



Supporting the implementation of UNCAC preventive measures

Prevention of Corruption in the Public Sector

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Outline of presentation

- **Corruption prevention measures: Supporting implementation**
- **Codes of conduct and conflict of interest:**

Guidelines and Toolkit (article 8 UNCAC)

Comparative data across OECD countries

- **Policy Advice**

Integrity Framework for the Public Sector

- OECD approach to prevent corruption in the public sector: An implementation-focus
- Promote transparency, integrity and accountability
- Mitigate risks of waste and corruption
 - Regulation of the interactions between the public sector and other stakeholders
 - Promotion of stable regulations, sound procurement, merit-based HRM and accountable processes
- UNCAC Chapter II (Preventive Measures)

Integrity instruments in government

Integrity measures	Supporting public management processes
<ul style="list-style-type: none">• Codes of conduct• Conflict-of-interest rules• Financial disclosure requirements• Gifts and gratuities policy• Post-employment measures• Reporting channels and protection for whistle-blowing• Targeted integrity training, accessible advice and counselling• Co-ordination of anti-corruption policies and external integrity “watchdogs”	<ul style="list-style-type: none">• Internal financial controls, including cash, asset and debt management• Public procurement, including pre-tendering, tendering and contract management and payment• Human resource management, including recruitment, evaluation and career progression• Performance management, including quality control processes and external evaluations• Internal and external audit, including the monitoring and follow up of recommendations, etc.

Article 8 UNCAC – Codes of conduct

OECD standards, tools and comparative data

➤ Public Sector:

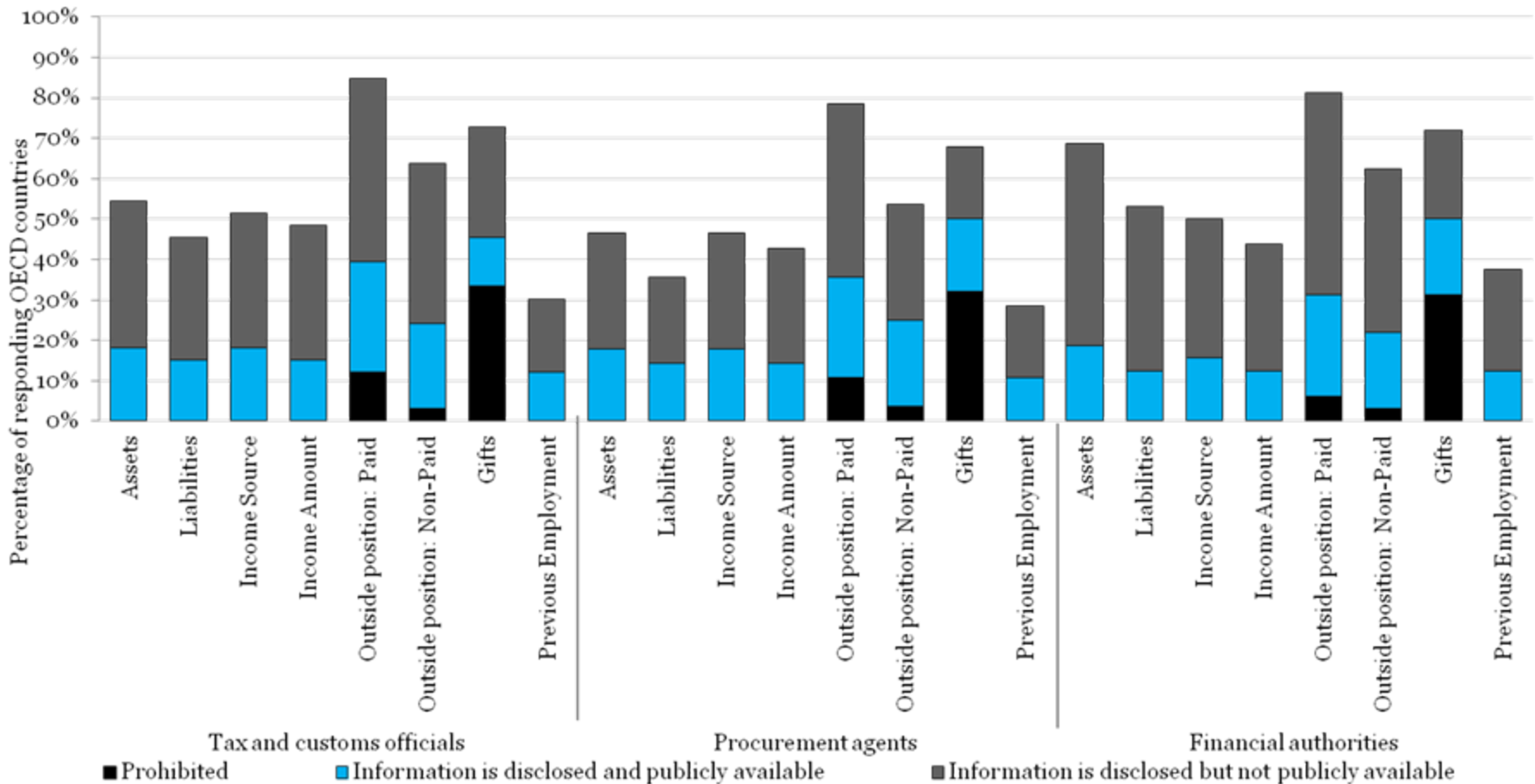
- Principles on Improving Ethical Conduct in the Public Sector
- Guidelines & Toolkit for Managing Conflict of Interest in the Public Service
- Principles, Checklist & Toolbox for Enhancing Integrity in Procurement
- Integrity Management Framework
- Assessment Framework
- Principles for Transparency and Accountability in Lobbying
- Best Practice Guidelines for Budget Transparency and International Budget Database

