

4 December 2023

English only

Conference of the States Parties to the United Nations Convention against Corruption**Tenth session**

Atlanta, United States of America, 11–15 December 2023

Item 8 of the provisional agenda**

Other matters**Competent national authorities designated under the United Nations Convention against Corruption (as of 1 December 2023)****Note by the Secretariat***Summary*

The present document provides statistics with regard to the notifications submitted by States parties of the following competent national authorities under the United Nations Convention against Corruption: prevention authorities (pursuant to article 6, paragraph 3 of the Convention); central authorities on mutual legal assistance (pursuant to article 46, paragraph 13 of the Convention); asset recovery focal points (pursuant to resolution 4/4 of the Conference of the States Parties); central authorities on extradition (pursuant to a recommendation by the fifth Open-ended intergovernmental expert meeting on international cooperation); and focal points for international cooperation in the use of civil and administrative proceedings relating to corruption (pursuant to resolution 6/4 of the Conference).

1. In its resolution 7/1, the Conference urged States parties to ensure that the information provided regarding their central and competent authorities, was up to date, in order to enhance dialogue on mutual legal assistance. Furthermore, in line with the recommendation of the expert meeting on international cooperation, the secretariat continued to update the online directory of competent national authorities.¹
2. The CNA Directory lists over one thousand competent national authorities designated under the 1988 United Nations Convention against Illicit Traffic in Narcotic Drugs and Psychotropic Substances; the United Nations Convention against Transnational Organized Crime and the Protocols thereto; the United Nations Convention against Corruption and other applicable legal instruments, such as Security Council resolution 2322 (2016), General Assembly resolution 68/186 and

** CAC/COSP/2023/1.

¹ Available at: <https://sherloc.unodc.org/cld/v3/sherloc/cna/index.jspx>

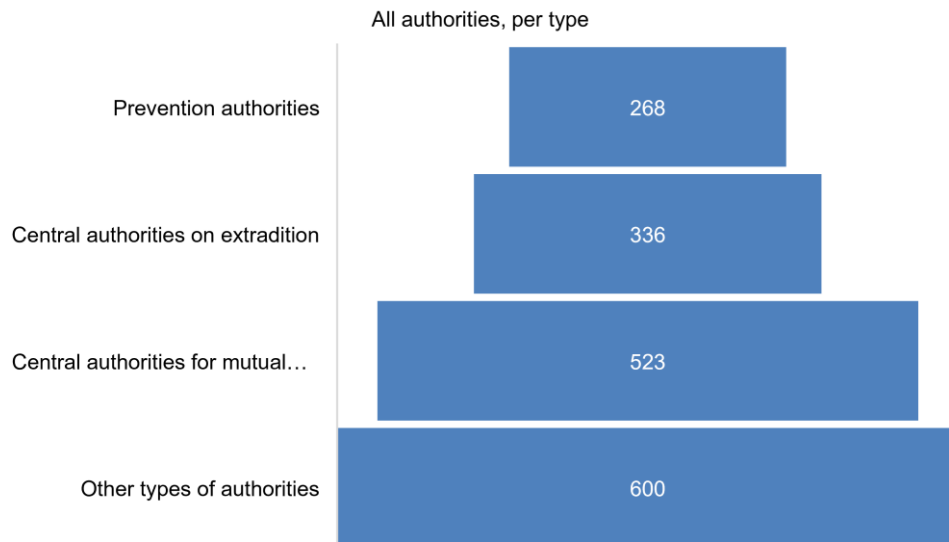


decisions 3/2 and 4/2 of the Conference of the Parties to the Convention against Transnational Organized Crime.

3. The tool allows the classification of authorities by crime type or authority type. The number of authorities on anti-corruption is the second largest number of authorities.

