



Conference of the Parties to the United Nations Convention against Transnational Organized Crime

Distr.: General
13 July 2022

Original: English

Eleventh session

Vienna, 17–21 October 2022

Annotated provisional agenda

Provisional agenda

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* Second reissue for technical reasons (28 September 2022).



Annotations

1. Organizational matters

(a) Opening of the eleventh session of the Conference

The eleventh session of the Conference of the Parties to the United Nations Convention against Transnational Organized Crime is scheduled to be opened on Monday, 17 October 2022.

At the opening of the session, time will be allocated for opening statements by the outgoing and incoming Presidents, the Executive Director of the United Nations Office on Drugs and Crime (UNODC) and, if applicable, high-level speakers (Head of State level). Those statements are to be made from the podium or through a remote speaker's link, as applicable. In addition, opening statements may be made by the Chairs of regional groups. All speakers will be requested to adhere to a time limit of five minutes.

Requests to register a high-level speaker for the opening session may be addressed to the secretariat by email using the contact email address provided in the invitations and should be sent at the latest by noon on Friday, 7 October.

(b) Election of officers

In accordance with rule 22 of the rules of procedure for the Conference, at the opening of each session, a President, eight Vice-Presidents and a Rapporteur are to be elected from among the representatives of the States parties that are present at the session and are to serve as the officers of the session. In electing the officers of the session, each of the five regional groups shall be represented by two officers, one of whom is to be from among the representatives of the States that are parties to the Organized Crime Convention and to one or more, and if possible, all of the Protocols to the Convention. The Bureau shall include at least two representatives of States that are parties to all the instruments.

In accordance with paragraph 3 of rule 22, the offices of President and Rapporteur of the Conference shall normally be subject to rotation among the five regional groups. Thus, at the eleventh session, the President of the Conference and one Vice-President are to be nominated by the Asia-Pacific Group; the African Group is to be asked to nominate one Vice-President and the Rapporteur; and States of the other regions are to be asked to nominate two Vice-Presidents each.

(c) Adoption of the agenda and organization of work

At its tenth session, held in Vienna from 12 to 16 October 2020, the Conference adopted the provisional agenda for its eleventh session (decision 10/1).

Also at its tenth session, the Conference adopted decision 10/2, on the organization of the work of the eleventh session of the Conference, in which it decided, *inter alia*, that the eleventh session should take place over five working days.

In its decision 6/3, on the organization of work for future sessions of the Conference, the Conference decided that, starting with the seventh session, the firm deadline for the submission of draft resolutions would be two weeks prior to the commencement of the relevant session.

In addition, the Conference decided that, for future sessions of the Conference, starting with the seventh session, the Conference would be preceded by informal pre-session consultations, without interpretation, to be held on the working day preceding the first day of the Conference, which would provide an opportunity for States to engage in informal consultations on draft resolutions and, *inter alia*, the provisional agenda for the subsequent session of the Conference.

In accordance with decision 6/3, the firm deadline for the submission of draft resolutions for consideration at the eleventh session of the Conference is Monday,

3 October 2022. The informal pre-session consultations will be held on Friday, 14 October 2022. Draft resolutions should be submitted as early as possible in order to enable productive discussions during the pre-session consultations.

On 28 June 2022, the extended Bureau of the Conference agreed on the proposed organization of work of the eleventh session of the Conference (see annex).

Documentation

Annotated provisional agenda ([CTOC/COP/2022/1](#))

(d) Participation

Rule 14 of the rules of procedure for the Conference provides that, subject to prior written notification to the Secretary-General, any State or regional economic integration organization that is a signatory to the Convention in accordance with its article 36, paragraphs 1 and 2, shall be entitled to participate in the Conference as an observer.

Rule 15 of the rules of procedure provides that any other State or regional economic integration organization that has not signed the Convention in accordance with its article 36, paragraphs 1 and 2, may apply to the Bureau for observer status, which shall be accorded unless otherwise decided by the Conference.

Rule 16 of the rules of procedure provides that, subject to prior written notification to the Secretary-General, representatives of entities and organizations that have received a standing invitation from the General Assembly to participate as observers in the sessions and work of all international conferences convened under its auspices, representatives of United Nations bodies, specialized agencies and funds, as well as representatives of functional commissions of the Economic and Social Council, shall be entitled to participate as observers in the deliberations of the Conference. Representatives of any other relevant intergovernmental organization may also apply to the Bureau for observer status, which shall be accorded unless otherwise decided by the Conference. At its fifth session, the Conference decided that the intergovernmental organizations listed in conference room paper CTOC/COP/2010/CRP.7 would receive a standing invitation under rule 16, paragraph 2, of the rules of procedure, to attend future sessions of the Conference.

