



UNODC

United Nations Office on Drugs and Crime

International Cooperation

Compendium and Thematic Index
of Recommendations,
Resolutions and Decisions

UNITED NATIONS OFFICE ON DRUGS AND CRIME

**International Cooperation:
Compendium and Thematic Index
of Recommendations, Resolutions
and Decisions**



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Introduction

At its meetings, the Working Group on International Cooperation¹ adopts recommendations on pressing areas of concern, seeking to guide Member States towards effective implementation of the United Nations Convention against Transnational Organized Crime and to guide the United Nations Office on Drugs and Crime (UNODC) in supporting efforts in that regard. The recommendations are regularly submitted to the Conference of the Parties to the United Nations Convention against Transnational Organized Crime for further endorsement.

In order to facilitate future discussions and negotiations, UNODC has prepared a compendium in the six official languages of the United Nations containing all of the recommendations adopted by the Working Group. The compendium is complemented by a thematic index to facilitate navigation.

In addition, the compendium and the thematic index include all of the resolutions and decisions of the Conference of the Parties to the Convention that relate directly to international cooperation. This information is aimed at providing additional references to facilitate discussions in all relevant forums.

For ease of reference, the recommendations of the Working Group are listed in part A of the compendium, while resolutions and decisions of the Conference of the Parties are listed in part B and in shaded boxes in the thematic index. Preambular paragraphs of resolutions are numbered consecutively and designated by the abbreviation “PP” (e.g., PP 10 for the tenth preambular paragraph).

In many cases, the recommendations adopted by the Working Group have been endorsed by the Conference in its resolutions and are therefore not reproduced in both parts of the compendium. In such instances, the thematic index contains a cross-reference to the section of the compendium in which the recommendation is reproduced.

In the thematic index, some recommendations are categorized under more than one topic in order to reflect the full variety and complexity of all aspects touched upon in the texts.

¹Also known as the open-ended working group of government experts on extradition, mutual legal assistance and international cooperation for purposes of confiscation.

Compendium of recommendations and relevant resolutions and decisions

Part A of the compendium contains all of the recommendations adopted by the Working Group on International Cooperation at its first 10 meetings, while part B contains all of the resolutions and decisions on international cooperation adopted by the Conference of the Parties to the Convention at its first nine sessions. Both parts are organized chronologically.

A. Recommendations adopted by the Working Group at its first 10 meetings

I. First meeting, 11 and 12 October 2006

No recommendations emanated from the first meeting of the Working Group on International Cooperation. The outcome of the discussions of the Working Group at its first meeting was reflected in decision 3/2 of the Conference of the Parties (see part B).

II. Second meeting, 8 to 10 October 2008

No recommendations emanated from the second meeting of the Working Group on International Cooperation. The outcome of the discussions of the Working Group at its second meeting was reflected in decision 4/2 of the Conference of the Parties (see part B).

III. Third meeting, 20 and 21 October 2010

The following recommendations were formulated by the Working Group:²

(a) States welcomed the increase in the use of the United Nations Convention against Transnational Organized Crime as a legal basis for extradition, mutual legal assistance and confiscation, while noting that parties to the Convention had not yet taken full advantage of the potential of the Convention;

(b) States should, where appropriate, accept the use of the Convention as a legal basis for extradition, mutual legal assistance and international cooperation for purposes of confiscation and undertake efforts to familiarize their authorities with its use;

(c) States and the United Nations Office on Drugs and Crime (UNODC) should promote and facilitate the establishment of regional cooperation networks of central and other competent authorities in regions where no such networks existed;

(d) States and UNODC should promote the strengthening of central authorities;

(e) UNODC should continue working with regional networks and platforms to develop practical tools for facilitating international cooperation;

²CTOC/COP/WG.3/2010/1, para. 3.

(f) UNODC should further expand the information on its website on international cooperation networks so that it contained information on all networks, together with their points of contact, links to the websites maintained by those networks and the identification of members of regional networks in the directory of competent national authorities;

(g) UNODC should consider reflecting the importance of effective international cooperation in all regional programmes developed by it, including by providing for the training, on a regular basis, of central authorities, prosecutors and law enforcement authorities in the provisions in the Convention relating to international cooperation;

(h) UNODC should continue providing training and advice, upon request, to support States in their efforts to make more effective use of the provisions in the Convention relating to international cooperation, including on the use of the Mutual Legal Assistance Request Writer Tool developed by UNODC;

(i) UNODC should develop a guide for practitioners on the use of videoconferencing testimony, taking into account the main points made during the meeting of the expert group on technical and legal obstacles to the use of videoconferencing, held in Vienna on 14 and 15 October 2010, and reflecting both the advantages and the challenges of videoconferencing;

(j) States should make use of the Model Bilateral Agreement on the Sharing of Confiscated Proceeds of Crime or Property (Economic and Social Council resolution 2005/14, annex);

(k) States should make every effort to establish direct contact between central authorities in different States and consult with each other throughout the preparation and execution of requests for international cooperation;

(l) States should inform the Secretary-General whether they will accept the Convention as a legal basis for extradition in accordance with article 16, paragraph 5 (a), of the Convention;

(m) States should consider providing for simplified extradition procedures, as well as simplified extradition in cases where the individual sought has consented to extradition;

(n) States should consider the use of procedures for simplified extradition at the regional level.

IV. Fourth meeting, 15, 16 and 18 October 2012

The following conclusions and recommendations were formulated by the Working Group:³

(a) Regional networks of prosecutors and central authorities are important for facilitating international cooperation in criminal matters in the framework of the United Nations Convention on Transnational Organized Crime;

³CTOC/COP/WG.3/2012/5, para. 3.

(b) The importance of police-to-police cooperation was highlighted and it was recommended that the Conference include in the agenda of the fifth meeting of the Working Group on International Cooperation an item on police-to-police cooperation and cooperation between police and prosecutorial or judicial officials;

(c) The value of effective coordination among investigative and law enforcement agencies at the national level for the purpose of improving capacity for international cooperation was considered, and it was recommended that the Conference consider including an item on this issue in the agenda of the fifth meeting of the Working Group;

(d) Consideration was given to several other issues, including the role of liaison magistrates, prosecutors and police attachés in foreign missions in facilitating international cooperation, and their continued utilization by Governments was recommended;

(e) In discussing the role of regional networks for international cooperation, the importance of establishing secure communication channels among networks was emphasized;

(f) An in-depth discussion on possible synergies between the Working Group and the expert meetings on international cooperation established by the Conference of the States Parties to the United Nations Convention against Corruption was held; no consensus was reached on the way forward on this matter.

V. Fifth meeting, 8 and 9 October 2014

The recommendations adopted by the Working Group on International Cooperation at its fifth meeting are contained in the annex to resolution 7/4 of the Conference of the Parties (see part B).

VI. Sixth meeting, 27 and 28 October 2015

The recommendations adopted by the Working Group on International Cooperation at its sixth meeting are contained in annex I to resolution 8/1 of the Conference of the Parties (see part B).

VII. Seventh meeting, 19 to 21 October 2016

The recommendations adopted by the Working Group on International Cooperation at its seventh meeting are contained in annex II to resolution 8/1 of the Conference of the Parties (see part B).

VIII. Eighth meeting, 9 to 13 October 2017

The recommendations adopted by the Working Group on International Cooperation at its eighth meeting, held in conjunction with the tenth meeting of the Working Group of Government Experts on Technical Assistance, are contained in annex I to resolution 9/3 of the Conference of the Parties (see part B).

IX. Ninth meeting, 28 to 31 May 2018

The recommendations adopted by the Working Group on International Cooperation at its ninth meeting, held in conjunction with the eleventh meeting of the Working Group of Government Experts on Technical Assistance, are contained in annex II to resolution 9/3 of the Conference of the Parties (see part B).

X. Tenth meeting, 16 October 2018

The recommendations adopted by the Working Group on International Cooperation at its tenth meeting are contained in annex III to resolution 9/3 of the Conference of the Parties (see part B).

B. Resolutions and decisions adopted by the Conference of the Parties at its first nine sessions

I. First session of the Conference of the Parties, 28 June to 9 July 2004

No decisions or resolutions on international cooperation emanated from the first session of the Conference of the Parties to the Convention on Transnational Organized Crime.

II. Second session of the Conference of the Parties, 10 to 21 October 2005

Decision 2/2

Implementation of the international cooperation provisions of the United Nations Convention against Transnational Organized Crime

The Conference of the Parties to the United Nations Convention against Transnational Organized Crime:

(a) Urged States parties that had not complied with the requirements of article 16, in particular, paragraphs 5, 6 and 15, and article 18, paragraph 8, of the United Nations Convention against Transnational Organized Crime,⁴ to take steps to do so as soon as possible;

(b) With a view to achieving compliance with article 16 of the Convention, requested the secretariat to seek clarification from States parties that had indicated that they were not in compliance with the mandatory obligations set forth in that article, in particular by asking for further information from States parties that had reported that they did not grant extradition on the basis of a treaty or on the basis of domestic law and from States parties that had reported that they refused extradition on the ground that the offence involved fiscal matters, and to report thereon to the Conference of the Parties at its third session;

⁴General Assembly resolution [55/25](#), annex I.

(c) With a view to achieving compliance with article 18 of the Convention, requested the secretariat to seek clarification from States parties that had reported that they were not in compliance with the mandatory obligation set forth in that article not to decline to render mutual legal assistance on the ground of bank secrecy, and to report thereon to the Conference of the Parties at its third session;

(d) Requested the secretariat to develop and maintain on its secure website a directory of central authorities designated pursuant to article 18, paragraph 13, of the Convention, and, as far as possible, to include in that directory information such as the responsible position/office, contact details, office hours and languages accepted, as well as any other information that the secretariat deemed necessary for effective communication;

(e) Invited States parties to provide to the secretariat the additional information requested in subparagraph (d) above in order to facilitate the development of the directory;

(f) Requested the secretariat, within existing resources, to develop and maintain a directory of authorities dealing with requests for extradition and transfer of sentenced persons in the same format as the directory of authorities designated pursuant to article 18, paragraph 13, of the Convention requested in subparagraph (d) above, and invited States parties to provide information on such authorities to the secretariat;

(g) Decided to establish at its third session an open-ended working group, with interpretation, to hold substantive discussions on practical issues pertaining to extradition, mutual legal assistance and international cooperation for the purpose of confiscation;

(h) Encouraged States parties to include representatives of central authorities and other government experts in their delegations to the next session of the Conference of the Parties with a view to their attending the open-ended working group referred to in paragraph (g) above;

(i) Noting the reporting obligation set forth in article 13, paragraph 5, of the Convention, urged States parties that had not yet furnished copies or descriptions of laws and regulations, or relevant updates, to do so as soon as possible and, where feasible, to do so in electronic form, and requested the secretariat, building upon the information obtained, to present to the Conference of the Parties at its third session an outline of options as to how to make the most effective use of the laws and regulations furnished pursuant to that article, with a view to more effective implementation of the Convention;

(j) Requested the secretariat, building upon the information already obtained in response to the questionnaire on the implementation of the Convention, to inquire of States parties:

- (i) Whether they had refused cooperation with respect to confiscation requested pursuant to article 13 of the Convention in particular cases and, if so, to request them to specify the grounds upon which such cooperation had been refused;
- (ii) Whether they had had specific cases where confiscated proceeds of crime or property had been returned or shared, as outlined in article 14, paragraphs 2 and 3 (b), of the Convention and, if so, the legal framework within which that had been done.

III. Third session of the Conference of the Parties, 9 to 18 October 2006

Decision 3/2

Implementation of the provisions on international cooperation in the United Nations Convention against Transnational Organized Crime

The Conference of the Parties to the United Nations Convention against Transnational Organized Crime, noting that, during its third session, the discussion of the open-ended working group of government experts on extradition, mutual legal assistance and international cooperation for purposes of confiscation was held in an environment of cooperation and goodwill and involved a fruitful exchange of ideas and experiences regarding the implementation of the Convention:

(a) Decides that an open-ended working group on international cooperation will be a constant element of the Conference of the Parties;

(b) Emphasizes that the Convention is being successfully used by a number of States as a basis for granting requests for extradition, mutual legal assistance and international cooperation for the purposes of confiscation;

(c) Encourages States parties to make greater use of the Convention as a legal basis for international cooperation in extradition and mutual legal assistance, recognizing the broad scope of cooperation available under the Convention;

(d) Encourages States parties to utilize the Convention and the Protocols thereto when other bases for cooperation, such as bilateral agreements and domestic law, do not provide for effective extradition, mutual legal assistance and international cooperation for the purposes of confiscation;

(e) Encourages States parties, where appropriate, to promote awareness of the Convention among central authorities, judges, prosecutors, law enforcement officers and Interpol national central bureau officers engaged in international legal cooperation in the fight against transnational organized crime;

(f) Endorses the proposal developed by the Secretariat for an online directory of central authorities designated pursuant to article 18, paragraph 13, of the Convention;⁵

(g) Requests the Secretariat, within existing resources:

(i) To ensure that the online directory containing the contact data elements identified in the proposal be set up as a matter of priority;

(ii) To include in the online directory not only authorities designated under article 18 (Mutual legal assistance) but also authorities dealing with requests for extradition and transfer of sentenced persons pursuant to articles 16 and 17 of the Convention, as well as authorities designated

⁵[CTOC/COP/2006/12](#).

under article 8, paragraph 6, of the Protocol to Prevent the Smuggling of Migrants by Land, Sea and Air, supplementing the Convention;⁶

- (iii) To include an optional field allowing States to provide additional information, such as summaries of legal and procedural requirements for the granting of requests for extradition or mutual legal assistance, links to national laws and relevant websites, a list of treaties on bilateral and regional cooperation concluded by those States or any alternative arrangement available in respect of extradition or mutual legal assistance;
- (iv) To include under the online directory links to useful resources such as the mutual legal assistance request writer tool developed by the United Nations Office on Drugs and Crime, reports of workshops organized by the United Nations Office on Drugs and Crime on best practices in the area of international cooperation, United Nations model treaties, manuals and model laws;
- (v) To reconsider limitation of user access to the directory, possibly by allowing each State party to decide whether information provided by that State party should be made freely accessible or whether access should be limited to authorized users;
- (vi) To ensure that information in the directory is kept up to date by regularly reminding States parties of their duty to update it and including a feature indicating the last update by each State party;
- (vii) To consider the practicability of consolidating the online directory under the Convention with existing or future directories under other international instruments, such as the United Nations Convention against Illicit Traffic in Narcotic Drugs and Psychotropic Substances of 1988⁷ and the United Nations Convention against Corruption;⁸

(b) Notes that only a few States parties have provided to the Secretariat information on their authorities designated pursuant to the Convention, and urges all States parties that have not yet done so, and encourages all signatories, to accord high priority to the provision of such information;

(i) Welcomes the development by the United Nations Office on Drugs and Crime of the mutual legal assistance request writer tool to assist criminal justice practitioners in drafting correct and effective requests, thereby enhancing cooperation between States, and encourages the use of the tool, when appropriate, for requests for mutual legal assistance pursuant to the Convention and the Protocols thereto;

(j) Also welcomes the preliminary work done by the United Nations Office on Drugs and Crime to develop a tool for writing requests for extradition that is similar to the mutual legal assistance request writer tool;

(k) Urges States parties that have not yet done so to develop effective central authorities designated pursuant to article 18 of the Convention and competent authorities

⁶General Assembly resolution [55/25](#), annex III.

⁷United Nations, *Treaty Series*, vol. 1582, No. 27627.

