



Conference of the States Parties to the United Nations Convention against Corruption

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Open-ended Intergovernmental Working Group on the Prevention of Corruption

Vienna, 8-10 September 2014

Annotated provisional agenda

Provisional agenda

1. Organizational matters:
 - (a) Opening of the meeting;
 - (b) Adoption of the agenda and organization of work.
2. Implementation of Conference resolution 5/4, entitled “Follow-up to the Marrakech declaration on the prevention of corruption”, and of the recommendations made by the Working Group at its meeting held in August 2013:
 - (a) Good practices and initiatives in the prevention of corruption:
 - (i) Thematic discussion on the mandates of anti-corruption body or bodies in respect of prevention (article 6 of the United Nations Convention against Corruption);
 - (ii) Thematic discussion on public sector legislative and administrative measures, including measures to enhance transparency in the funding of candidatures for elected public office and, where applicable, the funding of political parties (articles 5 and 7 of the United Nations Convention against Corruption);
 - (b) Other recommendations.
3. Future priorities and consideration of topics indicated for 2015 in the multi-year workplan.
4. Adoption of the report.



Annotations

1. Organizational matters

(a) Opening of the meeting

The meeting of the Open-ended Intergovernmental Working Group on the Prevention of Corruption will be opened on Monday, 8 September 2014, at 10 a.m.

(b) Adoption of the agenda and organization of work

The provisional agenda for the meeting was prepared in accordance with resolution 5/4, entitled “Follow-up to the Marrakech declaration on the prevention of corruption”, adopted by the Conference of the States Parties to the United Nations Convention against Corruption at its fifth session, held in Panama City from 25 to 29 November 2013, and in accordance with the conclusions and recommendations agreed upon by the Working Group at its meeting held in Vienna from 26 to 28 August 2013.

The proposed organization of work annexed to the present document was prepared in accordance with Conference resolution 5/4 and the recommendations agreed upon by the Working Group at its meeting held in August 2013, to enable the Working Group to consider the agenda items within the time and according to the conference services available.

The resources available to the meeting will permit the holding of two plenary meetings per day with full interpretation in the official languages of the United Nations.

2. Implementation of Conference resolution 5/4, entitled “Follow-up to the Marrakech declaration on the prevention of corruption”, and of the recommendations made by the Working Group at its meeting held in August 2013

In its resolution 3/2, the Conference stressed the importance of implementing articles 5 to 14 of the Convention against Corruption to prevent and fight corruption. Also in that resolution, the Conference decided to establish an interim open-ended intergovernmental working group to advise and assist the Conference in the implementation of its mandate on the prevention of corruption and also decided that the working group should perform the following functions:

(a) Assist the Conference in developing and accumulating knowledge in the area of prevention of corruption;

(b) Facilitate the exchange of information and experience among States on preventive measures and practices;

(c) Facilitate the collection, dissemination and promotion of best practices in the prevention of corruption;

(d) Assist the Conference in encouraging cooperation among all stakeholders and sectors of society in order to prevent corruption.

In its resolution 5/4, the Conference decided that the Open-ended Intergovernmental Working Group on the Prevention of Corruption should continue its work to advise and assist the Conference in the implementation of its mandate on the prevention of

corruption and should hold at least two meetings prior to the sixth session of the Conference, to be held in 2015, and, taking into account the workplan for the forthcoming meetings of the Working Group, encouraged the Group to seek inputs, as appropriate, from the private sector, in accordance with the Convention and its rules of procedure.

Also in its resolution 5/4, the Conference noted with appreciation the achievements of the Working Group in facilitating the sharing between States parties of information on their initiatives and good practices on the topics considered at the meetings of the Group held in 2012 and 2013, and encouraged States parties to continue to share with the Secretariat new as well as updated information on such initiatives and good practices.

In the same resolution, the Conference requested the Secretariat, subject to the availability of extrabudgetary resources, to continue to perform the functions of an international observatory and, at the request of the Working Group or the Conference, to provide information on lessons learned and the adaptability of good practices, as well as related technical assistance activities, which could be offered to States parties upon request.

At its meeting held in August 2013, the Working Group decided that its meeting to be held in Vienna from 8 to 10 September 2014 would focus its attention on the following topics:

(a) Mandates of anti-corruption body or bodies in respect of prevention (article 6)

(b) Public sector legislative and administrative measures, including measures to enhance transparency in the funding of candidatures for elected public office and, where applicable, the funding of political parties (articles 5 and 7)

At its second meeting, held in Vienna from 22 to 24 August 2011, the Working Group recommended that in advance of each future meeting of the Working Group States parties should be invited to share their experiences of implementing the provisions under consideration, preferably by using the self-assessment checklist and including, where possible, successes, challenges, technical assistance needs and lessons learned in implementation. The Working Group requested the Secretariat to prepare background papers synthesizing that information and decided that panel discussions should be held during its meetings, involving experts from countries who had provided written responses on the priority themes under consideration.