Rule 17 of the rules of procedure provides that relevant non-governmental organizations having consultative status with the Economic and Social Council may apply to the Bureau for observer status, which should be accorded unless otherwise decided by the Conference. Should relevant non-governmental organizations not having consultative status with the Council apply for observer status, the secretariat will circulate a list of such organizations in accordance with rule 17. Furthermore, at its fifth session, the Conference decided to continue to allow non-governmental organizations to participate in the sessions of the Conference, in line with the rules of procedure and past practice (see [CTOC/COP/2010/17](#), chap. II.D).

(e) Adoption of the report of the Bureau on credentials

Rule 18 of the rules of procedure, on the submission of credentials, sets out the following:

“1. The credentials of representatives of each State Party and the names of the persons constituting the State Party’s delegation shall be submitted to the secretariat if possible not later than twenty-four hours in advance of the opening of the session.

“2. Any later change in the composition of the delegation shall also be submitted to the secretariat.

“3. The credentials shall be issued by the Head of State or Government, by the Minister for Foreign Affairs or by the Permanent Representative to the United Nations of the State party in accordance with its domestic law or, in the case of

a regional economic integration organization, by the competent authority of that organization.

“4. When the Conference is to consider proposals for amendments to the Convention in accordance with article 39 of the Convention and rule 62 of the rules of procedure for the Conference, the credentials shall be issued either by the Head of State or Government or by the Minister for Foreign Affairs of the State party or, in the case of a regional economic integration organization, by the competent authority of that organization.”

Under rule 19 of the rules of procedure, the Bureau shall examine the credentials and submit its report to the Conference.

Under rule 20 of the rules of procedure, pending a decision of the Bureau on their credentials, representatives shall be entitled to participate provisionally in the session. Any representative of a State party to whose admission another State party has made objection shall be seated provisionally with the same rights as other representatives of States parties until the Bureau has reported and the Conference has given its decision.

As decided by the extended Bureau of the Conference at its eighth session, and in line with rule 19 of the rules of procedure, States parties must submit their credentials at the time of registration and at the latest before the end of the regular session of the Conference, so that their participation may be recorded officially. Therefore, for the eleventh session of the Conference, States parties are kindly reminded that proper credentials should be presented at the time of registration; States parties that wish to participate provisionally in the eleventh session will have until noon on Friday, 21 October 2022 to present proper credentials to ensure that their participation is recorded officially. Templates for the preparation of proper credentials will be made available on the dedicated pages of the eleventh session of the Conference on the UNODC website.

(f) General discussion

Under item 1 (f), entitled “General discussion”, time will be allocated for statements on general matters related to the implementation of the Convention that may be of interest to the Conference.

A list of speakers for the general discussion will be kept by the secretariat; the list will be opened on Monday, 5 September, and closed promptly at noon on Monday, 17 October 2022. Requests for inclusion in the list of speakers for the general discussion may be addressed to the secretariat by email using the contact address provided in the invitations.

The procedure on the establishment of the list of speakers for the general discussion will be as follows: (a) representatives of States will be included in the list of speakers on a first-come, first-served basis, on the understanding that representatives at the ministerial level or higher will be given priority; (b) should a speaker at the ministerial level be replaced by a speaker at the non-ministerial level, that speaker will be added to the list of speakers on the basis of the time of the submission to the secretariat of the notification regarding the change of speaker; and (c) should a speaker from one delegation wish to change places on the list with a speaker at the same level from another delegation, those delegations should make their own arrangements and inform the secretariat in writing, with a copy being provided by the delegation proposing the change to the other delegation.

Participants, including high-level representatives, will be requested to adhere to a strict time limit of three minutes, unless they are the Chair of a regional group, in which case the time limit will be five minutes. Statements made during the general discussion that are shared with the secretariat, including longer versions, will be uploaded to the Conference website (unless the delegation informs the secretariat that it does not wish to have its statement posted online). Statements made under other agenda items will be uploaded on the website upon request. To facilitate the work of

the interpreters, delegations are encouraged to share statements in advance by sending them to unov.conference@un.org.

There will be the opportunity to submit a pre-recorded video statement delivered by a high-level representative, such as a Head of State or Government, minister, deputy minister, head of delegation or other dignitary. Pre-recorded video statements will be shown in the Plenary Hall after being introduced by a representative who is physically present (or by the President of the Conference, if the delegation is not represented at the session). The deadline for the submission of pre-recorded statements is Friday, 7 October 2022, and relevant guidelines, including the technical requirements, will be shared on the Conference website. Such statements must comply with the appropriate time limits and technical requirements.

