



Conference of the States Parties to the United Nations Convention against Corruption

Distr.: General
24 March 2020

Original: English

Implementation Review Group

Eleventh session

Vienna, 8–10 June 2020

Item 3 of the provisional agenda*

Financial and budgetary matters

Resources and expenditures for the functioning of the Mechanism for the Review of Implementation of the United Nations Convention against Corruption

Note by the Secretariat

I. Introduction

1. The present note updates the information contained in the previous note by the Secretariat on resources and expenditures for the functioning of the Mechanism for the Review of Implementation of the United Nations Convention against Corruption ([CAC/COSP/2019/15](#)), which was presented to the Implementation Review Group at its second resumed tenth session.

2. It provides updated budgetary information on expenditures incurred as at 29 February 2020 for the operation of the first and second cycles of the Mechanism, resources received both from the regular budget and from voluntary contributions, projected expenditures and the current shortfall in resource requirements for the functioning of the Mechanism.

II. Regular budget resources and expenditures for the Implementation Review Mechanism

3. Table 1 presents regular budget resources and expenditures for the bienniums 2010–2011, 2012–2013, 2014–2015, 2016–2017 and 2018–2019 and for the year 2020.

* CAC/COSP/IRG/2020/1.



Table 1
**Interim statement of regular budget expenditures for the functioning of the Implementation Review Mechanism, as at
 29 February 2020**

(United States dollars)

<i>Budgetary item</i>	<i>2010–2011</i>		<i>2012–2013</i>		<i>2014–2015</i>		<i>2016–2017</i>		<i>2018–2019</i>		<i>2020</i>	
	<i>Budget</i>	<i>Expenditure</i>	<i>Budget</i>	<i>Expenditure</i>	<i>Budget</i>	<i>Expenditure</i>	<i>Budget</i>	<i>Expenditure</i>	<i>Budget</i>	<i>Expenditure</i>	<i>Budget</i>	<i>Expenditure</i>
Posts and related general operating expenses												
Posts (1 D-1, 1 P-5, 2 P-4, 1 P-3, 3 P-2 and 1 General Service (Other level), and 1 P-4 and 2 P-3 as of 2018 ^a)	1 458 600	1 474 100	2 497 800	2 497 000	2 634 400	2 320 800	2 341 800	2 479 800	3 072 200	3 191 500	1 745 300	269 800
Computer maintenance	23 400	23 400	26 400	35 100	26 300	17 700	31 000	31 000	49 900	49 900	21 600	3 600
Communications	27 000	27 000	30 000	30 000	30 000	23 800	30 000	30 000	41 100	41 100	20 400	3 400
Subtotal	1 509 000	1 524 500	2 554 200	2 562 100	2 690 700	2 362 300	2 402 800	2 540 800	3 163 200	3 282 500	1 787 300	276 800
Implementation Review Group												
Interpretation ^b	465 600	351 300	461 400	317 000	388 800	302 800	405 000	329 000	405 000	310 000	201 700	-
Translation of documentation ^c	472 900	534 900	401 600	2 569 400	361 400	1 440 000	1 084 000	1 562 900	1 108 200	1 307 600	626 400	-
Subtotal	938 500	886 200	863 000	2 886 400	750 200	1 742 800	1 489 000	1 891 900	1 513 200	1 617 600	828 100	-
Total	2 447 500	2 410 700	3 417 200	5 448 500	3 440 900	4 105 100	3 891 800	4 432 700	4 676 400	4 900 100	2 615 400	276 800

^a In this table, the nine posts that were authorized in the previous bienniums are costed in the biennium 2018–2019 and in the year 2020 as continuing posts, and the three posts (1 P-4, 2 P-3) that were approved by the General Assembly in December 2017 are costed as new posts in the biennium 2018–2019 and as continuing posts in the year 2020.

^b With regard to the biennium 2018–2019, interpretation was provided for a total of 30 meetings of the sessions of the Implementation Review Group. For the previous bienniums, interpretation was provided for a total of 34 meetings in the biennium 2010–2011, 28 meetings in the biennium 2012–2013, 25 meetings in the biennium 2014–2015 and 28 meetings in the biennium 2016–2017.

