



# Conference of the States Parties to the United Nations Convention against Corruption

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## Implementation Review Group

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**Financial and budgetary matters**

## Resources and expenditures for the functioning of the Mechanism for the Review of Implementation of the United Nations Convention against Corruption

Note by the Secretariat

### I. Introduction

1. The present note updates the information contained in the previous note by the Secretariat on resources and expenditures for the functioning of the Mechanism for the Review of Implementation of the United Nations Convention against Corruption ([CAC/COSP/IRG/2018/11](#)), which was presented at the second resumed ninth session of the Implementation Review Group.

2. It provides updated budgetary information on expenditures as at 28 February 2019 for the operation of the first and second cycles of the Mechanism, resources received both from the regular budget and from voluntary contributions, projected expenditures and the projected shortfall in resource requirements for the functioning of the Mechanism.

### II. Regular budget resources and expenditures for the Implementation Review Mechanism

3. Table 1 presents regular budget resources and expenditures for the bienniums 2010–2011, 2012–2013, 2014–2015, 2016–2017 and 2018–2019.

\* [CAC/COSP/IRG/2019/1](#).



Table 1

**Interim statement of regular budget expenditures for the functioning of the Implementation Review Mechanism, as at 28 February 2019**

(United States dollars)

<i>Budgetary item</i>	<i>Budget, 2010–2011</i>	<i>Expenditures, 2010–2011</i>	<i>Budget, 2012–2013</i>	<i>Expenditures, 2012–2013</i>	<i>Budget, 2014–2015</i>	<i>Expenditures, 2014–2015</i>	<i>Budget, 2016–2017</i>	<i>Expenditures, 2016–2017</i>	<i>Budget,<sup>a</sup> 2018–2019</i>	<i>Expenditures,<sup>a</sup> 2018–2019</i>
<b>Posts and related general operating expenses</b>										
Posts (1 D-1, 1 P-5, 2 P-4, 1 P-3, 3 P-2 and 1 General Service (Other level), and 1 P-4 and 2 P-3 as of 2018 <sup>b</sup> )	1 458 600	1 474 100	2 497 800	2 497 000	2 634 400	2 320 800	2 341 800	2 479 800	3 072 200	2 056 700
Computer maintenance	23 400	23 400	26 400	35 100	26 300	17 700	31 000	31 000	49 900	29 100
Communications	27 000	27 000	30 000	30 000	30 000	23 800	30 000	30 000	41 100	24 000
<b>Subtotal</b>	<b>1 509 000</b>	<b>1 524 500</b>	<b>2 554 200</b>	<b>2 562 100</b>	<b>2 690 700</b>	<b>2 362 300</b>	<b>2 402 800</b>	<b>2 540 800</b>	<b>3 163 200</b>	<b>2 109 800</b>
<b>Implementation Review Group</b>										
Interpretation <sup>c</sup>	465 600	351 300	461 400	317 000	388 800	302 800	405 000	329 000	405 000	174 000
Translation of documentation <sup>d</sup>	472 900	534 900	401 600	2 569 400	361 400	1 440 000	1 084 000	1 562 900	1 108 200	568 800
<b>Subtotal</b>	<b>938 500</b>	<b>886 200</b>	<b>863 000</b>	<b>2 886 400</b>	<b>750 200</b>	<b>1 742 800</b>	<b>1 489 000</b>	<b>1 891 900</b>	<b>1 513 200</b>	<b>742 800</b>
<b>Total</b>	<b>2 447 500</b>	<b>2 410 700</b>	<b>3 417 200</b>	<b>5 448 500</b>	<b>3 440 900</b>	<b>4 105 100</b>	<b>3 891 800</b>	<b>4 432 700</b>	<b>4 676 400</b>	<b>2 852 600</b>

<sup>a</sup> The budget for the biennium 2018–2019 reflects revised appropriations approved in General Assembly resolutions [73/280](#) A to C.

<sup>b</sup> In this table, the nine posts that were authorized in the previous bienniums are costed as continuing posts, and the three posts (1 P-4, 2 P-3) that were approved by the General Assembly in December 2017 are costed as new posts.

<sup>c</sup> With regard to the biennium 2018–2019, interpretation was provided for a total of eight meetings of the sessions of the Implementation Review Group in 2018. For the previous bienniums, interpretation was provided for a total of 34 meetings in the biennium 2010–2011, 28 meetings in the biennium 2012–2013, 25 meetings in the biennium 2014–2015 and 14 meetings in the biennium 2016–2017.