2. Review of the implementation of the United Nations Convention against Transnational Organized Crime and the Protocols thereto

(a) United Nations Convention against Transnational Organized Crime

In its resolution 10/1, on the launch of the review process of the Mechanism for the Review of the Implementation of the United Nations Convention against Transnational Organized Crime and the Protocols thereto, the Conference reaffirmed that the Convention and its Protocols represented the principal worldwide legal instruments to prevent and combat the scourge of transnational organized crime, which affected individuals and societies in all countries, and reaffirmed also their importance as the main tools available to the international community for that purpose.

In the same resolution, the Conference recalled article 32 of the Convention, pursuant to which the Conference of the Parties to the United Nations Convention against Transnational Organized Crime was established to improve the capacity of States parties to combat transnational organized crime and promote and review the implementation of the Convention. Furthermore, the Conference decided to launch the first review phase of the review process in accordance with the thematic clusters and multi-year workplan contained in the appendix to the procedures and rules for the functioning of the Mechanism, contained in resolution 9/1, and with the guidelines for conducting the country reviews.

The Conference will have before it two conference room papers: the first containing a note by the Secretariat setting out information on the status of adherence to the Convention and its Protocols as at 3 October 2022 (CTOC/COP/2022/CRP.1), and the second a note by the Secretariat on the status of the functioning of the Mechanism for the Review of the Implementation of the United Nations Convention against Transnational Organized Crime and the Protocols thereto (CTOC/COP/2022/CRP.2).

Documentation

Conference room paper entitled “Status of adherence to the United Nations Convention against Transnational Organized Crime and the Protocols thereto as at 3 October 2022” (CTOC/COP/2022/CRP.1)

Conference room paper entitled “Status of the functioning of the Mechanism for the Review of the Implementation of the United Nations Convention against Transnational Organized Crime and the Protocols thereto” (CTOC/COP/2022/CRP.2)

(b) Protocol to Prevent, Suppress and Punish Trafficking in Persons, Especially Women and Children

In its decision 4/4, the Conference decided to establish an open-ended interim working group to advise and assist it in the implementation of its mandate with regard to the Trafficking in Persons Protocol.

In its resolution 7/1, the Conference decided that the Working Group on Trafficking in Persons would be a constant element of the Conference of the Parties, forwarding

its reports and recommendations to the Conference, and requested the Secretariat to continue assisting the working groups of the Conference in the performance of their functions.

Through its resolution 9/1, the Conference adopted the procedures and rules for the functioning of the Mechanism for the Review of the Implementation of the United Nations Convention against Transnational Organized Crime and the Protocols thereto, contained in the annex to the resolution, including its paragraph 12, according to which the working groups were to add the review process to their agendas as an item consistent with their areas of expertise and without prejudice to their respective existing mandates, and its paragraph 53, which established that constructive dialogues would be convened with relevant stakeholders as a regular practice following the conclusion of the sessions of the working groups and the adoption of the reports, and that a written summary of the discussion would be prepared by the Chair of the working group and be made available to the working group at its next session.

In its resolution 10/3, on the effective implementation of the Trafficking in Persons Protocol, the Conference called upon UNODC to continue to perform its mandate to further support Member States in their implementation of the Protocol, including through the provision of tailor-made, accessible and effective technical assistance, upon request. Furthermore, the Conference encouraged States parties to promptly send official information about identified victims to the destination countries, countries of origin and transit countries, including information on the acts and means used for the purpose of trafficking in persons in order to initiate a joint investigation, in accordance with national law. The Conference also encouraged Member States to regularly exchange, as appropriate, information and best practices based on national and international experiences on new methods used by traffickers to recruit victims of trafficking in persons or advertise victims to other perpetrators, such as the illicit use of information and communications technologies, in order to monitor trends and develop efficient methods to combat this crime.

The Working Group on Trafficking in Persons held its eleventh meeting on 12 and 13 October 2021 and its twelfth meeting on 29 and 30 June 2022. In accordance with resolution 7/1, the reports on those meetings will be made available to the Conference at its eleventh session (see [CTOC/COP/2022/5](#)).

A constructive dialogue with relevant stakeholders was held on 1 July 2022, following the conclusion of the twelfth meeting of the Working Group on Trafficking in Persons. The Chair's summary of the dialogue will be made available to the eleventh session in a conference room paper (CTOC/COP/2022/CRP.3).

In addition, the Conference will have before it for its consideration a report of the Secretariat on the activities of UNODC to promote and support the implementation of the Trafficking in Persons Protocol ([CTOC/COP/2022/2](#)).

