

11 November 2016

English only

**Experts convened to enhance international
cooperation under the United Nations
Convention against Corruption
Fifth Meeting
Vienna, 17-18 November 2016**

**Information on Practical Issues in Providing and Requesting
International Cooperation in Civil and Administrative
Proceedings**

Note by the Secretariat

1. The Secretariat of the Conference of the States Parties to the United Nations Convention against Corruption has the honour to draw the attention of the open-ended intergovernmental expert meeting to enhance international cooperation to resolution 6/4 (www.unodc.org/unodc/en/treaties/CAC/CAC-COSP-session6-resolutions.html), as adopted by the Conference of the States Parties to the United Nations Convention against Corruption (UNCAC) at its sixth session, held in Saint Petersburg, Russian Federation, from 2 to 6 November 2015.
2. The Conference, in paragraph 7 of this resolution, invited Member States to continue to provide to the Secretariat information on civil and administrative proceedings relating to corruption, when feasible and on a voluntary basis, in order to identify the scope of assistance that could be provided in relation to such proceedings, as well as to provide information about good practices and tools relevant to the implementation of article 53 of the Convention, and requested the Secretariat to continue collecting and disseminating such information, and developing a study to identify best practices and ways to facilitate cooperation on the matter.
3. In furtherance of this mandate, the Secretariat has prepared a short set of questions below, to serve as possible guidance for the presentation by States of information relevant to practical issues in providing and requesting international cooperation in civil and administrative proceedings. It is the intention of the Secretariat that the questions will be circulated to the States Parties through notes verbales with a view to use the collected information as a basis for the study to identify best practices and ways to facilitate cooperation on the matter.



Information on Practical Issues in Providing and Requesting International Cooperation in Civil and Administrative Proceedings

| Civil Proceedings | |
|---|---|
| Information relevant to assistance requests received by you from other States | Information relevant to your assistance requests to other States |
| Have you ever been requested to provide international cooperation in civil proceedings relating to corruption by other States? | Have you ever requested international cooperation in civil proceedings relating to corruption from other States? |
| Please specify what type/types of assistance was/were requested? | Please specify what type/types of assistance was/were requested? |
| Has the United Nations Convention against Corruption been used as a legal basis for the requests? | Has the United Nations Convention against Corruption been used as a legal basis for the requests? |
| Please explain what challenges you have experienced, if any, with regard to the execution of the requests you received from other States? | Please explain what challenges you have experienced, if any, with regard to the execution of your requests by other States? |
| Please provide suggestions on ways to facilitate cooperation in such matters? | Please provide suggestions on ways to facilitate cooperation in such matters? |
| Please provide any additional information, including examples of good practices and practical tools. | Please provide any additional information, including examples of good practices and practical tools. |

Information on Practical Issues in Providing and Requesting International Cooperation in Civil and Administrative Proceedings

| Administrative Proceedings | |
|---|---|
| Information relevant to assistance requests received by you from other States | Information relevant to your assistance requests to other States |
| Have you ever been requested to provide international cooperation in administrative proceedings relating to corruption by other States? | Have you ever requested international cooperation in administrative proceedings relating to corruption from other States? |
| Please specify what type/types of assistance was/were requested? | Please specify what type/types of assistance was/were requested? |
| Has the United Nations Convention against Corruption been used as a legal basis for the requests? | Has the United Nations Convention against Corruption been used as a legal basis for the requests? |
| Please explain what challenges you have experienced, if any, with regard to the execution of the requests you received from other States? | Please explain what challenges you have experienced, if any, with regard to the execution of your requests by other States? |
| Please provide suggestions on ways to facilitate cooperation in such matters? | Please provide suggestions on ways to facilitate cooperation in such matters? |
| Please provide any additional information, including examples of good practices and practical tools. | Please provide any additional information, including examples of good practices and practical tools. |