Figure I

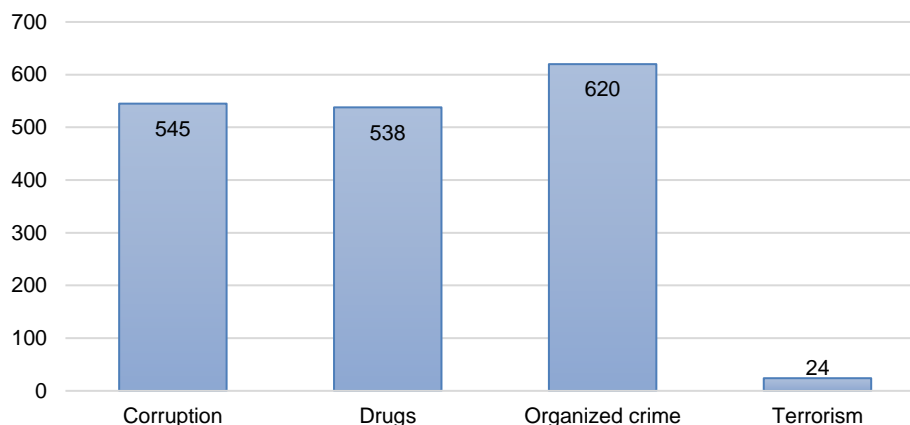
All authorities, by type



4. Regarding the corruption crime-type, the secretariat collects official notifications made by States parties of their designated competent national authorities under the United Nations Convention against Corruption, namely:

- **Prevention authorities** that may assist other States parties in developing and implementing specific measures for the prevention of corruption (pursuant to article 6, paragraph 3 of the Convention);
- **Central authorities on mutual legal assistance** that have the responsibility and power to send out for mutual legal assistance as well as receive them and either execute them or transmit them to the competent authorities for execution (pursuant to article 46, paragraph 13 of the Convention);
- **Asset recovery focal points** that facilitate international cooperation in cases relating to asset recovery (pursuant to resolution 4/4 of the Conference of the States Parties);
- **Central authorities on extradition** that facilitate international cooperation in extradition (pursuant to a recommendation by the fifth Open-ended intergovernmental expert meeting on international cooperation); and
- **Focal points in the use of civil and administrative proceedings** that facilitate international cooperation in civil and administrative proceedings relating to corruption (pursuant to resolution 6/4 of the Conference).

Figure II
All authorities, per topic



5. The Directory provides an easy and reliable access to information of designated competent authorities, including their names, contact details, contact persons, possible languages for requests, legal and procedural requirements and description of procedures. It allows staff of national authorities to have access to up-to-date contact information of their counterparts and, moreover, it provides information on means of communication, and specific instructions to be followed in urgent cases. It is also linked in the tools and resources on anti-corruption knowledge (TRACK) portal with relevant pieces of national legislation and national templates or guidelines.²

6. In 2019, the online directory of competent national authorities under the Convention was merged with the directory of competent national authorities in the SHERLOC portal. Since then, Member States benefitted from a single outlet of information on competent authorities under all treaties relevant to UNODC. The number of users with access to the online directory of competent national authorities has been steadily growing since 2015.

² The directory contains the contact information of national authorities authorized to receive, respond to and process requests of assistance for matters related to the below.

Mutual legal assistance

Extradition

Transfer of sentenced persons

Illicit traffic by sea of narcotic drugs and psychotropic substances

Preventive anti-corruption authorities

Asset recovery

International cooperation in civil and administrative proceedings relating to corruption