<sup>d</sup> In 2018, translation was provided for a total of 308 pages of documentation. For the previous bienniums, translation was provided for a total of 268 pages in the biennium 2010–2011, 1,340 pages in the biennium 2012–2013, 797 pages in the biennium 2014–2015 and 865 pages in the biennium 2016–2017. The greater number of pages in the bienniums 2012–2013, 2014–2015 and 2016–2017 was due to the high number of completed executive summaries of country review reports. While the budget for translation of documentation was based on the assumption that 160 countries in total would be reviewed under the first cycle, the actual number of countries being reviewed under the first cycle grew to 184 as at 31 July 2018, since more countries ratified or acceded to the Convention than had been forecast in 2009. The Conference Management Service of the United Nations Office at Vienna was able to cover the additional expenditures for translation of documentation in the reporting period through the reallocation of existing translation resources under Section 2 of the approved regular budget. At the same time, owing to delays in the country reviews and in the finalization of the country reports and the related executive summaries, several such reports were presented to the Group as conference room papers, for which no translation was provided.

### **III. Estimates and extrabudgetary expenditures for the first cycle of the Implementation Review Mechanism**

4. Table 2 presents estimates and final extrabudgetary expenditures for the first and fifth years, as well as estimates and interim expenditures for the second, third and fourth years, respectively, for the operation of the first cycle of the Mechanism. The first year of the Mechanism started in June 2010. Expenditures reported under the first year relate to activities undertaken with regard to the first group of 27 countries under review. The second year of the Mechanism started in June 2011. Expenditures reported under the second year relate to activities undertaken with regard to the second group of 41 countries under review. The third year of the Mechanism started in June 2012. Expenditures reported under the third year relate to activities undertaken with regard to the third group of 35 countries under review. The fourth year of the Mechanism started in June 2013. Expenditures reported under the fourth year relate to activities undertaken with regard to the fourth group of 81 countries under review. The fourth group of countries includes those that were part of the fourth group in June 2013 and those that have ratified or acceded to the Convention since June 2013. The fifth year started in June 2014 and ended in December 2017. The fifth year covers only expenditures related to staff financed through extrabudgetary resources working in support of country reviews which were conducted under the second, third and fourth years of the first cycle between June 2014 to December 2017 and the participation of least developed countries in sessions of the Implementation Review Group that were held in 2014 and 2015. Expenditures that relate to training provided in relation to country reviews conducted after 31 May 2014 are reported under the fourth year of the Review Mechanism. Other expenditures that relate to the second, third or fourth group of countries under review are reported under the second, third and fourth years of the Review Mechanism, respectively. Expenditures that relate to countries that have ratified the Convention since June 2014 are reported under the fourth year of the Review Mechanism.

Table 2  
**Estimates and extrabudgetary expenditures for the five years of the first cycle of the Implementation Review Mechanism, as at  
 28 February 2019**

(United States dollars)

<i>Budgetary item</i>	<i>Estimates for the first year</i>	<i>Expenditures for the first year</i>	<i>Estimates for the second year</i>	<i>Expenditures for the second year</i>	<i>Estimates for the third year</i>	<i>Expenditures for the third year</i>	<i>Estimates for the fourth year</i>	<i>Expenditures for the fourth year</i>	<i>Estimates for the fifth year</i>	<i>Expenditures for the fifth year</i>	<i>Estimates for all years</i>	<i>Expenditures for all years</i>
Travel of participants for country visits and joint meetings	607 500	607 500	758 200	753 000	632 600	609 100	1 233 800	1 122 800	-	-	3 232 100	3 092 400
Translation of working documentation	303 000	303 000	470 500	435 300	426 100	423 300	933 500	721 600	-	-	2 133 100	1 883 200
Participation of least developed countries in the sessions of the Implementation Review Group	185 900	185 900	287 000	287 000	251 700	251 700	290 500	290 500	522 000	522 000	1 537 100	1 537 100
Training of governmental experts	275 200	275 200	454 600	454 600	490 200	490 200	415 000	389 300	-	-	1 635 000	1 609 300
Consultants	99 900	99 900	-	-	-	-	-	-	-	-	99 900	99 900
1 P-3 post and 1 General Service (Other level) post	-	-	36 800	36 800	174 900	174 900	267 500	267 500	962 100	962 100	1 441 300	1 441 300
Computer maintenance and communications costs	-	-	1 800	1 800	7 100	7 100	7 600	7 600	24 300	24 300	40 800	40 800
<b>Total</b>	<b>1 471 500</b>	<b>1 471 500</b>	<b>2 008 900</b>	<b>1 968 500</b>	<b>1 982 600</b>	<b>1 956 300</b>	<b>3 147 900</b>	<b>2 799 300</b>	<b>1 508 400</b>	<b>1 508 400</b>	<b>10 119 300</b>	<b>9 704 000</b>
		<i>First year</i>		<i>Second year</i>		<i>Third year</i>		<i>Fourth year</i>				<i>All years</i>
<b>Number of countries under review</b>		<b>27</b>		<b>41</b>		<b>35</b>		<b>81</b>			<b>-</b>	<b>184</b>