⁸General Assembly resolution [58/4](#), annex.

for requests for extradition that exercise, among other functions and within their competences, the screening and quality control of requests for extradition and mutual legal assistance, including control of the quality of translation;

(*l*) Recommends that central authorities designated pursuant to article 18 of the Convention and competent authorities for requests for extradition seek and provide assistance in the preparation of requests and encourages States to follow other best practices developed by the United Nations Office on Drugs and Crime in international cooperation, which are currently available on the website of the United Nations Office on Drugs and Crime;

(*m*) Underlines the obligation of States parties under the Convention to provide the reasons for the refusal of any request for mutual legal assistance and to consult with the requesting State party, where appropriate, before refusing a request for extradition or mutual legal assistance;

(*n*) Underlines the obligation of States parties under the Convention to endeavour to expedite extradition procedures;

(*o*) Urges States parties to expeditiously execute requests for freezing, seizure and confiscation made pursuant to article 13 (International cooperation for the purposes of confiscation) of the Convention;

(*p*) Urges States parties to use channels of cooperation provided for in article 27 of the Convention, wherever possible and appropriate and without prejudice to article 18 of the Convention;

(*q*) Encourages central authorities to coordinate, within their competences, direct contact between prosecutors and magistrates involved in the daily handling of cases involving mutual legal assistance and confiscation, where appropriate;

(*r*) Decides to discuss at its fourth session the issue of confiscation in the context of articles 12, 13 and 18 of the Convention, including non-conviction-based confiscation;

(*s*) Decides to discuss at its fourth session issues relating to the successful implementation of article 16 (Extradition) of the Convention;

(*t*) Noting that close working contacts between central authorities designated under article 18, as well as between authorities competent for extradition requests, are crucial to the efficient granting of international legal cooperation pursuant to the Convention, requests its secretariat to organize, whenever possible and in conjunction with other activities, subject to the availability of extrabudgetary resources, workshops with interpretation for those authorities, liaison magistrates and judges, prosecutors and practitioners in charge of handling cases for which cooperation is required, with a view to facilitating exchanges among counterparts and promoting awareness and knowledge of the mechanisms for international cooperation provided for under the Convention;

(*u*) Requests its secretariat to provide its support to the building up of a virtual network of central authorities designated pursuant to article 18 of the Convention and competent authorities for extradition requests and to facilitate communication and problem-solving among such authorities, by considering the setting up of a discussion forum on a secure network, and encourages those authorities to make use of existing regional networks;

(*v*) Requests its secretariat to compile a catalogue of examples of cases of extradition, mutual legal assistance and other forms of international legal cooperation on the basis of

the Convention in order to encourage States parties to improve their implementation of the Convention and the Protocols thereto;

(w) Encourages States parties to provide the secretariat with data concerning their reliance on provisions of the Convention and its Protocols to effect extradition, mutual legal assistance or other forms of international legal cooperation, including the examples referred to in paragraph (v) above;

(x) Recommends that extradition and mutual legal assistance be considered priorities in providing technical assistance to requesting States.

IV. Fourth session of the Conference of the Parties, 8 to 17 October 2008

Decision 4/2

Implementation of the provisions on international cooperation of the United Nations Convention against Transnational Organized Crime

The Conference of the Parties to the United Nations Convention against Transnational Organized Crime:

(a) Recalled its decision 3/2, in which it decided that an open-ended working group of Government experts on extradition, mutual legal assistance and international cooperation for purposes of confiscation would be a constant element of the Conference;

(b) Noted that the open-ended working group had been convened during the fourth session of the Conference and, working in an environment of cooperation and goodwill, had engaged in a detailed review of the implementation of the articles on international cooperation of the United Nations Convention against Transnational Organized Crime,⁹ resulting in a fruitful exchange of ideas and experiences regarding implementation of those articles;

I. Substantive discussion of the working group

(c) Noted that the open-ended working group had discussed in depth the following matters:

- (i) The different elements of article 16, on extradition, of the Organized Crime Convention, highlighting the potential of those provisions as a legal basis for extradition, different aspects of the issue of the extradition of nationals, and the principles of *aut dedere aut judicare* and dual criminality;

⁹United Nations, *Treaty Series*, vol. 2225, No. 39574.

- (ii) The comprehensive provisions contained in article 18, on mutual legal assistance, of the Convention, which were found by the working group to constitute an integral set of rules applicable in areas in which no other treaty applied; ways and means of requesting assistance, such as by e-mail and oral communication; and the use of working languages;
- (iii) Article 13, on international cooperation for purposes of confiscation, of the Convention, including the diversity of confiscation schemes found in national legislation, such as conviction-based confiscation and non-conviction-based confiscation;

(d) Took note of the recommendation of the working group to consider the use of videoconferencing and the giving of evidence by video link, and its encouragement of States parties to provide in their domestic legal systems for that type of cooperation, which had various benefits, including its cost-effectiveness and potential for the protection of witnesses;

(e) Requested the Secretariat to seek ways to support such use of videoconferencing and assist States in overcoming technical and legal obstacles, and to report to the Conference, at its fifth session, on the provision of such assistance;

(f) Decided that an in-depth discussion on the application of articles 12, 13, 16 and 18 of the Convention should be held at the fifth session of the Conference, on the basis of clear, practical examples of the application of those articles, with a view to further facilitating the effective application of those articles;

(g) Requested the Secretariat to collect from States parties, prior to the fifth session of the Conference, examples of the application of the above-mentioned articles, in particular in the area of international cooperation for purposes of confiscation, including non-conviction-based confiscation;

II. Usefulness and use of the Convention as a basis for international cooperation in extradition, mutual legal assistance and international cooperation for purposes of confiscation

(h) Emphasized that the Convention, as a global instrument with wide adherence, offered the broadest scope of cooperation to address existing and emerging forms of transnational organized crime;

(i) Noted that the Convention was being used successfully by an increasing number of States as a basis for granting requests for extradition, mutual legal assistance and international cooperation for purposes of confiscation;

(j) Encouraged States parties to continue to make use of the Convention as a legal basis for international cooperation in extradition and mutual legal assistance, including confiscation, taking into account the extended scope of cooperation available under its article 16, on extradition, and article 18, on mutual legal assistance, and the internal legal requirements of States parties for the application of the Convention;

(k) Also encouraged States parties to fully utilize the Convention and the Protocols thereto¹⁰ when other bases for cooperation, such as bilateral agreements and domestic law, did not provide for effective extradition, mutual legal assistance or international cooperation for purposes of confiscation; and emphasized in particular that the multilateral character of the provisions contained in articles 16 and 18 was of great value to practitioners, because it allowed international cooperation with many States without the necessity of concluding additional bilateral agreements;

(l) Further encouraged States parties to promote awareness of the Convention and to facilitate training activities for central authorities, judges, prosecutors, law enforcement officers and officers of national central bureaux of the International Criminal Police Organization (INTERPOL) who were engaged in international legal cooperation in the fight against transnational organized crime through implementation of the Convention;

(m) Requested the Secretariat to support, when requested, the provision of such training and awareness-raising at the national level;

III. Development of tools to facilitate international cooperation

(n) Welcomed the Mutual Legal Assistance Request Writer Tool designed by the United Nations Office on Drugs and Crime, which would assist criminal justice practitioners in drafting correct, complete and effective requests; encouraged central authorities to make use, as appropriate, of the tool and provide feedback to the Office on such use; and requested the Secretariat to use the tool in training delivered to central authorities and practitioners;

(o) Welcomed also the establishment of the online directory of central authorities for mutual legal assistance and authorities designated to deal with extradition, as well as authorities designated under article 8 of the Protocol against the Smuggling of Migrants by Land, Sea and Air, supplementing the United Nations Convention against Transnational Organized Crime;

(p) Requested the Secretariat to further expand the directory to include authorities designated under article 13 of the Protocol against the Illicit Manufacturing of and Trafficking in Firearms, Their Parts and Components and Ammunition, supplementing the United Nations Convention against Transnational Organized Crime;

(q) Noted that a growing number of States parties had provided to the Secretariat information on their designated authorities; and urged all States parties to do so and update that information, which was vital for efficient cooperation;

(r) Welcomed the collection of examples of cases of extradition, mutual legal assistance and other forms of international legal cooperation on the basis of the Convention;

(s) Urged States parties to continue to provide the Secretariat with data concerning their reliance on provisions of the Convention and the Protocols thereto in order to effect extradition, mutual legal assistance or other forms of international legal cooperation; and requested the Secretariat to update the catalogue of cases and disseminate it to the States parties;

¹⁰Ibid., vols. 2237, 2241 and 2326, No. 39574.

IV. Strengthening of central authorities

(*t*) Took note of the conclusions and recommendations of the series of regional workshops organized by the Secretariat pursuant to Conference decision 3/2, held in Bogota, Cairo, Dakar, Kuala Lumpur and Vienna in 2007 and 2008, for central authorities, liaison magistrates, judges, prosecutors and practitioners responsible for handling extradition and mutual legal assistance;

(*u*) Welcomed the holding of those regional workshops and other training seminars, which proved useful for strengthening close working contacts between authorities and facilitating exchanges among counterparts;

(*v*) Requested the Secretariat to pursue such activities in regions not yet covered by the previous workshops and to follow them up at the subregional and interregional levels, in response to the specific cooperation needs identified;

(*w*) Encouraged central authorities for mutual legal assistance and the competent authorities for extradition requests to make full use of existing regional networks; and requested the Secretariat to provide its support to strengthening networking among authorities at the interregional level and to explore ways to facilitate communication and problem-solving among such authorities by considering the establishment of a discussion forum on a secure network and by ensuring the greatest possible participation by experts and practitioners in the relevant fields, by seeking funding for participation by experts from developing countries, in the deliberations of the working group at future sessions of the Conference;

(*x*) Recommended that, in accordance with Conference decision 3/4, assistance to States parties should be provided for the implementation of the provisions of the Convention on extradition, mutual legal assistance and international cooperation for purposes of confiscation;

(*y*) Reiterated that international cooperation was one of the priority areas for technical assistance to support and promote the implementation of the Convention and the Protocols, as stated in the recommendations contained in paragraph 2 of Conference decision 3/4;

(*z*) Requested the United Nations Office on Drugs and Crime, in its activities in support of international cooperation in extradition, mutual legal assistance and cooperation for purposes of confiscation under various United Nations instruments, in particular the United Nations Convention against Corruption,¹¹ to take into account work carried out in other forums in order to avoid duplication of work, giving due regard to the specificity of each instrument.

¹¹Ibid., vol. 2349, No. 42146.

V. Fifth session of the Conference of the Parties, 18 to 22 October 2010

Resolution 5/8

Implementation of the provisions on international cooperation of the United Nations Convention against Transnational Organized Crime

The Conference of the Parties to the United Nations Convention against Transnational Organized Crime,

Emphasizing the particular relevance of the United Nations Convention against Transnational Organized Crime¹² as a basis for international cooperation in extradition, mutual legal assistance and international cooperation for purposes of confiscation, and mindful of the need to develop tools to facilitate international cooperation and the need to strengthen central authorities,

Recalling its decision 3/2 of 18 October 2006, in which it decided that an open-ended working group on international cooperation would be a constant element of the Conference, and its decision 4/2 of 17 October 2008, in which it took note of the in-depth substantive discussion of that working group at its meeting, held during the fourth session of the Conference,

Taking note with appreciation of the work already done by the Secretariat as requested in the above-mentioned decisions,

1. *Requests* the Secretariat to continue to enhance the activities mentioned in Conference decision 4/2 of 17 October 2008 by, inter alia:

(a) Promoting and disseminating the *Legislative Guides for the Implementation of the United Nations Convention against Transnational Organized Crime and the Protocols thereto*,¹³ developed by the United Nations Office on Drugs and Crime;

(b) Analysing and using the examples provided by Member States of how they are applying articles 12, 13, 16 and 18 of the United Nations Convention against Transnational Organized Crime,¹⁴ as well as the catalogue, published by the United Nations Office on Drugs and Crime, of cases involving extradition, mutual legal assistance and other forms of international legal cooperation, in order to present a digest and other tools highlighting best practices so as to avoid possible obstacles to the full and successful implementation of the Convention;

(c) Promoting the use of model laws on extradition and mutual legal assistance developed by the United Nations Office on Drugs and Crime;

¹²United Nations, *Treaty Series*, vol. 2225, No. 39574.

¹³United Nations publication, Sales No. E.05.V.2.

¹⁴United Nations, *Treaty Series*, vol. 2225, No. 39574.

(d) Providing, where applicable and upon request, the technical assistance needed to ensure the effectiveness of mutual legal assistance and extradition requests based on the Organized Crime Convention and the Protocols thereto, including by facilitating the establishment of channels of communication, and for the exchange of information between the States parties concerned;

2. *Also requests* the Secretariat to continue to foster international and regional cooperation pursuant to Conference decision 4/2 by, inter alia:

(a) Facilitating the development of regional networks active in the field of cooperation in the fight against transnational organized crime, where appropriate, and by facilitating cooperation among all such networks with a view to further exploring the possibility of Member States envisaging a global network;

(b) Drafting a practical guide for practitioners to facilitate international and interregional cooperation for the purposes of confiscation in the framework of the fight against transnational organized crime, taking stock of existing studies;

(c) Developing a practical guide to facilitate the drafting, transmission and execution of requests for extradition and mutual legal assistance pursuant to articles 16 and 18 of the Organized Crime Convention, in cases where the Convention is a legal basis for the request;

(d) Building, based on existing cases and experiences, a matrix identifying legal and practical issues that could arise in the implementation of article 19 of the Organized Crime Convention and by establishing modalities for conducting joint investigations, including by creating joint investigative bodies, as well as possible solutions to those issues, including by collecting examples of arrangements or agreements concluded between States parties for that purpose;

(e) Building, based on existing cases and experiences, a matrix identifying legal and practical issues that could arise in the implementation of article 20 of the Organized Crime Convention and the use of special investigative techniques, as well as possible solutions to those issues, including by collecting examples of arrangements or agreements on the use of such techniques between States parties;

3. *Invites* Member States and other donors to provide extrabudgetary resources for the activities described in paragraphs 1 and 2 above, in accordance with the rules and procedures of the United Nations;

4. *Encourages* States parties to continue to make use of the Organized Crime Convention as a legal basis for international cooperation in extradition and mutual legal assistance, including confiscation, taking into account the full scope of cooperation available under its provisions, to promote awareness of the Convention and to facilitate training activities for central authorities, judges, prosecutors, law enforcement officers and officers of national central bureaux of the International Criminal Police Organization (INTERPOL) who are engaged in international legal cooperation to combat transnational organized crime through implementation of the Convention and its Protocols;

5. *Encourages* States parties, in particular and subject to domestic law:

(a) To endeavour to expedite extradition procedures and to simplify the evidentiary requirements relating thereto in respect of any offence to which article 16 of the Organized Crime Convention applies;

(b) To seek, where appropriate, to conclude bilateral and multilateral agreements or arrangements to carry out or to enhance the effectiveness of extradition, taking into account the provisions of article 16 of the Organized Crime Convention, as well as to serve the purposes of, to give practical effect to or to enhance the provisions of article 18 of the Convention, on mutual legal assistance;

(c) To implement fully all the provisions of the Organized Crime Convention related to international cooperation, giving special attention to, inter alia, the possibility of developing joint investigative bodies, with full respect for the sovereignty of States (article 19), special investigative techniques in the context of cooperation at the international level (article 20) and international cooperation for purposes of confiscation (article 13);

(d) To dispose of confiscated proceeds of crime or property in accordance with the provisions of article 14 of the Organized Crime Convention, giving priority consideration, if so requested, to returning the confiscated proceeds of crime or property to the requesting State party so that it can give compensation to the victims of the crime or return such proceeds of crime or property to their legitimate owners, and to consider concluding agreements or arrangements for the purposes mentioned in paragraph 3 of article 14;

6. *Requests* the Secretariat to report to the Conference at its sixth session on the implementation of the present resolution.

