

**NIGERIA'S IMPLEMENTATION OF CHAPTER 11 OF THE UNITED NATIONS  
CONVENTION AGAINST CORRUPTION (UNCAC)**

**Guidance Note for the provision of Information by States Parties for the  
Fifth intersessional meeting of the Working Group on Prevention 31<sup>st</sup> August  
- 2<sup>nd</sup> of September 2015.**

**BACKGROUND**

Nigeria signed the UNCAC on the 9<sup>th</sup> of December 2003 and ratified on the 24<sup>th</sup> of October 2004. Prior to the coming into force of the UNCAC, Nigeria has through both legislative and administrative measures taken steps to implement many of the initiatives contained in the UNCAC and other regional instruments with a view to ensuring transparency and accountability and strengthening the National Integrity System.

**ARTICLE 9 - INTEGRITY IN PUBLIC PROCUREMENT**

The Nigerian Public Procurement Regimen is implemented by a dedicated Agency-the Bureau of Public Procurement (BPP) which implements its enabling Law, The Public Procurement Act (PPA) 2007. The Law and processes of the BPP provide for promotion of transparency and competitiveness; prior determination of criteria relating to opportunities for procurement; and access of citizens to unclassified records of procurement. The BPP in order to enhance transparency in the integrity of the process has widely circulated its enabling laws and other relevant documents including translation into local languages. It has also issued Standard Bidding Documents and a circular to ensure its implementation.

The Bureau monitors the spending by MDAs of monies appropriated and released but could not be spent because the financial year has come to an end to avoid diversion of funds which often run into billions of Naira. The monitoring activity of the Bureau prevents the falsification of accounting books, financial statements and other documents related to public expenditure and revenue.

Some Ministries, Agencies and Department (MDAs) invite the Bureau to supervise bidding processes. This enhances the integrity of the process.

Currently, the Bureau is prosecuting some companies and individuals for offences arising from bidding processes. These include use of fake tax receipts in the bidding processes, conferring of unfair advantages, and other violations of the Public Procurement Act.

The Bureau also has understanding with the Federal Inland Revenue Services (FIRS) to recover taxes from companies and individuals who evade taxes and prosecutes tax related offences on behalf of FIRS.

**Further details of BPP experience in implementing public procurement regimen is detailed in Annexure 1**

**The Code of Conduct Bureau (CCB)** plays a complimentary role in the procurement process due to its mandate to establish and maintain a high level of morality in the conduct of Government business and to ensure that the actions and behavior of Public Officers conform to the highest standards of Public morality and accountability.

CCB through its Strategic Plan provides a roadmap that seeks to develop Agency-specific Code of Conduct. The activity also covers Code of Ethics and Integrity standards in the processes adopted by the Agencies with regard to Transparency and Accountability in Management of Public Finance. With special reference to high impact Agencies, CCB has classified them as high risk Organizations and focuses most of its attention on these organizations.

The already developed Agency-specific concept notes are being refined for trial run with identified organizations.

## **ARTICLE 10- TRANSPARENCY AND ACCOUNTABILITY IN THE MANAGEMENT OF PUBLIC FINANCES**

The Freedom of Information (FOI) Law was enacted in 2011 and an implementation framework established in the office of the Attorney-General of the Federation and Minister of Justice. The Implementation guideline requires Ministries, Departments and Agencies (MDAs) to establish access to information desks and designate officers to facilitate public access to information. Public officers are also required to undergo mandatory training on the FOI law. Using this medium, citizens can access relevant information in addition to proactive disclosures by MDAs through publications and public forums.

Further, The Fiscal Responsibility Act 2007 requires the Federal Government to ensure that its fiscal and financial affairs are conducted in a transparent manner and accordingly ensure full and timely disclosures and wide publication of all transactions and decisions involving public revenues and expenditures.

