

UNODC template for international cooperation cases

Case Summary Basic Information

Case citation ¹ :	
Country:	

Fact Summary

Summarise the facts of the case, i.e. the facts and background relating to the criminal activity involved in the case

Commentary

Please highlight any significant or noteworthy features of the case not otherwise discussed in the procedural history below. This could include commentary on the factual significance of the criminal activity, the investigation, or the international cooperation involved, or the legal significance of the case in terms of the rulings of the court and their precedential value

Offences involved

<input type="checkbox"/> Corruption (Articles 15–22 UNCAC)
<input type="checkbox"/> Counterfeiting
<input type="checkbox"/> Cybercrime
<input type="checkbox"/> Drug-related offences (Article 36 1961 Single Convention; Article 22 1971 Convention on Psychotropic Substances; Article 3(1)(a) 1988 Vienna Convention)
<input type="checkbox"/> Money laundering (Article 23 UNCAC; Article 6 UNTOC; Article 3(1)(b) 1988 Vienna Convention)
<input type="checkbox"/> Obstruction of justice (Article 25 UNCAC; Article 23 UNTOC)
<input type="checkbox"/> Participation in an organized criminal group (Article 5 UNTOC)
<input type="checkbox"/> Piracy and other maritime crime (Article 101 UNCLOS)
<input type="checkbox"/> Smuggling of migrants (Articles 3, 6 Smuggling of Migrants Protocol)
<input type="checkbox"/> Illicit manufacturing/ trafficking in firearms/parts/ ammunition (Article 5 Firearms Protocol)
<input type="checkbox"/> Trafficking in cultural property (article 2(b) UNTOC on “serious crime”)
<input type="checkbox"/> Trafficking in persons (Articles 3, 5 Trafficking in Persons Protocol)
<input type="checkbox"/> Manufacture of and/or trafficking in falsified medical products (article 2(b) UNTOC on “serious crime”)
<input type="checkbox"/> Crimes that affect the environment
<input type="checkbox"/> Terrorism (only to the extent there are links to organized crime)
<input type="checkbox"/> Other ‘serious crimes’ related/linked to organized crime (Article 2(b) UNTOC)
Enter offences here

¹ If there are multiple cases summarized, cite the principal judicial decision. If no citation is available, refer to the popular name of the case or the name of the operation, as relevant.

International Cooperation Issues	
Countries involved	<p>Specify all countries involved</p> <hr/> <p>Agencies involved in investigation (if applicable): Indicate all, specifying the country of each agency</p>
Legal basis of international cooperation	<p><input type="checkbox"/> <i>United Nations Convention against Transnational Organized Crime</i> (as a stand-alone legal basis)</p> <p><input type="checkbox"/> Bilateral treaty: specify</p> <p><input type="checkbox"/> Other multilateral treaty: specify</p> <p><input type="checkbox"/> <i>United Nations Convention against Transnational Organized Crime</i> in conjunction with other multilateral treaty: specify</p> <p><input type="checkbox"/> <i>United Nations Convention against Transnational Organized Crime</i> in conjunction with bilateral treaty: specify</p> <p><input type="checkbox"/> National legislation: specify</p> <p><input type="checkbox"/> Reciprocity/comity</p>
Modalities of international judicial cooperation	<p><input type="checkbox"/> Extradition</p> <p><input type="checkbox"/> International cooperation for purposes of identification, tracing, freezing or seizure or confiscation of proceeds of crime or property/asset recovery</p> <p><input type="checkbox"/> Mutual legal assistance</p> <p><input type="checkbox"/> Transfer of criminal proceedings</p> <p><input type="checkbox"/> Transfer of sentenced person(s)</p> <p><input type="checkbox"/> Joint investigations (as applicable)</p> <p><input type="checkbox"/> International cooperation on the use of special investigative techniques.</p> <p>For any of the above modalities of international cooperation, please specify the relevant details, as applicable: [...]</p>
Law Enforcement Cooperation	<p><input type="checkbox"/> Exchange of information</p> <p><input type="checkbox"/> Identity, whereabouts and activities of persons suspected</p> <p><input type="checkbox"/> Movement of proceeds of crime or of property</p> <p><input type="checkbox"/> Movement of property, equipment or other instrumentalities</p> <p><input type="checkbox"/> Requests to obtain electronic evidence</p> <p><input type="checkbox"/> Financial investigations</p> <p><input type="checkbox"/> Joint investigations (as applicable)</p> <p><input type="checkbox"/> Use of INTERPOL channels</p> <p>For any of the above forms of law enforcement cooperation, please specify the relevant details, as applicable: [...]</p>

