Experts convened to enhance international cooperation under the United Nations Convention against Corruption
St. Petersburg, Russian Federation, 2-3 November 2015

Provisional agenda and annotations

Provisional agenda

1. Opening of the meeting.
2. Adoption of the agenda and organization of work.
3. Taking stock of country reviews on the implementation of chapter IV of the United Nations Convention against Corruption: findings, lessons learned and technical assistance needs.
4. International cooperation in civil and administrative proceedings for the detection of offences under the Convention, including for the identification, freezing and confiscation of assets derived from such offences.
5. International cooperation concerning ways to best identify beneficial owners of legal persons.
6. Other matters.
7. Conclusions and recommendations.
8. Adoption of the report.

Annotations

1. Opening of the meeting

The fourth open-ended intergovernmental expert meeting to enhance international cooperation under the United Nations Convention against Corruption will be opened on Monday, 2 November 2015, at 3 p.m.
2. **Adoption of the agenda and organization of work**

The provisional agenda for the meeting was prepared in accordance with Conference resolution 5/1 and in line with the recommendations issued by the third open-ended intergovernmental expert meeting.

The proposed organization of work (see annex) was prepared on the basis of past practice and in accordance with the report of the third open-ended intergovernmental expert meeting (CAC/COSP/EG.1/2014/3) and resolution 5/1, to enable the meeting of experts to consider the agenda items within the allotted time and according to the conference services available.

The resources available will permit the holding of two plenary meetings, one on 2 November and one on 3 November 2015, with interpretation in the six official languages of the United Nations.

3. **Taking stock of country reviews on the implementation of chapter IV of the United Nations Convention against Corruption: findings, lessons learned and technical assistance needs**

At the third open-ended intergovernmental expert meeting, the secretariat introduced the most important findings and conclusions on the implementation of chapter IV of the Convention arising from the completed reviews of the ongoing first review cycle of the Mechanism for the Review of Implementation of the Convention. In addition, the secretariat gave an overview of challenges in the implementation of chapter IV of the Convention, and related technical assistance needs identified through the country review reports.

The completion of additional country reviews in the meantime provides an opportunity for updated briefings on the analysis of information received in the context of the reviews of implementation of chapter IV of the Convention, conducted within the framework of the ongoing first cycle of the Mechanism for the Review of Implementation of the Convention, with a view to allowing the meeting to make recommendations to the Conference on follow-up actions required for ensuring the full implementation of chapter IV. Based on the reviews completed by the time of reporting, the secretariat is making available to the Conference a consolidated analytical study entitled *State of Implementation of the United Nations Convention against Corruption*, together with the related summary (CAC/COSP/2015/5).

In that context, the secretariat will brief the meeting of experts on the findings and results of a broader range of implementation reviews pertaining to the implementation of chapter IV of the Convention.

**Documentation**

Summary of the state of implementation of the United Nations Convention against Corruption: criminalization, law enforcement and international cooperation (CAC/COSP/2015/5)

Note by the Secretariat on the analysis of technical assistance needs emerging from the country reviews (CAC/COSP/2015/4)
4. **International cooperation in civil and administrative proceedings for the detection of offences under the Convention, including for the identification, freezing and confiscation of assets derived from such offences**

In its resolution 5/1, the Conference encouraged States parties to the Convention to afford one another, when feasible, international cooperation in civil and administrative proceedings for the detection of corruption offences, in accordance with article 43, paragraph 1, of the Convention, and in that regard requested the secretariat to invite States parties to provide information, to the extent possible, on such proceedings in order to identify the scope of assistance that could be provided.

The third meeting of experts encouraged the national authorities of States parties to the Convention to assist each other and to cooperate internationally in investigations of and proceedings in civil and administrative matters relating to corruption. Furthermore, the meeting recommended that the secretariat continue to compile information from States parties with a view to presenting a report on this issue during the sixth session of the Conference of the States Parties. It also recommended that tools that facilitate the compilation and analysis of such information, including the template presented in a conference room paper (CAC/COSP/IRG/2014/CRP.5), be used in this regard.

Based on feedback received from States parties, the secretariat compiled information on this matter. An overview of the national responses received was presented in the documentation submitted to the second and third open-ended intergovernmental expert meetings (CAC/COSP/EG.1/2013/2, CAC/COSP/EG.1/2013/2/Corr.1 and CAC/COSP/EG.1/2014/2).

The experts may wish to take into account the information contained in the note by the Secretariat on international cooperation in civil and administrative proceedings for the detection of corruption offences established in accordance with the United Nations Convention against Corruption (CAC/COSP/EG.1/2015/2) and exchange views on and experiences with good practices and challenges encountered in the area of international cooperation in civil and administrative proceedings for the detection of corruption offences.

The agenda item will open with a panel discussion.

**Documentation**

Note by the Secretariat on international cooperation in civil and administrative proceedings for the detection of offences established in accordance with the United Nations Convention against Corruption (CAC/COSP/EG.1/2015/2)

5. **International cooperation concerning ways to best identify beneficial owners of legal persons**

The third open-ended intergovernmental expert meeting recommended that States parties to the Convention share information at the fourth expert meeting on international cooperation concerning ways to best identify beneficial owners of legal persons. The experts may wish to exchange experiences on good practices and challenges in this area.

A representative of the joint United Nations Office on Drugs and Crime (UNODC)-World Bank Stolen Asset Recovery (StAR) Initiative will brief the experts on recent developments with regard to beneficial ownership.
6. **Other matters**
   
   The experts may wish to discuss any matter that is brought to their attention.

7. **Conclusions and recommendations**
   
   The experts are expected to adopt conclusions and recommendations for inclusion in the report on their meeting.

8. **Adoption of the report**
   
   The expert meeting is to adopt a report on its fourth meeting, the draft of which will be prepared by the Secretariat.
### Annex

**Proposed organization of work**

<table>
<thead>
<tr>
<th>Date and time</th>
<th>Agenda item</th>
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<tbody>
<tr>
<td><strong>Monday, 2 November 2015</strong></td>
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<td>3-6 p.m.</td>
<td>1</td>
<td>Opening of the meeting</td>
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<td>International cooperation in civil and administrative proceedings for the detection of offences under the Convention, including for the identification, freezing and confiscation of assets derived from such offences</td>
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<td><strong>Tuesday, 3 November 2015</strong></td>
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<td>10 a.m.-1 p.m.</td>
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<td>International cooperation in civil and administrative proceedings for the detection of offences under the Convention, including for the identification, freezing and confiscation of assets derived from such offences (continued)</td>
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<td>5</td>
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