



Conference of the States Parties to the United Nations Convention against Corruption

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Implementation Review Group
Second resumed eleventh session
Vienna, 16–18 November 2020

Annotated provisional agenda

Addendum

Annotations

1. Organizational matters

(a) Opening of the session

The second resumed eleventh session of the Implementation Review Group will be opened on Monday, 16 November 2020, at 11 a.m., at the Vienna International Centre, M-Building, Plenary Room M. Subject to developments relating to the coronavirus disease (COVID-19) pandemic, it is currently planned that the session will be held in a hybrid format (in person and online).

(b) Adoption of the agenda and organization of work

The provisional agenda for the eleventh session of the Implementation Review Group was adopted by the Group at its second resumed tenth session, held in Abu Dhabi on 17 and 18 December 2019.

The proposed organization of work for the second resumed eleventh session (see annex) was prepared in line with the guidance contained in the workplan for the subsidiary bodies of the Conference of the States Parties to the United Nations Convention against Corruption and the subsequent recommendation of the Bureau of the Conference made in view of the circumstances relating to the COVID-19 pandemic. Accordingly, the thematic focus of the second resumed eleventh session will be on chapters IV (International cooperation) and V (Asset recovery) of the Convention.

The organization of work will enable the Group to consider items 4 and 5 jointly with the Working Group on Asset Recovery and the open-ended intergovernmental expert meeting to enhance international cooperation under the United Nations Convention against Corruption.

2. Performance of the Mechanism for the Review of Implementation of the United Nations Convention against Corruption

Drawing of lots

At the intersessional meeting of the Group held on 25 June 2020 and at the resumed session held on 26 June 2020, lots were drawn for the selection of States parties under



review and reviewing States parties, in accordance with paragraphs 14 and 19 of the terms of reference of the Mechanism. On the first day of the second resumed eleventh session, lots may be drawn to select reviewing States parties, as necessary.

Progress made in the conduct of country reviews

In its decision 5/1, the Conference decided that the Implementation Review Group would include in its future sessions an agenda item allowing for discussion of relevant information collected, with the support of the secretariat, in order to facilitate the assessment of the performance of the Implementation Review Mechanism, in accordance with paragraph 48 of the terms of reference, following the completion of the first review cycle.

In its resolution 8/2, the Conference requested the Implementation Review Group to continue to collect, with the support of the secretariat, relevant information, including the views of States parties, pertaining to the performance of the Implementation Review Mechanism, with a view to continuing, at the appropriate time, its assessment of the performance of the Mechanism, as provided for in paragraph 48 of its terms of reference and decision 5/1. The Conference also requested the secretariat to continue to provide to the Implementation Review Group analyses of the time frames associated with the crucial stages of the review process, including statistics on the number of States parties that are behind schedule, with the aim of facilitating a more efficient process.

At its second resumed tenth session, held in December 2019, the Implementation Review Group noted that immediate and significant efforts to address the delays were needed and called on all States to be fully involved in the review process in order to avoid delays and expedite the finalization of country reviews. In response to the significant delays incurred during the second cycle of the Mechanism, in its decision 8/1, the Conference extended the duration of the second cycle until June 2024 to allow for the completion of country reviews, while calling upon States parties to accelerate their completion.

The Secretariat prepared a note entitled “Performance of the Mechanism for the Review of Implementation of the United Nations Convention against Corruption” ([CAC/COSP/IRG/2020/2](#)) for the consideration of the Group at its eleventh session. The Secretariat will provide an oral update on the progress made since then with regard to the Implementation Review Mechanism.

3. Financial and budgetary matters

In its resolution 3/1, the Conference underlined that the Implementation Review Mechanism would require a budget that ensured its efficient, continued and impartial functioning. Pursuant to that resolution, the General Assembly, in its resolution [64/237](#), requested the Secretary-General to ensure that the Mechanism was adequately funded.

In its resolution 4/1, the Conference decided that the Implementation Review Group should assist it in the fulfilment of its responsibility to consider the budget biennially by engaging with the secretariat during the intersessional period with regard to expenditures and projected costs related to the Mechanism.

At its second resumed eleventh session, the Implementation Review Group is expected to continue its deliberations on expenditures incurred so far for the operation of the Mechanism, resources received both from the regular budget and from voluntary contributions, projected expenditures for the first and second cycles and the current shortfall in resource requirements for the functioning of the Mechanism.

The Secretariat prepared a note entitled “Resources and expenditures for the functioning of the Mechanism for the Review of Implementation of the United Nations Convention against Corruption” ([CAC/COSP/IRG/2020/4](#)) for the consideration of the Group at its eleventh session. An oral update will be provided by the Secretariat under agenda item 3.

4. State of implementation of the United Nations Convention against Corruption

(a) Exchange of information, practices and experiences gained in the implementation of the Convention

In its resolution 8/2, the Conference encouraged States parties to keep using the Implementation Review Group as a platform for the voluntary exchange of information on national measures taken during and after the completion of country reviews, including strategies adopted, challenges encountered and best practices identified, as well as, where appropriate, the follow-up to the recommendations made in the country review reports, while taking into account the need for efficient discussions and decision-making processes in the sessions of the Group. The Conference also welcomed the important and useful thematic implementation reports, regional supplementary addenda and updates on technical assistance needs prepared by the secretariat for consideration by the Group, and encouraged States parties, the United Nations and other stakeholders to make full use of those documents.

Accordingly, the Group will have before it a thematic report prepared by the Secretariat on the implementation of chapter V of the Convention ([CAC/COSP/IRG/2020/6](#)).

Under agenda item 4, States parties are encouraged to continue providing further information on successes, good practices, challenges, technical assistance needs and measures taken after the completion of the country reviews under the first and second review cycles.

