



Conference of the States Parties to the United Nations Convention against Corruption

Distr.: General
25 May 2012

Original: English

Open-ended Intergovernmental Working Group on the Prevention of Corruption

Vienna, 27-29 August 2012

Provisional agenda and annotations

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 - (a) Opening of the meeting;
 - (b) Adoption of the agenda and organization of work.
2. Implementation of Conference resolution 4/3, entitled “Marrakech declaration on the prevention of corruption”, and of the recommendations made by the Working Group at its meeting in August 2011:
 - (a) Good practices and initiatives in the prevention of corruption:
 - (i) Thematic discussion on conflict of interests, reporting acts of corruption and asset declarations, particularly in the context of articles 7-9 of the United Nations Convention against Corruption;
 - (ii) Thematic discussion on the implementation of article 12 of the United Nations Convention against Corruption, including the use of public-private partnerships;
 - (b) Other recommendations.
3. Future priorities and establishment of a multi-year workplan.
4. Adoption of the report.

Annotations

1. Organizational matters

(a) Opening of the meeting

The meeting of the Open-ended Intergovernmental Working Group on the Prevention of Corruption will be opened on Monday, 27 August 2012, at 10 a.m.



(b) Adoption of the agenda and organization of work

The provisional agenda for the meeting was prepared in accordance with resolution 4/3, entitled “Marrakech declaration on the prevention of corruption”, adopted by the Conference of the States Parties to the United Nations Convention against Corruption at its fourth session, held in Marrakech, Morocco, from 24 to 28 October 2011, and in accordance with the conclusions and recommendations agreed upon by the Working Group at its meeting held in Vienna from 22 to 24 August 2011.

The proposed organization of work annexed to the present document was prepared in accordance with Conference resolution 4/3 and the recommendations agreed upon by the Working Group at its meeting in August 2011, to enable the Working Group to consider the agenda items within the time and according to the conference services available.

The resources available to the meeting will permit the holding of two plenary meetings per day with full interpretation in the official languages of the United Nations.

2. Implementation of Conference resolution 4/3, entitled “Marrakech declaration on the prevention of corruption”, and of the recommendations made by the Working Group at its meeting in August 2011

In its resolution 3/2, the Conference stressed the importance of implementing articles 5-14 of the United Nations Convention against Corruption to prevent and fight corruption. The Conference decided to establish an open-ended intergovernmental working group to advise and assist the Conference in the implementation of its mandate on the prevention of corruption and also decided that the working group should perform the following functions:

- (a) Assist the Conference in developing and accumulating knowledge in the area of prevention of corruption;
- (b) Facilitate the exchange of information and experience among States on preventive measures and practices;
- (c) Facilitate the collection, dissemination and promotion of best practices in the prevention of corruption;
- (d) Assist the Conference in encouraging cooperation among all stakeholders and sectors of society in order to prevent corruption.

In its resolution 4/3, the Conference decided that the Open-ended Intergovernmental Working Group on the Prevention of Corruption should continue its work and should hold at least two meetings prior to the fifth session of the Conference, to be held in 2013.

Also in its resolution 4/3, the Conference noted with appreciation that many States parties had shared information on their initiatives and good practices on the topics considered by the Working Group at its meeting in August 2011, and urged States parties to continue to share with the Secretariat and other States parties new as well as updated information on such initiatives and good practices.

In the same resolution, the Conference requested the Secretariat, subject to the availability of extrabudgetary resources, to continue to perform the functions of an international observatory gathering existing information on good practices in preventing corruption, thereby focusing in particular on systematizing and disseminating the information received from States parties and, at the request of the Working Group or the Conference and based on that information, to provide information on lessons learned and the adaptability of good practices, as well as related technical assistance activities, which could be offered to States parties upon request.

At its meeting in August 2011, the Working Group recommended that, at its future meetings, it should continue to focus on a manageable number of specific substantive topics relevant to the implementation of the articles in chapter II of the Convention, and reiterated that the availability of adequate expertise on the topics being addressed would benefit the discussions.

In addition, it was proposed that the Working Group, at its future meetings, could focus its attention on the following topics (reflected in items 2 (a)(i) and (ii) of the provisional agenda):

- (a) Implementation of article 12 of the Convention, including the use of public-private partnerships;
- (b) Conflicts of interest, reporting acts of corruption and asset declarations, particularly in the context of articles 7-9 of the Convention.