Documentation

Report of the Secretariat on the activities of UNODC to promote and support the implementation of the Trafficking in Persons Protocol ([CTOC/COP/2022/2](#))

Note by the Secretariat transmitting the reports on the meetings of the Working Group on Trafficking in Persons held in Vienna on 12 and 13 October 2021 and on 29 and 30 June 2022 ([CTOC/COP/2022/5](#))

Conference room paper entitled "Constructive dialogues on the review process in accordance with paragraph 53 of the procedures and rules for the functioning of the Mechanism for the Review of the Implementation of the United Nations Convention against Transnational Organized Crime and the Protocols thereto: summaries by the Chairs" (CTOC/COP/2022/CRP.3)

(c) Protocol against the Smuggling of Migrants by Land, Sea and Air

In its resolution 5/3, on the implementation of the Smuggling of Migrants Protocol, the Conference decided to establish an open-ended intergovernmental working group to advise and assist the Conference in the implementation of its mandate with regard to the Smuggling of Migrants Protocol.

In its resolution 6/3, on the implementation of the Smuggling of Migrants Protocol, the Conference called upon States parties to continue to review and, as appropriate, strengthen their relevant legislation, including criminal legislation, and establish as criminal offences the acts covered by the Smuggling of Migrants Protocol and the Organized Crime Convention, including by introducing appropriate sanctions commensurate with the nature and gravity of the offence. The Conference requested UNODC to continue its technical assistance and capacity-building efforts, in coordination and cooperation with bilateral assistance providers and other relevant international organizations that assist States parties, upon request, in implementing the Smuggling of Migrants Protocol, and to assist States, upon request, in ratifying or acceding to the Protocol.

In its resolution 7/1, the Conference decided that the Working Group on Smuggling of Migrants would be a constant element of the Conference of the Parties, forwarding its reports and recommendations to the Conference, and requested the Secretariat to continue assisting the working groups of the Conference in the performance of their functions.

Through its resolution 9/1, the Conference adopted the procedures and rules for the functioning of the Mechanism for the Review of the Implementation of the United Nations Convention against Transnational Organized Crime and the Protocols thereto, contained in the annex to the resolution, including its paragraph 12, according to which the working groups were to add the review process to their agendas as an item consistent with their areas of expertise and without prejudice to their respective existing mandates, and its paragraph 53, which established that constructive dialogues would be convened with relevant stakeholders as a regular practice following the conclusion of the sessions of the working groups and the adoption of the reports and that a written summary of the discussion would be prepared by the Chair of the working group and be made available to the working group at its next session.

The Working Group on the Smuggling of Migrants held its eighth meeting on 14 and 15 October 2021 and its ninth meeting on 27 and 28 June 2022. The reports on those meetings will be made available to the Conference at its eleventh session (see [CTOC/COP/2022/5](#)).

A constructive dialogue with relevant stakeholders was held on 1 July 2022, following the conclusion of the ninth meeting of the Working Group on Smuggling of Migrants. The Chair's summary of the dialogue will be made available to the eleventh session in a conference room paper (see [CTOC/COP/2022/CRP.3](#)).

In addition, the Conference will have before it a report of the Secretariat on the activities of UNODC to promote and support the implementation of the Smuggling of Migrants Protocol ([CTOC/COP/2022/3](#)).

Documentation

Report of the Secretariat on the activities of UNODC to promote and support the implementation of the Smuggling of Migrants Protocol ([CTOC/COP/2022/3](#))

Note by the Secretariat transmitting the reports on the meetings of the Working Group on the Smuggling of Migrants held in Vienna on 14 and 15 October 2021 and on 27 and 28 June 2022 ([CTOC/COP/2022/5](#))

Conference room paper entitled "Constructive dialogues on the review process in accordance with paragraph 53 of the procedures and rules for the functioning of the Mechanism for the Review of the Implementation of the United Nations Convention

against Transnational Organized Crime and the Protocols thereto: summaries by the Chairs” (CTOC/COP/2022/CRP.3)

(d) Protocol against the Illicit Manufacturing of and Trafficking in Firearms, Their Parts and Components and Ammunition

In its resolution 5/4, the Conference decided to establish an open-ended intergovernmental working group on firearms to advise and assist the Conference in the implementation of its mandate with regard to the Firearms Protocol.

In its resolution 7/1, the Conference decided that the Working Group on Firearms would be a constant element of the Conference of the Parties, forwarding its reports and recommendations to the Conference, and requested the Secretariat to continue assisting the working groups of the Conference in the performance of their functions.