(b) Thematic discussion

In its resolution 8/6, the Conference encouraged States parties to use the outcome of their country reviews to strengthen their anti-corruption frameworks, including by implementing the mandatory provisions of articles 15 and 16, and to consider using the Implementation Review Group to update each other on their efforts to do so, and invited the secretariat to collect good practices and lessons learned in that regard. The Conference decided that the Implementation Review Group should include as a topic for 2020 best practices and lessons learned by States parties in investigating and enforcing their laws implementing articles 15 and 16 of the Convention, including on solicitation, as well as strengthening international cooperation in that regard.

Accordingly, a panel on the topic “Effective action against bribery: criminalizing and enforcing bribery offences under articles 15 and 16 of the Convention, including measures aimed at strengthening cooperation with national authorities” will be convened under agenda item 4. A conference room paper entitled “Criminalization and enforcement of national and transnational bribery offences under the United Nations Convention against Corruption” ([CAC/COSP/IRG/2020/CRP.16](#)) will be made available to the Group for its consideration.

Agenda item 4 will be discussed together with item 3 of the agenda for the open-ended intergovernmental expert meeting to enhance international cooperation under the United Nations Convention against Corruption and with items 2 to 4 of the agenda for the Working Group on Asset Recovery, at joint meetings.

Documentation

Thematic report prepared by the Secretariat on the implementation of chapter V (Asset recovery) of the United Nations Convention against Corruption ([CAC/COSP/IRG/2020/6](#))

Executive summaries of country review reports ([CAC/COSP/IRG/II/2/1/Add.21](#), [CAC/COSP/IRG/II/2/1/Add.22](#) and [CAC/COSP/IRG/II/3/1/Add.1](#))

5. Technical assistance

In its resolution 3/1, the Conference decided that the Implementation Review Group would be in charge of following up and continuing the work undertaken previously by the Open-ended Intergovernmental Working Group on Technical Assistance.

In paragraph 44 of the terms of reference of the Mechanism for the Review of Implementation of the United Nations Convention against Corruption, it is stated that the functions of the Group are to have an overview of the review process in order to identify challenges and good practices and to consider technical assistance requirements in order to ensure effective implementation of the Convention.

In its resolution 7/3, the Conference reaffirmed the importance of addressing the technical assistance priorities identified in the country reviews, and invited technical assistance providers to consider those priorities either for new technical assistance programmes or for incorporation into ongoing programmes.

In line with the thematic focus of the second resumed eleventh session of the Group, the secretariat will provide an oral update summarizing the findings related to chapter V. A note by the Secretariat containing a full analysis of developments since its previous analysis of technical assistance needs emerging from the country reviews and assistance delivered by the United Nations Office on Drugs and Crime in support of the implementation of the Convention will be made available to the Group for its consideration ([CAC/COSP/IRG/2020/7](#)).

To facilitate discussion of the matter, a panel on technical assistance for addressing gaps in the implementation of the provisions on asset recovery of the Convention will be convened.

Agenda item 5 will be discussed together with agenda item 5 of the Working Group on Asset Recovery and agenda item 3 of the open-ended intergovernmental expert meeting to enhance international cooperation under the United Nations Convention against Corruption, at joint meetings.

Documentation

Note by the Secretariat containing an analysis of technical assistance needs emerging from the country reviews under the second cycle in support of the implementation of the United Nations Convention against Corruption ([CAC/COSP/IRG/2020/7](#))

6. Other matters

Under agenda item 6, the Implementation Review Group may wish to discuss any other matters.

7. Provisional agenda for the twelfth session of the Implementation Review Group

At the eleventh session of the Group, it was suggested that consideration of the provisional agenda for the twelfth session be postponed to one of the resumed sessions.

The Implementation Review Group is to consider and approve, at its second resumed eleventh session, a provisional agenda for its twelfth session, which was drafted by the secretariat in consultation with the Chair ([CAC/COSP/IRG/2020/L.2](#)).

8. Adoption of the report of the Implementation Review Group on its second resumed eleventh session

The Implementation Review Group is to adopt a report, most likely by means of a silence procedure, on its second resumed eleventh session.

Annex

Proposed organization of work

<i>Date and time</i>	<i>Item</i>	<i>Title or description</i>
Monday, 16 November 2020		
11 a.m.–1 p.m.	1 (a)	Opening of the session
	1 (b)	Adoption of the agenda and organization of work
	2	Performance of the Mechanism for the Review of Implementation of the United Nations Convention against Corruption
	3	Financial and budgetary matters
	6	Other matters
	7	Provisional agenda for the twelfth session of the Implementation Review Group
	3–5 p.m.	4
4 (a)		Exchange of information, practices and experiences gained in the implementation of the Convention
4 (b)		Thematic discussion
Tuesday, 17 November 2020		
11 a.m.–1 p.m.	4	State of implementation of the United Nations Convention against Corruption (<i>continued</i>) ^a
3–5 p.m.	4	State of implementation of the United Nations Convention against Corruption (<i>continued</i>) ^a
Wednesday, 18 November 2020		
11 a.m.–1 p.m.	5	Technical assistance ^b
3–5 p.m.	5	Technical assistance (<i>continued</i>) ^b
	8	Adoption of the report of the Implementation Review Group on its second resumed eleventh session

^a Agenda item 4 will be discussed together with item 3 of the agenda for the open-ended intergovernmental expert meeting to enhance international cooperation under the United Nations Convention against Corruption and with items 2 to 4 of the agenda for the Working Group on Asset Recovery.

^b Agenda item 5 will be discussed together with item 3 of the agenda for the open-ended intergovernmental expert meeting to enhance international cooperation under the United Nations Convention against Corruption and with item 5 of the agenda for the Working Group on Asset Recovery.