VI. Sixth session of the Conference of the Parties, 15 to 19 October 2012

Resolution 6/1

Ensuring effective implementation of the United Nations Convention against Transnational Organized Crime and the Protocols thereto

The Conference of the Parties to the United Nations Convention against Transnational Organized Crime,

Recalling its resolution 5/1 of 22 October 2010, entitled “Ensuring effective implementation of the United Nations Convention against Transnational Organized Crime and the Protocols thereto”,

Mindful of the importance of promoting universal ratification and full implementation of the United Nations Convention against Transnational Organized Crime and the Protocols thereto,¹⁵

¹⁵United Nations, *Treaty Series*, vols. 2225, 2237, 2241 and 2326, No. 39574.

Reaffirming the importance of the Convention and the Protocols thereto as the main tools available to the international community to fight transnational organized crime,

Acknowledging the efforts made by States parties in implementing the Convention and the Protocols thereto, and recognizing that more efforts are necessary to make full and effective use of those instruments,

Stressing the need for full and effective implementation of the United Nations Global Plan of Action to Combat Trafficking in Persons,¹⁶ and expressing the view that the Plan of Action will, inter alia, enhance cooperation and better coordination of efforts to fight trafficking in persons and to fully implement the Convention and the Protocol to Prevent, Suppress and Punish Trafficking in Persons, Especially Women and Children, supplementing that Convention,

Noting with appreciation the establishment by the Secretary-General of the United Nations system task force on transnational organized crime and drug trafficking as threats to security and stability, for the purpose of developing within the United Nations system an effective and comprehensive approach to transnational organized crime and drug trafficking, and reaffirming the crucial role of Member States as reflected in the Charter of the United Nations,

Noting the relevance, as a further common effort by the international community to tackle transnational organized crime, of the high-level briefing for Member States on challenges in the fight against transnational organized crime and drug trafficking, held in New York on 7 February 2012,

Recalling that the theme of the Thirteenth United Nations Congress on Crime Prevention and Criminal Justice will be “Integrating crime prevention and criminal justice into the wider United Nations agenda to address social and economic challenges and to promote the rule of law at the national and international levels, and public participation”,

Acknowledging the relevance of the implementation of the Convention and the Protocols thereto within the framework of United Nations rule of law activities, as well as in view of the elaboration of the United Nations development agenda beyond 2015,

Noting with concern the emergence of new forms and dimensions of transnational organized crime and reaffirming that the Convention, as a global instrument with wide adherence, offers a broad scope for cooperation to address existing and emerging forms of transnational organized crime,

Greatly concerned by the negative impact of organized crime on human rights, the rule of law, security and development, as well as by the sophistication, diversity and transnational aspects of organized crime and its links with other criminal and, in some cases, terrorist activities,

¹⁶General Assembly resolution [64/293](#).

Recognizing that the Convention offers expanded opportunities for international cooperation in various areas of the fight against transnational organized crime and has in this regard a potential that is yet to be fully explored,

Recognizing also that technical assistance is essential in order to achieve universal and effective implementation of the Convention and the Protocols thereto,

Recognizing further the need for accurate information on global crime trends and patterns, including on new and emerging forms of organized crime, and the need to improve the quality, scope and completeness of data relating to organized crime,

Taking note with appreciation of the results of the pilot review programme, which involved a group of volunteer States parties from different regional groups, the evaluation of that exercise and the completion of the comprehensive self-assessment checklist (“omnibus tool”),

Welcoming the development by the United Nations Office on Drugs and Crime, in accordance with Conference resolutions 5/1 and 5/8 of 22 October 2010, of the digest of organized crime cases, the practical guide to facilitate the drafting, transmission and execution of requests for extradition and mutual legal assistance pursuant to articles 16 and 18 of the Convention and the practical guide to facilitate international and interregional cooperation for the purposes of confiscation within the framework of the fight against transnational organized crime,

Acknowledging the work accomplished by the open-ended intergovernmental working group on the review of the implementation of the United Nations Convention against Transnational Organized Crime and the Protocols thereto,

1. *Notes with appreciation* that the number of parties to the United Nations Convention against Transnational Organized Crime¹⁷ has reached one hundred and seventy-two, reiterates its call to Member States that have not yet done so to consider ratifying or acceding to the Convention and the Protocols thereto¹⁸ and urges States parties to fully implement the Convention and the Protocols thereto;

2. *Encourages* the United Nations Office on Drugs and Crime, in consultation with Member States, to continue to promote the use of the Convention and the Protocols thereto to address the threats posed by transnational organized crime, particularly with respect to the various forms of crime within the scope of the Convention that are of common concern to Member States;

3. *Underlines* the need for the urgent adoption of a mechanism for the review of implementation of the Organized Crime Convention and the Protocols thereto, aimed at assisting States parties in the implementation of the Convention and the Protocols thereto, and urges Member States to continue to be actively engaged in this endeavour, on the basis of the work already accomplished by the open-ended intergovernmental

¹⁷United Nations, *Treaty Series*, vol. 2225, No. 39574.

¹⁸*Ibid.*, vols. 2237, 2241 and 2326, No. 39574.

working group on the review of the implementation of the United Nations Convention against Transnational Organized Crime and the Protocols thereto;

4. *Requests* the United Nations Office on Drugs and Crime, in consultation with Member States and in cooperation with the institutes of the United Nations crime prevention and criminal justice programme network, to continue its activities to strengthen the collection, analysis and reporting of accurate, reliable and comparable data on organized crime trends and patterns, in accordance with article 28 of the Convention;

5. *Also requests* the United Nations Office on Drugs and Crime to continue raising awareness of the negative impact of organized crime, through advocacy campaigns and other measures, including outreach to and partnerships with civil society and the private sector, commends the Office for its public service announcement on organized crime and ongoing media campaign and encourages States parties to support that campaign;

6. *Further requests* the United Nations Office on Drugs and Crime to brief Member States on the activities carried out by the United Nations system task force on transnational organized crime and drug trafficking as threats to security and stability;

7. *Requests* the United Nations Office on Drugs and Crime to continue providing technical assistance in order to support and complement national, regional and thematic programmes and activities based on the needs and priorities of Member States in combating transnational organized crime;

8. *Encourages* the United Nations Office on Drugs and Crime to make use of the technical assistance tools that have been developed, such as handbooks, digests and legal tools, and continue developing new tools, as appropriate, with a view to improving the capacity of States to implement the Convention and the Protocols thereto, and requests the Office to promote and disseminate such tools and to continue facilitating the exchange of experiences and good practices among practitioners, including through the Sharing Electronic Resources and Laws against Organized Crime knowledge management portal and an online digest newsletter;

9. *Acknowledges* the ongoing efforts made by the United Nations Office on Drugs and Crime, in consultation with Member States, to develop an integrated programme approach comprising thematic and regional programmes for the fulfilment of its normative and technical assistance mandate, and encourages States parties to draw on technical assistance activities included in the Office's regional programmes to increase regional cooperation in combating transnational organized crime;

10. *Welcomes* the activities carried out by the Working Group on International Cooperation and requests the United Nations Office on Drugs and Crime, in conjunction with Member States, to continue to establish networks and other mechanisms to facilitate formal and informal cooperation, including through regional and interregional meetings and exchanges of experience among practitioners, with a view to taking stock of and sharing knowledge acquired through the above-mentioned instruments and mechanisms and the Working Group;

11. *Also welcomes* the substantive debate and activities carried out by the Working Group on Trafficking in Persons, the Working Group on the Smuggling of Migrants and the Working Group on Firearms and underlines their contribution to facilitating the full implementation of the three Protocols supplementing the United Nations Convention against Transnational Organized Crime;

12. *Decides* that the mandates for the Working Group on Trafficking in Persons should be continued and that its areas for future work should reflect, as appropriate, the recommendations contained in the report of the Working Group,¹⁹ welcomes the United Nations Office on Drugs and Crime issue paper on abuse of a position of vulnerability and other means within the definition of trafficking in persons and requests the Secretariat to continue its work on the analysis of key concepts of the Protocol to Prevent, Suppress and Punish Trafficking in Persons, Especially Women and Children, supplementing the United Nations Convention on Transnational Organized Crime,²⁰ by preparing similar technical papers;

13. *Requests* the United Nations Office on Drugs and Crime to increase its inter-agency coordination work concerning efforts to combat trafficking in persons, especially regarding the work of the Inter-Agency Coordination Group against Trafficking in Persons, and to promote the use of new technologies to raise awareness of the issue of trafficking in persons, through activities such as virtual teaching and the involvement of adolescents and young people in the development of prevention strategies in order to keep them mindful of the responsible use of information and communication technologies;

14. *Welcomes* the outcomes of the joint discussion on trafficking in cultural property of the Working Group of Government Experts on Technical Assistance and the Working Group on International Cooperation, held at its sixth session, subject to the conditions noted in the report by the co-chairs, endorses the recommendations of the joint discussion of the two working groups,²¹ encourages Member States and the Secretariat to conduct further work on the matter, and requests the Secretariat to bring the specific guidelines on crime prevention and criminal justice responses with respect to trafficking in cultural property, after their finalization, to the attention of the Conference for the purpose of implementing the Convention;

15. *Decides* to continue to exchange information on experiences and practices with regard to the application of the Convention to existing and new forms and dimensions of transnational organized crime within the scope of the Convention and to the raising of cross-cutting legal issues that are of common concern to States parties and, to that end, requests the Working Group on International Cooperation to exchange information on experiences and practices in this field;

¹⁹CTOC/COP/WG.4/2011/8, paras. 46-51.

²⁰United Nations, *Treaty Series*, vol. 2237, No. 39574.

²¹[To be issued as] CTOC/COP/WG.2/2012/5-CTOC/COP/WG.3/2012/6.

16. *Welcomes* the report on technical assistance provided to States in the application of the Convention to new forms and dimensions of transnational organized crime,²² concerning cybercrime, maritime piracy, environmental crime, trafficking in cultural property, trafficking in organs and fraudulent medicines, encourages States parties to further strengthen their domestic laws, as appropriate, to prevent and combat new forms and dimensions of transnational organized crime, including when committed at sea, in a manner consistent with the Convention, and requests the United Nations Office on Drugs and Crime to support States parties with the relevant technical assistance;

17. *Draws* the attention of States parties to article 31 of the Convention and encourages them to develop appropriate strategies, policies and measures aimed at the prevention of transnational organized crime;

18. *Encourages* relevant international and regional organizations and civil society, including non-governmental organizations and the private sector, to strengthen their cooperation and work with States parties to the Convention and the Protocols thereto in order to achieve their full implementation;

19. *Urges* States parties to make adequate voluntary contributions to the account established in accordance with article 30, paragraph 2 (c), of the Convention for the provision of technical assistance;

20. *Also urges* States parties to promote, within the United Nations system, a strategic, proactive and holistic response to transnational organized crime, and requests the Secretariat to submit to the Conference, at its seventh session, a report on measures taken to implement the present resolution and to mainstream responses to transnational organized crime into the work of the United Nations system;

21. *Invites* Member States and other donors to provide extrabudgetary resources in accordance with the rules and procedures of the United Nations.

VII. Seventh session of the Conference of the Parties, 6 to 10 October 2014

Resolution 7/4

Implementation of the international cooperation provisions of the United Nations Convention against Transnational Organized Crime

The Conference of the Parties to the United Nations Convention against Transnational Organized Crime,

²²CTOC/COP/2012/7.

Recognizing the importance of international cooperation in efforts to combat all forms of transnational organized crime,

Recalling its decision 3/2 of 18 October 2006, in which it decided that an open-ended working group on international cooperation would be a constant element of the Conference,

Concerned that transnational organized crime has diversified globally, and that new and emerging forms require effective responses that depend upon strengthened international cooperation in criminal matters, including through the development of channels for timely cooperation,

Emphasizing the particular relevance of the United Nations Convention against Transnational Organized Crime²³ as a basis for international cooperation in extradition, mutual legal assistance and international cooperation for the purpose of confiscation, as well as for measures to enhance cooperation among law enforcement authorities,

Recalling that the Economic and Social Council, in its resolution 2014/17 of 16 July 2014, recommended to the General Assembly the adoption of a resolution on international cooperation in criminal matters,

Convinced that the establishment of bilateral and multilateral arrangements for mutual assistance in criminal matters can contribute to the development of more effective international cooperation to combat transnational crime, and mindful of the utility of the Organized Crime Convention both as a legal basis itself for international cooperation and for the interpretation and preparation of other bilateral and multilateral cooperation arrangements,

Convinced also that reports of practical use of the Organized Crime Convention as a legal basis for international cooperation demonstrate the importance and utility of the Convention as a valuable tool against transnational organized crime,

Welcoming the contribution made to effective international cooperation by regional networks of law enforcement authorities, judicial authorities and central authorities, including the Central American Network of Prosecutors against Organized Crime and the Network of West African Central Authorities and Prosecutors against Organized Crime,

Recognizing the importance of police cooperation and the exchange of information pursuant to article 27 of the Organized Crime Convention as an important foundation for building criminal prosecutions against crimes involving transnational organized criminal groups,

Recalling the provisions of the Organized Crime Convention concerning the designation of a central authority under article 18, paragraph 13, of the Convention, and acknowledging the importance of the coordinating role of a central authority for

²³United Nations, *Treaty Series*, vol. 2225, No. 39574.

mutual legal assistance with respect to both domestic and international coordination in the receiving, execution and transmittal of mutual legal assistance requests,

Noting that international cooperation is a fundamental part of the work carried out by the United Nations Office on Drugs and Crime to support Member States in the effective implementation of the Organized Crime Convention and the Protocols thereto,²⁴

Recalling its decision 2/2 of 19 October 2005, in which it requested the Secretariat to develop and maintain a directory of central authorities dealing with requests pertaining to mutual legal assistance, extradition and transfer of sentenced persons,

Acknowledging the efforts made by the United Nations Office on Drugs and Crime to further strengthen international cooperation tools, including the directory of central authorities and the Mutual Legal Assistance Request Writer Tool,

Anticipating the work of the forthcoming Thirteenth United Nations Congress on Crime Prevention and Criminal Justice relating to international cooperation, including at the regional level, to combat transnational organized crime,

Welcoming the work of the Working Group on International Cooperation,

1. *Endorses* the recommendations adopted by the Working Group on International Cooperation at its meeting held on 8 and 9 October 2014, during the seventh session of the Conference, annexed to the present resolution;

2. *Reaffirms* its decision 3/2, in which it decided that the Working Group should be a constant element of the Conference.

Annex

Recommendations adopted by the Working Group on International Cooperation at its meeting held on 8 and 9 October 2014

1. States should consider broadening the range of legal bases that they can rely on for law enforcement cooperation and judicial cooperation in criminal matters, including by considering concluding bilateral or multilateral agreements or arrangements that would serve the purposes of, give practical effect to or enhance international cooperation.

2. States should consider, when negotiating bilateral or multilateral agreements or arrangements with other States, making use of the Model Treaty on Extradition,²⁵ the Model Treaty on Mutual Assistance in Criminal Matters,²⁶ the Model Agreement on the

²⁴Ibid., vols. 2225, 2237, 2241 and 2326, No. 39574.

²⁵General Assembly resolution 45/116, annex, and resolution 52/88, annex.

²⁶General Assembly resolution 45/117, annex, and resolution 53/112, annex I.

Transfer of Foreign Prisoners,²⁷ the Model Bilateral Agreement on the Sharing of Confiscated Proceeds of Crime or Property²⁸ and other relevant model instruments.

3. States parties that have not notified the Secretary-General of the United Nations of the central authority designated for the purposes of article 18, paragraph 13, of the United Nations Convention against Transnational Organized Crime²⁹ should aim to fulfil that obligation expeditiously.

4. States parties should consider strengthening the coordinating role of central authorities designated under article 18, paragraph 13, of the Organized Crime Convention, including through developing strong links and effective lines of communication, as well as mechanisms for consultation, with competent authorities involved in the execution of requests for mutual legal assistance.

5. States should support central authorities in developing systems for tracking the status of requests, including after such requests have been transferred to a competent authority for execution.