Through its resolution 9/1, the Conference adopted the procedures and rules for the functioning of the Mechanism for the Review of the Implementation of the United Nations Convention against Transnational Organized Crime and the Protocols thereto, contained in the annex to the resolution, including its paragraph 12, under which the working groups were to add the review process to their agendas as an item consistent with their areas of expertise and without prejudice to their respective existing mandates, and its paragraph 53, which established that constructive dialogues would be convened with relevant stakeholders as a regular practice following the conclusion of the sessions of the working groups and the adoption of the reports and that a written summary of the discussion would be prepared by the Chair of the working group and be made available to the working group at its next session.

In its resolution 10/2, the Conference requested UNODC to continue to assist States parties, upon request, in their efforts to strengthen their firearms control regimes, in particular in the areas of legislative development, firearms identification, seizure, confiscation and disposal technical support for marking, record-keeping and tracing, and training and capacity-building in the investigation and prosecution of related crimes, with a view to preventing, combating and eradicating the illicit manufacturing of and trafficking in firearms, their parts and components and ammunition.

Furthermore, the Commission on Narcotic Drugs, through its resolution 65/2 on strengthening international cooperation to address the links between illicit drug trafficking and illicit firearms trafficking, called upon Member States, consistent with their domestic legal frameworks, to continue to exchange information and provide judicial cooperation to identify and investigate possible links between illicit drug trafficking and illicit trafficking in firearms and requested the Executive Director of the UNODC to make the resolution available to the Commission on Crime Prevention and Criminal Justice and to the Conference of the Parties. The report of the sixty-fifth session of the Commission on Narcotic Drugs ([E/2022/28-E/CN.7/2022/14](#)) will be made available to the Conference at its eleventh session (see [CTOC/COP/2022/5](#)).

The Working Group on Firearms held its eighth meeting from 10 to 12 May 2021, and its ninth meeting on 4 and 5 May 2022. The reports on those meetings will be made available to the Conference at its eleventh session (see [CTOC/COP/2022/5](#)).

A constructive dialogue with relevant stakeholders was held on 6 May 2022, following the conclusion of the ninth meeting of the Working Group on Firearms. The Chair’s summary of the dialogue will be made available to the eleventh session in a conference room paper (CTOC/COP/2022/CRP.3).

In addition, the Conference will have before it a report of the Secretariat on the activities of UNODC to promote and support the implementation of the Firearms Protocol ([CTOC/COP/2022/4](#)).

Documentation

Report of the Secretariat on the activities of UNODC to promote and support the implementation of the Firearms Protocol ([CTOC/COP/2022/4](#))

Note by the Secretariat transmitting the report on the sixty-fifth session of the Commission on Narcotic Drugs and the report on the meetings of the Working Group on Firearms held in Vienna from 10 to 12 May 2021 and on 4 and 5 May 2022 ([CTOC/COP/2022/5](#))

Conference room paper entitled “Constructive dialogues on the review process in accordance with paragraph 53 of the procedures and rules for the functioning of the Mechanism for the Review of the Implementation of the United Nations Convention against Transnational Organized Crime and the Protocols thereto: summaries by the Chairs” ([CTOC/COP/2022/CRP.3](#))

3. Other serious crimes, as defined in the Convention, including new forms and dimensions of transnational organized crime

In its resolution 10/4, on celebrating the twentieth anniversary of the adoption of the Convention and promoting its effective implementation, the Conference emphasized the continued relevance of the Convention, including in countering new, emerging and evolving forms of transnational organized crime, and invited States parties to make full and effective use of the Convention, in particular through the broad scope of application of the definition of “serious crime” enshrined in its article 2, paragraph (b), as well as its provisions on international cooperation, in particular article 16, on extradition, and article 18, on mutual legal assistance, to promote cooperation to prevent and counter new, emerging and evolving forms of transnational organized crime.

In the same resolution, the Conference expressed serious concern that the coronavirus disease (COVID-19) pandemic and its socioeconomic implications created new opportunities for organized criminal groups and brought new challenges to the fight against transnational organized crime, and stressed the importance of finding effective ways to address those challenges, including through the effective implementation of the Convention and the Protocols thereto, during and after the pandemic.

In its resolution 10/5, on preventing and combating the manufacturing of and trafficking in falsified medical products as forms of transnational organized crime, the Conference affirmed that the Convention constituted a useful tool for international cooperation in preventing and combating the manufacturing of and trafficking in falsified medical products, in those cases falling within its scope, and called upon States parties to strengthen and fully implement response measures and mechanisms to prevent and combat the manufacturing of and trafficking in falsified medical products, including in cooperation with relevant international and regional organizations.

