



# Conference of the States Parties to the United Nations Convention against Corruption

Distr.: General  
18 August 2017

Original: English

---

## Experts convened to enhance international cooperation under the United Nations Convention against Corruption

Vienna, 6 and 7 November 2017

### Annotated provisional agenda

#### Provisional agenda

1. Opening of the meeting.
2. Adoption of the agenda and organization of work.
3. Implementation of chapter IV of the United Nations Convention against Corruption: lessons learned, good practices and challenges.
4. Electronic tools and systems used by national authorities for the processing and tracking of requests for extradition and mutual legal assistance.
5. Civil and administrative proceedings relating to corruption.
6. Tools and services of the United Nations Office on Drugs and Crime to promote international cooperation.
7. Adoption of the report, including conclusions and recommendations.

#### Annotations

##### 1. Opening of the meeting

The sixth open-ended intergovernmental expert meeting to enhance international cooperation under the United Nations Convention against Corruption will be opened on Monday, 6 November 2017, at 3 p.m.

##### 2. Adoption of the agenda and organization of work

The provisional agenda for the meeting was prepared in accordance with Conference resolution 6/4 and in line with the recommendations issued by the fourth and fifth open-ended intergovernmental expert meetings.

The proposed organization of work (see annex) was prepared on the basis of past practice and in accordance with resolution 6/4 and the report of the fifth open-ended intergovernmental expert meeting ([CAC/COSP/EG.1/2016/2](#)), to enable the meeting of experts to consider the agenda items within the allotted time and according to the conference services available.



The resources available will permit the holding of two plenary meetings, one on 6 November and one on 7 November 2017, with interpretation into the six official languages of the United Nations.

### **3. Implementation of chapter IV of the United Nations Convention against Corruption: lessons learned, good practices and challenges**

In its resolution 6/4, the Conference welcomed the conclusions and recommendations of the third open-ended intergovernmental expert meeting to enhance international cooperation held in Vienna on 9 and 10 October 2014. In those conclusions and recommendations, the expert meeting, inter alia, invited States parties to further assist each other in the investigation and prosecution of corruption cases by pursuing the effective use and implementation of the Convention as a legal basis for international cooperation.

The fifth open-ended intergovernmental expert meeting to enhance international cooperation, held in Vienna on 17 and 18 November 2016, recommended that the secretariat should continue its work on bringing to the attention of the meetings practical topics relevant to the implementation of the provisions of the Convention on international cooperation, including the reasons for the refusal of requests for assistance based on the Convention, the practice of conducting consultations prior to such refusals, timelines required to process requests for international cooperation, cases of spontaneous sharing of information under the Convention and the nature of non-coercive measures in different jurisdictions.

The completion of additional country reviews provides an opportunity for an updated analysis of the information on the implementation of chapter IV of the Convention, on international cooperation.

In that context, the secretariat will brief the expert meeting on the findings and results of a broader range of country reviews pertaining to the implementation of chapter IV of the Convention.

Under agenda item 3, the experts may wish to exchange experiences on good practices and practical challenges in the area of requesting and providing legal assistance related to the investigation and prosecution of transnational corruption cases.

It is expected that the meeting will take the opportunity to make recommendations to the Conference on follow-up actions required for ensuring the full implementation of chapter IV, including by addressing corresponding technical assistance needs.

#### **Documentation**

Summary of the state of implementation of the United Nations Convention against Corruption: criminalization, law enforcement and international cooperation ([CAC/COSP/2017/10](#))

Note by the Secretariat on analysis of good practices, experiences and relevant measures taken by States parties after the completion of the country reviews during the first implementation review cycle ([CAC/COSP/2017/12](#))

United Nations Office on Drugs and Crime. *State of Implementation of the United Nations Convention against Corruption: Criminalization, Law Enforcement and International Cooperation*, 2nd ed. (Vienna, 2017), E-book publication

### **4. Electronic tools and systems used by national authorities for the processing and tracking of requests for extradition and mutual legal assistance**

The fifth open-ended intergovernmental expert meeting to enhance international cooperation recommended that States parties should continue to share with the secretariat, for further dissemination, information on electronic tools and systems used by national authorities for processing and tracking extradition and mutual legal assistance requests.

In response to that mandate, the secretariat circulated a note verbale dated 14 March 2017 with a view to collecting information from Member States on the use of software programs for case management systems in the field of international cooperation.

Based on the responses received, the secretariat prepared a conference room paper ([CAC/COSP/EG.1/2017/CRP.1](#)) on data collection and effective case management systems, for the attention of the expert meeting.

The secretariat will brief the meeting on the main observations and conclusions contained in the conference room paper.

The experts may wish to continue exchanging experience on electronic tools and systems used by their national authorities in executing legal assistance requests.