^c In 2018–2019, translation was provided for a total of 708 pages of documentation. For the previous bienniums, translation was provided for a total of 268 pages in the biennium 2010–2011, 1,340 pages in the biennium 2012–2013, 797 pages in the biennium 2014–2015 and 865 pages in the biennium 2016–2017. The greater number of pages in the bienniums 2012–2013, 2014–2015 and 2016–2017 was due to the high number of completed executive summaries of country review reports. While the budget for translation of documentation was based on the assumption that 160 countries in total would be reviewed under the first cycle, the actual number of countries being reviewed under the first cycle grew to 184 as at 31 July 2018, since more countries ratified or acceded to the Convention than had been forecast in 2009. The Conference Management Service of the United Nations Office at Vienna was able to cover the additional expenditures for translation of documentation in the reporting period through the reallocation of existing translation resources under Section 2 of the approved regular budget. At the same time, owing to delays in the country reviews and in the finalization of the country reports and the related executive summaries, several such reports were presented to the Group as conference room papers, for which no translation was provided.

III. Estimates and extrabudgetary expenditures for the first cycle of the Implementation Review Mechanism

4. Table 2 presents estimates and final extrabudgetary expenditures for the first and for the fifth years, as well as estimates and interim expenditures for the second, third and fourth years, respectively, for the operation of the first cycle of the Mechanism. The first year of the Mechanism started in June 2010. Expenditures reported under the first year relate to activities undertaken with regard to the first group of 27 countries under review. The second year of the Mechanism started in June 2011. Expenditures reported under the second year relate to activities undertaken with regard to the second group of 41 countries under review. The third year of the Mechanism started in June 2012. Expenditures reported under the third year relate to activities undertaken with regard to the third group of 35 countries under review. The fourth year of the Mechanism started in June 2013. Expenditures reported under the fourth year relate to activities undertaken with regard to the fourth group of 81 countries under review. The fourth group of countries includes those that were part of the fourth group in June 2013 and those that have ratified or acceded to the Convention since June 2013. The fifth year started in June 2014 and ended in December 2017. The fifth year covers only expenditures related to staff financed through extrabudgetary resources working in support of country reviews that were conducted under the second, third and fourth years of the first cycle between June 2014 to December 2017 and the participation of least developed countries in sessions of the Implementation Review Group that were held in 2014 and 2015. Expenditures that relate to training provided in relation to country reviews conducted after 31 May 2014 are reported under the fourth year of the Review Mechanism. Other expenditures that relate to the second, third or fourth group of countries under review are reported under the second, third and fourth years of the Review Mechanism, respectively. Expenditures that relate to countries that have ratified the Convention since June 2014 are reported under the fourth year of the Review Mechanism.

Table 2
**Estimates and extrabudgetary expenditures for the five years of the first cycle of the Implementation Review Mechanism, as at
 29 February 2020**

(United States dollars)

<i>Budgetary item</i>	<i>Estimates for the first year</i>	<i>Expenditures for the first year</i>	<i>Estimates for the second year</i>	<i>Expenditures for the second year</i>	<i>Estimates for the third year</i>	<i>Expenditures for the third year</i>	<i>Estimates for the fourth year</i>	<i>Expenditures for the fourth year</i>	<i>Estimates for the fifth year</i>	<i>Expenditures for the fifth year</i>	<i>Estimates for all years</i>	<i>Expenditures for all years</i>
Travel of participants for country visits and joint meetings	607 500	607 500	758 200	753 000	632 600	634 600	1 233 800	1 149 000	-	-	3 232 100	3 144 100
Translation of working documentation	303 000	303 000	470 500	435 300	426 100	423 300	933 500	735 900	-	-	2 133 100	1 897 500
Participation of least developed countries in the sessions of the Implementation Review Group	185 900	185 900	287 000	287 000	251 700	251 700	290 500	290 500	522 000	522 000	1 537 100	1 537 100
Training of governmental experts	275 200	275 200	454 600	454 600	490 200	490 200	415 000	389 300	-	-	1 635 000	1 609 300
Consultants	99 900	99 900	-	-	-	-	-	-	-	-	99 900	99 900
1 P-3 post and 1 General Service (Other level) post	-	-	36 800	36 800	174 900	174 900	267 500	267 500	962 100	962 100	1 441 300	1 441 300
Computer maintenance and communications costs	-	-	1 800	1 800	7 100	7 100	7 600	7 600	24 300	24 300	40 800	40 800
Total	1 471 500	1 471 500	2 008 900	1 968 500	1 982 600	1 981 800	3 147 900	2 839 800	1 508 400	1 508 400	10 119 300	9 770 000
	<i>First year</i>		<i>Second year</i>		<i>Third year</i>		<i>Fourth year</i>					<i>All years</i>
Number of countries under review	27		41		35		81					184

