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Review of the implementation of the United Nations Convention against Corruption

Resources and expenditures for the functioning of the Mechanism for the Review of Implementation of the United Nations Convention against Corruption

Note by the Secretariat

I. Introduction

1. The present note contains budgetary information on expenditures incurred so far for the first, second, third and fourth years of operation of the Mechanism for the Review of Implementation of the United Nations Convention against Corruption, as well as revised estimates for the first four years of operation of the Mechanism and resources received, both from the regular budget and from voluntary contributions. This note also reports on resource requirements for the fourth year of operation of the Mechanism.

II. Regular budget resources and expenditures for the Review Mechanism

2. Tables 1 and 2 present regular budget resources and expenditures for the bienniums 2010-2011 and 2012-2013, respectively. Table 3 presents the proposed regular budget resources for 2014.

* CAC/COSP/2013/1.



Table 1
Regular budget resources and expenditures for the Review Mechanism in the biennium 2010-2011

<i>Budgetary item</i>	<i>Budget, 2010-2011 (final)</i>	<i>Expenditures, 2010-2011</i>
<i>(United States dollars)</i>		
Posts and related general operating expenses		
Posts (1 D-1, 1 P-5, 2 P-4, 1 P-3, 3 P-2 and 1 General Service (Other level)), net of staff assessment ^a	1 458 600	1 474 100
Computer maintenance (\$1,300 per staff member per year)	23 400	23 400
Communications costs (\$1,500 per staff member per year)	27 000	27 000
Subtotal	1 509 000	1 524 500
Implementation Review Group		
Interpretation (20 meetings per year, 6 languages) and conference servicing ^b	465 600	351 300
Translation of documentation (100 pages per year, 6 languages) ^c	472 900	534 900
Subtotal	938 500	886 200
Total	2 447 500	2 410 700

^a For the biennium 2010-2011, posts were budgeted as new posts, taking into account delays in recruitment. The overexpenditure was reported in the context of the second performance report.

^b Interpretation was provided for a total of 34 meetings. Thus the final expenditure was less than the estimate.

^c Translation was provided for a total of 268 pages of documentation for the sessions of the Implementation Review Group. In order to make full and efficient use of the approved regular budget, resources were redeployed to cover the increased requirements of the Group. At the same time, owing to delays in the country reviews and in the finalization of the country reports and related executive summaries, several such reports were presented to the Group as conference room papers, for which no translation was provided.

Table 2
Regular budget resources and expenditures for the Review Mechanism in the biennium 2012-2013

<i>Budgetary item</i>	<i>Budget, 2012-2013</i>	<i>Expenditures as at 31 August 2013</i>
<i>(United States dollars)</i>		
Posts and related general operating expenses		
Posts (1 D-1, 1 P-5, 2 P-4, 1 P-3, 3 P-2 and 1 General Service (Other level)) (continuing posts), net of staff assessment ^a	2 497 800	2 041 300
Computer maintenance	26 400	35 100
Communications costs	30 000	22 500
Subtotal	2 554 200	2 098 900

<i>Budgetary item</i>	<i>Budget, 2012-2013</i>	<i>Expenditures as at</i>
	<i>31 August 2013</i>	
<i>(United States dollars)</i>		
Implementation Review Group		
Interpretation (20 meetings per year, 6 languages) and conference servicing ^b	461 400	299 800
Translation of documentation (100 pages per year, 6 languages) ^c	401 600	1 040 000
Subtotal	863 000	1 339 800
Total	3 417 200	3 438 700

^a In this table, posts are costed as continuing posts.

^b Interpretation was provided for a total of 26 meetings in 2012 and 2013.

^c Translation was provided for a total of 533 pages of documentation for the third, resumed third and fourth sessions of the Implementation Review Group in 2012 and 2013. In order to make full and efficient use of the approved regular budget, resources were redeployed to cover the increased requirements of the Group. At the same time, owing to delays in the country reviews and in the finalization of the country reports and the related executive summaries, several such reports were presented to the Group as conference room papers, for which no translation was provided.