## **ARTICLE 14- MEASURES TO PREVENT MONEY LAUNDERING IN NIGERIA PURSUANT TO ARTICLE 14 UNCAC**

Between 2001 and 2006 Nigeria was on the FATF's list of countries and territories that were Non-Cooperative in the international community's efforts to combat Money Laundering and Financing of Terrorism. In June 2006, Nigeria was delisted from the list of Non-Cooperative Countries and Territories [NCCTs] with the condition that the country implements a comprehensive AML/CFT regime. Nigeria was considered, among other

indicators, to possess plausible structures to deal with existing deficiencies in its AML/CFT regime in collaboration with the FATF Styled-Regional Body (FSRBs) in the region.

Having been removed from the NCCTs, Nigeria still needed to enrich its National Strategic Plans with a more practical action Plan that would meet its AML/CFT existing deficiencies. Consequently, the Federal Government of Nigeria commenced series of strategic initiatives culminating in its present AML/CFT successes. Compliance with UNCAC initiatives was part of Nigeria's focus in the implementation of its National Strategic Initiatives.

Nigeria's AML/CFT **national strategic initiative** is three pronged: prevention, detection and prosecution.

### **Summary of Legal and Policy Framework for AML/CFT in Nigeria**

1. **Independent Corrupt Practices Commission.** Established by the Independent Corrupt Practices and Other Related Offences Act 2000 (ICPC Act). Focuses on corrupt activities and prevention in the public sector.
2. **The Economic and Financial Crimes Commission (EFCC)** established by the Economic and Financial Crimes (Establishment) Act, 2004. Focuses on financial and economic crimes, with extensive powers to implement and enforce existing penal laws on related economic crime offences. Existing laws enforceable by the EFCC include;
  - i. The Money Laundering Act
  - ii. The Advance Fee Fraud and Other Related Offences Act

- iii. The Failed Banks (Recovery of Debt and Financial Malpractices in Banks) Act, as amended;
  - iv. The Banks and Other Financial Institutions Act 1991, as amended
  - v. Miscellaneous Offences Act;
  - vi. The Criminal Code
  - vii. Penal Code.
  - viii. Any other law or regulation relating to economic and financial crimes.
3. **Nigeria Financial Intelligence Unit (NFIU)** established pursuant to Section 1(2) (c) of the EFCC (Establishment) Act, 2004. The NFIU is a member of the EGMONT Group of Financial Intelligence Units (FIUs).
4. **The Nigeria Extractive Industries Transparency Initiative (NEITI)** established by the NEITI Act 2007 created the National Stakeholder Working Group (NSWG).
5. **The Technical Unit on Governance and Anti-Corruption Reforms (TUGAR)**. Primary function is to generate and maintain empirical data and a veritable resource databank for anti-corruption and good governance in Nigeria.
6. **Special Control Unit against Money Laundering (SCUML)** regulates operations of Designated Non-Financial Institutions and Designated Non-Financial Businesses and Professions (DNFIs/DNF�Ps).

7. As part of Nigeria's national strategic initiatives to combat Money Laundering/Terrorist Financing, ML/TF, the country initiated the Inter-Agency Task Team on Anti- Corruption (IATT), a working group of anti-graft agencies. It is saddled with the responsibility of improving the coordination and partnership amongst participating agencies in the areas of investigation and prosecution and also serves as a platform for sharing opinions, ideas, information and SWOT analysis of the current strategies. The agencies/Institutions working on anti-money laundering include:

- i. Economic and Financial Crimes Commission (EFCC)
- ii. Independent Corrupt Practices Commission (ICPC)
- iii. Nigerian Financial Intelligence Unit (NFIU)
- iv. Special Control Unit Against Money Laundering (SCUML)
- v. Federal Ministry of Justice
- vi. The Nigeria Police Force (NPF)
- vii. Department of State Security Service (DSS)
- viii. The Nigeria Customs Service (NCS)
- ix. National Drug Law Enforcement Agency (NDLEA)
- x. National Agency for the Prohibition of Trafficking in Persons (NAPTIP)
- xi. Federal Inland Revenue Service (FIRS)
- xii. The Central Bank of Nigeria (CBN)
- xiii. Securities and Exchange Commission (SEC)
- xiv. Code of Conduct Bureau (CCB)
- xv. Code of Conduct tribunal (CCT)
- xvi. Bureau of Public Procurement (BPP)

