



Stolen Asset Recovery Initiative  
The World Bank • UNODC

@returningassets  
star.worldbank.org

Shervin Majlessi  
Deputy Coordinator, StAR

**Barriers**

- Lack of Asset Recovery Policy
- Legal impediments
- Institutional & operational limitations
- Communication and Trust

**Solutions**

- Domestic
- Transnational collaborative processes

**LAUSANNE GUIDELINES FOR EFFICIENT RECOVERY OF STOLEN ASSETS**

1. Insufficient resources, dedicated staff  
 2. No capacity, needs assessment or development plan  
 3. No clear targets and accountability for staff  
 4. Lack of coordination arrangements for staff  
 5. Opaqueness of the management and poorly recovered assets

1. Inefficient asset tracing & freezing powers  
 2. No comprehensive process of crime legislation including asset forfeiture provisions, Mutual Legal Assistance (MLA) or use of Mutual Asset Recovery (MAR)  
 3. No coordination between agencies involved  
 4. No resources, expertise and skills  
 5. Obstacles to asset tracing (quick access to financial companies, property info)  
 6. Poor MLA requests and no pre-MLA consultation

1. Limited contacts among practitioners across jurisdictions  
 2. Inconsistent use of redworking opportunities  
 3. Lack of political responsiveness and consistency

1. POLICY  
 2. INSTITUTIONAL  
 3. OPERATIONAL  
 4. COLLABORATIVE

1. DOMESTIC  
 2. TRANSNATIONAL  
 3. COLLABORATIVE



In sum:

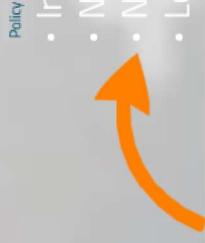


Lack of Asset Recovery  
 Policy

Legal impediments

Institutional &  
 operational limitations

Communication and Trust



Policy

- Insufficient resources dedicated to AR
- No capacity needs assessment or development plan
- No clear targets and accountability for AR
- Lack of coordination arrangements for AR
- Opacity in the management and use of recovered assets

Legal

- Inadequate tracing & freezing powers
- No comprehensive proceeds of crime legislation (including value-based confiscation, Non-Conviction Based Forfeiture
- No use of UNCAC for international cooperation

Institutional

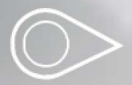
- No coordination between agencies involved
- No resources, capacities and skills
- No specialized and dedicated units
- Obstacles to asset tracing (quick access to financial, corporate, property info
- Poor MLA requests and no pre-MLA consultation

communications

- Limited contacts among practitioners across jurisdictions
- Inconsistent use of networking opportunities
- Lack of patience, responsiveness and consistency

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# Domestic



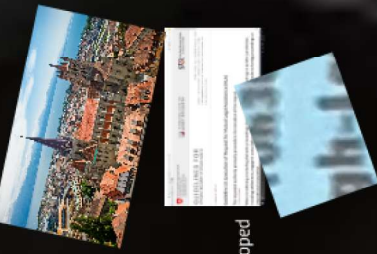
Policy  
legal  
institutional  
operational

Solutions



# Transnational

Collaborative  
process



## Lausanne Process

Seminars since 2010 bringing together practitioners from requested and requesting countries

Lausanne VIII in 2014: Guidelines for the Efficient Recovery of Stolen Assets.

Lausanne IX & X in 2016 & 2017: developed steps of action & online tool

Presented to UNCAC Conference of the States Parties



[guidelines.assetrecovery.org](http://guidelines.assetrecovery.org)

a set of international good practices intended to enhance the effectiveness and efficiency of requesting and requested states in the asset recovery process.