### **Documentation**

Conference room paper on data collection, along with the existence of effective case management systems: sharing of information with respect to existing software programs in use domestically ([CAC/COSP/EG.1/2017/CRP.1](#))

## **5. Civil and administrative proceedings relating to corruption**

In its resolution 6/4, the Conference, *inter alia*, invited Member States to continue to provide to the Secretariat information on civil and administrative proceedings relating to corruption, when feasible and on a voluntary basis, in order to identify the scope of assistance that could be provided in relation to such proceedings, as well as to provide information about good practices and tools relevant to the implementation of article 53 of the Convention. The Conference requested the Secretariat to continue collecting and disseminating such information by, *inter alia*, reporting to the Conference and its relevant subsidiary bodies, including by providing suggestions regarding technical assistance needs and mechanisms to provide such assistance, and developing a study to identify best practices and ways to facilitate cooperation on the matter, subject to the availability of resources.

In the same resolution, the Conference called upon Member States to inform the Secretariat about designated officials or institutions appointed, where appropriate, as focal points in the matter of the use of civil and administrative proceedings against corruption, including for international cooperation, and requested the Secretariat to collect and make such information available to all States parties and to report on the matter to the Conference and its relevant subsidiary bodies.

The fifth open-ended intergovernmental expert meeting to enhance international cooperation recommended that, where applicable and on a voluntary basis, States parties should continue to provide to the Secretariat information on civil and administrative proceedings relating to corruption, including by informing the Secretariat about designated officials or institutions appointed as focal points on the use of civil and administrative proceedings against corruption, including for international cooperation.

The secretariat sent to Member States a note verbale dated 17 January 2017, as well as a reminder note verbale dated 8 May 2017, seeking information from States parties to the Convention on the issues identified above. The secretariat also sent to Member States a note verbale dated 13 June 2017, encouraging States to continue updating and/or providing the information on the authorities in the United Nations Office on Drugs and Crime online directory of competent national authorities, including focal points for international cooperation in the use of civil and administrative proceedings.

The secretariat will provide an update to the meeting on the progress made in the implementation of the above-referenced mandates.

While considering agenda item 5, the experts may wish to exchange views with regard to the practical issues relevant to international cooperation in civil and administrative

matters, including experiences, challenges and good practices, that they view important.

#### **Documentation**

Note by the Secretariat on international cooperation in civil and administrative proceedings for the detection of offences established in accordance with the United Nations Convention against Corruption ([CAC/COSP/2017/2](#))

### **6. Tools and services of the United Nations Office on Drugs and Crime to promote international cooperation**

The third open-ended intergovernmental expert meeting recommended that the secretariat continue to provide advisory services and technical assistance to respond effectively to the needs of States parties in fully implementing chapter IV of the Convention, on the basis of challenges and deficiencies identified through the Mechanism for the Review of Implementation of the Convention and/or as a follow-up to the requests of national authorities.

The fifth open-ended intergovernmental expert meeting recommended that the secretariat should explore the possibility of creating a separate section under the online directory of competent national authorities on central authorities, which would contain information on the requirements and procedures for granting extradition under article 44 of the Convention.

Under agenda item 6, the secretariat will inform the meeting on its technical assistance projects to enhance international cooperation.

In particular, the secretariat will update the meeting on its work with regard to the development of technical tools to promote international cooperation, including on the expansion of the functionality of the Mutual Legal Assistance Request Writer Tool.

Additionally, the secretariat will brief the meeting with regard to its work on the development of the online directory of central authorities in line with the above-cited mandates.

The experts may wish to exchange views and experiences on challenges encountered in the area of international cooperation to combat corruption, as well as capacity-building priorities to address those challenges.

#### **Documentation**

Note by the Secretariat on progress in implementing the mandates of the open-ended intergovernmental expert meetings to enhance international cooperation under the United Nations Convention against Corruption ([CAC/COSP/EG.1/2017/2](#))

Note by the Secretariat on analysis of technical assistance needs emerging from the country reviews under the first implementation review cycle ([CAC/COSP/2017/7](#))

Note by the Secretariat on technical assistance in support of the implementation of the United Nations Convention against Corruption ([CAC/COSP/2017/3](#))

### **7. Adoption of the report, including conclusions and recommendations**

The expert meeting is to adopt a report on its sixth meeting, including its conclusions and recommendations, the draft of which will be prepared by the secretariat.

## Annex

### Proposed organization of work

<i>Date and time</i>	<i>Agenda item</i>	<i>Title or description</i>
<b>Monday, 6 November 2017</b>		
3-6 p.m.	1	Opening of the meeting
	2	Adoption of the agenda and organization of work
	3	Implementation of chapter IV of the United Nations Convention against Corruption: lessons learned, good practices and challenges
	4	Electronic tools and systems used by national authorities for the processing and tracking of requests for extradition and mutual legal assistance
<b>Tuesday, 7 November 2017</b>		
10 a.m.-1 p.m.	5	Civil and administrative proceedings relating to corruption
	6	Tools and services of the United Nations Office on Drugs and Crime to promote international cooperation
	7	Adoption of the report, including conclusions and recommendations