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to the United Nations
Convention against Corruption**

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**Review of the implementation of the United Nations
Convention against Corruption**

**Resources and expenditures for the functioning of the
Mechanism for the Review of Implementation of the
United Nations Convention against Corruption**

Note by the Secretariat

I. Introduction

1. The present note contains budgetary information on expenditures incurred so far for the first to fifth years of operation of the Mechanism for the Review of Implementation of the United Nations Convention against Corruption, estimates for the second, third, fourth and fifth years of operation of the Mechanism, and resources received from both the regular budget and voluntary contributions.

**II. Regular budget resources and expenditures for the Review
Mechanism**

2. Tables 1, 2 and 3 present regular budget resources and expenditures for the bienniums 2010-2011, 2012-2013 and 2014-2015, respectively.

* CAC/COSP/2015/1.



Table 1
Regular budget resources and expenditures for the Review Mechanism in the biennium 2010-2011

<i>Budgetary item</i>	<i>Budget, 2010-2011 (final)</i>	<i>Expenditures, 2010-2011</i>
	<i>(United States dollars)</i>	
Posts and related general operating expenses		
Posts (1 D-1, 1 P-5, 2 P-4, 1 P-3, 3 P-2 and 1 General Service (Other level)), net of staff assessment ^a	1 458 600	1 474 100
Computer maintenance (\$1,300 per staff member per year)	23 400	23 400
Communications costs (\$1,500 per staff member per year)	27 000	27 000
Subtotal	1 509 000	1 524 500
Implementation Review Group		
Interpretation (20 meetings per year, 6 languages) and conference servicing ^b	465 600	351 300
Translation of documentation (100 pages per year, 6 languages) ^c	472 900	534 900
Subtotal	938 500	886 200
Total	2 447 500	2 410 700

^a For the biennium 2010-2011, posts were budgeted as new posts, taking into account delays in recruitment. The overexpenditure was reported in the context of the second performance report.

^b Interpretation was provided for a total of 34 meetings. Thus the final expenditure was less than the estimate.

^c Translation was provided for a total of 268 pages of documentation for the sessions of the Implementation Review Group. By making full and efficient use of the approved regular budget, it became possible to accommodate the increased requirements of the Group in the reporting period through reallocation of the United Nations Office on Drugs and Crime (UNDOC) share of existing translation resources. At the same time, owing to delays in the country reviews and in the finalization of the country reports and related executive summaries, several such reports were presented to the Group as conference room papers, for which no translation was provided.

Table 2
Regular budget resources and expenditures for the Review Mechanism in the biennium 2012-2013

<i>Budgetary item</i>	<i>Budget, 2012-2013</i>	<i>Expenditures, 2012-2013</i>
	<i>(United States dollars)</i>	
Posts and related general operating expenses		
Posts (1 D-1, 1 P-5, 2 P-4, 1 P-3, 3 P-2 and 1 General Service (Other level)) (continuing posts), net of staff assessment ^a	2 497 800	2 497 000
Computer maintenance	26 400	35 100

<i>Budgetary item</i>	<i>Budget, 2012-2013</i>	<i>Expenditures, 2012-2013</i>
	<i>(United States dollars)</i>	
Communications costs	30 000	30 000
Subtotal	2 554 200	2 562 100
Implementation Review Group		
Interpretation (20 meetings per year, 6 languages) and conference servicing ^b	461 400	317 000
Translation of documentation (100 pages per year, 6 languages) ^c	401 600	2 569 400
Subtotal	863 000	2 886 400
Total	3 417 200	5 448 500

^a In this table, posts are costed as continuing posts.

^b Interpretation was provided for a total of 28 meetings in 2012 and 2013.