6. States should examine possibilities for central authorities to collect and disseminate statistical information on mutual legal assistance requests, including the nature of the assistance requested or provided and the legal basis for such cooperation.

7. States should encourage central authorities to make available clear guidance on their respective procedures and requirements for submission of mutual legal assistance requests.

8. States should seek, in appropriate cases, to consult informally prior to the formal submission of a request for extradition or mutual legal assistance.

9. States should consider ensuring that central authorities exercise quality control of requests, including with respect to translation and supporting documentation.

10. States parties should ensure that central authorities are aware of the requirement under article 18, paragraph 26, of the Organized Crime Convention to consult with a requesting State party prior to any refusal of a request for mutual legal assistance.

11. States should consult regularly with partners with which they have a substantial number of cases in order to review the execution of requests and discuss relevant legal standards.

12. States should consider enhancing training for the staff of central authorities and other relevant institutions engaged in the judicial cooperation process.

²⁷*Seventh United Nations Congress on the Prevention of Crime and the Treatment of Offenders, Milan, 26 August-6 September 1985: report prepared by the Secretariat* (United Nations publication, Sales No. E.86 IV.1), chap. I, sect. D.1, annex I.

²⁸Economic and Social Council resolution 2005/14, annex.

²⁹United Nations, *Treaty Series*, vol. 2225, No. 39574.

13. States should consider supporting technical assistance efforts, including as undertaken by the United Nations Office on Drugs and Crime, to strengthen knowledge and capacity within central authorities and other relevant institutions.

14. States should look for opportunities in relevant multilateral forums to engage with counterparts from other central authorities, with a view to exchanging experiences and good practices.

15. States should, as appropriate, continue working with the United Nations Office on Drugs and Crime in the establishment of new regional networks of central authorities or judicial authorities, as well as in the implementation, strengthening and financial support of existing networks, including the Central American Network of Prosecutors against Organized Crime and the Network of West African Central Authorities and Prosecutors against Organized Crime.

16. States may consider, if needed and feasible, placing liaison magistrates or liaison officers in the capitals of other countries, with a view to enhancing the effectiveness of international cooperation.

17. States should consider using new forms of technology, including, where appropriate, online platforms, to enhance their ability to securely share information to combat transnational organized crime.

18. States should consider, with the assistance of the Secretariat and subject to the availability of extrabudgetary resources, the possibility of developing a global network through a virtual environment, for the purpose of establishing and enhancing direct contact between central authorities.

19. The Secretariat should undertake efforts to collect information from States on different possible models for central authorities for mutual legal assistance, with a view to sharing experiences with States wishing to establish or strengthen a central authority, as well as with a view to gaining a better understanding of the functioning and operation of central authorities at the national and international levels.

20. The Secretariat should continue its work to collect and disseminate, including through the knowledge management portal known as Sharing Electronic Resources and Laws on Crime, relevant national laws, guidelines and materials that can assist practitioners in the preparation and submission of requests for mutual legal assistance.

21. The Secretariat should continue the further development of tools for international cooperation in criminal matters, including the Mutual Legal Assistance Request Writer Tool, with a view to supporting central authorities in strengthening communication channels and, as appropriate, in exchanging information at both the regional and international levels.

22. The Secretariat should consider, in consultation with States, the possibility of updating the *Legislative Guides for the Implementation of the United Nations Convention against Transnational Organized Crime and the Protocols Thereto*.³⁰

³⁰United Nations publication, Sales No. E.05.V.2.

23. The Conference of the Parties to the United Nations Convention against Transnational Organized Crime should consider reaffirming the importance of the Working Group on International Cooperation continuing to meet as a constant element of the Conference in order to exchange information and experiences on good practices, and encouraging States to send practitioners to attend, as appropriate.

VIII. Eighth session of the Conference of the Parties, 17 to 21 October 2016

Resolution 8/1

Enhancing the effectiveness of central authorities in international cooperation in criminal matters to counter transnational organized crime

The Conference of the Parties to the United Nations Convention against Transnational Organized Crime,

Recalling that article 18, paragraph 1, of the United Nations Convention against Transnational Organized Crime³¹ requires States parties to afford one another the widest measure of mutual legal assistance in investigations, prosecutions and judicial proceedings in relation to the offences covered by the Convention, and that article 18, paragraph 13, requires States parties to designate a central authority with the responsibility and power to receive requests for mutual legal assistance and either to execute them or to transmit them to the competent authorities for execution,

Recognizing that General Assembly resolutions [69/193](#) of 18 December 2014 and [70/174](#) of 17 December 2015 and Economic and Social Council resolution 2014/17 of 16 July 2014 call attention to the growing and important role of central authorities in countering transnational crime, including transnational organized crime,

Convinced that “serious crime” and “organized criminal group”, as defined in article 2 of the Convention, enable a State party, in particular through its central authority, to request and provide assistance to other States parties with regard to a wide range of offences that are transnational in nature, and taking note of article 3 of the Convention,

Reaffirming the Doha Declaration on Integrating Crime Prevention and Criminal Justice into the Wider United Nations Agenda to Address Social and Economic Challenges and to Promote the Rule of Law at the National and International Levels, and Public Participation,³² especially its subparagraph 8 (a), in which Member States stated that they would strive to promote and strengthen international and regional cooperation to further develop the capacity of national criminal justice systems, including through efforts to

³¹United Nations, *Treaty Series*, vol. 2225, No. 39574.

³²General Assembly resolution [70/174](#), annex.

modernize and strengthen national legislation, as appropriate, as well as joint training and upgrading of the skills of criminal justice officials, in particular to foster the development of strong and effective central authorities for international cooperation in criminal matters,

Recalling the recommendations of the Working Group on International Cooperation, particularly those that seek to strengthen and enhance the effectiveness of central authorities through direct contact; networks in a virtual environment; liaison activity, including consultations; case tracking; capacity-building and specialized training; and use of technology,

Taking note of the recommendations of the Working Group on International Cooperation that focus on strengthening the internal capacity of central authorities, including by exercising quality control, enhancing coordination functions and directing matters to other channels of cooperation, such as police-to-police cooperation,

Expressing appreciation for the tools developed by the United Nations Office on Drugs and Crime to support implementation of the Convention by central authorities, including its directory of competent national authorities, the knowledge management portal known as Sharing Electronic Resources and Laws on Crime and the continued development of the Mutual Legal Assistance Request Writer Tool,

Recognizing that central authorities are most effective when they are properly staffed, equipped, empowered and engaged to carry out their core responsibilities regarding international cooperation under the Convention,

1. *Invites* States that have not yet done so to consider ratifying or acceding to the United Nations Convention against Transnational Organized Crime and the Protocols thereto³³ and to effectively implement their provisions;
2. *Urges* States parties to afford one another the greatest measure of assistance, in accordance with the provisions of the Convention, as well as their domestic laws;
3. *Reminds* States parties of their obligation to designate a central authority in criminal matters, pursuant to article 18, paragraph 13, of the Convention, and to notify the Secretariat of its designation for inclusion in the directory of competent national authorities;
4. *Encourages* States parties, consistent with their national legal frameworks, to make the widest possible use of the Convention as a basis for international cooperation;
5. *Requests* States parties, taking into account that the purpose of the Convention is to promote cooperation to prevent and combat transnational organized crime more effectively, to permit direct communication and transmission of requests between central authorities, and encourages them, when appropriate and feasible, to place liaison magistrates or officers in capitals of other States parties, to the fullest extent permitted under their domestic laws;

³³United Nations, *Treaty Series*, vols. 2225, 2237, 2241 and 2326, No. 39574.

6. *Encourages* States parties to make the fullest and most effective use of available technology to facilitate cooperation between central authorities, including online resources developed at the national level and relevant tools created by the United Nations Office on Drugs and Crime, such as the knowledge management portal known as Sharing Electronic Resources and Laws on Crime and the Mutual Legal Assistance Request Writer Tool, and to develop virtual networks between and among central authorities and explore the feasibility of secure electronic communications;

7. *Calls upon* States parties to staff, equip and empower central authorities so that those authorities play an effective coordinating role among various government agencies within a State party and with other States parties in order to ensure effective implementation of the Convention regarding international cooperation in criminal matters, and to help facilitate the timely execution of requests for assistance or cooperation, including, where appropriate, requests for electronic evidence;

8. *Invites* States parties to ensure that policies and procedures are established and made available in order to enhance the effectiveness of, and create efficiencies for, central authorities, including policies and procedures to effectively use, when appropriate, other channels of cooperation, such as law enforcement to law enforcement, prosecutor to prosecutor or investigating magistrate to investigating magistrate, in accordance with domestic law;

9. *Encourages* States parties to take measures to improve the quality of requests for international cooperation, including by improving their clarity, precision and translation and by minimizing documentation, and to consider prioritizing incoming and outgoing requests according to their urgency, seriousness of the offence and type of assistance requested;

10. *Emphasizes* the importance of contact and consultation between central authorities from both requesting and requested States parties, where appropriate, in order to support effective international cooperation, both before the submission of a request for international cooperation, to ensure that the request is legally and factually sufficient under the domestic law of the requested State party, and after the submission of a request, to clarify specific matters and to allow for consultations before refusing or partially refusing a request for assistance, consistent with article 16, paragraph 16, and article 18, paragraph 26, of the Convention;

11. *Strongly encourages* States parties to facilitate engagement between and among central authorities in person, including through regional networks, or by virtual means, such as videoconferences, and highlights the particular importance of engagement between central authorities in order to review the execution of requests, discuss impediments to mutual cooperation and identify solutions to those challenges;

12. *Urges* States parties, including in collaboration with the United Nations Office on Drugs and Crime, to promote training and technical assistance to facilitate international cooperation under the Convention, and, in this regard, encourages States parties to prioritize efforts to strengthen knowledge and capacity within their central authorities and other relevant institutions, including efforts to preserve the confidentiality of requests for international cooperation and their contents, if requested;

13. *Requests* States parties to support central authorities in establishing or strengthening, as appropriate, systems for tracking the status of requests for international cooperation, including after such requests have been transferred to a competent authority for execution, and encourages States parties to collect and make available statistical information about requests, including the forms of assistance sought, the legal bases invoked and time periods for processing requests;

14. *Reaffirms* its decision 3/2 of 18 October 2006, in which it decided that the Working Group on International Cooperation would be a constant element of the Conference of the Parties to the United Nations Convention against Transnational Organized Crime;

15. *Congratulates* the Working Group on International Cooperation on its tenth anniversary as a forum for government experts, including practitioners, to engage, identify common issues and solutions and generate practical recommendations for international cooperation;

16. *Endorses* the recommendations adopted by the Working Group on International Cooperation at its meetings held on 27 and 28 October 2015 and from 19 to 21 October 2016, annexed to the present resolution, and encourages States parties to implement them;

17. *Encourages* States parties to facilitate the active participation of central authorities in the relevant meetings of the Conference and its working groups, particularly the Working Group on International Cooperation, to share good practices and lessons learned with respect to international cooperation and to strengthen relationships among government experts, especially practitioners;

18. *Requests* the United Nations Office on Drugs and Crime to schedule future meetings of the Working Group on International Cooperation to facilitate the participation of central authorities and make the best possible use of existing resources, including by coordinating with other international meetings and capacity-building activities on international cooperation, and encourages States parties to consider holding bilateral and/or multilateral meetings of representatives of central authorities, including on the margins of the Working Group on International Cooperation, to discuss issues of common interest;

19. *Invites* States parties and other donors to provide extrabudgetary resources for the purposes of this resolution in accordance with the rules and procedures of the United Nations;

20. *Requests* the Secretariat to report to the Conference at its ninth session on the implementation of the present resolution.

Annex I

Recommendations formulated by the Working Group on International Cooperation at its meeting held in Vienna on 27 and 28 October 2015

The following recommendations were formulated by the Working Group on International Cooperation:

(a) The Secretariat should continue to develop training material on the collection and sharing of electronic evidence under the framework of the United Nations Convention against Transnational Organized Crime,³⁴ for further use in technical assistance activities;

(b) The Secretariat should continue to mainstream the topic of electronic evidence into existing and future tools on international cooperation in criminal matters and request States to provide relevant information and materials for inclusion in the knowledge management portal known as Sharing Electronic Resources and Laws on Crime;

(c) Member States should enhance the efficiency of law enforcement cooperation mechanisms by, inter alia, developing effective systems of information-sharing, establishing channels of communication between their competent authorities and, if needed, concluding arrangements to foster operational assistance;

(d) Member States should consider examining ways and means to foster international cooperation involving, inter alia, the use of electronic evidence, the preservation of such evidence and, in particular, the examination of possibilities to expedite formal mutual legal assistance processes;

(e) Member States should consider encouraging practitioners, in appropriate cases, to consult informally prior to making a formal request for extradition or mutual legal assistance; in doing so, States parties should promote initiatives to make available clear guidance on their procedures and requirements for making such requests;

(f) Member States should consider supporting technical assistance efforts, including as undertaken by the United Nations Office on Drugs and Crime, geared towards developing training programmes to improve the capacities of domestic law enforcement officers, including those who may serve as liaison officers, and of liaison magistrates, and enhance their knowledge on, inter alia, applicable international instruments and the domestic legal systems of host countries and their criminal procedure laws, including requirements on admissibility of evidence in courts;

(g) The Secretariat should continue the further development of tools for international cooperation in criminal matters, including the finalization of the revised Mutual Legal Assistance Request Writer Tool, and should report to the Conference of the Parties to the Organized Crime Convention at its eighth session on the pilot phase to test the use of this tool in practice as training material;

(h) The Secretariat should continue its work to collect and disseminate, including through the Sharing Electronic Resources and Laws on Crime knowledge management portal, relevant national laws, guides and guidelines that can assist central authorities and practitioners in the preparation and expeditious submission of requests for mutual legal assistance;

³⁴United Nations, *Treaty Series*, vol. 2225, No. 39574.

(i) In order to strengthen direct contact between central authorities, the Secretariat should adjust the directory of competent national authorities under articles 6, 7 and 17 of the United Nations Convention against Illicit Traffic in Narcotic Drugs and Psychotropic Substances of 1988³⁵ and competent national authorities under the Organized Crime Convention by separating the directory into two parts, with one part containing information on central authorities designated under various treaty provisions related to mutual legal assistance, including contact information, accepted languages and acceptable forms of transmission of requests, and the other part containing information on other competent authorities and/or executing authorities, as appropriate, and on channels and information for informal cooperation;

(j) The Secretariat should invite States parties to update the notification requirement under article 16, paragraph 5 (a), of the Organized Crime Convention and consider making such updated information widely available;

(k) In view of some reports of parties not accepting the Organized Crime Convention as a basis for legal assistance under article 18, paragraph 7, of the Convention, States parties should take steps to enhance the use of the Organized Crime Convention as a legal basis for mutual legal assistance, bearing in mind its added value as an instrument that facilitates international cooperation for a wide range of offences and to the broadest extent possible; States parties should also ensure that their domestic laws and practice conform with article 18 of the Convention;

(l) Member States should consider, with the assistance of the Secretariat and subject to the availability of extrabudgetary resources, the possibility of developing a global network, through a virtual environment, for the purpose of establishing and enhancing direct contact between central authorities;

(m) The Secretariat should undertake the updating, finalization and validation of the draft report of the informal expert working group on joint investigations, including its conclusions and recommendations, which was brought to the attention of the Conference of the Parties at its fourth session in conference room paper CTOC/COP/2008/CRP.5;

(n) States parties are invited to consider including in their delegations to future sessions of the working group practitioners in charge of matters related to the international cooperation provisions of the Convention and to encourage their active participation in the meetings of the Working Group;

(o) States parties, in coordination with the Secretariat, should consider scheduling future meetings of the Working Group in such a manner (such as back to back with other relevant meetings) so as to facilitate participation from practitioners and to make the best possible use of Government and Conference resources.

³⁵Ibid., vol. 1582, No. 27627.