The value of the Convention as an effective tool and an essential part of the legal framework for preventing and combating transnational organized crimes that affect the environment and for strengthening international cooperation in that regard, was also affirmed by the Conference in resolution 10/6, on preventing and combating crimes that affect the environment falling within the scope of the Convention. In that resolution, the Conference expressed its deep concern that activities of organized criminal groups that affect the environment hindered and undermined efforts undertaken by States to protect the environment, promote the rule of law and achieve sustainable development, including efforts to contribute to the implementation of the 2030 Agenda for Sustainable Development. The Conference also requested the Working Group of Government Experts on Technical Assistance and the Working Group on International Cooperation to hold a joint thematic discussion on the application of the Convention for preventing and combating transnational organized crimes that affect the environment and to make recommendations for consideration

by the Conference of the Parties to the Convention at its eleventh session, within their mandates, in order to promote the practical application of the Convention.

In its resolution 10/7, on combating transnational organized crime against cultural property, the Conference urged States parties to strengthen and facilitate international cooperation, in accordance with domestic law and consistent with their obligations under relevant international law, with regard to trafficking in cultural property and other crimes targeting cultural property, including through extradition, mutual legal assistance, the identification, seizure and confiscation of trafficked, illicitly exported or imported, stolen, looted, illicitly excavated or illicitly traded cultural property and the return or restitution of such cultural property, as well as the investigation and prosecution of those crimes and the recovery of their proceeds, and to make effective use of the Convention as a legal basis for such international cooperation, in applicable cases.

The Working Group on International Cooperation held its twelfth meeting on 25 and 26 March 2021, and its thirteenth meeting from 23 to 27 May 2022, back-to-back with the thirteenth meeting of the Working Group of Government Experts on Technical Assistance, with a joint thematic discussion in accordance with resolution 10/6. The reports on those meetings will be made available to the Conference at its eleventh session (see [CTOC/COP/2022/5](#)).

The secretariat will provide oral updates regarding the implementation of resolutions 10/5, 10/6 and 10/7.

Documentation

Note by the Secretariat transmitting the reports on the meetings of the Working Group on International Cooperation held in Vienna on 25 and 26 March 2021 and from 23 to 27 May 2022, back-to-back with the thirteenth meeting of the Working Group of Government Experts on Technical Assistance (see [CTOC/COP/2022/5](#))

4. International cooperation, with particular emphasis on extradition, mutual legal assistance and international cooperation for the purpose of confiscation, and the establishment and strengthening of central authorities

In its decision 3/2, the Conference decided that an open-ended working group on international cooperation would be a constant element of the Conference of the Parties. This decision was reaffirmed consistently in subsequent resolutions of the Conference.

In its resolution 7/1, the Conference encouraged the Working Group on International Cooperation and the Working Group of Government Experts on Technical Assistance to consider meeting on an annual basis, as needed, and to hold their meetings consecutively, in order to ensure the effective use of resources.

In its resolution 8/1, on enhancing the effectiveness of central authorities in international cooperation in criminal matters to counter transnational organized crime, the Conference urged States parties to afford one another the greatest measure of assistance, in accordance with the provisions of the Convention, as well as their domestic laws, and encouraged them, consistent with their national legal frameworks, to make the widest possible use of the Convention as a basis for international cooperation. In the same resolution, the Conference strongly encouraged States parties to facilitate engagement between and among central authorities in person, including through regional networks, or by virtual means, and urged them, including in collaboration with UNODC, to promote training and technical assistance to facilitate international cooperation under the Convention.

In its resolution 10/4, the Conference, emphasizing the particular relevance of the Convention as a legal basis for international cooperation in extradition and mutual legal assistance, as well as for other forms of judicial and law enforcement cooperation, invited States parties to make full and effective use of the Convention, in particular through the broad scope of application of the definition of “serious

crime” enshrined in its article 2, paragraph (b), as well as its provisions on international cooperation, in particular article 16, on extradition, and article 18, on mutual legal assistance, to promote cooperation to prevent and counter new, emerging and evolving forms of transnational organized crime. In the same resolution, the Conference requested the UNODC, within its mandate, to continue to provide technical assistance and capacity-building to Member States, upon request, to support their capacity to prevent and combat transnational organized crime.

Through its resolution 9/1, the Conference adopted the procedures and rules for the functioning of the Mechanism for the Review of the Implementation of the United Nations Convention against Transnational Organized Crime and the Protocols thereto, contained in the annex to the resolution, including its paragraph 12, according to which the working groups were to add the review process to their agendas as an item consistent with their areas of expertise and without prejudice to their respective existing mandates, and its paragraph 53, which established that constructive dialogues would be convened with relevant stakeholders as a regular practice following the conclusion of the sessions of the working groups and the adoption of the reports and that a written summary of the discussion would be prepared by the Chair of the working group and be made available to the working group at its next session.

