

SPECIAL EVENT

THE ANTI-CORRUPTION ROLE OF GLOBAL BANKS – MAKING THE CONVENTION WORK IN PRACTICE

FIFTH SESSION OF THE CONFERENCE OF THE STATES PARTIES TO THE UNITED NATIONS CONVENTION AGAINST CORRUPTION

Monday, 25 November, 2013

Panama City, Panama

16:00 – 18:00, LAGUNILLA

I. Background

The special event organised by the British Bankers' Association will share experiences of global banks in implementing worldwide targeted anti-corruption measures. The session will draw upon a range of practical examples with a view to enhancing an understanding of the challenges, policies and good practices that have emerged from across the global financial sector. It will conclude with a discussion on the key areas where governments and the private sector need to work together in order to ensure effective UNCAC implementation.

II. Agenda

Opening Remarks (16:00-16:10)

- Justine Walker, Director Financial Crime (Sanctions and Bribery), British Bankers' Association

Presentations:

- How banks manage their own internal corruption risks in relation to setting the correct governance structure, risk assessment, management of gifts and hospitality and oversight of third parties used to retain or win business.

Justine Walker, Director Financial Crime (Sanctions and Bribery), British Bankers' Association **(16:10-16:20)**

- The realities of implementing a Politically Exposed Persons regime, tools that have been developed, challenges in assessing the legitimacy of linked funds and how to translate international standards into practice.

Susan Wright, HSBC Group Head of FCC External Relations, HSBC Holdings **(16:20-16:35)**

- The mechanisms for assessing customer risk including the experiences and challenges of identifying corrupt funds within different geographical regions and political environments.

Stephen Foster, Director, Anti-Money Laundering, Barclays Financial Crime Compliance **(16:35-16:50)**

Panel Discussion (16:50 – 18:00)

- How to utilise banks as a catalyst for positive change, including identification of the areas where the private and public sector can mutually support each other's efforts in the fight against corruption.

III. Panellists

Justine Walker, Director Financial Crime (Sanctions and Bribery), British Bankers' Association

Stephen Foster, Director, Anti-Money Laundering, Barclays Financial Crime Compliance

Susan Wright, HSBC Group Head of FCC External Relations, HSBC Holdings