Annex II

Recommendations formulated by the Working Group on International Cooperation at its meeting held in Vienna from 19 to 21 October 2016

1. The following recommendations were formulated by the Working Group on International Cooperation:

(a) States parties to the United Nations Convention against Transnational Organized Crime³³ should provide information, in particular statistical data, on the use of the Convention for international cooperation in criminal matters, including data identified in paragraph 13 of Conference of the Parties to the United Nations Convention against Transnational Organized Crime resolution 8/1, entitled “Enhancing the effectiveness of central authorities in international cooperation in criminal matters to counter transnational organized crime”, in order to support an active dialogue in the Working Group and a more thorough understanding of the effectiveness of the Convention;

(b) States parties to the Organized Crime Convention should review and update, if appropriate, their notifications and declarations on articles related to international cooperation, in particular articles 13, 16 and 18, received by the Secretary-General at the time of deposit of their instruments of ratification, acceptance, approval or accession and also made in accordance with pertinent decisions of the Conference of the Parties, with a view to facilitating more flexible and effective implementation of those provisions;

(c) States parties should enhance measures for the identification, tracing, freezing, seizure and recovery of proceeds of crime, where derived from offences covered by the Convention, including those related to tax evasion, for the purpose of their eventual confiscation and their transparent disposal;

(d) States parties should consider developing mechanisms that would allow for more timely and effective cooperation between central authorities, as well as law enforcement authorities, prosecutors and judicial authorities, in border areas, particularly in conurbation areas, and should also consider sharing such experiences in future meetings of the Working Group;

(e) Concerned States parties should consider developing and promoting existing regional networks, such as the Network of West African Central Authorities and Prosecutors against Organized Crime, the Ibero-American Network for International Legal Cooperation, the Camden Asset Recovery Inter-Agency Network and the judicial cooperation network of the League of Arab States, to continue building trust and confidence and improving international cooperation in criminal matters, and further promoting meetings for face-to-face interaction, using established mechanisms and bodies;

(f) The United Nations Office on Drugs and Crime should, in addition to its work in regularly updating the directory of competent national authorities, create and regularly update a mailing list of experts and practitioners from States parties to the Convention containing their contact details, which can be made available in a secure environment or further circulated among experts;

(g) The Conference of the Parties to the Organized Crime Convention should make use of all information available to the Working Group on International Cooperation for, inter alia, giving effect to the provisions of article 32 of the Convention as a means of reducing the burden for practitioners and avoiding duplication, where appropriate, by

using the knowledge management portal known as Sharing Electronic Resources and Laws on Crime;

(b) The United Nations Office on Drugs and Crime should, in cooperation with other partner organizations active in the field of international cooperation to combat transnational organized crime, where appropriate and subject to the availability of resources, undertake training activities on the use of the Organized Crime Convention to foster such cooperation, including for the purpose of raising awareness about the usefulness of the Mutual Legal Assistance Request Writer Tool and for training practitioners who work in central authorities on the use of the Tool and disseminating the Tool further at the national, regional and international levels.

2. The Working Group recommended that the Conference of the Parties include, *inter alia*, the following issues in thematic discussions at future meetings of the Working Group:

(a) Practical considerations, good practices and challenges encountered in the area of transfer of criminal proceedings as a separate form of international cooperation in criminal matters;

(b) The sharing of electronic evidence and pertinent challenges in the field of international cooperation, including how to cooperate with respect to the use of virtual currency in criminal activities and, where appropriate, issues regarding decrypting data;

(c) Mutual legal assistance with respect to investigations, prosecutions and judicial proceedings in relation to offences covered by the Organized Crime Convention for which a legal person may be held liable (article 18, paragraph 2, in conjunction with article 10 of the Convention), taking into account the work done by States parties to the United Nations Convention against Corruption³⁶ in that regard;

(d) International cooperation in civil and administrative proceedings in relation to the offences covered by the Convention, including for the identification, freezing and confiscation of assets derived from such offences, and the interplay of those proceedings with international cooperation in criminal matters, taking into account the work done by the Conference of the States Parties to the United Nations Convention against Corruption in that regard.

3. The Working Group also recommended that the Conference of the Parties to the Organized Crime Convention continue including in the agenda of future meetings of the Working Group the issue of implementation of articles 13 and 14 of the Convention.

³⁶*Ibid.*, vol. 2349, No. 42146.

IX. Ninth session of the Conference of the Parties, 15 to 19 October 2018

Resolution 9/3

Implementation of the provisions on international cooperation of the United Nations Convention against Transnational Organized Crime

The Conference of the Parties to the United Nations Convention against Transnational Organized Crime,

Noting that international cooperation has a prominent place within the overall context of the United Nations Convention against Transnational Organized Crime³⁷ and that addressing related issues constitutes a fundamental part of the work carried out by the United Nations Office on Drugs and Crime to assist States parties in the effective implementation of the Convention and the Protocols thereto,³⁸

Recalling its decision 2/2 of 19 October 2005, entitled “Implementation of the international cooperation provisions of the United Nations Convention against Transnational Organized Crime”, to establish, at its third session, an open-ended working group to hold substantive discussions on practical issues pertaining to extradition, mutual legal assistance and international cooperation for the purpose of confiscation,

Reaffirming its decision 3/2 of 18 October 2006, entitled “Implementation of the provisions on international cooperation in the United Nations Convention against Transnational Organized Crime”, under which an open-ended working group on international cooperation was to be a constant element of the Conference of the Parties,

Recalling its decision 4/2 of 17 October 2008 and its resolution 5/8 of 22 October 2010, both entitled “Implementation of the provisions on international cooperation of the United Nations Convention against Transnational Organized Crime”, its resolution 6/1 of 19 October 2012, entitled “Ensuring effective implementation of the United Nations Convention against Transnational Organized Crime and the Protocols thereto”, and its resolution 7/4 of 10 October 2014, entitled “Implementation of the international cooperation provisions of the United Nations Convention against Transnational Organized Crime”,

Also recalling its resolution 8/1 of 21 October 2016, entitled “Enhancing the effectiveness of central authorities in international cooperation in criminal matters to counter transnational organized crime”, in which the Conference urged States parties to afford one another the greatest measure of assistance, in accordance with the provisions of the Convention, as well as their domestic laws, and encouraged States parties, consistent with their national legal frameworks, to make the widest possible use of the Convention as a basis for international cooperation,

³⁷United Nations, *Treaty Series*, vol. 2225, No. 39574.

³⁸*Ibid.*, vols. 2237, 2241 and 2326, No. 39574.

Welcoming the work of the Working Group on International Cooperation,

1. *Endorses* the recommendations adopted by the Working Group on International Cooperation at its eighth meeting, held from 9 to 13 October 2017, which are contained in annex I to the present resolution;

2. *Also endorses* the recommendations adopted by the Working Group on International Cooperation at its ninth meeting, held from 28 to 31 May 2018, which are contained in annex II to the present resolution;

3. *Further endorses* the recommendations adopted by the Working Group on International Cooperation at its tenth meeting, held on 16 October 2018, which are contained in annex III to the present resolution.

Annex I

Recommendations adopted by the Working Group on International Cooperation at its eighth meeting, held from 9 to 13 October 2017

The Working Group on International Cooperation, at its eighth meeting, held from 9 to 13 October 2017 in conjunction with the tenth meeting of the Working Group of Government Experts on Technical Assistance,³⁹ adopted the following recommendations for endorsement by the Conference:

(a) States parties to the United Nations Convention against Transnational Organized Crime³⁶ are encouraged to make use, where appropriate and applicable, of the Convention as a legal basis for transferring criminal proceedings to another State party in relation to the offences covered by the Convention and the Protocols thereto and in accordance with the requirements set forth in article 21 of the Convention;

(b) As part of their preparations for a formal request for assistance and with a view to avoiding additional costs and duplication of work, in particular in the field of transfer of criminal proceedings, including in cases provided for in national legislation and involving joint investigative teams, States parties are encouraged to consider engaging in consultations, before and during the preparation of international cooperation requests, in order to identify needs and to assess the appropriateness of those requests and ways to deal with the practicalities of such cooperation;

(c) In assessing whether a request for the transfer of criminal proceedings should be made, States parties should consider, *inter alia*, existing bases of criminal jurisdiction, how to best serve the interests of the proper administration of justice, the interests and rights of the persons involved (offenders and victims), the costs to be incurred and national sovereignty issues;

(d) In implementing article 21 of the Convention and concluding bilateral treaties or agreements on the transfer of criminal proceedings, States parties may consider making full use of the Model Treaty on the Transfer of Proceedings in Criminal Matters as a guidance tool;

³⁹See [CTOC/COP/WG.2/2017/4-CTOC/COP/WG.3/2017/4](#).

(e) States parties should make use of existing regional judicial cooperation networks to facilitate discussions on conflicts of criminal jurisdiction and ways to address them;

(f) The Secretariat should assist the Conference in compiling material and information received from States parties on best practices, including practical considerations, in the field of transfer of criminal proceedings;

(g) States parties should continue their efforts to facilitate the active participation of central authorities and law enforcement in the relevant meetings of the Conference and its working groups, in particular the Working Group on International Cooperation;

(h) To further support the exchange of practical expertise among practitioners in the field of international cooperation, the Secretariat should continue to seek to organize, within its mandate, subject to the availability of resources and with a view to making best use of such resources, practically oriented expert group meetings either on the margins of the meetings of the Working Group or in conjunction with those of relevant intergovernmental bodies;

(i) The Conference may wish to consider building partnerships with existing regional judicial cooperation networks to enhance coordination mechanisms among them, including through regular meetings in Vienna, subject to the availability of resources and in conjunction with meetings of relevant intergovernmental bodies;

(j) The Conference may wish to consider asking the Secretariat to continue to undertake, subject to the availability of resources, training activities for both criminal justice and law enforcement authorities and private sector entities (service providers), at the national and regional levels, on the gathering and sharing of electronic evidence and on international cooperation relating to such evidence, within the framework of the Convention;

(k) The Conference may wish to consider inviting the Secretariat to assist it and its Working Group on International Cooperation in maintaining communication with the Expert Group to Conduct a Comprehensive Study on Cybercrime, within their respective mandates and keeping the bureaux of both groups informed;

(l) States parties should consider taking legal measures to prevent the use of cryptocurrencies for money-laundering, including in States where cryptocurrencies are not banned, by requiring that companies dealing with cryptocurrencies comply with anti-money-laundering requirements, such as those relating to customer due diligence, establishing the source, destination and purpose of the movement of proceeds of crime and tackling the financing of terrorism;

(m) States parties that have not done so are invited to consider amending their legislation to define clear rules of admissibility of evidence in court, as well as requirements for the conduct of special investigative techniques, for consideration and application in cases of electronic evidence obtained in foreign jurisdictions, and to revise, where appropriate, their existing procedures for mutual legal assistance to adapt them to requests for obtaining and handling electronic evidence;

(n) States parties are invited to build or enhance effective networks for information-sharing for the purpose of obtaining electronic evidence.

Annex II

Recommendations adopted by the Working Group on International Cooperation at its ninth meeting, held from 28 to 31 May 2018

The Working Group on International Cooperation, at its ninth meeting, held from 28 to 31 May 2018 in conjunction with the eleventh meeting of the Working Group of Government Experts on Technical Assistance,⁴⁰ formulated the following recommendations:

(a) States parties to the United Nations Convention against Transnational Organized Crime³⁶ should consider providing to the Secretariat information about their procedural requirements for incoming extradition and mutual legal assistance requests so that the Secretariat may further disseminate that information or make it more widely available, as appropriate and for technical assistance needs;

(b) States parties, in their extradition practice, should give due consideration to article 16, paragraph 5 (b), of the Convention, which governs the conclusion of treaties on extradition, and should consider simplifying evidentiary requirements in extradition proceedings in accordance with paragraph 8 of that article;

(c) States parties are encouraged to consider making more frequent or regular use of informal consultations at different stages of extradition proceedings, mutual legal assistance proceedings and proceedings to transfer sentenced persons, so as to enable the exchange of information on legal requirements or facilitate decision-making in such proceedings, including, where appropriate, prior to and after the refusal of such requests. Such efforts could include steps to inform the requesting countries of potential problems with the requests. With regard to extradition, such efforts could also include informing requesting States of likely defence arguments that could be raised, and giving the requesting State an opportunity to provide additional information or evidence to support an extradition request. The requested State should also inform the requesting State about an adverse court decision in time to allow the requesting State, where appropriate, to provide the information needed for an appeal within the proper time frame;

(d) States parties are encouraged to devote further attention to the need to raise awareness about the utility and added value of the Convention as a legal basis for international cooperation and to enhance the effectiveness of implementation of its pertinent provisions through training and capacity-building;

(e) States parties should consider further promoting the direct transmission of international cooperation requests between central authorities to streamline and expedite international cooperation in criminal matters under the Organized Crime Convention, in accordance with article 18, paragraph 13, of that Convention;

(f) States parties are encouraged to make the best possible use of resources to increase the efficiency and effectiveness of central and/or other competent authorities in dealing with international cooperation requests. In doing so, States parties may wish to consider putting in place or request technical assistance, for the development of case management systems within their central authorities to monitor and better administer the increasing workload occasioned by such requests;

⁴⁰See [CTOC/COP/WG.2/2018/3-CTOC/COP/WG.3/2018/3](#).

(g) States and other technical assistance providers, including the United Nations Office on Drugs and Crime, are encouraged to incorporate measures to enhance training and technical assistance for central authorities responsible for mutual legal assistance, and competent authorities for extradition to help States parties in their implementation of the Convention;

(h) States parties are encouraged to facilitate the active participation of national experts in mutual legal assistance and extradition in forums such as the Working Group on International Cooperation, thus facilitating the exchange of good practices and challenges, promoting direct dialogue between practitioners regarding the implementation of the Convention and maximizing the value of such forums.

Annex III

Recommendations adopted by the Working Group on International Cooperation at its tenth meeting, held on 16 October 2018

The Working Group on International Cooperation, at its tenth meeting, held on 16 October 2018, adopted the following recommendations for endorsement by the Conference:

(a) States parties to the United Nations Convention against Transnational Organized Crime³⁶ are encouraged to continue their efforts to expedite extradition procedures and simplify evidentiary requirements relating thereto pursuant to article 16, paragraph 8, of the Convention and, in general, to trigger, where necessary, internal reviews for possible reform of their extradition regimes with a view to simplifying extradition procedures where the person sought consents to his or her surrender to the requesting State and trying to minimize opportunities for delays in the extradition process;

(b) States are encouraged to build their extradition relations on mutual trust and confidence and to strengthen, for that purpose, communication and coordination, including by enhancing the practice of formal and informal consultations at various stages of extradition proceedings, in particular with regard to the exchange of information on legal requirements and the identity of the person sought;

(c) States are encouraged, if they have not done so, to consider putting in place inter-agency coordination mechanisms to discuss practical aspects pertaining to the execution of incoming requests for extradition, as well as ways and means to expedite the execution of such requests;

(d) States are encouraged to foster and further promote the cooperation of their central authorities, including in extradition cases, through networking and regular contacts;

(e) States parties should continue their efforts to facilitate the active participation of central authorities in the relevant meetings of the Conference and its working groups, in particular the Working Group on International Cooperation;

(f) Where necessary, States should benefit from the regular exchange of information about and best practices in the provision and enforcement of assurances and guarantees in extradition proceedings regarding the treatment of the person sought in the requesting State, including though the exchange of pertinent jurisprudence in the field of human rights in similar cases;

(g) When refusal of an extradition request is a possible outcome, States are encouraged, in specific circumstances and for humanitarian reasons that are present at the time of the decision, to consider the option of postponing the surrender of the person sought instead;

(h) Subject to the availability of extrabudgetary resources, the Secretariat should conduct research with a view to preparing a discussion paper that would map an overview of practical considerations and challenges that authorities encounter, as well as lessons they have learned and good practices they have identified, in reconciling the need for observing and protecting the human rights of the person sought with the effectiveness of extradition proceedings, and in addressing efficiently the interplay between, on one hand, refugee and asylum proceedings and, on the other, extradition proceedings;

(i) States parties are encouraged to continue making use, where appropriate and applicable, of the Convention as a legal basis for international cooperation in criminal matters, including extradition;

(j) States parties are encouraged to provide the United Nations Office on Drugs and Crime with updated legal frameworks and concrete cases in which the Convention has been used as legal basis for international cooperation with a view to expanding the information already available in the knowledge management portal known as Sharing Electronic Resources and Laws on Crime and, subject to the availability of extrabudgetary resources, preparing a digest of cases that incorporates accumulated knowledge on this issue and has the potential of being updated regularly.