Table 3

Regular budget resources for the Review Mechanism in 2014, submitted for approval to the General Assembly, through the Advisory Committee on Administrative and Budgetary Questions and the Fifth Committee

<i>Budgetary item</i>	<i>Budget, 2014</i>
	<i>(United States dollars)</i>
Posts and related general operating expenses	
Posts (1 D-1, 1 P-5, 2 P-4, 1 P-3, 3 P-2 and 1 General Service (Other level)) (continuing posts), net of staff assessment	1 289 900 ^a
Computer maintenance	13 200
Communications costs	15 000
Subtotal	1 318 100
Implementation Review Group	
Interpretation (10 days of meetings per year, 6 languages) and conference servicing	229 300
Translation of documentation: 100 pages per year, 6 languages ^b	189 500
Subtotal	418 800
Total	1 736 900

^a At 2012-2013 revised rates.

^b Section 2 of the regular budget is meant to cover, within its limits, mandated conference servicing requirements of all Vienna-based organizations; however, it does not include dedicated resources for any particular body.

III. Extrabudgetary resources and expenditures for the Review Mechanism

3. Tables 4, 5, 6 and 7 present revised estimates and interim extrabudgetary expenditures for the first, second, third and fourth years, respectively, of the Mechanism. Table 8 summarizes the extrabudgetary requirements and resources for those four years, and table 9 presents the sources of extrabudgetary contributions for those four years.

Table 4

Revised estimates and interim extrabudgetary expenditures for the first year of the Review Mechanism^a

<i>Budgetary item</i>	<i>Interim expenditures for the first year as at 31 August 2013</i>	<i>Revised estimates for the first year^b</i>
	<i>(United States dollars)</i>	
Travel of participants for country visits and joint meetings	617 300 ^c	617 300
Translation of working documentation	302 500 ^d	303 000
Participation of least developed countries in the sessions of the Implementation Review Group	183 300 ^e	183 300
Training of governmental experts	255 600 ^e	255 600
Consultants	97 300 ^e	97 300
Total	1 456 000	1 456 500

^a The first year of the Mechanism corresponds to the activities undertaken in the period starting in June 2010 and during which the first 27 countries were under review. The second year corresponds to the activities undertaken in the period starting in June 2011 and during which the next 41 countries were under review. The third year corresponds to activities undertaken in the period starting in June 2012 and during which the next 35 countries were under review. The fourth year corresponds to activities undertaken in the period starting in June 2013 and during which the next 64 countries are under review.

^b The newly revised estimates presented in this document update the revised estimates presented at the fourth session of the Implementation Review Group, in May 2013, in the note on resources and expenditures for the functioning of the Mechanism (CAC/COSP/IRG/2013/5). The newly revised estimates are aligned with the actual expenditures, when the expenditures for a specific item are final.

^c Out of the 27 States parties reviewed in the first year, 24 have requested country visits, and 3 joint meetings have been held at the United Nations Office at Vienna.

^d Limited additional translation is expected, as some country reviews are not yet completed.

^e These expenditures were presented in greater detail in document CAC/COSP/2011/4.

Table 5
Revised estimates and interim extrabudgetary expenditures for the second year of the Review Mechanism

<i>Budgetary item</i>	<i>Interim expenditures for the second year as at 31 August 2013</i>	<i>Revised estimates for the second year^a</i>
	<i>(United States dollars)</i>	
Travel of participants for country visits and joint meetings	679 500 ^b	958 300
Translation of working documentation	349 500	459 100
Participation of least developed countries in the sessions of the Implementation Review Group	287 200 ^c	287 200
Training of governmental experts	423 500 ^c	423 500
Additional posts (1 P-3, 1 General Service (Other level))	36 800 ^c	36 800
Computer maintenance and communications costs	4 200	4 200
Total	1 780 700	2 169 100

^a The newly revised estimates presented in this document update the revised estimates presented at the fourth session of the Implementation Review Group in May 2013 in the note on resources and expenditures for the functioning of the Mechanism (CAC/COSP/IRG/2013/5). The newly revised estimates are aligned with the actual expenditures, when the expenditures for a specific item are final.

^b For the 41 reviews in the second year, 33 country visits and 2 joint meetings in Vienna had been held. A further 6 country visits or joint meetings had been discussed, with several already agreed to and in various stages of planning.

^c These expenditures were presented in greater detail in document CAC/COSP/IRG/2013/5.