Smuggling of migrants by sea

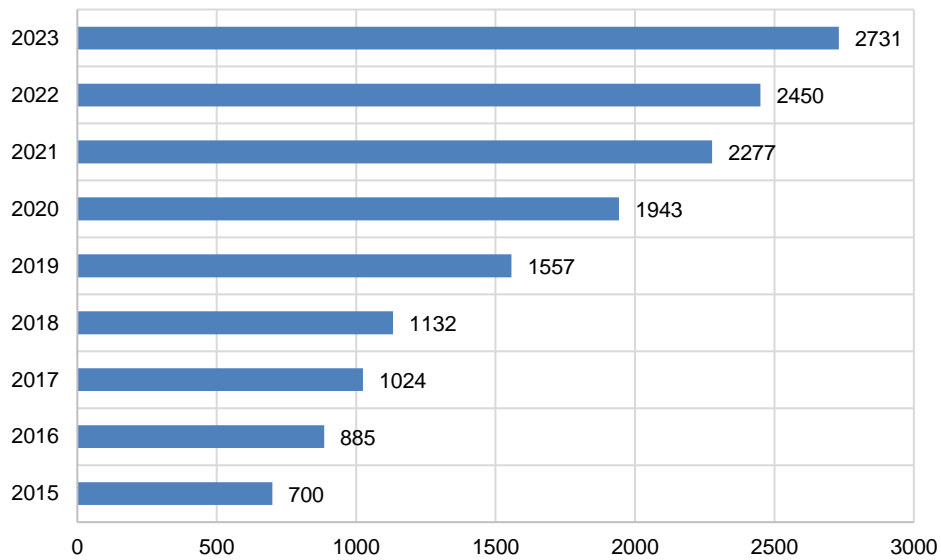
Trafficking in firearms

Prevention of transnational organized crime and

Trafficking in cultural property

Terrorism

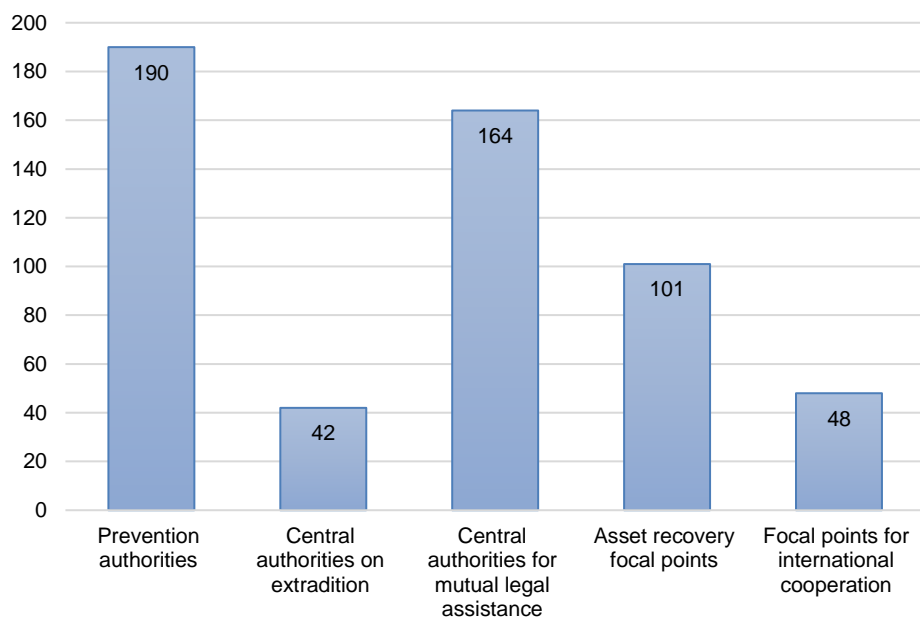
Figure III
Number of users of the Directory, per year



7. As of October 2023, the directory contained information on the following specialized authorities on anti-corruption:

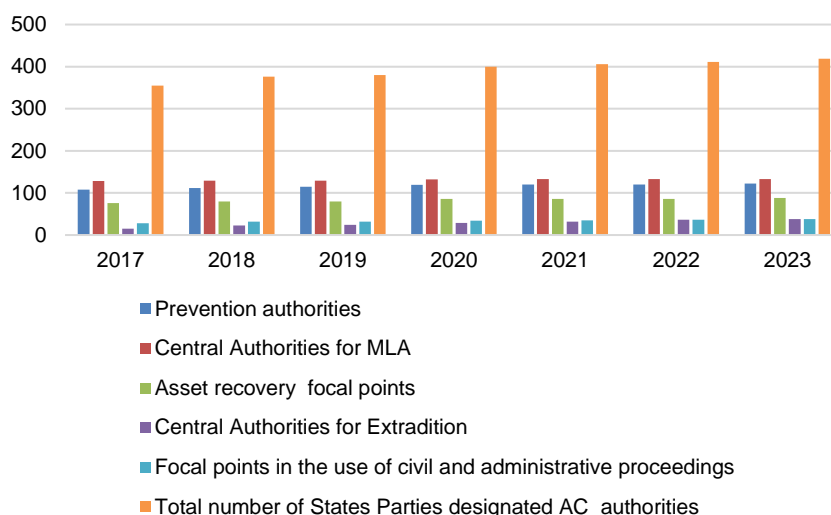
- (a) 190 prevention authorities from 122 States parties;
- (b) 42 central authorities on extradition from 38 States parties;
- (c) 164 central authorities for mutual legal assistance from 133 States parties;
- (d) 101 asset recovery focal points from 88 States parties;
- (e) 48 focal points for international cooperation in the use of civil and administrative proceedings from 38 States parties.