**Thematic index of
recommendations and
relevant resolutions
and decisions**

THEMATIC INDEX

Topic	Meeting report			Paragraphs/ recommendations in compendium (section/paragraph/subparagraph)
	Document symbol	Resolution/ decision	Paragraphs (subtopics)	
Agreements or arrangements (bilateral or multilateral)	CTOC/COP/WG.3/2014/4		2 (a) and (b)	See part B.VII (resolution 7/4 , annex, 1 and 2)
	CTOC/COP/2006/14	Decision 3/2	(d)	Part B III.d
	CTOC/COP/2008/19	Decision 4/2	(k)	IV.k
	CTOC/COP/2010/17	Resolution 5/8	5 (b) (extradition)	V.5.b
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<i>aut dedere aut judicare principle</i>	CTOC/COP/2008/19	Decision 4/2	(c) (i)	IV.c.i
Authorities, central	CTOC/COP/WG.3/2010/1		3 (c), (d), (g) and (k)	Part A III.3.c , III.3.d , III.3.g and III.3.k
	CTOC/COP/WG.3/2012/5		3 (a)	IV.3.a
	CTOC/COP/WG.3/2014/4		2 (c)–(g), (i), (j), (l)–(o), (r), (s) and (u)	See part B.VII (resolution 7/4 , annex, 3–7, 9, 10, 12–15, 18, 19 and 21)
	CTOC/COP/WG.3/2015/4		2 (h), (i), (l) and (n)	See part B.VIII (resolution 8/1 , annex I, (h), (j), (l) and (n))
	CTOC/COP/WG.3/2016/4		2 (d) and (h)	See part B.VIII (resolution 8/1 , annex II, 1 (d) and (h))
	CTOC/COP/WG.2/2017/4 – CTOC/COP/WG.3/2017/4		6 (g)	See part B.IX (resolution 9/3 , annex I, (g))
	CTOC/COP/WG.2/2018/3 – CTOC/COP/WG.3/2018/3		6 (e)–(h)	See part B.IX (resolution 9/3 , annex II, (e)–(h))
	CTOC/COP/WG.3/2018/6		2 (d) and (e)	See part B.IX (resolution 9/3 , annex III, (d) and (e))

Notes: The shaded areas of the index contain references to the resolutions and decisions of the Conference of the Parties.
The abbreviation “PP” stands for preambular paragraph.

Topic	Meeting report			Paragraphs/ recommendations in compendium [section/paragraph/subparagraph]
	Document symbol	Resolution/ decision	Paragraphs (subtopics)	
Authorities, central <i>(continued)</i>	CTOC/COP/2005/8	Decision 2/2	(d) (secure directory of authorities for mutual legal assistance) (f) (directory of authorities dealing with extradition and transfer of sentenced persons) (h) (representation in meetings of the Conference of the Parties/Working Group)	Part B II.d , II.f and II.h
	CTOC/COP/2006/14	Decision 3/2	(e) (awareness of the Organized Crime Convention in central authorities) (f) and (g) (online directory) (h) (notification of Secretariat) (k) (designation pursuant to art. 18 of the Convention) (l) (best practices) (q) (domestic coordination) (t) (workshops for central authorities) (u) (building up a virtual network by considering the establishment of a discussion forum on a secure network; use of regional networks)	III.e-h , III.k , III.l , III.g , III.t and III.u
	CTOC/COP/2008/19	Decision 4/2	(l) (training) (n) (Mutual Legal Assistance Request Writer Tool) (o) (online directory) (p) (expansion of online directory) (q) (information on designated authorities) (t) (regional workshops) (w) (Secretariat to consider establishing a discussion forum on a secure network; use of existing regional networks)	IV.l , IV.n-g , IV.t and IV.w
	CTOC/COP/2010/17	Resolution 5/8	PP 1 (need to strengthen central authorities)	V.PP.1

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Topic	Meeting report			Paragraphs/ recommendations in compendium (section/paragraph/subparagraph)
	Document symbol	Resolution/ decision	Paragraphs (subtopics)	
Authorities, central <i>(continued)</i>	<u>CTOC/COP/2014/13</u>	Resolution 7/4	Annex 3 (notification requirement) 4 (coordinating role) 5 (systems for tracking status of requests) 6 (statistics) 7 (guidance on procedures and requirements) 9 (quality control) 10 (consultations) 12 and 13 (training) 14 (exchange of experiences and good practices) 15 (regional networks) 18 (global network through a virtual environment) 19 (information on models) 21 (use of tools)	<u>VII, annex, 3–7, 9, 10, 12–15, 18, 19 and 21</u>

Topic	Meeting report			Paragraphs/ recommendations in compendium [section/paragraph/subparagraph]
	Document symbol	Resolution/ decision	Paragraphs (subtopics)	
Authorities, central <i>(continued)</i>	<u>CTOC/COP/2016/15</u>	Resolution 8/1	<p>PP 2 (growing and important role)</p> <p>PP 4 (strong and effective central authorities; Doha Declaration)</p> <p>PP 5 and PP 6 (strengthen and enhance effectiveness; recommendations of the Working Group)</p> <p>PP 7 (tools)</p> <p>PP 8 (staffing, equipment)</p> <p>3 (notification requirement under art. 18, para. 13, of the Organized Crime Convention)</p> <p>5 (direct communication; liaison magistrates)</p> <p>6 (use of technology: virtual networks, secure electronic communications)</p> <p>7 (staffing, equipment; timely execution of requests, including for electronic evidence)</p> <p>8 (other channels of cooperation as alternatives where appropriate)</p> <p>10 (consultations)</p> <p>11 (regional networks; videoconferencing; review of execution)</p> <p>12 (training and technical assistance)</p> <p>13 (systems for tracking status of requests)</p> <p>17 and 18 (participation in meetings of the Conference of the Parties/Working Group)</p>	<p><u>VIII.PP.2</u> and <u>VIII.PP.4-8</u>; <u>VIII.3</u>, <u>VIII.5-8</u>, <u>VIII.10-13</u>, <u>VIII.17</u> and <u>VIII.18</u></p> <p><u>VIII, annex I, (h), (i), (j) and (n)</u></p> <p><u>VIII, annex II, 1 (d) and (h)</u></p>

Topic	Meeting report			Paragraphs/ recommendations in compendium (section/paragraph/subparagraph)
	Document symbol	Resolution/ decision	Paragraphs (subtopics)	
Authorities, central (continued)	<u>CTOC/COP/2016/15</u> (continued)	Resolution 8/1 (continued)	Annex I (h) (collection of laws, guides and guidelines) (i) (restructuring of directory of competent national authorities) (l) (global network through a virtual environment) (n) (participation of practitioners in meetings of the Working Group) Annex II 1 (d) (timely and effective cooperation in border areas) 1 (h) (training)	<u>VIII.PP.2</u> and <u>VIII.PP.4-8</u> ; <u>VIII.3</u> , <u>VIII.5-8</u> , <u>VIII.10-13</u> , <u>VIII.17</u> and <u>VIII.18</u> <u>VIII, annex I, (h), (i), (l) and (n)</u> <u>VIII, annex II, 1 (d) and (h)</u>
	<u>CTOC/COP/2018/13</u>	Resolution 9/3	Annex I (g) (participation in meetings of the Conference of the Parties/Working Group) Annex II (e) (direct transmission of requests) (f) (case management systems) (g) (training and technical assistance) (h) (participation of national experts in meetings of the Working Group) Annex III (d) (networking and regular contacts) (e) (participation in meetings of the Conference of the Parties/Working Group)	<u>IX, annex I, (g)</u> <u>IX, annex II, (e)-(h)</u> <u>IX, annex III, (d) and (e)</u>

Topic	Meeting report			Paragraphs/ recommendations in compendium [section/paragraph/subparagraph]
	Document symbol	Resolution/ decision	Paragraphs (subtopics)	
Authorities, central <i>(continued)</i>	CTOC/COP/2018/13 <i>(continued)</i>	Resolution 9/3 <i>(continued)</i>	Annex I (g) (participation in meetings of the Conference of the Parties/Working Group) Annex II (e) (direct transmission of requests) (f) (case management systems) (g) (training and technical assistance) (h) (participation of national experts in meetings of the Working Group) Annex III (d) (networking and regular contacts) (e) (participation in meetings of the Conference of the Parties/Working Group)	Part B IX, annex I, (g) IX, annex II, (e)–(h) IX, annex III, (d) and (e)
Camden Asset Recovery Inter-Agency Network	CTOC/COP/WG.3/2016/4		2 (e)	See part B.VIII [resolution 8/1, annex II, 1 (e)]
	CTOC/COP/2016/15	Resolution 8/1	Annex II, 1 (e)	Part B: VIII, annex II, 1 (e)
Conference of the Parties	CTOC/COP/WG.3/2016/4		2 (g)	See part B.VIII [resolution 8/1, annex II, 1 (g)]
	CTOC/COP/2005/8	Decision 2/2	(g) (establishment of the Working Group)	II.g
	CTOC/COP/2006/14	Decision 3/2	(a) (Working Group as a constant element of the Conference of the Parties)	III.a
	CTOC/COP/2016/15	Resolution 8/1	14 (Working Group reaffirmed as a constant element of the Conference of the Parties) Annex II, 1 (g) (use of all available information by using SHERLOC)	VIII.14 VIII, annex II, 1 (g)

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Topic	Meeting report			Paragraphs/ recommendations in compendium (section/paragraph/subparagraph)
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Confiscation	CTOC/COP/WG.3/2010/1		3 (a) and (b) (Organized Crime Convention as a legal basis)	Part A: III.3.a and III.3.b
	CTOC/COP/WG.3/2016/4		2 (c)	See part B.VIII (resolution 8/1 , annex II, 1 (c))
	CTOC/COP/2005/8	Decision 2/2	(g) (establishment of the Working Group, also covering international cooperation for confiscation) (j) (i) (cooperation on the basis of art. 13 of the Organized Crime Convention)	Part B II.g and II.j.i
	CTOC/COP/2006/14	Decision 3/2	(b) and (d) (Organized Crime Convention as a legal basis) (o) (expeditious execution of requests) (q) (domestic coordination)	III.b , III.d , III.o and III.q
	CTOC/COP/2008/19	Decision 4/2	(c) (iii) (diversity of confiscation schemes; non-conviction-based confiscation) (g) (collection of examples) (i), (j) and (k) (Organized Crime Convention as a legal basis for international cooperation for confiscation) (x) (assistance for implementation of pertinent provisions) (z) (request to take into account work in other forums, such as the Conference of the States Parties to the United Nations Convention against Corruption)	IV.c.iii , IV.g , IV.i-k , IV.x and IV.z

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Topic	Meeting report			Paragraphs/ recommendations in compendium (section/paragraph/subparagraph)
	Document symbol	Resolution/ decision	Paragraphs (subtopics)	
Confiscation <i>(continued)</i>	CTOC/COP/2010/17	Resolution 5/8	PP 1; 4 (Organized Crime Convention as a legal basis for international cooperation for confiscation) 2 (b) (practical guide on international cooperation for confiscation) 5 (c) (full implementation of art. 13 of the Convention)	V.PP.1 , V.2.b , V.4 and V.5.c
	CTOC/COP/2012/15	Resolution 6/1	PP 16 (practical guide on international cooperation for confiscation)	VI.PP.16
	CTOC/COP/2014/13	Resolution 7/4	PP 4 (Organized Crime Convention as a legal basis for international cooperation for confiscation)	VII.PP.4
	CTOC/COP/2016/15	Resolution 8/1	Annex II, 1 (c) (identification, tracing, freezing, seizure and recovery of proceeds of crime)	VIII, annex II, 1 (c)
Constant element (Working Group as a constant element of the Conference of the Parties)	CTOC/COP/WG.3/2014/4		2 (w)	See part B.VII (resolution 7/4, annex, 23)
	CTOC/COP/2006/14	Decision 3/2	(a)	Part B III.a
	CTOC/COP/2008/19	Decision 4/2	(a)	IV.a
	CTOC/COP/2010/17	Resolution 5/8	PP 2	V.PP.2
	CTOC/COP/2014/13	Resolution 7/4	PP 2; 2 Annex, 23	VII.PP.2 and V.2 VII, annex, 23
	CTOC/COP/2016/15	Resolution 8/1	14	VIII.14
	CTOC/COP/2018/13	Resolution 9/3	PP 3	IX.PP.3
Criminal jurisdiction, conflicts of	CTOC/COP/WG.2/2017/4-CTOC/COP/WG.3/2017/4		6 (e)	See part B.IX (resolution 9/3, annex I, (e))
	CTOC/COP/2018/13	Resolution 9/3	Annex I, (e)	Part B: IX, annex I, (e)
Crypto-currencies and virtual currencies	CTOC/COP/WG.3/2016/4		3 (b)	See part B.VIII (resolution 8/1, annex II, 2 (b))
	CTOC/COP/WG.2/2017/4-CTOC/COP/WG.3/2017/4		6 (l)	See part B.IX (resolution 9/3, annex I, (l))
	CTOC/COP/2016/15	Resolution 8/1	Annex II, 2 (b)	Part B: VIII, annex II, 2 (b)

Topic	Meeting report			Paragraphs/ recommendations in compendium (section/paragraph/subparagraph)
	Document symbol	Resolution/ decision	Paragraphs (subtopics)	
Data (on the use of the Organized Crime Convention for international cooperation; reliance on the Convention)	CTOC/COP/WG.3/2016/4		2 (a)	See part B.VIII (resolution 8/1, annex II, 1 (a))
	CTOC/COP/2006/14	Decision 3/2	(w)	Part B III.w
	CTOC/COP/2008/19	Decision 4/2	(s)	IV.s
	CTOC/COP/2016/15	Resolution 8/1	Annex II, 1 (a)	VIII, annex II, 1 (a)
Digest/ catalogue of cases (Organized Crime Convention as a legal basis for international cooperation)	CTOC/COP/WG.3/2018/6		2 (j)	See part B.IX (resolution 9/3, annex III, (j))
	CTOC/COP/2006/14	Decision 3/2	(v)	Part B III.v
	CTOC/COP/2008/19	Decision 4/2	(r) and (s)	IV.r and IV.s
	CTOC/COP/2010/17	Resolution 5/8	1 (b)	V.1.b
	CTOC/COP/2018/13	Resolution 9/3	Annex III, (j)	IX, annex III, (j)
Disposal (of confiscated proceeds)	CTOC/COP/WG.3/2016/4		2 (c)	See part B.VIII (resolution 8/1, annex II, 1 (c))
	CTOC/COP/2005/8	Decision 2/2	(j) (ii) (cases of return or sharing of proceeds and related legal framework)	Part B II.j.ii
	CTOC/COP/2010/17	Resolution 5/8	5 (d)	V.5.d
	CTOC/COP/2016/15	Resolution 8/1	Annex II, 1 (c)	VIII, annex II, 1 (c)
Dual criminality	CTOC/COP/2008/19	Decision 4/2	(c) (i)	IV.c.i
Electronic evidence	CTOC/COP/WG.3/2015/4		2 (a), (b) and (d)	See part B. VIII (resolution 8/1, annex I, (a), (b) and (d))
	CTOC/COP/WG.3/2016/4		3 (b)	See part B. VIII (resolution 8/1, annex II, 2 (b))
	CTOC/COP/WG.2/2017/4-CTOC/COP/WG.3/2017/4		6 (j), (m) and (n)	See part B.IX (resolution 9/3, annex I, (j), (m) and (n))

