The Revised UN-CTS and the ICCS

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UNODC

- Worldwide annual data collection sent to UN Member States
- Started in 1977, following a resolution of the General Assembly (GA Res. 3021, 1972) - Initially every 5 years, then every 3, 2 and since 2009 annually
- 19 UN-CTS waves so far (UN-CTS 2017 sent out in September 2017)
- Network of around 130 Focal Points
- CTS 2016: 100 responses
United Nations Crime Trend Survey (UN-CTS)

- Electronic tool
- Filling in data and submission in MS Excel
- Available in 6 languages (Arabic, Chinese, English, French, Russian, Spanish)

- The annual UN-CTS asks data from 5 institutions:
  - Police
  - Prosecution
  - Courts
  - Prisons
  - NSOs - Victimization surveys
UN-CTS: The data collection process

National focal point

PM’s at UNODC

MFA

Police
Prosecutor
Courts
Prisons
NSO
UN-CTS Focal Point

- Appointed by each Member State

- Role: technical point of contact with UNODC regarding the compilation of the questionnaire
  - Ensure a timely, accurate, complete response
  - Whenever needed can ask UNODC for clarifications

- A national institution, ministry, office or agency with responsibility in the production of statistical data on crime and criminal justice
  - The focal point is an institution having the technical knowledge to properly collect data from all relevant institutions and the institutional role to ensure their cooperation
UN-CTS Data uses

- ECOSOC, CCPCJ reports

Source: UN CTS
Statistical reports and analysis
Dissemination of data

- **UNODC Website**
  - UNODC DATA Portal: [https://data.unodc.org/](https://data.unodc.org/)
UN-CTS 2017 Review Process

- Major revision of the UN-CTS decided as part of the ICCS implementation plan 2015
- Guided by decisions at First Global Meeting of Focal Points in May 2016

Objectives

1. **Align the questionnaire with the ICCS, implementing its analytical tools;**
2. **Respond to data needs deriving from the Sustainable Development Goals (SDGs) in the areas of crime, violence, justice and the rule of law under UNODC mandate;**
3. **Respond to emerging data needs at national and international level;**
4. **Avoid duplication of data collections in existing data collection instruments.**
May 2016
Global Expert Meeting

December 2016 First Draft finalized

January – February 2017 Consultation with Experts

March 2017 First Draft disseminated to all CTS Focal Points

May 2017 Second Draft disseminated to all CTS Focal Points

June 2017 Testing and mapping of UN-CTS 2017

September 2017 Finalize and send UN-CTS 2017
## Old vs new variables

<table>
<thead>
<tr>
<th>UN-CTS version</th>
<th>Variables</th>
<th>Disaggregation</th>
<th>Data points</th>
</tr>
</thead>
<tbody>
<tr>
<td>UN-CTS 2016</td>
<td>40</td>
<td>68</td>
<td>223</td>
</tr>
<tr>
<td>Global Meeting May 2016</td>
<td>67</td>
<td>Over 90</td>
<td>489</td>
</tr>
<tr>
<td>UN—TS 2017</td>
<td>59</td>
<td>84</td>
<td>250 (avg. per year)</td>
</tr>
</tbody>
</table>
**UN-CTS 2017 Structure**

- Aligned with ICCS categories and use of disaggregating variables

<table>
<thead>
<tr>
<th>ICCS LEVEL 1 CATEGORIES</th>
</tr>
</thead>
<tbody>
<tr>
<td>01 Acts leading to death or intending to cause death</td>
</tr>
<tr>
<td>02 Acts leading to harm or intending to cause harm to the person</td>
</tr>
<tr>
<td>03 Injurious acts of a sexual nature</td>
</tr>
<tr>
<td>04 Acts against property involving violence or threat against a person</td>
</tr>
<tr>
<td>05 Acts against property only</td>
</tr>
<tr>
<td>06 Acts involving controlled psychoactive substances or other drugs</td>
</tr>
<tr>
<td>07 Acts involving fraud, deception or corruption</td>
</tr>
<tr>
<td>08 Acts against public order, authority and provisions of the State</td>
</tr>
<tr>
<td>09 Acts against public safety and state security</td>
</tr>
<tr>
<td>10 Acts against the natural environment</td>
</tr>
<tr>
<td>11 Other criminal acts not elsewhere classified</td>
</tr>
</tbody>
</table>