IV. Estimates for the second cycle of the Implementation Review Mechanism

5. Table 3 presents estimates and interim extrabudgetary expenditures for the operation of the first, second, third, fourth and fifth years of the second cycle. As explained in the note by the Secretariat on resources and expenditures for the functioning of the Mechanism for the Review of Implementation of the United Nations Convention against Corruption (CAC/COSP/IRG/2018/4), the projections are based on the assumption that the cost-saving measures currently in place will continue to be applied. The first year of the second cycle of the Mechanism started in June 2016. Expenditures reported under the first year relate to activities undertaken with regard to the first group of 29 countries under review, as well as training activities and travel of UNODC experts to provide targeted assistance in countries under review until 30 June 2017. The second year of the second cycle of the Mechanism started in June 2017. Expenditures reported under the second year relate to activities undertaken with regard to the second group of 48 countries under review, as well as training activities and travel of UNODC experts to provide targeted assistance in countries under review between 1 July 2017 and 30 June 2018. Furthermore, expenditures related to staff financed through extrabudgetary resources working in support of conducting second cycle country reviews until December 2017 were recorded under the first second years of the second cycle. The third year of the second cycle of the Mechanism started in June 2018. Expenditures reported under the third year relate to activities undertaken with regard to the third group of 36 countries under review as well as training activities between 1 July 2018 and 30 June 2019. The fourth year of the second cycle of the Mechanism started in June 2019. Expenditures reported under the fourth year relate to activities undertaken with regard to the fourth group of 37 countries under review, as well as training activities and travel of UNODC experts to provide targeted assistance in countries under review between 1 July 2019 and 29 February 2020. Expenditures for the participation of least developed countries in the sessions of the Implementation Review Group are reported under all respective year groups. The fifth year of the second cycle of the Mechanism starts in June 2020.

Table 3
Estimates and extrabudgetary expenditures for the five years of the second cycle of the Implementation Review Mechanism, as at 29 February 2020

(United States dollars)

<i>Budgetary item</i>	<i>Estimates for the first year</i>	<i>Expenditures for the first year</i>	<i>Estimates for the second year</i>	<i>Expenditures for the second year</i>	<i>Estimates for the third year</i>	<i>Expenditures for the third year</i>	<i>Estimates for the fourth year</i>	<i>Expenditures for the fourth year</i>	<i>Estimates for the fifth year</i>	<i>Estimates for all years</i>	<i>Expenditures for all years</i>
Travel of participants for country visits and joint meetings	379 600	278 000	702 800	301 700	555 300	131 400	540 300	-	465 300	2 643 300	711 100
Translation of working documentation	363 700	258 700	601 900	245 900	464 000	46 100	451 400	21 400	388 700	2 269 700	572 100
Participation of least developed countries in the sessions of the Implementation Review Group	309 500	309 500	243 100	243 100	311 000	236 900	311 000	147 100	311 000	1 485 600	936 600
Training of governmental experts	409 800	409 800	350 000	182 500	352 000	49 000	352 000	80 700	352 000	1 815 800	722 000
Travel of UNODC experts to provide targeted assistance in countries under review	58 300	58 300	58 500	26 900	58 500	-	58 500	22 500	58 500	292 300	107 700
Additional posts (1 P-4, 2 P-3)	313 300	313 300	207 400	207 400	-	-	-	-	-	520 700	520 700
Computer maintenance and communications costs	7 900	7 900	5 100	5 100	-	-	-	-	-	13 000	13 000
Total	1 842 100	1 635 500	2 168 800	1 212 600	1 740 800	463 400	1 713 200	271 700	1 575 500	9 040 400	3 583 200
	<i>First year</i>		<i>Second year</i>		<i>Third year</i>		<i>Fourth year</i>		<i>Fifth year</i>		
Number of countries under	29		48		36		37		34		