Procedural History

General Information on Procedural History

Legal system:	<input type="checkbox"/> Civil law <input type="checkbox"/> Common law <input type="checkbox"/> Islamic law <input type="checkbox"/> Customary law <input type="checkbox"/> Socialist law <input type="checkbox"/> Mixed system
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Where there are multiple proceedings, copy and paste the below section and fill it out for each of such proceedings

Proceeding #1	
Citation:	
Date of decision:	
Type of proceedings:	<input type="checkbox"/> Trial <input type="checkbox"/> Appeal <input type="checkbox"/> Re-trial <input type="checkbox"/> Other: specify
Name of court:	
Location of court:	
Type of court:	<input type="checkbox"/> Criminal <input type="checkbox"/> Civil <input type="checkbox"/> Labour <input type="checkbox"/> Administrative <input type="checkbox"/> International <input type="checkbox"/> Other: specify
Summary of judicial proceedings and court decision:	

Defendants/Respondents	
Total number of defendants/respondents:	

Where there are multiple defendants, copy and paste the below sections and fill it out for each defendant

Defendant/Respondent 1	
Basic Information about Defendant/Respondent	
Name: ²	
Gender/Adult or child:	<input type="checkbox"/> Male <input type="checkbox"/> Female
Adult or child:	<input type="checkbox"/> Adult <input type="checkbox"/> Child
Nationality:	
Information about defendant:	Including background, any prior criminal history, and role in the offending

Charges	
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Where there are multiple charges, copy and paste the below section and fill it out for each charge

Charge 1	
Name of offence:	
Citation of legislative provision(s) charged under:	
Verdict:	<input type="checkbox"/> Guilty <input type="checkbox"/> Not guilty/acquittal <input type="checkbox"/> Charge withdrawn <input type="checkbox"/> Conviction vacated/overtured/reversed <input type="checkbox"/> Other: specify

Sentences and Other Orders for Defendant/Respondent	
<input type="checkbox"/> Custodial sentence	

² Follow the naming and anonymity conventions used by the courts of the country in question.

Term of imprisonment:	
Non-parole period:	
Sentence suspended:	<input type="checkbox"/> Wholly <input type="checkbox"/> Partially <input type="checkbox"/> Not suspended
<input type="checkbox"/> Fine or payment to State	
Type:	<input type="checkbox"/> Fine <input type="checkbox"/> Other payment to State: Indicate type of payment
Amount and Currency:	
<input type="checkbox"/> Compensation to victim	
Amount and Currency:	
Victim:	
<input type="checkbox"/> Other orders	
Details:	

Victims	
Total number of victims	...
Including	... adult male victims ... adult female victims ... child victims (aged 17 or under; regardless of gender)

Where there are multiple victims, copy and paste the below section and fill it out for each victim

Victim 1	
Name ³ :	
Anonymized name ⁴ :	e.g. Victim 1
Gender:	<input type="checkbox"/> Male <input type="checkbox"/> Female
Adult or child:	<input type="checkbox"/> Adult <input type="checkbox"/> Child
Nationality:	
Information about victim:	

Sources and Related Cases

Sources	
Judicial decisions and other court documents	
Government reports and press releases	
Other sources	

Thank you for contributing to the UNODC Digest of International Cooperation cases. Once you have completed this template, please send:

- the completed template as a *.docx file; and
- all relevant judicial decisions and other court files as *.pdf files

to: unodc-sherloc@un.org

³ Victims' names are recorded only for the purpose of verifying information contained in the original judicial decisions.

⁴ Please only refer to the victim by their anonymized name throughout the template. The victim's actual name should only appear in the 'Name' field.