4 will be accepted.

9. EVALUATION OF PROJECT PROPOSALS

Analysis of the submitted proposals and documentation will be performed by an evaluation committee composed of professionals and/or experts on the thematic of this Call for proposals indicated by CGU and UNODC.

Evaluations will be based on a comparative analysis, in accordance with the criteria established in item 5 of this Call for Proposals.

10. PUBLICATION OF RESULTS

The results of the selection process will be published on UNODC's site (<http://www.unodc.org>) and CGU's site (<http://www.cgu.gov.br>).

Publication of the results of the selection process will not be construed as granting any right to CGU and/or UNODC financial and technical support. Such right will be contingent on signing of the respective contract.

11. CONTRACT SIGNING

For purposes of contract signing, the contracted party will open a specific bank account for the respective financial deposits.

Failure to present or identification of irregularities in any of the documentation required on this Call for Proposals will prevent signing of the contract and authorize CGU and UNODC to select the candidate(s) with the next highest ranked proposal(s).

12. GENERAL PROVISIONS

This Call for Proposals may be revoked or annulled, in whole or in part, at any time by UNODC or CGU, without the right to compensation or claims of any nature.

Following submission of the proposal, the presentation of substitute proposals will be prohibited and result in the disqualification of the proposal or termination of the contract. In the case of legal persons, substitution of the proposing institution and/or project coordinator will be prohibited and result in the penalties set forth above.

Following signing of the contract, amendments thereto may only be executed with the prior consent of CGU and UNODC.

The documents required for purposes of this Call for Proposals refer to national institutions and natural persons. In the event foreign institutions or natural persons are selected, CGU and UNODC reserve the right to require documentation equivalent to that submitted by national institutions or natural persons.

13. CLARIFICATIONS

Any clarifications or additional information will be referred exclusively in writing to the email address unodc.brasil@unodc.org, and include in the subject field: **“Project BRA/05/S07 – Call for Proposals 01/2010 – Development of a Detailed Study on Existing Corruption Measurement Methodologies.”**