#### **IV. Estimates for the second cycle of the Implementation Review Mechanism**

5. Table 3 shows the estimates and interim extrabudgetary expenditures for the operation of the first, second, third and fourth years of the second cycle. As explained in the note by the Secretariat on resources and expenditures for the functioning of the Mechanism for the Review of Implementation of the United Nations Convention against Corruption (CAC/COSP/IRG/2018/4), the projections are based on the assumption that the cost-saving measures currently in place will continue to be applied. The first year of the second cycle of the Mechanism started in June 2016. Expenditures reported under the first year relate to activities undertaken with regard to the first group of 29 countries under review, as well as training activities and travel of UNODC experts to provide targeted assistance in countries under review until 30 June 2017. Furthermore, expenditures related to staff financed through extrabudgetary resources working in support of conducting second cycle country reviews until June 2017 were covered by the funds of the first year of the second cycle. The second year of the second cycle of the Mechanism started in June 2017. Expenditures reported under the second year relate to activities undertaken with regard to the second group of 48 countries under review, as well as training activities and travel of UNODC experts to provide targeted assistance in countries under review between 1 July 2017 and 30 June 2018. Furthermore, expenditures related to staff financed through extrabudgetary resources working in support of conducting second cycle country reviews until December 2017 were covered by the funds of the second year of the second cycle. The third year of the second cycle of the Mechanism started in June 2018. Expenditures reported under the third year relate to activities undertaken with regard to the third group of 36 countries under review as well as training activities between 1 July 2018 and 30 June 2019. The fourth year of the second cycle of the Mechanism starts in June 2019.

Table 3  
**Estimates and extrabudgetary expenditures for the four years of the second cycle of the Implementation Review Mechanism, as at 28 February 2019**

(United States dollars)

<i>Budgetary item</i>	<i>Estimates for the first year</i>	<i>Expenditures for the first year</i>	<i>Estimates for the second year</i>	<i>Expenditures for the second year</i>	<i>Estimates for the third year</i>	<i>Expenditures for the third year</i>	<i>Estimates for the fourth year</i>	<i>Expenditures for the fourth year</i>	<i>Estimates for all years</i>	<i>Expenditures for all years</i>
Travel of participants for country visits and joint meetings	379 600	254 200	702 800	197 000	555 300	-	540 300	-	2 178 000	451 200
Translation of working documentation	363 700	250 400	601 900	179 500	464 000	30 300	451 400	-	1 881 000	460 200
Participation of least developed countries in the sessions of the Implementation Review Group	309 500	309 500	243 100	243 100	311 000	236 900	311 000	-	1 174 600	789 500
Training of governmental experts	409 800	409 800	350 000	182 500	352 000	49 000	352 000	-	1 463 800	641 300
Travel of UNODC experts to provide targeted assistance in countries under review	58 300	58 300	58 500	26 900	58 500	-	58 500	-	233 800	85 200
Additional posts (1 P-4, 2 P-3)	313 300	313 300	207 400	207 400	-	-	-	-	520 700	520 700
Computer maintenance and communications costs	7 900	7 900	5 100	5 100	-	-	-	-	13 000	13 000
<b>Total</b>	<b>1 842 100</b>	<b>1 603 400</b>	<b>2 168 800</b>	<b>1 041 500</b>	<b>1 740 800</b>	<b>316 200</b>	<b>1 713 200</b>	<b>-</b>	<b>7 464 900</b>	<b>2 961 100</b>
		<i>First year</i>		<i>Second year</i>		<i>Third year</i>		<i>Fourth year</i>		
<b>Number of countries under review</b>		<b>29</b>		<b>48</b>		<b>36</b>		<b>37</b>		