The Working Group on International Cooperation held its twelfth meeting on 25 and 26 March 2021, and its thirteenth meeting from 23 to 27 May 2022, back-to-back with the thirteenth meeting of the Working Group of Government Experts on Technical Assistance, with a joint thematic discussion in accordance with resolution 10/6. The reports on those meetings will be made available to the Conference at its eleventh session (see [CTOC/COP/2022/5](#)).

A constructive dialogue with relevant stakeholders was held on 27 May 2022, following the conclusion of the thirteenth meeting of the Working Group on International Cooperation and the thirteenth meeting of the Working Group of Government Experts on Technical Assistance. The Chair’s summary of the dialogue will be made available to the eleventh session in a conference room paper ([CTOC/COP/2022/CRP.3](#)).

In addition, the Conference will have before it a report of the Secretariat on the activities of UNODC to promote the implementation of the provisions on international cooperation in the Convention ([CTOC/COP/2022/6](#)).

Documentation

Report of the Secretariat on the activities of UNODC to promote the implementation of the provisions on international cooperation in the United Nations Convention against Transnational Organized Crime ([CTOC/COP/2022/6](#))

Note by the Secretariat transmitting the reports on the meetings of the Working Group on International Cooperation held in Vienna on 25 and 26 March 2021 and from 23 to 27 May 2022, back-to-back with the thirteenth meeting of the Working Group of Government Experts on Technical Assistance ([CTOC/COP/2022/5](#)) Conference room paper, entitled “Constructive dialogues on the review process in accordance with paragraph 53 of the procedures and rules for the functioning of the Mechanism for the Review of the Implementation of the United Nations Convention against Transnational Organized Crime and the Protocols thereto: summaries by the Chairs” ([CTOC/COP/2022/CRP.3](#))

5. Technical assistance

In decision 4/3, the Conference decided that the Open-ended Interim Working Group of Government Experts on Technical Assistance should be a constant element of the Conference of the Parties. This decision was reaffirmed in subsequent resolutions of the Conference.

In its resolution 7/1, the Conference encouraged the Working Group on International Cooperation and the Working Group of Government Experts on Technical Assistance

to consider meeting on an annual basis, as needed, and to hold their meetings consecutively, in order to ensure the effective use of resources.

In its resolution 7/3, on the implementation of the provisions on technical assistance of the Convention, the Conference noted that technical assistance was a fundamental part of the work carried out by UNODC to assist Member States in the effective implementation of the Convention and the Protocols thereto. In the same resolution, the Conference endorsed the recommendation adopted by the Working Group of Government Experts on Technical Assistance at its meeting held from 28 to 30 October 2013 that UNODC, subject to the availability of extrabudgetary resources, should continue the development of technical assistance tools for the Convention and the Protocols thereto and on specialized issues.

In its resolution 10/4, the Conference requested UNODC, within its mandate, to continue to provide technical assistance and capacity-building to Member States, upon request, to support their capacity to prevent and combat transnational organized crime.

The Working Group of Government Experts on Technical Assistance held its thirteenth meeting from 23 to 27 May 2022, back-to-back with the thirteenth meeting of the Working Group on International Cooperation, with a joint thematic discussion in accordance with resolution 10/6. The report on the meeting will be made available to the Conference at its eleventh session (see [CTOC/COP/2022/5](#)).

A constructive dialogue with relevant stakeholders was held on 27 May 2022, following the conclusion of the thirteenth meeting of the Working Group on International Cooperation and the thirteenth meeting of the Working Group of Government Experts on Technical Assistance. The Chair's summary of the dialogue will be made available to the eleventh session in a conference room paper (CTOC/COP/2022/CRP.3).

In addition, the Conference will have before it a report of the Secretariat on the provision of technical assistance to States in the implementation of the Convention and the Protocols thereto ([CTOC/COP/2022/7](#)).

Documentation

Note by the Secretariat transmitting the report on the meeting of the Working Group on Technical Assistance held in Vienna from 23 to 27 May 2022, back-to-back with the thirteenth meeting of the Working Group on International Cooperation ([CTOC/COP/2022/5](#))

Report of the Secretariat on the provision of technical assistance to States in the implementation of the United Nations Convention against Transnational Organized Crime and the Protocols thereto ([CTOC/COP/2022/7](#))

Conference room paper, entitled "Constructive dialogues on the review process in accordance with paragraph 53 of the procedures and rules for the functioning of the Mechanism for the Review of the Implementation of the United Nations Convention against Transnational Organized Crime and the Protocols thereto: summaries by the Chairs" (CTOC/COP/2022/CRP.3)

6. Financial and budgetary matters

In its resolution [55/25](#), the General Assembly decided that, until the Conference decided otherwise, the account referred to in article 30 of the Organized Crime Convention would be operated within the United Nations Crime Prevention and Criminal Justice Fund, and encouraged Member States to begin making adequate voluntary contributions to the above-mentioned account for the provision to developing countries and countries with economies in transition of the technical assistance that they might require for the implementation of the Convention and its Protocols, including for the preparatory measures needed for that implementation.