(a) Good practices and initiatives in the prevention of corruption

(i) *Thematic discussion on the mandates of anti-corruption body or bodies in respect of prevention (article 6 of the United Nations Convention against Corruption)*

The consideration of the topic on anti-corruption body or bodies in respect of prevention of corruption will be introduced by a panel discussion, including a presentation on the background paper produced by the Secretariat on that topic based on input from States parties and the private sector in advance of the meeting.

- (ii) *Thematic discussion on public sector legislative and administrative measures, including measures to enhance transparency in the funding of candidatures for elected public office and, where applicable, the funding of political parties (articles 5 and 7 of the United Nations Convention against Corruption)*

The consideration of the topic on public sector legislative and administrative measures, including measures to enhance transparency in the funding of candidatures for elected public office and, where applicable, the funding of political parties will be introduced by a panel discussion, including a presentation on the background paper produced by the Secretariat on that topic based on input from States parties and the private sector in advance of the meeting.

(b) Other recommendations

A discussion will be held on the implementation of Conference resolution 5/4 and the recommendations made by the Working Group at its meeting held in August 2013. States parties will also discuss the steps that need to be taken towards full implementation of Conference resolution 5/4.

Documentation

Background paper prepared by the Secretariat on good practices and initiatives in the prevention of corruption: mandates of anti-corruption body or bodies in respect of prevention (article 6 of the United Nations Convention against Corruption) (CAC/COSP/WG.4/2014/2)

Background paper prepared by the Secretariat on good practices and initiatives in the prevention of corruption: public sector legislative and administrative measures, including measures to enhance transparency in the funding of candidatures for elected public office and, where applicable, the funding of political parties (articles 5 and 7 of the United Nations Convention against Corruption) (CAC/COSP/WG.4/2014/3)

Report on the status of implementation of Conference resolution 5/4, entitled "Follow-up to the Marrakech declaration on the prevention of corruption" (CAC/COSP/WG.4/2014/4)

3. Future priorities and consideration of topics indicated for 2015 in the multi-year workplan

A discussion will be held on future possibilities for the Working Group in advising and assisting the Conference in the implementation of its mandates on the prevention of corruption.

Particularly in view of the forthcoming review of implementation of chapter II of the Convention during the second cycle of the Mechanism for the Review of Implementation of the United Nations Convention against Corruption, the importance of implementing legislative and institutional frameworks consistent with the requirements of that chapter, and how such implementation can be effectively reviewed, should be considered.

In its resolution 5/4, the Conference decided that the Working Group should continue to follow the multi-year workplan for the period up to 2015 and the start of the second cycle of the Implementation Review Mechanism.

At its meeting held in August 2013, the Working Group adopted the topics proposed in the workplan for 2015, subject to reconsideration at subsequent meetings of the Group and sessions of the Conference, as set out below:

2015

Measures to prevent money-laundering (article 14)

Integrity in public procurement processes and transparency and accountability in the management of public finances (articles 9 and 10)

4. Adoption of the report

The Working Group is to adopt a report on its meeting, the draft of which will be prepared by the Secretariat.

Annex

Proposed organization of work

<i>Date</i>	<i>Time</i>	<i>Item</i>	<i>Title or description</i>
Monday, 8 September	10 a.m.-1 p.m.	1 (a)	Opening of the meeting
		1 (b)	Adoption of the agenda and organization of work
	3-6 p.m.	2 (a)(i)	Good practices and initiatives in the prevention of corruption: thematic discussion on the mandates of anti-corruption body or bodies in respect of prevention (article 6)
		2 (a)(i)	Good practices and initiatives in the prevention of corruption: thematic discussion on the mandates of anti-corruption body or bodies in respect of prevention (article 6) (<i>continued</i>)
Tuesday, 9 September	10 a.m.-1 p.m. and 3-6 p.m.	2 (a)(ii)	Good practices and initiatives in the prevention of corruption: thematic discussion on public sector legislative and administrative measures, including measures to enhance transparency in the funding of candidatures for elected public office and, where applicable, the funding of political parties (articles 5 and 7)
		2 (b)	Other recommendations
Wednesday, 10 September	10 a.m.-1 p.m.	3	Future priorities and consideration of topics indicated for 2015 in the multi-year workplan
	3-6 p.m.	4	Adoption of the report