## V. Resource requirements for the functioning of the Implementation Review Mechanism, extrabudgetary contributions received and projected funding shortfall

6. As shown in tables 4 and 5, a total of \$15,241,000 in voluntary contributions has been received from Australia, Austria, Brazil, Canada, China, the European Commission, France, Germany, Italy, Japan, Liechtenstein, Mexico, Morocco, the Netherlands, Norway, Oman, Panama, Qatar, the Russian Federation, Saudi Arabia, Sweden, Switzerland, Turkey, the United Kingdom of Great Britain and Northern Ireland, the United States of America and the United Nations Development Programme (UNDP) between 2010 and 2019. In-kind contributions have been provided by Angola, Argentina, Armenia, Australia, Austria, the Bahamas, Bahrain, Belize, Benin, Bhutan, Botswana, Brazil, Burkina Faso, Cabo Verde, Cameroon, China, Colombia, the Dominican Republic, Ecuador, Egypt, Eswatini, Fiji, Gabon, Grenada, Greece, Haiti, India, Israel, Italy, Kazakhstan, Kenya, Kiribati, Liechtenstein, Madagascar, Malaysia, Mauritania, Morocco, Mozambique, Nepal, Oman, Papua New Guinea, Portugal, Qatar, the Russian Federation, Saudi Arabia, Senegal, Singapore, Solomon Islands, Tajikistan, Turkey, the United Arab Emirates, Uzbekistan and Vanuatu.

Table 4  
**Extrabudgetary contributions to the Implementation Review Mechanism, by year, as at 28 February 2019**

(United States dollars)

<i>Extrabudgetary contributions</i>	
2010	1 999 600
2011	2 711 000
2012	2 094 500
2013	2 085 900
2014	2 031 100
2015	392 600
2016	814 900
2017	2 100 000
2018	906 500
2019	104 900
<b>Total all years</b>	<b>15 241 000</b>

Table 5  
**Extrabudgetary contributors to the Implementation Review Mechanism, by year, as at 28 February 2019**

<i>Extrabudgetary contributors</i>	
2010	Canada, France, Germany, Mexico, Netherlands, Norway, Sweden, United Kingdom, United States, UNDP
2011	Australia, Austria, Brazil, Canada, France, Norway, Qatar, Russian Federation, Sweden, Switzerland, United States
2012	Australia, France, Mexico, Norway, Sweden, United States
2013	Australia, France, Italy, Mexico, Morocco, Norway, Qatar, Russian Federation, Sweden, Switzerland, United States
2014	Australia, France, Norway, Oman, Panama, Russian Federation, Saudi Arabia, Sweden, United Kingdom, United States

*Extrabudgetary contributors*


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2015	Australia, France, Mexico, Morocco, Sweden, Turkey, United States
2016	France, Japan, Mexico, Morocco, Norway, Qatar, Russian Federation, Sweden, United States
2017	Austria, Canada, China, France, Germany, Italy, Japan, Liechtenstein, Mexico, Panama, Qatar, Russian Federation, Sweden, United States
2018	Australia, European Commission, France, Germany, Japan, Mexico, Panama, Russian Federation, Sweden and Turkey
2019	Russian Federation

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7. As shown in table 6, the voluntary extrabudgetary contributions received so far cover the costs for the operation of the first cycle and of the first two years of the second cycle of the Review Mechanism. A significant funding shortfall projection remains with regard to the third and fourth years of the second cycle.

Table 6

**Extrabudgetary resource requirements for the functioning of the Implementation Review Mechanism, contributions received and projected funding shortfall, as at 28 February 2019**

(United States dollars)

	<i>Total estimated requirements</i>	<i>Requirements covered by extrabudgetary contributions</i>	<i>Projected shortfall</i>
<b>First cycle</b>	10 119 300	10 119 300	-
<b>Subtotal</b>	<b>10 119 300</b>	<b>10 119 300</b>	-
<b>Second cycle</b>			
First year	1 842 100	1 842 100	-
Second year	2 168 800	2 168 800	-
Third year	1 740 800	1 110 800	630 000
Fourth year	1 713 200	-	1 713 200
<b>Subtotal</b>	<b>7 464 900</b>	<b>5 121 700</b>	<b>2 343 200</b>
<b>Total, first and second cycles</b>	<b>17 584 200</b>	<b>15 241 000</b>	<b>2 343 200</b>

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