In accordance with rule 72 (Preparation of a budget) of the rules of procedure for the Conference, the secretariat is to prepare a budget for the financing of the activities of the Conference related to technical cooperation undertaken in accordance with articles 29–32 of the Convention, article 10 of the Trafficking in Persons Protocol, article 14 of the Smuggling of Migrants Protocol and article 14 of the Firearms Protocol and communicate it to the States parties at least 60 days in advance of the opening of the regular session at which the budget is to be adopted. In accordance with rule 73 (Adoption of the budget) of the rules of procedure, the Conference shall consider and decide on the budget prepared pursuant to rule 72.

Information in relation to the budget for the financing of the activities of the Conference related to technical cooperation is contained in the report of the Executive Director on the implementation of the consolidated budget for the biennium 2022–2023 for UNODC ([E/CN.7/2022/16-E/CN.15/2022/16](#)), which will be submitted to the Commission on Narcotic Drugs and the Commission on Crime Prevention and Criminal Justice at their reconvened sessions in December. The report of the Executive Director will replace the note by the Secretariat on financial and budgetary matters, which duplicated the information provided in the consolidated budget for UNODC.

In its resolutions 9/1 and 10/1, the Conference stressed the importance of ensuring under future budget cycles the efficient, continued and impartial functioning of the Mechanism and invited Member States and other donors to provide extrabudgetary resources for the purposes set out in the two resolutions, in accordance with the rules and procedures of the United Nations and with the procedures and rules for the functioning of the Mechanism, contained in the annex to resolution 9/1, including its paragraph 54.

Following the adoption of resolution 9/1, the Secretariat developed a global programme to collect, manage and implement the voluntary contributions complementing the existing resources to support the implementation of the Mechanism for the Review of the Implementation of the United Nations Convention against Transnational Organized Crime and the Protocols thereto. Information on the status of those voluntary contributions will be made available to the Conference in a note by the Secretariat ([CTOC/COP/2022/8](#)).

Documentation

Note by the Secretariat on financial and budgetary matters ([CTOC/COP/2022/8](#))

7. Provisional agenda for the twelfth session of the Conference

The Conference is to consider and approve a provisional agenda for its twelfth session, which is to be drawn up by the secretariat in consultation with the Bureau.

8. Other matters

As no issues to be raised under agenda item 8 have come to the attention of the secretariat, no documentation regarding this item is currently foreseen.

9. Adoption of the report of the Conference on its eleventh session

The Conference is to adopt a report on its eleventh session, the draft of which will be prepared by the secretariat in coordination with the Rapporteur.

Annex

Proposed organization of work

<i>Date/time</i>	<i>Agenda item</i>	<i>Title or description</i>
Monday, 17 October		
10 a.m.–1 p.m.	1 (a)	Opening of the session
	1 (b)	Election of officers
	1 (c)	Adoption of the agenda and organization of work
	1 (d)	Participation
	1 (e)	Adoption of the report of the Bureau on credentials
	1 (f)	General discussion
3–6 p.m.	1 (f)	General discussion (<i>continued</i>)
Tuesday, 18 October		
10 a.m.–1 p.m.	2	Review of the implementation of the United Nations Convention against Transnational Organized Crime and the Protocols thereto
3–6 p.m.	2 (a)	Organized Crime Convention
	2 (b)	Trafficking in Persons Protocol
	2 (c)	Smuggling of Migrants Protocol
Wednesday, 19 October		
10 a.m.–1 p.m.	2 (d)	Firearms Protocol
3–6 p.m.	3	Other serious crimes, as defined in the Convention, including new forms and dimensions of transnational organized crime
Thursday, 20 October		
10 a.m.–1 p.m.	4	International cooperation, with particular emphasis on extradition, mutual legal assistance and international cooperation for the purpose of confiscation, and the establishment and strengthening of central authorities
3–6 p.m.	5	Technical assistance
Friday, 21 October		
10 a.m.–1 p.m.	6	Financial and budgetary matters
	7	Provisional agenda for the twelfth session of the Conference
3–6 p.m.	8	Other matters
	9	Adoption of the report of the Conference on its eleventh session