^c Translation was provided for a total of 1,340 pages of documentation for the third, resumed third, fourth and resumed fourth sessions of the Implementation Review Group in 2012 and 2013. By making full and efficient use of the approved regular budget, it became possible to accommodate the increased requirements of the Group in the reporting period through reallocation of the UNODC share of existing translation resources. At the same time, owing to delays in the country reviews and in the finalization of the country reports and the related executive summaries, several such reports were presented to the Group as conference room papers, for which no translation was provided.

Table 3
Regular budget resources and expenditures for the Review Mechanism in the biennium 2014-2015

<i>Budgetary item</i>	<i>Budget, 2014-2015</i>	<i>Expenditures as at 31 July 2015</i>
	<i>(United States dollars)</i>	
Posts and related general operating expenses		
Posts (1 D-1, 1 P-5, 2 P-4, 1 P-3, 3 P-2 and 1 General Service (Other level)) (continuing posts), net of staff assessment ^a	2 634 400	1 824 100
Computer maintenance	26 300	17 700
Communications costs	30 000	23 800
Subtotal	2 690 700	1 865 600
Implementation Review Group		
Interpretation (16 meetings per year, 6 languages) and conference servicing ^b	388 800	304 900
Translation of documentation (100 pages per year, 6 languages) ^c	361 400	1 083 200
Subtotal	750 200	1 388 100
Total	3 440 900	3 253 700

^a In this table, posts are costed as continuing posts.

^b Interpretation was provided for a total of 23 meetings in the period 2014-2015 (7 meetings for the fifth session in June 2014, 6 meetings for the resumed fifth session in October 2014 and 10 meetings for the sixth session in June 2015).

^c Translation was provided for a total of 565 pages of documentation for the fifth session in June 2014, the resumed fifth session in October 2014 and the sixth session in June 2015 of the Implementation Review Group. By making full and efficient use of the approved regular budget, it became possible to accommodate the increased requirements of the Group in the reporting period through reallocation of the UNODC share of existing translation resources.

III. Extrabudgetary resources and expenditures for the Review Mechanism

3. Table 4 contains the final extrabudgetary expenditures for the first year of the Review Mechanism. Tables 5, 6, 7 and 8 present estimates and interim extrabudgetary expenditures for the second, third, fourth and fifth years, respectively, of the Mechanism. The estimates contained in the present document are based on the revised estimates submitted to the Implementation Review Group at its resumed fifth session, in October 2014, in the note on resources and expenditures for the functioning of the Mechanism (CAC/COSP/IRG/2014/CRP.12) and the revised resource requirements for the fifth year of the Review Mechanism as presented in table 8 of the note on resources and expenditures for the functioning of the Mechanism (CAC/COSP/IRG/2015/4), submitted to the Implementation Review Group at its sixth session, in June 2015.

4. Table 9 summarizes the extrabudgetary requirements and resources for the five years, and table 10 contains the sources of extrabudgetary contributions for the five years.

Table 4

Final extrabudgetary expenditures for the first year of the Review Mechanism^a

<i>Budgetary item</i>	<i>Final expenditures for the first year (United States dollars)</i>
Travel of participants for country visits and joint meetings	607 500 ^b
Translation of working documentation	303 000
Participation of least developed countries in the sessions of the Implementation Review Group	185 900
Training of governmental experts	275 200 ^c
Consultants	99 900 ^c
Total	1 471 500

^a The first year of the Mechanism started in June 2010. Expenditures reported under the first year relate to activities undertaken with regard to the first group of 27 countries under review.

^b With regard to the 27 States parties under review, 24 had requested country visits, and 2 joint meetings had been held at the United Nations Office at Vienna. One country did not have a country visit or a joint meeting.

^c These expenditures were presented in greater detail in document CAC/COSP/2011/4.

