Conduct of the reviews:

How do they really work in practice?
• Introduction: The Implementation Review Mechanism- how it works in practice
• Phases of the Review
• Documents and tools for the Review Mechanism
• Timelines
• The outcome of the review process
• Introduction to the mock review
How it works in practice

• Peer review process
• Drawing of lots for each year of the review cycle
• One phase = two cycles of five years (2010-2015; 2016-2021)
  ✓ First cycle: Chapters III (Criminalization and law enforcement); and IV (International cooperation)
  ✓ Second cycle: Chapters II (Preventive measures); and V (Asset recovery)
Phases of the Review

1) Self-assessment
2) Desk review
3) Dialogue between State under review and reviewing States
4) Direct dialogue (country visit or joint meeting)
5) Final review report and executive summary

What is the timeframe?
Role of the Secretariat
Documents and tools for the Review Mechanism

- Self-assessment checklist
- UNCAC
- COSP resolutions
- Terms of Reference of the Review Mechanism
- Guidelines for Governmental experts and the Secretariat
- Blueprint for country review reports and executive summaries
- Guidance document for filling in self-assessment checklist

Executive Summaries and other documents available on UNODC Website:
Levels of obligation

1. Mandatory provisions
   Each State Party shall disallow the tax deductibility of expenses that constitute bribes […] (art. 12, para. 4)

2. Obligation to consider/endeavour to adopt
   Each State Party shall consider taking, in accordance with the fundamental principles of its domestic law, disciplinary or other measures against public officials who violate the codes […] (art. 8, para. 6)

3. Optional provisions
   Where appropriate, States Parties may also give special consideration to concluding agreements or mutually acceptable arrangements, on a case-by-case basis, for the final disposal of confiscated property (art. 57, para. 5).
Module schedule for country reviews – Start: 4 July 2016

Within 3 weeks (25 July)
- State under review nominates focal point
- Deadline for reviewing expert nominations: 18 July

Within 1 month (4 August)
- Secretariat organizes tele/videoconference:
  - Submission of SACL
  - Language of review
  - Division of labour
  - Active dialogue

Within 2 months (4 September)
- State under review submits self-assessment

Preferably within 5 months (4 December)
- Secretariat circulates checklist to reviewing experts, after translation
- Reviewing experts submit outcome of the desk review

Within 1 month (4 October)
- Secretariat organizes tele/video conference for introduction of outcome of the desk review

Within 1 month (4 November)
- State under review organizes direct dialogue, facilitated by the secretariat (joint meeting or country visit)

Dialogue: up to 2 months (until 4 January 2017)
- Reviewers prepare draft country report assisted by secretariat, State under review agrees to final report, executive summary is prepared

End of review
Blueprint of country review reports

(a) Summary of information relevant to reviewing the implementation of the article

(b) Observations on the implementation of the article

(c) Successes and good practices

(d) Challenges, where applicable

(e) Technical assistance needs
Introduction to the training exercise simulating the review:

- Chapter II – Article 6
- Chapter V – Article 51

Objective:
Opportunity to participate in a mock review
Report back on observations and any issues

Division of Groups