# Role of Ethics Offices in Preventing Corruption and Promoting Integrity

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United Nations Secretariat
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## **Common Definition of Ethics**

Ethics is the set of criteria or principles that we use when selecting a course of action in the face of competing values.





# An ethical culture based in integrity helps prevent corruption

- √ Values are explicit
- ✓ Clear rules and expectations
- ✓ Speaking up is OK
- ✓ Train and communicate
- ✓ Respect for moral judgment
- ✓ Align rewards with right conduct
- ✓ Rules stay same in good and bad times
- ✓ Rules are enforced and consequences understood by all

### **Ethics Network of Multilateral Organizations**



#### From Most to Least Common EO Services

- Confidential ethics advice
- Outreach, communication, training
- 3. Research and policy development
- 4. Conflicts of interest prevention
- 5. Financial disclosure systems
- 6. Protection against Retaliation
- 7. Hotlines/Helplines/Whistleblowing systems
- 8. Intake on misconduct allegations
- 9. Investigations
- 10. Disciplinary action
- 11. Proponent of cultural and organizational change
- 12. Ethics and reputational risk assessment
- 13. Technical assistance to governments/others



#### **Ethics Offices offer an Ethical Framework**



# Four Practical Undertakings to Promote Integrity

- Transparency
  - Financial Disclosure Programmes
- Protection
  - Whistleblowing systems
  - Protection against Retaliation
- Confidentiality
  - Ethics advisory services
- Development
  - Investing for the future





# Financial Disclosure Programme Goals

- Manage risks and enhance public trust in the integrity and reputation of the UN
- Identify, mitigate and resolve personal conflicts of interest
  - → while protecting the confidentiality and privacy of personal information
    - However, the FDP is <u>not</u> designed to detect unjust enrichment

#### **FDP** is Honour Based

- Disclose in confidence
  - to an independent authority and <u>not</u> to management
- Identify potential conflicts of interest arising from a staff member's
  - Assets and liabilities
  - Personal interests and affiliations
  - Outside activities
- Provide confidential advice as how best to manage any potential personal conflicts of interest in the interests of the Organization

#### Integrity

Whistleblowing Systems are successful when they include:

- 1. Reporting channels
- 2. Cultural support
- 3. Investigative process based on fairness and respect
- 4. Protection against retaliation

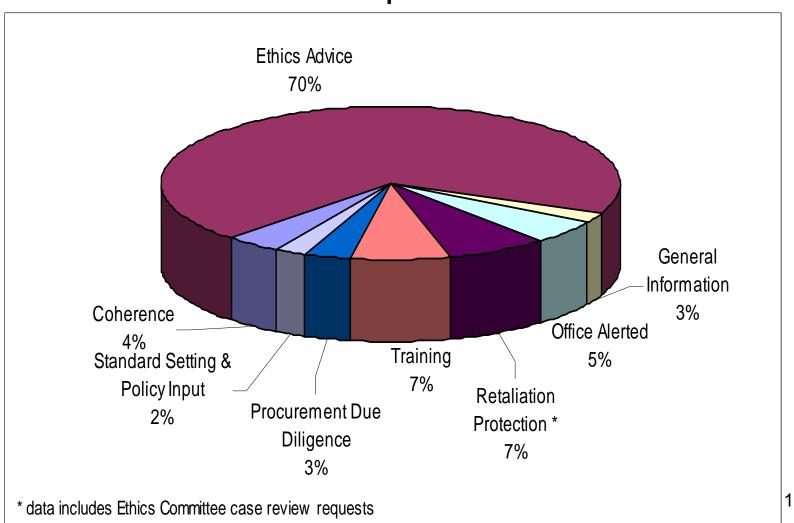






# Most Requested Service is Ethics Advice

**Requests for UNEO Services 2010-2011** 



**Investing in the Future--**Leadership Development **Ethical Leadership Ethical Action Ethical Decisions Ethical Awareness** 

# **EthicsOffice**



### **Contact the Ethics Office**

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Click, call or come visit. We are here to assist you.