Table 5
Estimates and interim extrabudgetary expenditures for the second year of the Review Mechanism^a

<i>Budgetary item</i>	<i>Interim expenditures for the second year as at 31 July 2015</i>	<i>Estimates for the second year</i>
	<i>(United States dollars)</i>	
Travel of participants for country visits and joint meetings	694 000 ^b	958 300
Translation of working documentation	399 300	459 100
Participation of least developed countries in the sessions of the Implementation Review Group	287 000 ^c	287 000
Training of governmental experts	454 600 ^c	454 600
Additional posts (1 P-3, 1 General Service (Other level))	36 800 ^c	36 800
Computer maintenance and communications costs	1 800	1 800
Total	1 873 500	2 197 600

^a The second year of the Mechanism started in June 2011. Expenditures reported under the second year relate to activities undertaken with regard to the second group of 41 countries under review.

^b With regard to the 41 States parties under review, 34 country visits and 3 joint meetings had been held in Vienna at the time of reporting.

^c These expenditures were presented in greater detail in document CAC/COSP/IRG/2013/5.

Table 6
Estimates and interim extrabudgetary expenditures for the third year of the Review Mechanism^a

<i>Budgetary item</i>	<i>Interim expenditures for the third year as at 31 July 2015</i>	<i>Estimates for the third year</i>
	<i>(United States dollars)</i>	
Travel of participants for country visits and joint meetings	554 700 ^b	820 000
Translation of working documentation	359 800	392 600
Participation of least developed countries in the sessions of the Implementation Review Group	251 700 ^c	251 700
Training of governmental experts	490 200 ^c	490 200
Additional posts (1 P-3, 1 General Service (Other level))	174 900 ^c	174 900
Computer maintenance and communications costs	7 100	7 100
Total	1 838 400	2 136 500

^a The third year of the Mechanism started in June 2012. Expenditures reported under the third year relate to activities undertaken with regard to the third group of 35 countries under review.

^b With regard to the 35 States parties under review, 26 country visits and 4 joint meetings in Vienna had been held at the time of reporting.

^c These expenditures were presented in greater detail in document CAC/COSP/IRG/2013/5.

Table 7
Estimates and interim extrabudgetary expenditures for the fourth year of the Review Mechanism^a

<i>Budgetary item</i>	<i>Interim expenditures for the fourth year as at 31 July 2015</i>	<i>Estimates for the fourth year</i>
	<i>(United States dollars)</i>	
Travel of participants for country visits and joint meetings	738 900 ^b	1 640 900
Translation of working documentation	519 700	785 600
Participation of least developed countries in the sessions of the Implementation Review Group	290 500	290 500
Training of governmental experts	345 700 ^c	612 200
Additional posts (1 P-3, 1 General Service (Other level))	267 500 ^d	267 500
Computer maintenance and communications costs	7 600	7 600
Total	2 169 900	3 604 300

^a The fourth year of the Mechanism started in June 2013. Expenditures reported under the fourth year relate to activities undertaken with regard to the fourth group of 72 countries under review. The fourth group of countries includes all those countries which in June 2013 were part of the fourth group and those countries which have ratified or acceded to the United Nations Convention against Corruption since June 2013.

^b With regard to the 72 States parties under review, 43 country visits and 3 joint meetings in Vienna had taken place, and preparations were well advanced for several others at the time of reporting.

^c Since June 2013, regional training workshops were held in Bangkok, Cairo, Moscow (2 workshops), Panama City and Vienna (2 sessions). National training sessions and/or ad hoc assistance were provided for a total of over 35 countries.

^d Expenditure reported covers the salary costs for the General Service (Other level) post and for the new Crime Prevention and Criminal Justice Officer (P-3) for the period June 2013-May 2014.