Figure IV
Specialized authorities on anti-corruption



8. The evolution of the anti-corruption authorities registered in the last 5 years could be seen in the following figure:

Figure V
Authorities in the Directory, per type and year



9. The number of authorities experienced an overall steady growth. While State Parties have designated more Central Authorities for mutual legal assistance than any other authority, designations of preventive authorities and asset recovery focal points have seen a disproportionate growth. This could be due to the second review cycle which started in 2016.

10. In order to make the Directory a reliable and comprehensive tool, the secretariat continues to encourage States parties to provide notifications of their designated authorities. Those States parties that have already notified the secretariat of their designated competent national authorities are encouraged to review the accuracy of the information available in the Directory and to inform the secretariat of any changes.

Box I

Notification procedure

Any new notifications or amendments to the existing notifications of competent national authorities should be sent through an official note verbale and using the prepared templates (to be downloaded from http://www.unodc.org/compauth_uncac/en/index.html) to the Secretariat of the Conference of the States Parties to the United Nations Convention against Corruption, Corruption and Economic Crime Branch, United Nations Office on Drugs and Crime, Vienna International Centre, P.O. Box 500, 1400 Vienna, Austria or to the e-mail address: uncac@un.org.

Annex

Information sheet regarding the access to the CNA Directory as of December 2023

To request an account

1. On the main page of the CNA Directory, please click on “Request an account”.

Online Directory of Competent National Authorities (CNA Directory)

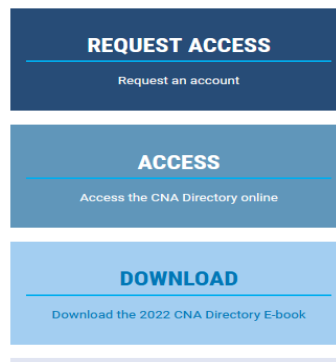
The online directory of Competent National Authorities is a password protected tool, listing over one thousand competent national authorities designated under:

- (1) the 1988 United Nations Convention against Illicit Traffic in Narcotic Drugs and Psychotropic Substances,
- (2) the United Nations Convention against Transnational Organized Crime and the Protocols thereto,
- (3) the United Nations Convention against Corruption and
- (4) other applicable legal instruments, such as Security Council resolution 2322 (2016), General Assembly resolution 68/186 and decisions 3/2 and 4/2 of the Conference of the Parties to the Convention against Transnational Organized Crime.

The directory contains the contact information of national authorities authorized to receive, respond to and process requests of assistance for matters related to the below.

- Mutual legal assistance
- Extradition
- Transfer of sentenced persons
- Illicit traffic by sea of narcotic drugs and psychotropic substances
- Preventive anti-corruption authorities
- Asset recovery
- International cooperation in civil and administrative proceedings relating to corruption
- Smuggling of migrants by sea
- Trafficking in firearms
- Prevention of transnational organized crime and
- Trafficking in cultural property
- Terrorism

The Directory allows national authorities' staff easy access to the updated contact information of their counterparts and provides information on means of communication, accepted languages, legal requirements for cooperation and specific procedures to be followed in urgent cases. It also allows direct access to relevant pieces of national legislation and national templates or guidelines.