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	Document symbol	Resolution/ decision	Paragraphs (subtopics)	
Electronic evidence <i>(continued)</i>	CTOC/COP/2016/15	Resolution 8/1	7 (timely execution of requests) Annex I (a) (training on collection and sharing) (b) (mainstreaming the topic in tools; provision of information for inclusion in SHERLOC) (d) (fostering international cooperation involving electronic evidence) Annex II 2 (b) (challenges in sharing)	Part B VIII.7 VIII, annex I, (a), (b) and (d) VIII, annex II, 2 (b)
	CTOC/COP/2018/13	Resolution 9/3	Annex I (j) (training on the gathering and sharing of electronic evidence) (m) (amending legislation; adapting mutual legal assistance procedures) (n) (networks for sharing electronic evidence)	IX, annex I, (j), (m) and (n)
Evidence, admissibility of	CTOC/COP/WG.3/2015/4		2 (f)	See part B.VIII (resolution 8/1, annex I, (f))
	CTOC/COP/WG.2/2017/4-CTOC/COP/WG.3/2017/4		6 (m)	See part B.IX (resolution 9/3, annex I, (m))
	CTOC/COP/2016/15	Resolution 8/1	Annex I, (f)	Part B VIII, annex I, (f)
	CTOC/COP/2018/13	Resolution 9/3	Annex I, (m)	IX, annex I, (m)
Evidentiary requirements	CTOC/COP/2010/17	Resolution 5/8	5 (a)	V.5.a
Extradition	CTOC/COP/WG.3/2010/1		3 (a) and (b) (Organized Crime Convention as a legal basis) 3 (l) (notification requirement under art. 16, para. 5 (a), of the Convention) 3 (m) (simplified extradition) 3 (n) (simplified extradition at the regional level)	Part A: III.3.a , III.3.b and III.3.l-n
	CTOC/COP/WG.3/2015/4		2 (e) and (j)	See part B.VIII (resolution 8/1, annex I, (e) and (j))

Topic	Meeting report			Paragraphs/ recommendations in compendium (section/paragraph/subparagraph)
	Document symbol	Resolution/ decision	Paragraphs (subtopics)	
Extradition <i>(continued)</i>	CTOC/COP/WG.2/2018/3- CTOC/COP/WG.3/2018/3		6 (b), (c) and (g)	See part B.IX (resolution 9/3 , annex II , (b), (c) and (g))
	CTOC/COP/WG.3/2018/6		2 (a)–(d), (h) and (i)	See part B.IX (resolution 9/3 , annex III , (a)–(d), (h) and (i))
	CTOC/COP/2005/8 CTOC/COP/2006/14	Decision 2/2 Decision 3/2	(b) (b) (Organized Crime Convention as a basis for extradition) (g) (ii) and (iii) (online directory and additional information for inclusion) (j) (tool for drafting extradition requests) (m) (consultations before refusal of requests) (n) (expediting extradition procedures) (x) (extradition as a priority in technical assistance)	Part B II.b III.b , III.g.ii , III.g.iii , III.j , III.m , III.n and III.x
	CTOC/COP/2008/19	Decision 4/2	(i), (j) and (k) (Organized Crime Convention as a basis for extradition) (o) (online directory)	IV.i–k and IV.o
	CTOC/COP/2010/17	Resolution 5/8	1 (c) (model law) 1 (d) (technical assistance) 2 (c) (practical guide on drafting, transmitting and executing requests) 4 (Organized Crime Convention as a legal basis) 5 (b) (conclusion of bilateral agreements or arrangements)	V.1.c , V.1.d , V.2.c , V.4 and V.5.b
	CTOC/COP/2016/15	Resolution 8/1	Annex I (e) (informal consultations) (j) (updating of notification requirement under art. 16, para. 5 (a), of the Organized Crime Convention)	VIII , annex I , (e) and (j)

Topic	Meeting report			Paragraphs/ recommendations in compendium (section/paragraph/subparagraph)
	Document symbol	Resolution/ decision	Paragraphs (subtopics)	
Extradition <i>(continued)</i>	CTOC/COP/2018/13	Resolution 9/3	Annex II (b) (art. 16, para. 5 (b), of the Organized Crime Convention; simplification of evidentiary requirements) (c) (informal consultations) (g) (training) Annex III (a) (expedite procedures and simplify evidentiary requirements) (b) (consultations) (c) (inter-agency coordination for execution of requests) (d) (networking and regular contacts among central authorities) (h) (discussion paper on human rights in extradition proceedings) (i) (Convention as a legal basis)	IX, annex II, (b), (c) and (g) IX, annex III, (a)–(d), (h) and (i)
Extradition, Model Treaty	CTOC/COP/WG.3/2014/4		2 (b)	See part B.VII (resolution 7/4, annex, 2)
	CTOC/COP/2014/13	Resolution 7/4	Annex, 2	Part B: VII, annex, 2
Extradition requests	CTOC/COP/WG.2/2018/3-CTOC/COP/WG.3/2018/3		6 (a)	See part B.IX (resolution 9/3, annex II, (a))
	CTOC/COP/WG.3/2018/6		2 (f) and (g)	See part B.IX (resolution 9/3, annex III, (f) and (g))
	CTOC/COP/2018/13	Resolution 9/3	Annex II, (a) (information on procedural requirements) Annex III, (f) (assurances) and (g) (postponement of surrender of the person sought)	Part B IX, annex II, (a) IX, annex III, (f) and (g)
Ibero-American Network for International Legal Cooperation	CTOC/COP/WG.3/2016/4		2 (e)	See part B.VIII (resolution 8/1, annex II, 1 (e))
	CTOC/COP/2016/15	Resolution 8/1	Annex II, 1 (e)	Part B: VIII, annex II, 1 (e)

Topic	Meeting report			Paragraphs/ recommendations in compendium (section/paragraph/subparagraph)
	Document symbol	Resolution/ decision	Paragraphs (subtopics)	
Informal consultations (international cooperation relating to extradition or mutual legal assistance)	CTOC/COP/WG.3/2014/4		2 (h) and (k)	See part B.VII (resolution 7/4, annex, 8 and 11)
	CTOC/COP/WG.3/2015/4		2 (e)	See part B.VIII (resolution 8/1, annex I, (e))
	CTOC/COP/WG.2/2017/4-CTOC/COP/WG.3/2017/4		6 (b)	See part B.IX (resolution 9/3, annex I, (b))
	CTOC/COP/WG.2/2018/3-CTOC/COP/WG.3/2018/3		6 (c)	See part B.IX (resolution 9/3, annex II, (c))
	CTOC/COP/2014/13	Resolution 7/4	Annex, 8 and 11 10	Part B VII, annex, 8 and 11 VIII.10
	CTOC/COP/2016/15	Resolution 8/1	Annex I, (e) Annex I, (b)	VIII, annex I, (e) IX, annex I, (b)
	CTOC/COP/2018/13	Resolution 9/3	Annex II, (c)	IX, annex II, (c)
Information, sharing and exchange of	CTOC/COP/WG.3/2015/4		2 (c)	See part B.VIII (resolution 8/1, annex I, (c))
	CTOC/COP/WG.2/2018/3-CTOC/COP/WG.3/2018/3		6 (c)	See part B.IX (resolution 9/3, annex II, (c))
	CTOC/COP/2016/15	Resolution 8/1	9 (quality of requests) Annex I, (c) (conclusion of arrangements to foster operational assistance, if needed)	Part B VIII.9 VIII, annex I, (c)
	CTOC/COP/2018/13	Resolution 9/3	Annex II, (c)	IX, annex II, (c)
International cooperation in civil and administrative proceedings	CTOC/COP/WG.3/2016/4		3 (d)	See part B.VIII (resolution 8/1, annex II, 2 (d))
	CTOC/COP/2016/15	Resolution 8/1	Annex II, 2 (d)	Part B: VIII, annex II, 2 (d)
International cooperation in criminal matters, legal basis	CTOC/COP/WG.3/2014/4		2 (a)	See part B.VII (resolution 7/4, annex, 1)
	CTOC/COP/2014/13	Resolution 7/4	Annex, 1 (broadening the range of legal bases for law enforcement and judicial cooperation)	Part B VII, annex, 1
	CTOC/COP/2016/15	Resolution 8/1	4 (widest possible use of the Organized Crime Convention as a basis for international cooperation)	VIII.4

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International cooperation networks	CTOC/COP/WG.3/2014/4		2 (o) and 2 (r)	See part B.VII [resolution 7/4, annex, 15 and 18]
	CTOC/COP/WG.3/2016/4		2 (e)	See part B.VIII [resolution 8/1, annex II, 1 (e)]
	CTOC/COP/2006/14	Decision 3/2	(u) (building up a virtual network by considering the establishment of a discussion forum on a secure network; use of regional networks)	Part B III.u
	CTOC/COP/2008/19	Decision 4/2	(w) (full use of existing regional networks)	IV.w
	CTOC/COP/2012/15	Resolution 6/1	10 (networks and mechanisms to facilitate formal and informal cooperation)	VI.10
	CTOC/COP/2014/13	Resolution 7/4	Annex 15 (existing and new regional networks) 18 (global network through a virtual environment)	VII, annex, 15 and 18
	CTOC/COP/2016/15	Resolution 8/1	Annex II, 1 (e)	VIII, annex II, 1 (e)
International cooperation, secure communications	CTOC/COP/WG.3/2012/5		3 (e) (secure communication channels among networks)	Part A: IV.3.e
	CTOC/COP/WG.3/2014/4		2 (q)	See part B.VII [resolution 7/4, annex, 17]
	CTOC/COP/2014/13	Resolution 7/4	Annex, 17 (new forms of technology/online platforms to share information securely)	Part B: VII, annex, 17
International cooperation, tools	CTOC/COP/WG.3/2010/1		3 (e)	Part A: III.3.e
	CTOC/COP/WG.3/2014/4		2 (u)	See part B.VII [resolution 7/4, annex, 21]
	CTOC/COP/WG.3/2015/4		2 (g)	See part B.VIII [resolution 8/1, annex I, (g)]
	CTOC/COP/2014/13	Resolution 7/4	Annex, 21	Part B VII, annex, 21
	CTOC/COP/2016/15	Resolution 8/1	6 (virtual networks and secure electronic communications) Annex I, (g)	VIII.6 VIII, annex I, (g)

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Topic	Meeting report			Paragraphs/ recommendations in compendium (section/paragraph/subparagraph)
	Document symbol	Resolution/ decision	Paragraphs (subtopics)	
International Criminal Police Organization (INTERPOL)	CTOC/COP/2006/14	Decision 3/2	(e)	III.e
	CTOC/COP/2008/19	Decision 4/2	(I)	IV.I
	CTOC/COP/2010/17	Resolution 5/8	4	V.4
Joint investigations	CTOC/COP/WG.3/2015/4		2 (m)	See part B.VIII (resolution 8/1, annex I, (m))
	CTOC/COP/2016/15	Resolution 8/1	Annex I, (m) (updating, finalization and validation of the draft report of the informal expert working group)	Part B: VIII, annex I, (m)
Joint investigative bodies/teams	CTOC/COP/WG.2/2017/4- CTOC/COP/WG.3/2017/4		6 (b)	See part B.IX (resolution 9/3, annex I, (b))
	CTOC/COP/2010/17	Resolution 5/8	2 (d) (matrix of legal and practical issues) 5 (c) (full implementation of art. 19 of the Organized Crime Convention)	Part B V.2.d and V.5.c
	CTOC/COP/2018/13	Resolution 9/3	Annex I, (b) (consultations)	IX, annex I, (b)
Judicial authorities (judges)	CTOC/COP/WG.3/2016/4		2 (d)	See part B.VIII (resolution 8/1, annex II, 1 (d))
	CTOC/COP/2010/17	Resolution 5/8	4	Part B V.4
	CTOC/COP/2016/15	Resolution 8/1	Annex II, 1 (d)	VIII, annex II, 1 (d)
Judicial Cooperation Network of the League of Arab States	CTOC/COP/WG.3/2016/4		2 (e)	See part B.VIII (resolution 8/1, annex II, 1 (e))
	CTOC/COP/2016/15	Resolution 8/1	Annex II, 1 (e)	Part B: VIII, annex II, 1 (e)
Law enforcement authorities, training	CTOC/COP/WG.3/2015/4		2 (f)	See part B.VIII (resolution 8/1, annex I, (f))
	CTOC/COP/WG.2/2017/4- CTOC/COP/WG.3/2017/4		6 (j)	See part B.IX (resolution 9/3, annex I, (j))
	CTOC/COP/2006/14	Decision 3/2	(e)	Part B III.e
	CTOC/COP/2008/19	Decision 4/2	(I)	IV.I
	CTOC/COP/2010/17	Resolution 5/8	4	V.4
	CTOC/COP/2016/15	Resolution 8/1	Annex I, (f)	VIII, annex I, (f)
	CTOC/COP/2018/13	Resolution 9/3	Annex I, (j)	IX, annex I, (j)

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	Document symbol	Resolution/ decision	Paragraphs (subtopics)	
<i>Legislative Guides for the Implementation of the United Nations Convention against Transnational Organized Crime and the Protocols Thereto</i>	CTOC/COP/WG.3/2014/4		2 (v)	See part B.VII (resolution 7/4 , annex, 22)
	CTOC/COP/2010/17	Resolution 5/8	1 (a)	Part B V.1.a
	CTOC/COP/2014/13	Resolution 7/4	Annex, 22	VII, annex, 22
Liaison magistrates	CTOC/COP/WG.3/2012/5		3 (d)	Part A: IV.d
	CTOC/COP/WG.3/2014/4		2 (p)	See part B.VII (resolution 7/4 , annex, 16)
	CTOC/COP/WG.3/2015/4		2 (f)	See part B.VIII (resolution 8/1 , annex I, (f))
	CTOC/COP/2008/19	Decision 4/2	(t)	Part B IV.t
	CTOC/COP/2014/13	Resolution 7/4	Annex, 16	VII, annex, 16
	CTOC/COP/2016/15	Resolution 8/1	5 Annex I, (f)	VIII.5 VIII, annex I, (f)
Model Agreement on the Transfer of Foreign Prisoners	CTOC/COP/WG.3/2014/4		2 (b)	See part B.VII (resolution 7/4 , annex, 2)
	CTOC/COP/2014/13	Resolution 7/4	Annex, 2	Part B: VII, annex, 2
Model Bilateral Agreement on the Sharing of Confiscated Proceeds of Crime or Property	CTOC/COP/WG.3/2010/1		3 (j)	Part A: III.j
	CTOC/COP/WG.3/2014/4		2 (b)	See part B.VII (resolution 7/4 , annex, 2)
	CTOC/COP/2014/13	Resolution 7/4	Annex, 2	Part B VII, annex, 2
Model law on extradition	CTOC/COP/2010/17	Resolution 5/8	1 (c)	V.1.c
Model law on mutual legal assistance	CTOC/COP/2010/17	Resolution 5/8	1 (c)	V.1.c
Model Treaty on Extradition	CTOC/COP/WG.3/2014/4		2 (b)	See part B.VII (resolution 7/4 , annex, 2)
	CTOC/COP/2014/13	Resolution 7/4	Annex, 2	Part B: VII, annex, 2