Table 6
Revised estimates and interim extrabudgetary expenditures for the third year of the Review Mechanism

<i>Budgetary item</i>	<i>Interim expenditures for the third year as at 31 August 2013</i>	<i>Revised estimates for the third year^a</i>
	<i>(United States dollars)</i>	
Travel of participants for country visits and joint meetings	208 300 ^b	820 000
Translation of working documentation	230 200	392 600
Participation of least developed countries in the sessions of the Implementation Review Group	256 100 ^c	256 100
Training of governmental experts	346 300 ^c	346 300
Additional posts (1 P-3, 1 General Service (Other level))	181 400 ^c	181 400
Computer maintenance and communications costs	8 400	8 400
Total	1 230 700	2 004 800

^a The newly revised estimates presented in this document update the revised estimates presented at the fourth session of the Implementation Review Group, in May 2013, in the note on resources and expenditures for the functioning of the Mechanism (CAC/COSP/IRG/2013/5).

The newly revised estimates are aligned with the actual expenditures, when the expenditures for a specific item are final.

^b For the 35 reviews in the third year, 12 country visits had been held.

^c These expenditures were presented in greater detail in document CAC/COSP/IRG/2013/5.

Table 7

Revised estimates and interim extrabudgetary expenditures for the fourth year of the Review Mechanism

<i>Budgetary item</i>	<i>Interim expenditures for the fourth year as at 31 August 2013</i>	<i>Revised estimates for the fourth year^a</i>
	<i>(United States dollars)</i>	
Travel of participants for country visits and joint meetings	0	1 640 900
Translation of working documentation	41 000	785 600
Participation of least developed countries in the sessions of the Implementation Review Group	158 300 ^b	281 800
Training of governmental experts	295 800 ^c	612 200
Additional posts (1 P-3, 1 General Service (Other level))	61 900 ^d	290 000
Computer maintenance and communications costs	8 400	8 400
Total	565 400	3 618 900

^a The newly revised estimates presented in this document update the revised estimates presented at the fourth session of the Implementation Review Group, in May 2013, in the note on resources and expenditures for the functioning of the Mechanism for the Review of Implementation of the United Nations Convention against Corruption (CAC/COSP/IRG/2013/5). The newly revised estimates are aligned with the actual expenditures, when the expenditures for a specific item are final.

^b Thirty-three delegates from least developed countries participated in the fourth session (27-31 May 2013) of the Group.

^c So far in 2013, regional training workshops have been organized in Bangkok, Moscow, Panama City and Vienna (2 sessions). National training sessions have been organized in the Comoros, Côte d'Ivoire, Solomon Islands and Vanuatu.

^d Expenditure reported covers the salary costs for the General Service (Other level) post for the period June 2013-August 2013 and for the new Crime Prevention and Criminal Justice Officer (P-3) for the period June 2013-August 2013.

Table 8
Summary of extrabudgetary requirements and resources for the functioning of the Review Mechanism for the first, second, third and fourth years, based on revised estimates of 31 August 2013

	<i>First year</i>	<i>Second year</i>	<i>Third year</i>	<i>Fourth year</i>
	<i>(United States dollars)</i>			
Fund balance at start of year	0	543 100	1 085 000	1 174 700
Extrabudgetary contributions received	1 999 600	2 711 000	2 094 500	1 555 800
Interim expenditure as at 31 August 2013	1 456 000	1 780 700	1 230 700	565 400
Projected requirements	500	388 400	774 100	3 053 500
Projected fund balance at end of year	543 100	1 085 000	1 174 700	-888 400

4. A total of \$8,360,900 in voluntary contributions has been received from Australia, Austria, Brazil, Canada, France, Germany, Mexico, Morocco, the Netherlands, Norway, the Russian Federation, Qatar, Sweden, Switzerland, the United Kingdom of Great Britain and Northern Ireland, the United States of America and the United Nations Development Programme (UNDP) for 2010, 2011, 2012 and 2013. In-kind contributions have also been provided by Benin, Portugal, the Russian Federation and the United Arab Emirates.