<table>
<thead>
<tr>
<th>EVENT DISAGGREGATIONS</th>
<th>VICTIM DISAGGREGATIONS</th>
<th>PERPETRATOR DISAGGREGATIONS</th>
</tr>
</thead>
<tbody>
<tr>
<td>At – Attempted/Completed</td>
<td>SV – Sex of victim</td>
<td>SP – Sex of perpetrator</td>
</tr>
<tr>
<td>We – Type of weapon used</td>
<td>AV – Age of victim</td>
<td>AP – Age of perpetrator</td>
</tr>
<tr>
<td>SiC – Situational context</td>
<td>ViP – Victim-perpetrator relationship</td>
<td>STP – Age status of perpetrator (minor/adult)</td>
</tr>
<tr>
<td>Geo – Geographic location</td>
<td>Cit – Citizenship</td>
<td>ViP – Victim-perpetrator relationship</td>
</tr>
<tr>
<td>Cy – Cybercrime related</td>
<td>Cit – Citizenship</td>
<td>Cit – Citizenship</td>
</tr>
<tr>
<td></td>
<td></td>
<td>Rec – Recidivist status of perpetrator</td>
</tr>
</tbody>
</table>
UN-CTS 2017 Outline

1. ICCS 01  Intentional homicide
2. ICCS 02 – 04  Other Violent Crimes (8-10 crimes)
3. ICCS 05 – 11  Other Crimes (13-15 crimes)

4. Criminal Justice System Process (*legal representation, ICCS matrix, etc.*)
5. Prisons (*unsentenced, deaths in prison, etc.*)
6. Criminal Justice System Personnel (requested every other year)

7. Victimization Survey (*SDG indicators: prevalence of crimes, crime reporting rate, bribery prevalence*)
**UN-CTS 2017 New variables**

### Violent crime (victims/offences)
- Serious assault by ViP relationship and sex
- Sexual violence by ViP relationship and sex
- Sexual exploitation by sex and age
- Child pornography (+ Cy)
- Acts inducing fear or emotional distress (+ Cy)
- Victimization surveys: SDG indicators for Goals 5, 11 and 16

### Other types of crime relevant at international level (offences)
- Drug-related offences
- Corruption
- Fraud (+ Cy)
- Money-laundering
- Smuggling of migrant offences
- Trafficking of weapons and explosives
- Cyber-crime by type
- Environmental crime by type

### Functioning of criminal justice system and its effectiveness
- Total persons in formal contact, prosecuted, convicted and imprisoned – by sex, age status and citizenship – by ICCS level 01 and by selected crimes
- Legal representation
- Police and prison staff by function
- Alternative types of supervision
**UN-CTS 2017 Other new additions**

- Definitions and (revised) metadata on separate pages
- Guidelines for preferred counting units in specific data sections of the UN-CTS

<table>
<thead>
<tr>
<th>Counting Units</th>
<th>UN-CTS Section</th>
<th>Description</th>
<th>Rules</th>
</tr>
</thead>
<tbody>
<tr>
<td><strong>OFFENCE</strong></td>
<td>1.1</td>
<td>Theft of a motorized vehicle or parts thereof. Theft as defined in 0502.</td>
<td>-</td>
</tr>
<tr>
<td></td>
<td>21, 22, 23, 26, 27</td>
<td>The preferred counting unit is the individual offence. Each incident with a principal offence rule. DO NOT divide damage (0504) and unauthorized access to premises (070019).</td>
<td></td>
</tr>
<tr>
<td></td>
<td>05021</td>
<td>Theft of a motorized vehicle or parts thereof. Theft as defined in 0502.</td>
<td></td>
</tr>
<tr>
<td></td>
<td>Inclusions</td>
<td>Apply all inclusions listed in 050111 - 050219.</td>
<td></td>
</tr>
<tr>
<td></td>
<td>Exclusions</td>
<td>Robbery of a car or vehicle (040123).</td>
<td></td>
</tr>
</tbody>
</table>