Table 8
Resource requirements for the fifth year of the Review Mechanism^a

<i>Budgetary item</i>	<i>Interim expenditures for the fifth year as at 31 July 2015</i>	<i>Estimates for the fifth year</i>
	<i>(United States dollars)</i>	
Participation of least developed countries in the sessions of the Implementation Review Group	372 300	480 600 ^b
Additional posts (1 P-3, 1 General Service (Other level))	323 300	426 800 ^c
Computer maintenance and communications costs	8 400	8 400
Total	704 000	915 800

^a The fifth year covers the period from June 2014 to December 2015. Expenditures with regard to staff and sessions of the Group which were incurred since the beginning of the fifth year are reported under the fifth year. Expenditures which relate to training provided in

relation to country reviews after 31 May 2014 are reported under the fourth year of the Review Mechanism. Other expenditures which relate to the second, third or fourth group of countries under review are reported respectively under the second, third and fourth year of the Review Mechanism. Expenditures related to countries which ratified the Convention after June 2014 are reported under the fourth year of the Review Mechanism.

^b Twenty-nine delegates from least developed countries participated in the Group's fifth session (2-6 June 2014), 31 in the resumed fifth session (13-15 October 2014) and 32 in the sixth session (1-5 June 2015). The estimate includes the estimated costs for the participation of delegates from least developed countries in the resumed sixth session (November 2015) of the Group.

^c The estimates correspond to the salary costs for the General Service (Other level) post and for the new Crime Prevention and Criminal Justice Officer (P-3) for the period June 2014-December 2015.

Table 9
Summary of extrabudgetary requirements and resources for the functioning of the Review Mechanism for the first, second, third, fourth and fifth years, as at 31 July 2015

	<i>First year</i>	<i>Second year</i>	<i>Third year</i>	<i>Fourth year</i>	<i>Fifth year</i>
	<i>(United States dollars)</i>				
Fund balance at start of year	0	528 100	1 041 500	999 600	-520 500
Extrabudgetary contributions received	1 999 600	2 711 000	2 094 500	2 084 300	1 567 400
Interim expenditure as at 31 July 2015	1 471 500	1 873 500	1 838 400	2 169 900	704 000
Projected requirements	0	324 100	298 100	1 434 400	211 800
Projected fund balance at end of year	528 100	1 041 500	999 500	-520 500	131 100

5. A total of \$10,456,800 in voluntary contributions has been received from Australia, Austria, Brazil, Canada, France, Germany, Italy Mexico, Morocco, the Netherlands, Norway, Oman, Panama, Qatar, the Russian Federation, Saudi Arabia, Sweden, Switzerland, Turkey, the United Kingdom of Great Britain and Northern Ireland, the United States of America and the United Nations Development Programme for 2010, 2011, 2012, 2013, 2014 and 2015. In-kind contributions have also been provided by Argentina, Armenia, Bahamas, Bahrain, Benin, Botswana, Brazil, China, Colombia, Ecuador, Fiji, Gabon, Greece, Israel, Italy, Kazakhstan, Kenya, Kiribati, Liechtenstein, Madagascar, Malaysia, Nepal, Oman, Papua New Guinea, Portugal, the Russian Federation, Saudi Arabia, Singapore, Solomon Islands, Turkey, the United Arab Emirates and Vanuatu.

Table 10
Summary of extrabudgetary contributions received for the first, second, third and fourth years of the Review Mechanism, as at 31 July 2015

<i>Year</i>	<i>Extrabudgetary contributors</i>
2010	Canada, France, Germany, Mexico, Netherlands, Norway, Sweden, United Kingdom, United States, United Nations Development Programme
2011	Australia, Austria, Brazil, Canada, France, Norway, Qatar, Russian Federation, Sweden, Switzerland, United States
2012	Australia, France, Mexico, Norway, Sweden, United States
2013	Australia, France, Italy, Mexico, Morocco, Norway, Qatar, Russian Federation, Sweden, Switzerland, United States
2014	Australia, France, Norway, Oman, Panama, Russian Federation, Saudi Arabia, Sweden, United Kingdom, United States
2015	Australia, Turkey

6. The voluntary contributions received so far cover the costs of the first year and the requirements of the Mechanism for the second, third, fourth and fifth years until the end of 2015, based on the revised estimates for the second, third, fourth and fifth year of the Review Mechanism as made available to the Group at its fifth resumed session, in October 2014 (CAC/COSP/IRG/2014/CRP.12) and based on the revised resource requirements for the fifth year of the Review Mechanism as presented in table 8 of the note on resources and expenditures for the functioning of the Mechanism (CAC/COSP/IRG/2015/4), made available to the Group at its sixth session, in June 2015.