V. Resource requirements for the functioning of the Implementation Review Mechanism, extrabudgetary contributions received and funding shortfall

6. As shown in tables 4 and 5, a total of \$17,252,700 in voluntary contributions was received from Australia, Austria, Brazil, Canada, China, Denmark, the European Commission, France, Germany, Italy, Japan, Kazakhstan, Liechtenstein, Mexico, Morocco, the Netherlands, Norway, Oman, Panama, Qatar, the Russian Federation, Saudi Arabia, Sweden, Switzerland, Turkey, the United Kingdom of Great Britain and Northern Ireland, the United States of America and the United Nations Development Programme (UNDP) between 2010 and 2019. In-kind contributions were provided by Angola, Argentina, Armenia, Australia, Austria, the Bahamas, Bahrain, Belize, Benin, Bhutan, Botswana, Brazil, Burkina Faso, Cabo Verde, Cameroon, China, Colombia, the Dominican Republic, Ecuador, Egypt, Eswatini, Fiji, Gabon, Granada, Greece, Guyana, Haiti, India, Israel, Italy, Kazakhstan, Kenya, Kiribati, Liechtenstein, Madagascar, Malaysia, Mauritania, Mexico, Morocco, Mozambique, Myanmar, Nepal, Oman, Papua New Guinea, Portugal, Qatar, the Russian Federation, Saudi Arabia, Senegal, Singapore, Solomon Islands, Tajikistan, Turkey, the United Arab Emirates, Uzbekistan and Vanuatu.

Table 4

Extrabudgetary contributions to the Implementation Review Mechanism, by year, as at 29 February 2020

(United States dollars)

<i>Extrabudgetary contributions</i>	
2010	1 999 600
2011	2 711 000
2012	2 094 500
2013	2 085 900
2014	2 031 100
2015	392 600
2016	814 900
2017	2 100 000
2018	906 500
2019	2 116 600
Total all years	17 252 700

Table 5
Extrabudgetary contributors to the Implementation Review Mechanism, by year, as at 29 February 2020

<i>Extrabudgetary contributors</i>	
2010	Canada, France, Germany, Mexico, Netherlands, Norway, Sweden, United Kingdom, United States, UNDP
2011	Australia, Austria, Brazil, Canada, France, Norway, Qatar, Russian Federation, Sweden, Switzerland, United States
2012	Australia, France, Mexico, Norway, Sweden, United States
2013	Australia, France, Italy, Mexico, Morocco, Norway, Qatar, Russian Federation, Sweden, Switzerland, United States
2014	Australia, France, Norway, Oman, Panama, Russian Federation, Saudi Arabia, Sweden, United Kingdom, United States
2015	Australia, France, Mexico, Morocco, Sweden, Turkey, United States
2016	France, Japan, Mexico, Morocco, Norway, Qatar, Russian Federation, Sweden, United States
2017	Austria, Canada, China, France, Germany, Italy, Japan, Liechtenstein, Mexico, Panama, Qatar, Russian Federation, Sweden, United States
2018	Australia, European Commission, France, Germany, Japan, Mexico, Panama, Russian Federation, Sweden and Turkey
2019	Denmark, France, Germany, Japan, Kazakhstan, Mexico, Panama, Russian Federation, United States

7. As shown in table 6, the voluntary extrabudgetary contributions received as at 29 February 2020 cover the costs for the operation of the first cycle, the first three years of the second cycle and most of the fourth year of the Review Mechanism. A funding shortfall of \$1,907,000 remains to be filled to meet the total estimated requirements for the Review Mechanism.

Table 6
Extrabudgetary resource requirements for the functioning of the Implementation Review Mechanism, contributions received and funding shortfall, as at 29 February 2020

(United States dollars)

	<i>Total estimated requirements</i>	<i>Requirements covered by extrabudgetary contributions</i>	<i>Shortfall</i>
First cycle	10 119 300	10 119 300	-
Subtotal	10 119 300	10 119 300	-
Second cycle			
First year	1 842 100	1 842 100	-
Second year	2 168 800	2 168 800	-
Third year	1 740 800	1 740 800	-
Fourth year	1 713 200	1,381,700	331 500
Fifth year	1 575 500	-	1 575 500
Subtotal	9 040 400	7 133 400	1 907 000
Total, first and second cycles	19 159 700	17 252 700	1 907 000