**05 Intentional Homicide Metadata**

<table>
<thead>
<tr>
<th>05011</th>
<th>Unlawful acts involving controlled drugs or precursors</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td>Unlawful handling, possession, purchase, use, trafficking, cultivation or production of controlled drugs or precursors for personal consumption and for non-personal consumption.</td>
</tr>
<tr>
<td></td>
<td>Inclusions: all inclusions listed in 06011, 06012 for drugs or precursors.</td>
</tr>
<tr>
<td></td>
<td>Exclusions: Operating a vehicle under the influence of drugs or alcohol (010011).</td>
</tr>
</tbody>
</table>

<table>
<thead>
<tr>
<th>06011</th>
<th>Unlawful acts involving controlled drugs or precursors</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td>Unlawful possession, purchase, use, cultivation or production of controlled drugs for personal consumption.</td>
</tr>
<tr>
<td></td>
<td>Inclusions: Drug possession; drug use; drug cultivation for 060111 - 060112.</td>
</tr>
<tr>
<td></td>
<td>Exclusions: All exclusions listed in 0601.</td>
</tr>
</tbody>
</table>

<table>
<thead>
<tr>
<th>06012</th>
<th>Unlawful acts involving controlled drugs or precursors for personal consumption</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td>Unlawful offering, offering for sale, distribution, sale, delivery, brokerage, dispatch, dispatch in transit, transport, importation, exportation, cultivation, production, manufacture, extraction or preparation of controlled drugs or precursors not in connection with the use or possession of drugs for personal consumption.</td>
</tr>
<tr>
<td></td>
<td>Inclusions: Drug cultivation not for personal consumption.</td>
</tr>
<tr>
<td></td>
<td>Exclusions: All exclusions listed in 0601.</td>
</tr>
</tbody>
</table>

**07 Acts Involving fraud, deception or corruption**

<table>
<thead>
<tr>
<th>07012</th>
<th>Fraud</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td>Obtaining money or other benefits or evading a liability through deceit or dishonest conduct.</td>
</tr>
</tbody>
</table>

<table>
<thead>
<tr>
<th>0703</th>
<th>Corruption</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td>Unlawful acts as defined in the United Nations Convention against Corruption and other national and international legal instruments against corruption.</td>
</tr>
</tbody>
</table>

<table>
<thead>
<tr>
<th>07051</th>
<th>Bribery</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td>Bribery of national public officials, bribery of foreign public officials and officials of public international organizations.</td>
</tr>
</tbody>
</table>

**Examples of Intentional Homicide**

1. Are the following criminal offences separate from intentional homicide in your legislation?

   - Honour killing
   - Serious assault leading to death
   - Death as a result of terrorist offences
   - Down-related killings
   - Penile
   - Infantile
   - Voluntary manslaughter
   - Attempted intentional homicide
   - Non-intentional homicide
   - Non-negligent or involuntary manslaughter
   - Falsely killing in self-defence
   - Euthanasia
   - Jehovah's witness
   - Additional comments

2. Are the following events recorded and counted in your criminal justice system?

   - Extrajudicial killings
   - Killings caused by excessive use of force by law enforcement/officials
   - Death due to legal interventions
   - Justifiable homicide in self-defence
   - Additional comments

3. Does more than one law enforcement agency collect data on intentional homicide?

   - Yes
   - No
   - Additional comments
Challenges and issues in UN-CTS data collection

Data coverage and quality of UN-CTS

- Responses are often partial or incomplete
- Metadata missing
- Data are not complying with UN-CTS definitions
- Use of various (or unknown) counting rules
- Data are otherwise not accurate, reliable or consistent

Process of UN-CTS

- Data from previous years are not validated/corrected if necessary
- Format of data transmission is sometimes different/problematic (for example in modified Excel sheets)
Thank you for your attention


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