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	Document symbol	Resolution/ decision	Paragraphs (subtopics)	
Model Treaty on Mutual Assistance in Criminal Matters	CTOC/COP/WG.3/2014/4		2 (b)	See part B.VII (resolution 7/4, annex, 2)
	CTOC/COP/2014/13	Resolution 7/4	Annex, 2	Part B: VII, annex, 2
Model Treaty on the Transfer of Proceedings in Criminal Matters	CTOC/COP/WG.2/2017/4-CTOC/COP/WG.3/2017/4		6 (d)	See part B.IX (resolution 9/3, annex I, (d))
	CTOC/COP/2018/13	Resolution 9/3	Annex I, (d)	Part B: IX, annex I, (d)
Money-laundering	CTOC/COP/WG.2/2017/4-CTOC/COP/WG.3/2017/4		6 (l)	See part B.IX (resolution 9/3, annex I, (l))
	CTOC/COP/2018/13	Resolution 9/3	Annex I, (l)	Part B: IX, annex I, (l)
Mutual legal assistance	CTOC/COP/WG.3/2016/4		3 (c)	See part B.VIII (resolution 8/1, annex II, 2 (c))
	CTOC/COP/WG.2/2018/3-CTOC/COP/WG.3/2018/3		6 (c)	See part B.IX (resolution 9/3, annex II, (c))
	CTOC/COP/2005/8	Decision 2/2	(c) (compliance with the mandatory requirement not to decline to render mutual legal assistance on the grounds of bank secrecy) (d) (information for effective communication in practice)	Part B II.c and II.d
CTOC/COP/2006/14	Decision 3/2	(b), (c) and (d) (Organized Crime Convention as a basis for mutual legal assistance) (g) (ii) and (iii) (online directory and additional information for inclusion) (k) (effective central authorities to carry out screening and quality control of requests) (l) (best practices) (m) (consultations before refusal of requests) (q) (central authorities coordinating direct contact between prosecutors and magistrates handling requests for mutual legal assistance)	III.b-d, III.g.ii, III.g.iii, III.k-m and III.q	

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	Document symbol	Resolution/ decision	Paragraphs (subtopics)	
Mutual legal assistance <i>(continued)</i>	CTOC/COP/2010/17	Resolution 5/8	1 (b) (digest of best practices) 1 (c) (model law) 1 (d) (technical assistance) 2 (c) (practical guide on drafting, transmitting and executing requests) 4 (Organized Crime Convention as a legal basis)	V.1.b-d , V.2.c and V.4
	CTOC/COP/2016/15	Resolution 8/1	Annex II, 2 (c)	VIII, annex II, 2 (c)
	CTOC/COP/2018/13	Resolution 9/3	Annex II, (c)	IX, annex II, (c)
Mutual legal assistance in criminal matters	CTOC/COP/WG.3/2010/1		3 (a) and (b) (use of Organized Crime Convention as a legal basis)	Part A: III.3.a and III.3.b
Mutual legal assistance requests	CTOC/COP/WG.2/2018/3-CTOC/COP/WG.3/2018/3		6 (a)	See part B.IX (resolution 9/3, annex II, (a))
	CTOC/COP/2006/14	Decision 3/2	(m) (consultations before refusal of requests)	Part B III.m
	CTOC/COP/2008/19	Decision 4/2	(i) (Organized Crime Convention as a legal basis)	IV.i
	CTOC/COP/2010/17	Resolution 5/8	2 (c) (practical guide on drafting, transmitting and executing requests)	V.2.c
	CTOC/COP/2018/13	Resolution 9/3	Annex II, (a) (information on procedural requirements)	IX, annex II, (a)
Mutual Legal Assistance Request Writer Tool	CTOC/COP/WG.3/2010/1		3 (h)	Part A: III.3.h
	CTOC/COP/WG.3/2014/4		2 (u)	See part B.VII (resolution 7/4, annex, 21)
	CTOC/COP/WG.3/2015/4		2 (g)	See part B.VIII (resolution 8/1, annex I, (g))
	CTOC/COP/WG.3/2016/4		2 (h)	See part B.VIII (resolution 8/1, annex II, 1 (h))
	CTOC/COP/2006/14	Decision 3/2	(i)	Part B III.i
	CTOC/COP/2008/19	Decision 4/2	(n)	IV.n
	CTOC/COP/2014/13	Resolution 7/4	Annex, 21	VII, annex, 21
	CTOC/COP/2016/15	Resolution 8/1	6	VIII.6
			Annex I, (g)	VIII, annex I, (g)
			Annex II, 1 (h)	VIII, annex II, 1 (h)

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	Document symbol	Resolution/ decision	Paragraphs (subtopics)	
Network of West African Central Authorities and Prosecutors against Organized Crime	CTOC/COP/WG.3/2014/4		2 (o)	See part B.VII (resolution 7/4, annex, 15)
	CTOC/COP/WG.3/2016/4		2 (e)	See part B.VIII (resolution 8/1, annex II, 1 (e))
	CTOC/COP/2014/13	Resolution 7/4	Annex, 15	Part B VII, annex, 15
	CTOC/COP/2016/15	Resolution 8/1	Annex II, 1 (e)	VIII, annex II, 1 (e)
Prosecution authorities	CTOC/COP/WG.3/2012/5		3 (d)	Part A: IV.3.d
	CTOC/COP/WG.3/2016/4		2 (d)	See part B.VIII (resolution 8/1, annex II, 1 (d))
	CTOC/COP/2006/14	Decision 3/2	(e), (q) and (t)	Part B III.e, III.g and III.t
	CTOC/COP/2008/19	Decision 4/2	(l)	IV.l
	CTOC/COP/2010/17	Resolution 5/8	4	V.4
	CTOC/COP/2016/15	Resolution 8/1	8 Annex II, 1 (d)	VIII.8 VIII, annex II, 1 (d)
Recovery (of proceeds of crime)	CTOC/COP/WG.3/2016/4		2 (c)	See part B.VIII (resolution 8/1, annex II, 1 (c))
	CTOC/COP/2016/15	Resolution 8/1	Annex II, 1 (c)	Part B: VIII, annex II, 1 (c)
Regional (cooperation) networks	CTOC/COP/WG.3/2010/1		3 (c), (e) and (f)	Part A III.3.c, III.3.e and III.3.f
	CTOC/COP/WG.3/2012/5		3 (a) and (e)	IV.3.a and IV.3.e
	CTOC/COP/WG.3/2014/4		2 (o)	See part B.VII (resolution 7/4, annex, 15)
	CTOC/COP/WG.3/2016/4		2 (e)	See part B.VIII (resolution 8/1, annex II, 1 (e))
	CTOC/COP/WG.2/2017/4-CTOC/COP/WG.3/2017/4		6 (e) and (i)	See part B.IX (resolution 9/3, annex I, (e) and (i))
	CTOC/COP/2006/14	Decision 3/2	(u)	Part B III.u
	CTOC/COP/2008/19	Decision 4/2	(w)	IV.w
	CTOC/COP/2010/17	Resolution 5/8	2 (a)	V.2.a
	CTOC/COP/2012/15	Resolution 6/1	10	VI.10
	CTOC/COP/2014/13	Resolution 7/4	Annex, 15	VII, annex, 15
	CTOC/COP/2016/15	Resolution 8/1	11 Annex II, 1 (e)	VIII.11 VIII, annex II, 1 (e)
	CTOC/COP/2018/13	Resolution 9/3	Annex I, (e) and (i)	IX, annex I, (e) and (i)

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Topic	Meeting report			Paragraphs/ recommendations in compendium (section/paragraph/subparagraph)
	Document symbol	Resolution/ decision	Paragraphs (subtopics)	
Service providers	CTOC/COP/WG.2/2017/4-CTOC/COP/WG.3/2017/4		6 (j)	See part B.IX (resolution 9/3, annex I, (j))
	CTOC/COP/2018/13	Resolution 9/3	Annex I, (j)	Part B: IX, annex I, (j)
Sharing Electronic Resources and Laws on Crime (SHERLOC), knowledge management portal	CTOC/COP/WG.3/2014/4		2 (t)	See part B.VII (resolution 7/4, annex, 20)
	CTOC/COP/WG.3/2015/4		2 (b) and (h)	See part B.VIII (resolution 8/1, annex I, (b) and (h))
	CTOC/COP/WG.3/2016/4		2 (g)	See part B.VIII (resolution 8/1, annex II, 1 (g))
	CTOC/COP/WG.3/2018/6		2 (j)	See part B.IX (resolution 9/3, annex III, (j))
	CTOC/COP/2012/15	Resolution 6/1	8	Part B VI.8
	CTOC/COP/2014/13	Resolution 7/4	Annex, 20	VII, annex, 20
	CTOC/COP/2016/15	Resolution 8/1	6 Annex I, (b) and (h) Annex II, 1 (g)	VIII.6 VIII, annex I, (b) and (h) VIII, annex II, 1 (g)
CTOC/COP/2018/13	Resolution 9/3	Annex III, (j)	IX, annex III, (j)	
Special investigative techniques	CTOC/COP/WG.2/2017/4-CTOC/COP/WG.3/2017/4		6 (m)	See part B.IX (resolution 9/3, annex I, (m))
	CTOC/COP/2010/17	Resolution 5/8	2 (e), 5 (c)	Part B V.2.e and V.5.c
	CTOC/COP/2018/13	Resolution 9/3	Annex I, (m)	IX, annex I, (m)
Tax evasion	CTOC/COP/WG.3/2016/4		2 (c)	See part B.VIII (resolution 8/1, annex II, 1 (c))
	CTOC/COP/2016/15	Resolution 8/1	Annex II, 1 (c)	Part B: VIII, annex II, 1 (c)
Technology, new forms of	CTOC/COP/WG.3/2014/4		2 (q)	See part B.VII (resolution 7/4, annex, 17)
	CTOC/COP/2014/13	Resolution 7/4	Annex, 17	Part B VII, annex, 17
	CTOC/COP/2016/15	Resolution 8/1	6	VIII.6
Terrorism (financing of)	CTOC/COP/WG.2/2017/4-CTOC/COP/WG.3/2017/4		6 (l)	See part B.IX (resolution 9/3, annex I, (l))
	CTOC/COP/2018/13	Resolution 9/3	Annex I, (l)	Part B: IX, annex I, (l)
Tracing (of proceeds of crime)	CTOC/COP/WG.3/2016/4		2 (c)	See part B.VIII (resolution 8/1, annex II, 1 (c))
	CTOC/COP/2016/15	Resolution 8/1	Annex II, 1 (c)	Part B: VIII, annex II, 1 (c)

Topic	Meeting report			Paragraphs/ recommendations in compendium (section/paragraph/subparagraph)
	Document symbol	Resolution/ decision	Paragraphs (subtopics)	
Transfer of criminal proceedings	CTOC/COP/WG.3/2016/4		3 (a)	See part B.VIII (resolution 8/1, annex II, 2 (a))
	CTOC/COP/WG.2/2017/4-CTOC/COP/WG.3/2017/4		6 (a)–(d) and (f)	See part B.IX (resolution 9/3, annex I, (a)–(d) and (f))
	CTOC/COP/2016/15	Resolution 8/1	Annex II, 2 (a)	Part B VIII, annex II, 2 (a)
	CTOC/COP/2018/13	Resolution 9/3	Annex I, (a)–(d) and (f)	IX, annex I, (a)–(d) and (f)
Transfer of sentenced persons	CTOC/COP/WG.2/2018/3-CTOC/COP/WG.3/2018/3		6 (c)	See part B.IX (resolution 9/3, annex II, (c))
	CTOC/COP/2005/8	Decision 2/2	(f)	Part B II.f
	CTOC/COP/2006/14	Decision 3/2	(g) (ii)	III.g.ii
	CTOC/COP/2018/13	Resolution 9/3	Annex II, (c)	IX, annex II, (c)
United Nations Convention against Corruption	CTOC/COP/WG.3/2016/4		3 (c) and (d)	See part B.VIII (resolution 8/1, annex II, 2 (c) and (d))
	CTOC/COP/2006/14	Decision 3/2	(g) (vii) (directory of authorities: consolidation)	Part B III.g.vii
	CTOC/COP/2008/19	Decision 4/2	(z) (request to take into account pertinent work carried out in other forums)	IV.z
	CTOC/COP/2016/15	Resolution 8/1	Annex II 2 (c) (international cooperation involving a legal person) 2 (d) (international cooperation in civil and administrative proceedings)	VIII, annex II, 2 (c) and (d)
United Nations Convention against Illicit Traffic in Narcotic Drugs and Psychotropic Substances of 1988	CTOC/COP/WG.3/2015/4		2 (i)	See part B.VIII (resolution 8/1, annex I, (i))
	CTOC/COP/2006/14	Decision 3/2	(g) (vii) (directory of competent national authorities)	Part B III.g.vii
	CTOC/COP/2016/15	Resolution 8/1	Annex I, (i) (directory of competent national authorities)	VIII, annex I, (i)

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United Nations Convention against Transnational Organized Crime, implementation of the provisions relating to international cooperation	CTOC/COP/WG.3/2016/4		2 (b)	See part B.VIII [resolution 8/1 , annex II, 1 (b)]
	CTOC/COP/2016/15	Resolution 8/1	Annex II, 1 (b) (review and update of notifications/ declarations)	Part B: VIII, annex II, 1 (b)
United Nations Convention against Transnational Organized Crime, use as a legal basis for international cooperation	CTOC/COP/WG.2/2018/3-CTOC/COP/WG.3/2018/3		6 (d)	See part B.IX [resolution 9/3 , annex II, (d)]
	CTOC/COP/2006/14	Decision 3/2	(c)	Part B III.c
	CTOC/COP/2016/15	Resolution 8/1	4	VIII.4
	CTOC/COP/2018/13	Resolution 9/3	Annex II, (d)	IX, annex II, (d)
Victims	CTOC/COP/WG.2/2017/4-CTOC/COP/WG.3/2017/4		6 (c)	See part B.IX [resolution 9/3 , annex I, (c)]
	CTOC/COP/2010/17	Resolution 5/8	5 (d) (disposal of confiscated proceeds of crime; compensation to victims)	Part B V.5.d
	CTOC/COP/2018/13	Resolution 9/3	Annex I, (c) (interests and rights of victims)	IX, annex I, (c)
Video-conferencing	CTOC/COP/WG.3/2010/1		3 (i) (guide for practitioners on the use of videoconferencing)	Part A: III.3.i
	CTOC/COP/2008/19	Decision 4/2	(d) and (e)	Part B IV.d and IV.e
	CTOC/COP/2016/15	Resolution 8/1	11	VIII.11

Topic	Meeting report			Paragraphs/ recommendations in compendium (section/paragraph/subparagraph)
	Document symbol	Resolution/ decision	Paragraphs (subtopics)	
Working Group on International Cooperation	CTOC/COP/WG.3/2012/5		3 (f) (synergies with expert meetings on international cooperation under the United Nations Convention against Corruption)	Part A: IV.3.f
	CTOC/COP/WG.3/2015/4		2 (o)	See part B.VIII (resolution 8/1, annex I, (o))
	CTOC/COP/WG.2/2017/4-CTOC/COP/WG.3/2017/4		6 (h) and (k)	See part B.IX (resolution 9/3, annex I, (h) and (k))
	CTOC/COP/2012/15	Resolution 6/1	10 (networks and other mechanisms to share information acquired through the Working Group) 14 (joint discussions with Working Group on Technical Assistance on trafficking in cultural property) 15 (exchange of experiences and practices on existing and new forms of transnational organized crime)	Part B VI.10, VI.14 and VI.15
	CTOC/COP/2016/15	Resolution 8/1	15 (tenth anniversary) 18 (scheduling; bilateral or multilateral meetings of representatives of central authorities on the margins of the Working Group) Annex I, (o) (scheduling of meetings)	VIII.15 and VIII.18 VIII, annex I, (o)
	CTOC/COP/2018/13	Resolution 9/3	Annex I (h) (expert group meetings on the margins of the Working Group) (k) (maintaining communication with the Expert Group to Conduct a Comprehensive Study on Cybercrime)	IX, annex I, (h) and (k)



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