7. It is anticipated that the balance at the end of the fifth year will be \$131,100. In addition, as not all country reviews will be completed by the end of the fifth year, it is estimated that extrabudgetary resources in the amount of \$1.5 million would be required to cover the extension of the two extrabudgetary posts (1 P-3 and 1 General Service (Other level)) for 18 months and the costs of the completion of all first cycle country reviews.

Annex

Interim statement of expenditures (regular budget and extrabudgetary) for the first five years of functioning of the Mechanism^a

<i>Budgetary item</i>	<i>Expenditures for the first year</i>	<i>Expenditures for the second year</i>	<i>Expenditures for the third year</i>	<i>Expenditures for the fourth year</i>	<i>Expenditures for the fifth year</i>
<i>(United States dollars)</i>					
Regular budget^b					
Posts and related general operating expenses					
Posts (1 D-1, 1 P-5, 2 P-4, 1 P-3, 3 P-2 and 1 General Service (Other level))	437 600	1 036 500	1 141 700	1 355 300	1 824 100
Computer maintenance	11 700	11 700	17 900	17 200	17 700
Communications	13 500	13 500	15 000	15 000	23 800
Subtotal	462 800	1 061 700	1 174 600	1 387 500	1 865 600
Implementation Review Group					
Interpretation	165 900	185 400	188 500	128 500	304 900
Translation of documentation	305 900	229 000	570 000	1 999 400	1 083 200
Subtotal	471 800	414 400	758 500	2 127 900	1 388 100
Total, regular budget	934 600	1 476 100	1 933 100	3 515 400	3 253 700
Extrabudgetary^c					
Travel of participants for country visits and joint meetings	607 500	694 000	554 700	738 900	0
Translation of working documentation	303 000	399 300	359 800	519 700	0
Participation of least developed countries in the sessions of the Implementation Review Group	185 900	287 000	251 700	290 500	372 300
Training of governmental experts	275 200	454 600	490 200	345 700	0
Consultants	99 900	-	-	-	-
1 P-3 post ^d and 1 General Service (Other level) post ^e	-	36 800	174 900	267 500	323 300
Computer maintenance and communications costs	-	1 800	7 100	7 600	8 400
Total, extrabudgetary	1 471 500	1 873 500	1 838 400	2 169 900	704 000
Grand total	2 406 100	3 349 600	3 771 500	5 685 300	3 957 700

^a The first year of the Mechanism corresponds to the activities undertaken in the period starting in June 2010 and during which the first 27 countries were under review. The second year corresponds to the activities undertaken in the period starting in June 2011, during which the next 41 countries were under review. The third year corresponds to activities undertaken in the period starting in June 2012, during which 35 countries were under review. The fourth year corresponds

to activities undertaken in the period starting in June 2013, during which the next 72 countries are under review. The fifth year corresponds to activities undertaken in the period from June 2014 to December 2015. Countries which will ratify the Convention in the course of 2015 will, however, be included under the fourth year, for reporting purposes.

^b Regular budget expenditures are reported by calendar year with the exception of the fifth year. Expenditures incurred in 2010, 2011, 2012, and 2013 are reflected under the first year, the second year, the third year, and the fourth year, respectively. Expenditures incurred during 2014-2015 are included in the fifth year.

^c Extrabudgetary expenditures are reported based on the years of the Review Mechanism, i.e. activities conducted in relation to the countries undergoing review in a given year of the Mechanism.

^d Crime Prevention and Criminal Justice Officer to analyse and follow up on the technical assistance needs identified through the Review Mechanism.

^e To support the above-mentioned P-3, as well as the Review Mechanism in general.