## LAUSANNE GUIDELINES

intelligence and pre-investigation activities (GL 1/2) investigation (GL 3/5/7/8) communication (GL 6) international cooperation (GL 4/9/10)

- 1: PRELIMINARY REVIEW
- 2: RESTRAINING ASSETS
- 3: INVESTIGATION
- 4: TIMING
- 5: LEGAL REQUIREMENTS
- 6: CONTACTS
- 7: COMMUNICATION
- 8: PARALLEL INVESTIGATION
- 9: DRAFT REQUEST FORM LA
- 10: EXECUTION OF REQUEST FOR MLA



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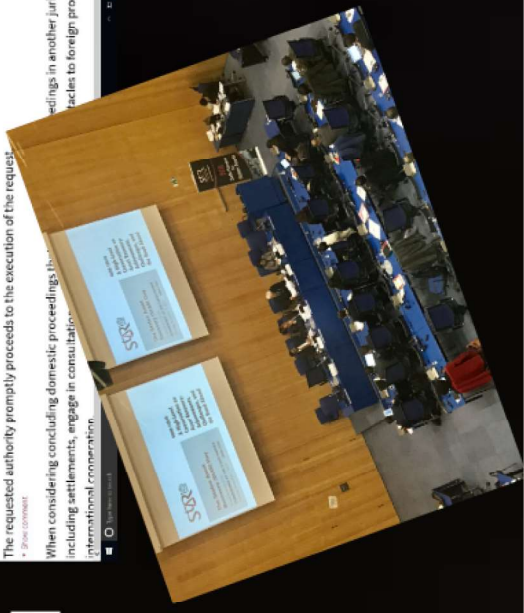
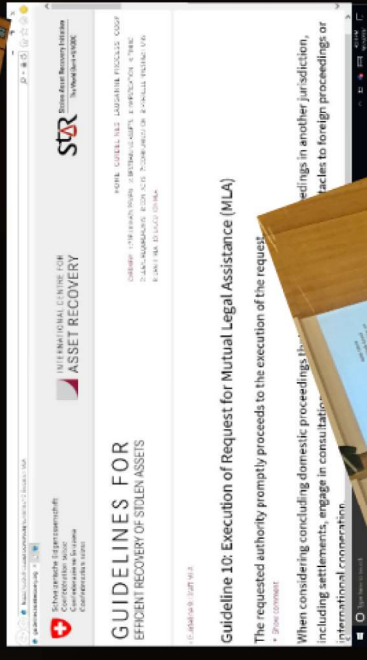
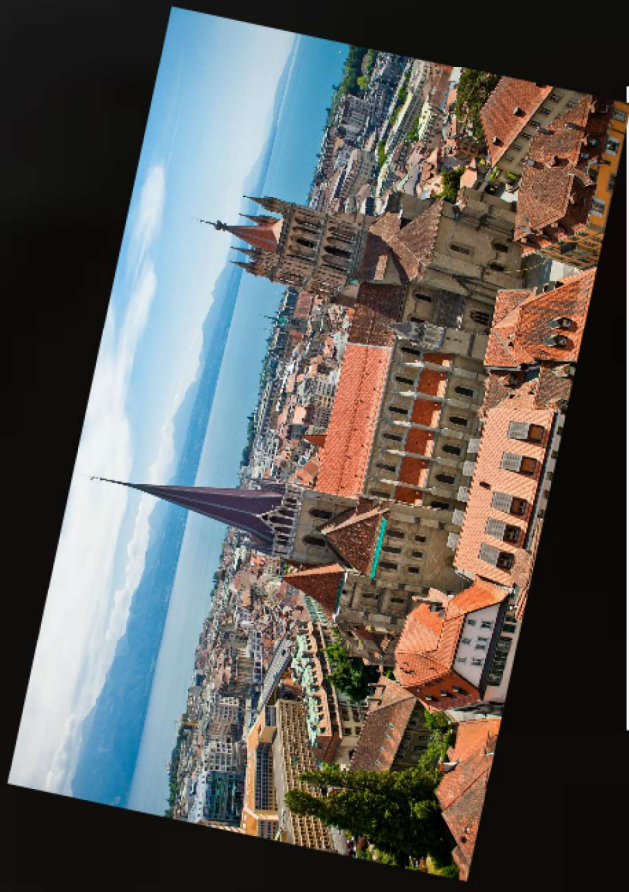
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