It was also decided that, in advance of each meeting of the Working Group, States parties should be invited to share their experiences in implementing the provisions under consideration, preferably by using the self-assessment checklist and including, where possible, successes, challenges, technical assistance needs, and lessons learned in implementation. Also in advance of each meeting, the Secretariat should prepare background papers for the topics under discussion, based on the input from States parties, in particular if they related to initiatives and good practices. The background papers should synthesize the different approaches taken by States parties in their different contexts, presenting the broad options and typologies of approach used and drawing attention to any common issues arising or lessons identified by States parties. Lastly, panel discussions should be held during the meetings of the Working Group, involving experts from countries who had provided written responses on the priority themes in question.

- (a) Good practices and initiatives in the prevention of corruption**
- (i) Thematic discussion on conflict of interests, reporting acts of corruption and asset declarations, particularly in the context of articles 7-9 of the United Nations Convention against Corruption**

The consideration of the sub-item on conflict of interests, reporting acts of corruption and asset declarations will be introduced by a panel discussion, including a presentation on the background papers for the topics under discussion, based on input from States parties.

(ii) Thematic discussion on the implementation of article 12 of the United Nations Convention against Corruption, including the use of public-private partnerships

The consideration of this sub-item in the implementation of article 12 of the Convention will be introduced by a panel discussion, including a presentation on the background papers for the topics under discussion, based on input from States parties.

(b) Other recommendations

A discussion will be held on the implementation of Conference resolution 4/3 and the recommendations made by the Working Group at its meeting in August 2011.

Documentation

Background paper prepared by the Secretariat on good practices and initiatives in the prevention of corruption: implementation of article 12 of the United Nations Convention against Corruption, including the use of public-private partnerships (CAC/COSP/WG.4/2012/2)

Background paper prepared by the Secretariat on good practices and initiatives in the prevention of corruption: conflict of interests, reporting acts of corruption and asset declarations, particularly in the context of articles 7-9 of the United Nations Convention against Corruption (CAC/COSP/WG.4/2012/3)

Report on the status of implementation of resolution 4/3, entitled "Marrakech declaration on the prevention of corruption" (CAC/COSP/WG.4/2012/4)

3. Future priorities and establishment of a multi-year workplan

A discussion will be held on further steps to be taken towards full implementation of Conference resolution 4/3, entitled "Marrakech declaration on the prevention of corruption", and on future possibilities for the Working Group in advising and assisting the Conference in the implementation of its mandates on the prevention of corruption.

Particularly in view of the forthcoming review of implementation of chapter II of the Convention during the second cycle of the Mechanism for the Review of Implementation of the United Nations Convention against Corruption, the importance of building legislative and institutional frameworks consistent with the requirements of this chapter should be considered.

In its resolution 4/3, the Conference decided that the future meetings of the Working Group would follow a multi-year workplan for the period up to 2015, when the second cycle of the Implementation Review Mechanism would begin.

For the fourth session of the Conference, the Secretariat had prepared a conference room paper containing a proposal for the workplan (CAC/COSP/2011/CRP.4). A discussion on that proposal has not yet taken place.

4. Adoption of the report

The Working Group is to adopt a report on its meeting, the draft of which will be prepared by the Secretariat.

Annex

Proposed organization of work

| <i>Date</i> | <i>Time</i> | <i>Item</i> | <i>Title or description</i> |
|----------------------|-----------------------------|-------------|---|
| Monday, 27 August | 10 a.m.-1 p.m. | 1 (a) | Opening of the meeting |
| | | 1 (b) | Adoption of the agenda and organization of work |
| | 3-6 p.m. | 2 (a)(i) | Good practices and initiatives in the prevention of corruption: thematic discussion on conflict of interests, reporting acts of corruption and asset declarations, particularly in the context of articles 7-9 of the Convention |
| | | 2 (a)(i) | Good practices and initiatives in the prevention of corruption: thematic discussion on conflict of interests, reporting acts of corruption and asset declarations, particularly in the context of articles 7-9 of the Convention (<i>continued</i>) |
| Tuesday, 28 August | 10 a.m.-1 p.m. and 3-6 p.m. | 2 (a)(ii) | Good practices and initiatives in the prevention of corruption: thematic discussion on the implementation of article 12 of the Convention, including the use of public-private partnerships |
| | | 2 (b) | Other recommendations |
| Wednesday, 29 August | 10 a.m.-1 p.m. | 3 | Future priorities and establishment of a multi-year workplan |
| | 3-6 p.m. | 4 | Adoption of the report |