Table 9
Summary of extrabudgetary contributions received for the first, second, third and fourth years of the Review Mechanism, as at 31 August 2013

	<i>Extrabudgetary contributors</i>
2010	Canada, France, Germany, Mexico, Netherlands, Norway, Sweden, United Kingdom, United States, UNDP
2011	Australia, Austria, Brazil, Canada, France, Norway, Qatar, Russian Federation, Sweden, Switzerland, United States
2012	Australia, France, Mexico, Norway, Sweden, United States
2013	Australia, France, Mexico, Morocco, Norway, Russian Federation, United States

5. The voluntary contributions received so far cover the costs of the first year, the requirements of the Mechanism for the second and third years and part of the requirements of the Mechanism for the fourth year, based on the revised estimates.

6. It is anticipated that the shortfall at the end of the fourth year will be \$888,400.

Annex

Interim statement of expenditures (regular budget and extrabudgetary) for the first four years of functioning of the Mechanism^a

<i>Budgetary item</i>	<i>Expenditures for the first year</i>	<i>Expenditures for the second year</i>	<i>Expenditures for the third year</i>	<i>Expenditures for the fourth year</i>
<i>(United States dollars)</i>				
Regular budget^b				
Posts and related general operating expenses				
Posts (1 D-1, 1 P-5, 2 P-4, 1 P-3, 3 P-2 and 1 General Service (Other level))	437 600	1 036 500	1 141 700	899 600
Computer maintenance	11 700	11 700	17 900	17 200
Communications	13 500	13 500	15 000	7 500
Subtotal	462 800	1 061 700	1 174 600	924 300
Implementation Review Group				
Interpretation	165 900	185 400	188 500	111 300
Translation of documentation	305 900	229 000	570 000 ^c	470 000
Subtotal	471 800^d	414 400^e	758 500^f	581 300^g
Total, regular budget	934 600	1 476 100	1 933 100	1 505 600
Extrabudgetary^h				
Travel of participants for country visits and joint meetings	617 300	679 500 ⁱ	208 300 ⁱ	0
Translation of working documentation	302 500 ⁱ	349 500 ⁱ	230 200 ⁱ	41 000
Participation of least developed countries in the sessions of the Implementation Review Group	183 300	287 200	256 100	158 300
Training of governmental experts	255 600	423 500	346 300	295 800
Consultants	97 300	0	0	
1 P-3 post ^j and 1 General Service (Other level) post ^k	0	36 800	181 400	61 900
Computer maintenance and communications costs		4 200	8 400	8 400
Total, extrabudgetary	1 456 000	1 780 700	1 230 700	565 400
Grand total	2 390 600	3 256 800	3 163 800	2 071 000

^a The first year of the Mechanism corresponds to the activities undertaken in the period starting in June 2010 and during which the first 27 countries were under review. The second year corresponds to the activities undertaken in the period starting in June 2011 and during which the next 41 countries were under review. The third year corresponds to activities undertaken in the period starting in June 2012 and during which 35 countries were under review. The fourth year

corresponds to activities undertaken in the period starting in June 2013 and during which the next 64 countries are under review.

^b Regular budget expenditures are reported by calendar year. Expenditures incurred in 2010, 2011, 2012 and 2013 are reflected under the first year, the second year, the third year and the fourth year, respectively.

^c Translation workload for 2012 was revised downwards to 285 pages, as not all pages submitted required translation. Consequently \$570,000 spent instead of the \$755,000 reported earlier.

^d The Implementation Review Group was serviced as follows: 16 meetings and 163 pages.

^e The Implementation Review Group was serviced as follows: 18 meetings and 105 pages.

^f The Implementation Review Group was serviced as follows: 16 meetings and 285 pages. Translation was revised downwards from 377 to 285 pages, as not all pages received required translation.

^g The Implementation Review Group was serviced as follows: 10 meetings and 248 pages.

^h Extrabudgetary expenditures are reported based on the years of the Review Mechanism, i.e. activities conducted in relation to the countries undergoing review in a given year of the Mechanism.

ⁱ Owing to the delays experienced with several reviews, additional expenditures are expected.

^j Crime Prevention and Criminal Justice Officer to analyse and follow up on the technical assistance needs identified through the Review Mechanism.

^k To support the above-mentioned P-3, as well as the Review Mechanism in general.