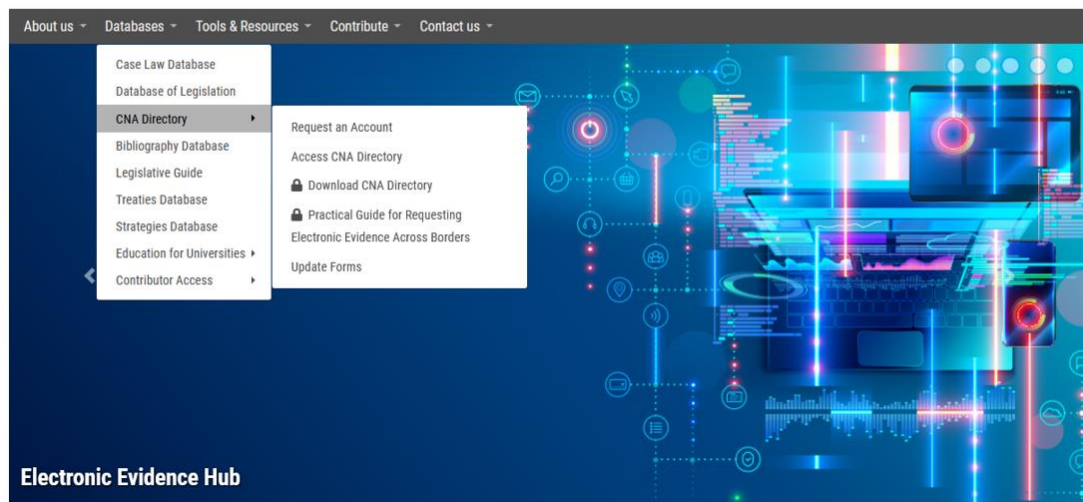


To access the CNA Directory

2. On the SHERLOC main page, go to Databases and then select the CNA Directory in the drop-down menu. It will direct you to the CNA Directory on SHERLOC which lists different types of competent national authorities, including those designated under the United Nations Convention against Corruption.

SHERLOC SHARING ELECTRONIC RESOURCES AND LAWS ON CRIME

UNODC
United Nations Office on Drugs and Crime



3. In the CNA Directory, authorities can be searched by name or filtered by country, mandate or type.

The screenshot shows the SHERLQOC interface with the following elements:

- Logos for SHERLQOC (SHARING ELECTRONIC RESOURCES AND LAWS ON CRIME) and UNODC (United Nations Office on Drugs and Crime).
- Navigation menu: About us, Databases, Tools & Resources, Contribute, Contact us.
- Page title: Competent National Authorities Directory.
- Filter tabs: Central authorities, Competent authorities (selected), All documents.
- Search bar: Search authorities.
- Browsing options: Country (selected), Mandate.
- Filter: Filter the countries.
- Section header: A.
- Country list:

Afghanistan 4	Albania 4	Algeria 5
Andorra 5	Angola 1	Antigua and Barbuda 2
Argentina 5	Armenia 20	Australia 5

4. The default interface is a country profile page where you can click on the flag icon of the States to find out more information regarding the authorities they designated. Authorities could be filtered by mandate too.

The screenshot shows the SHERLQOC interface with the following elements:

- Logos for SHERLQOC (SHARING ELECTRONIC RESOURCES AND LAWS ON CRIME) and UNODC (United Nations Office on Drugs and Crime).
- Navigation menu: About us, Databases, Tools & Resources, Contribute, Contact us.
- Page title: Competent National Authorities Directory.
- Filter tabs: Central authorities, Competent authorities (selected), All documents.
- Search bar: Search authorities.
- Browsing options: Country, Mandate (selected).
- Search results:
 - Article 6 of the Convention against Illicit Traffic in Narcotic Drugs and Psychotropic Substances of 1988 (Extradition) 168
 - Article 7.8 of the Convention against Illicit Traffic in Narcotic Drugs and Psychotropic Substances of 1988 (Central Authority for Mutual Legal Assistance) 199
 - Article 17.7 of the Convention against Illicit Traffic in Narcotic Drugs and Psychotropic Substances of 1988 (Illicit traffic by sea) 171

5. You may further click on any specific authority to acquire more detailed information, including, inter alia, the address, means of communications, procedure to follow in urgent cases, content of the request to the authority.

Ministry of Legal Affairs

Country

Authority type
Central

Address
Street Number 1
Country

Timezone
GMT -5

Office hours
Open from 09:00 to 18:30
Currently closed (Local time: 04:04)

Languages spoken
English

Urgent cases

Domestic legislation

Mandate
Article 44 of the United Nations Convention Against Corruption (Extradition)
Article 46.13 of the United Nations Convention against Corruption (Central Authority for Mutual Legal Assistance)

Communications
Telephone
Fax
Email
Website

Channels accepted
• Fax: No
• Interpol: Yes

Content of Requests
General Information

6. Three options of practical usefulness are also offered: downloading the directory; getting access to the password-protected practical guide to requesting evidence across borders; or providing updated information about relevant authorities using the available forms.



Navigation menu items: About us, Databases, Tools & Resources, Contribute, Contact us

Databases

- Case Law Database
- Database of Legislation
- CNA Directory**
 - Request an Account
 - Access CNA Directory
 - Download CNA Directory
 - Practical Guide for Requesting Electronic Evidence Across Borders
 - Update Forms
- Bibliography Database
- Legislative Guide
- Treaties Database
- Strategies Database
- Education for Universities
- Contributor Access