Supporting the implementation of UNCAC preventive measures

Prevention of Corruption in the Public Sector

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Outline of presentation

- Corruption prevention measures: Supporting implementation

- Codes of conduct and conflict of interest:
  Guidelines and Toolkit (article 8 UNCAC)
  Comparative data across OECD countries

- Policy Advice
Integrity Framework for the Public Sector

• OECD approach to prevent corruption in the public sector: An implementation-focus

• Promote transparency, integrity and accountability

• Mitigate risks of waste and corruption
  – Regulation of the interactions between the public sector and other stakeholders
  – Promotion of stable regulations, sound procurement, merit-based HRM and accountable processes

• UNCAC Chapter II (Preventive Measures)
## Integrity instruments in government

<table>
<thead>
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<th>Integrity measures</th>
<th>Supporting public management processes</th>
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<td>• Codes of conduct</td>
<td>• Internal financial controls, including cash, asset and debt management</td>
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<td>• Conflict-of-interest rules</td>
<td>• Public procurement, including pre-tendering, tendering and contract</td>
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<td>• Financial disclosure requirements</td>
<td>management and payment</td>
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<td>• Gifts and gratuities policy</td>
<td>• Human resource management, including recruitment, evaluation</td>
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<td>• Post-employment measures</td>
<td>and career progression</td>
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<td>• Reporting channels and protection for whistle-blowing</td>
<td>• Performance management, including quality control processes and external</td>
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<td>• Targeted integrity training, accessible advice and</td>
<td>evaluations</td>
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<td>counselling</td>
<td>• Internal and external audit, including the monitoring and follow up of</td>
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<td>• Co-ordination of anti-corruption policies and</td>
<td>recommendations, etc.</td>
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<td>external integrity “watchdogs”</td>
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Article 8 UNCAC – Codes of conduct
OECD standards, tools and comparative data

➢ Public Sector:
  • Principles on Improving Ethical Conduct in the Public Sector
  • Guidelines & Toolkit for Managing Conflict of Interest in the Public Service
  • Principles, Checklist & Toolbox for Enhancing Integrity in Procurement
  • Integrity Management Framework
  • Assessment Framework
  • Principles for Transparency and Accountability in Lobbying
  • Best Practice Guidelines for Budget Transparency and International Budget Database

➢ Private Sector:
  • OECD Guidelines for Multinational Enterprises
  • FATF; Recommendation on bribery in export credits, etc.
  • Good Practices Guidance on Internal Controls, Ethics and Compliance
  • OECD Anti-Bribery Convention
  • Recommendation on Tax Deductibility of Bribes
Comparative data across OECD countries on disclosure

Level of disclosure of private interests by selected officials in selected risk areas (Government at a Glance, 2011)
Comparative data across OECD countries on e-procurement

Most common services offered by the single-entry procurement website (GAAG, 2011)

- Searching for tender announcements
- Downloading all documents related to tenders
- Statistics and database related to past procurement
- Two-way communication with citizens, bidders and general public
- Electronic submission of bids
- Tracking record of outcomes of contracts
- Online training materials for procurement staff
- Prequalification systems
- Electronic reverse auction
- E-catalogue
- Contract management plan templates
- Electronic payment schemes (e.g. e-invoicing)
Supporting the implementation of preventive measures:
- To inform the development of anti-corruption policies, prepare or assess their implementation
- To benchmark existing policies and practices against OECD and G20 countries

Examples of public sector integrity reviews:
- with Comptroller General in Brazil
- supporting implementation in Jordan, Palestine Authorities,
- Corruption Prevention Authority in Morocco
- procurement peer review of the United States, Morocco, Yemen, Iraq, sectoral reviews in Mexico
Example of Policy Advice: Brazil

• What did the review examine?

  • Promoting transparency and citizen engagement
  • Implementing risk-based internal control
  • Embedding high standards of conduct
  • Enhancing integrity in public procurement

Supports the implementation of preventive measures of UNCAC (articles 7, 8, 9, 10, 13)
For further information

Website:  http://www.oecd.org/gov/ethics

Selected publications:

• Public Governance Review : Brazil - Managing Risks for a Cleaner Public Service (2011)
• Public Governance Review– Mechanisms to collect information on corruption (2010)
• Government at a Glance (2011 and 2009)
• Post-Public Employment: Good Practices for Preventing Conflict of Interest (2010)
• Principles for Enhancing Integrity in Public Procurement (2009)
• Public Sector Integrity: A Framework for Assessment (2005)

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