➤ Private Sector:

- OECD Guidelines for Multinational Enterprises
- FATF; Recommendation on bribery in export credits, *etc.*
- Good Practices Guidance on Internal Controls, Ethics and Compliance
- OECD Anti-Bribery Convention
- Recommendation on Tax Deductibility of Bribes

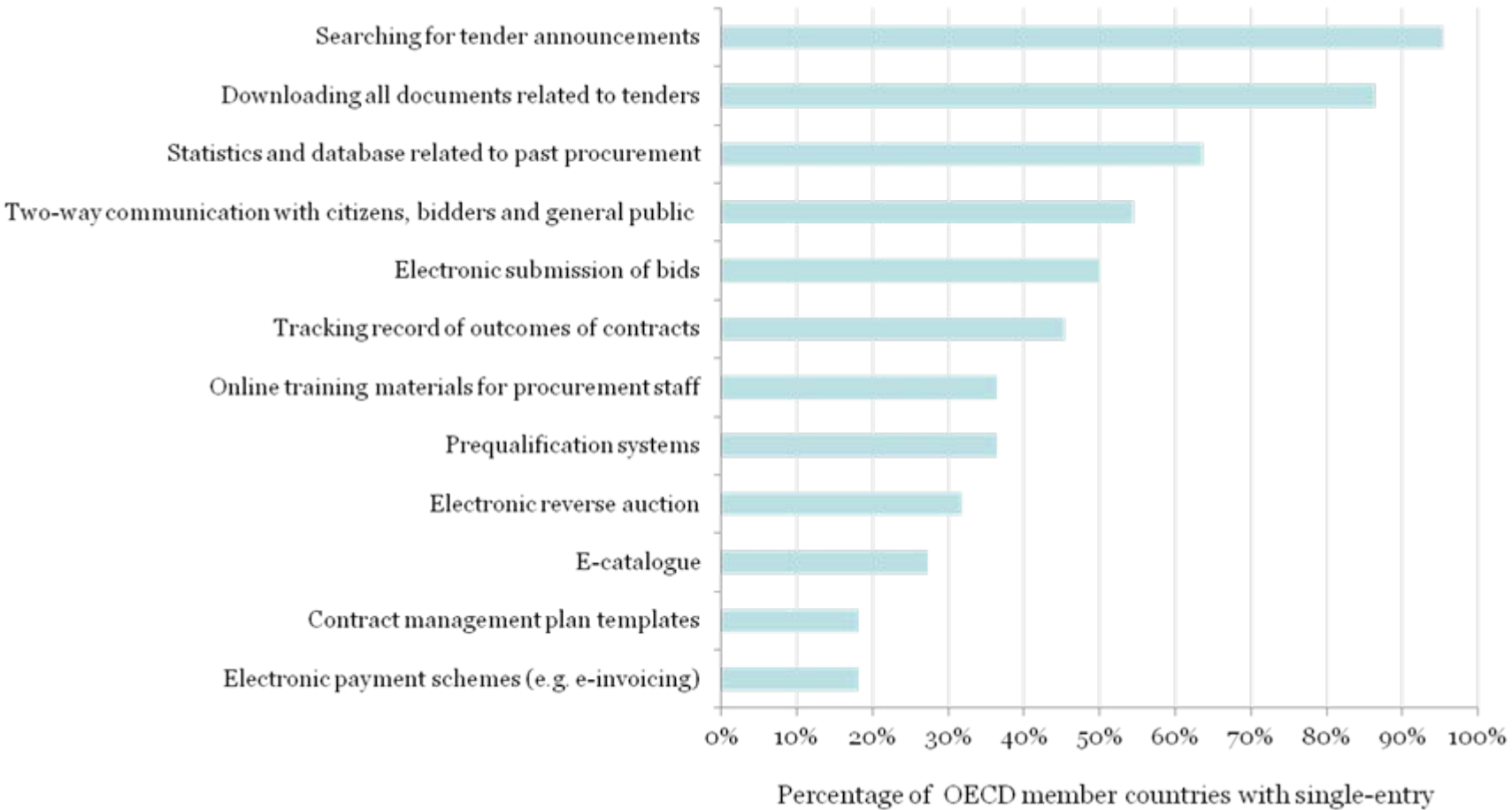
Comparative data across OECD countries on disclosure

Level of disclosure of private interests by selected officials in selected risk areas (Government at a Glance, 2011)



Comparative data across OECD countries on e-procurement

Most common services offered by the single-entry procurement website (GAAG, 2011)



Policy Advice

Supporting the implementation of preventive measures:

- To inform the development of anti-corruption policies, prepare or assess their implementation
- To benchmark existing policies and practices against OECD and G20 countries

Examples of public sector integrity reviews:

- with Comptroller General in Brazil
- supporting implementation in Jordan, Palestine Authorities,
- Corruption Prevention Authority in Morocco
- procurement peer review of the United States, Morocco, Yemen, Iraq, sectoral reviews in Mexico

Example of Policy Advice: Brazil

- What did the review examine?
 - Promoting transparency and citizen engagement
 - Implementing risk-based internal control
 - Embedding high standards of conduct
 - Enhancing integrity in public procurement

Supports the implementation of preventive measures of UNCAC (articles 7, 8, 9, 10, 13)



For further information

Website: <http://www.oecd.org/gov/ethics>

Selected publications:

- Public Governance Review : Brazil - Managing Risks for a Cleaner Public Service (2011)
- Public Governance Review– Mechanisms to collect information on corruption (2010)
- Government at a Glance (2011 and 2009)
- Post-Public Employment: Good Practices for Preventing Conflict of Interest (2010)
- Managing Conflict of Interest: Guidelines, Country Experiences and Toolkit (2004-2005)
- Lobbyists, Government and Public Trust, Vol. 1: Increasing Transparency through Legislation (2009)
- Principles for Enhancing Integrity in Public Procurement (2009)
- Public Sector Integrity: A Framework for Assessment (2005)
- Building Public Trust: Ethics Measures in OECD Countries (2000)

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