Good practices and initiatives in corruption prevention

Controladoria-Geral da União

Case Alice

Tiago Chaves Oliveira
What is CGU?

**CGU** Brazilian Office of the Comptroller General

Mission: **To Increase the State’s credibility**

- Union Ombudsman’s Office
  - OGU
- Secretariat for Transparency and Corruption Prevention
  - STPC
- Federal Secretariat for Internal Control
  - SFC
- Secretariat for Combating Corruption
  - SCC
- Federal Inspector General’s Office
  - CRG
The public purchases represent 10% of Brazil’s GDP € 123 billion

Federal Government € 62 billion 50%
States € 23 billion 20%
Municipalities € 37 billion 30%

Public procurement is one of the most vulnerable activities to corruption and mismanagement of resources.

Purchase fraud is the second most common type of economic crime in organizations.
Alice

Procurement information systems

Licitações-e

State of São Paulo

Data from each procurement

Audit trails

Red Flags

Most risky cases

Auditor Assessment

Government Databases
Alice - 2021 numbers

- **Processes assessed**: 139,566
- **Red Flags raised**: 4,528
- **Licitações-e**: 646 Red flags assessed
- **Non CGU**: 104,105 (75%)
- **Institutions under the jurisdiction of the CGU**: 35,461 (25%)
€ 1,65 Billion
bids suspended with indications of fraud or error from 2018 to 2021

€ 250 Millions
Financial Benefits
(€ 83 million em 2021)
Alice – Types of Risks Assessed

44 different risks typologies

By the procurement stage

- Bidding planning: 16 audit trails
- Supplier selection: 28 audit trails

By the type of red flag

- Indication of fraud: 25 audit trails
- Non-compliance: 19 audit trails
Other experiences using data science

Data crossing

- Macros
- Specific policies data validation

Risk assessment models

- Accountability report of the money transfer agreements from the federal government to the municipalities
- Suppliers and municipalities
Thank you

Tiago Chaves Oliveira
tiago.oliveira@cgu.gov.br