UNODC TOOLKIT
for evaluating interventions preventing and countering crime and terrorism

BACKGROUND AND CONTEXT

The Independent Evaluation Section (IES) contributes to the accountability and evaluation-based decision-making of UNODC in the response to drugs, crime, corruption and terrorism by ensuring a wide variety of services and products. These include the conduct of participatory, independent, inclusive and gender-responsive evaluations at corporate, policy, programme and project levels, reporting directly on completed independent evaluations to the Executive Director of UNODC and Member States. IES cooperates with other evaluation functions in the United Nations system, in particular through the United Nations Evaluation Group (UNEG), ensuring that UNODC evaluations fully meet the norms and standards of UNEG and are utilization-focused.

Against this backdrop, this UNODC Toolkit for Evaluating Interventions on Preventing and Countering Crime and Terrorism is intended to guide the design and implementation of independent evaluations of the work of UNODC in countering and preventing crime and terrorism. It is primarily aimed at evaluation experts who have limited familiarity with evaluating UNODC programmes and its mandated areas.

In addition, it is for those with substantive expertise in the thematic areas of UNODC who could benefit from guidance in evaluation practice, as well as the evaluation community at large.

This Toolkit is relevant for the two types of evaluations conducted by UNODC: (a) in-depth and strategic evaluations, and (b) independent project evaluations. It is also relevant for evaluations beyond UNODC on preventing and countering crime and terrorism. It presents strategies for evaluating both the normative support provided by UNODC (e.g., legislative support) and the entity’s technical assistance functions (e.g., capacity-building). It is also sufficiently broad to be used and adapted by other agencies.

TEAM
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UNODC TOOLKIT AT A GLANCE

CHAPTER 1 provides an overview of UNODC including its five thematic areas of work, its different types of programmes, and how these connect with the overarching goals and priorities of the United Nations. This section links readers to international conventions and rules relevant to the mandate of UNODC. It also highlights key challenges and best practices in evaluating crime prevention and counter-terrorism.

CHAPTER 2 is an introduction to the evaluation function and expectations of UNODC. It provides links to key resources for ensuring evaluations align with effective evaluation practices in the United Nations system and the specific requirements of UNODC. This includes ethical issues that need to be considered so that evaluation processes are carried out with respect and integrity, and ensure the principle of “do no harm”.

CHAPTER 3 focuses on setting up the evaluation process. It highlights the foundational aspects of a strong design, including theories of change and the required elements for a sharply focused evaluation matrix.

CHAPTER 4 suggests several methods and tools relevant for evaluating crime and terrorism prevention initiatives. Evaluators should find these useful for ensuring that data collection and analysis processes are adequately robust and capture perspectives from the diverse set of programme stakeholders that make up UNODC.

CHAPTER 5 looks further into how evaluations can be effectively carried out in complex environments. It covers conflict sensitivity analysis and dealing with challenges, such as limited data availability, accessing hard-to-reach populations and addressing language differences or varied knowledge of the intervention among stakeholders. It also highlights the importance of preparing a risk management plan as the evaluation is being designed and provides tips on remote data collection.

CHAPTER 6 focuses on the evaluation report. It guides the reader in establishing the link between the key findings, conclusions, recommendations and lessons. This section also suggests ways to clearly communicate the main results of all the work so that the evaluation is most useful to the intended audience.

KEY AREAS OF GUIDANCE

The Toolkit provides guidance on various themes and topics including:

IN-DEPTH AND INDEPENDENT PROJECT EVALUATIONS
The characteristics and different expectations for lighter evaluation processes, with additional resources provided for in-depth evaluations

ETHICAL CONSIDERATIONS
A checklist of ethical issues to consider during each evaluation phase as well as a pledge of ethical conduct that all members of evaluation teams are encouraged to review and sign

HUMAN RIGHTS AND GENDER EQUALITY
Material and resources to help evaluators recognize and mainstream human rights and gender equality as part of the United Nations System-wide Action Plan on Gender Equality and the Empowerment of Women (UN-SWAP)

DISABILITY INCLUSION
Highlights the importance of and mechanisms for ensuring a disability inclusive evaluation process

INNOVATIVE PRACTICES
Ideas and resources for data collection methods that go beyond the standard fare of interviews, group discussions and surveys, including the use of non-perception-based approaches and different ways for stakeholders to provide input

EVALUATION QUALITY EXPECTATIONS
An overview of the UNODC Evaluation Quality Assessment (EQA) process and tips to help ensure that evaluations are meeting the full range of agreed upon UNEG and UNODC standards