- xvii. National Insurance Commission (NAICOM)
- xviii. Corporate Affairs Commission (CAC)
- xix. Public Complaints Commission(PCC)
- xx. Technical Unit on Governance and Anti-Corruption Reforms (TUGAR)

8. Further to the Federal Government of Nigeria's investment in building transparent institutions and promotion of active interagency coordination in the implementation of AML/CFT, the Inter-Ministerial Committee (IMC) on AML/CFT was created and inaugurated in 2008. The IMC is part of Nigeria's national initiative to ingrain country-specific strategies to monitor and evaluate anti-corruption, AML/CFT regime and other governance initiatives, and to implement remedial actions when needed. The Committee comprises the Federal Ministries of Justice, Finance, Interior and selected Ministries, Departments and Agencies (MDAs) which are also members of the IATT. The underlying principle and goals of the AML/CFT IMC include chiefly to ensure an effective national AML/CFT regime. It carries out national self- evaluation of existing framework and makes recommendations to appropriate legislative, policy and legal authorities for action.

9. Presidential Inter-Agency Committee to the Financial Action Task Force (FATF), the TUGAR, IATT and the IMC on AML/CFT are central players in the review of performance activities of AML/CFT legal and institutional frame work vis-a-vis Nigeria's compliance with international standards.

10. Between 2011 and 2015, there have been **significant changes** in the legal and policy framework of the AML/CFT regime in Nigeria:
- i. The Money Laundering Prohibition Act, 2011 was amended in 2012 to *inter alia*, expand the scope of Money Laundering offences and enhance customer due diligence measures, make comprehensive provisions to prohibit the financing of terrorism, the laundering of the proceeds of crime, or other illegal act; and provide appropriate penalties as well as expand the scope of supervisory and regulatory authorities to effectively address the challenges faced in the implementation of the anti-money laundering regime in Nigeria.
  - ii. Examples of the Expanded Scope Include:
    - (a) S.2 (5) – forfeiture of the entire undeclared funds or negotiable instrument or imprisonment of not less than 2 years or to both instead of 25% of undeclared fund in the principal Act.
    - (b) S.3 – Customer Due Diligence (CDD) and expanded scope of Customer Identification by use of independent data, identification of beneficial owner by DNFI.
    - (c) S.6 - Suspicious Transactions Reports (STRs) to be made immediately after transaction and not within 7 days; NFIU may place Stop Order on a suspicious account or transaction for a period of 72 hours; failure to carry out CDD and or report STRs attracts a fine of ₦1, 000,000

(5026.3900 US Dollars) for each day during which the offence continues.

- (d) S.9 - places responsibility on FIs and DNFI to establish Internal Procedures, Policies and Controls to combat the laundering of the proceeds of a crime or other illegal act; failure to comply may attract ₦1, 000,000 for capital brokerage and other financial institutions and ₦5, 000,000 in the case of a Bank.
- (e) S.10 - Currency Transaction Reports (CTRs) to be made within seven days (instead of between 7 and 30 days) to the NFIU
- (f) S.11 - prohibition of numbered or anonymous accounts, and opening or maintenance of accounts in fictitious names
- (g) S.12 - criminalizes conspiracy by directors/ employees of Financial Institutions, Designated Non-Financial Institutions, Financial Intelligence Unit, Regulators, and LEAs, etc.
- (h) S.15 - prohibits Money Laundering and defines exhaustively what constitutes ML in Nigeria. The mental element of the offence could be inferred from objective factual circumstances. (See also Section 19 (5) of the EFCC Act which enjoins a legal presumption of crime where a defendant is in possession of pecuniary resources or property for which he/she cannot satisfactorily account and which is disproportionate to his known sources of income). Section 15 (7) extends ML offence in Nigeria to

acts where the proceeds of crime are derived from a conduct that occurred in a country where that act is not an offence.

- (i) S.16 - Penalizes Tipping off. Section 16 (1) & (2) and S.19 (1) of the MLP Act imposes criminal liabilities on directors and other officials of a corporate entity.
- (j) S.17 - criminalizes ML on behalf of another person to be proved only by showing that the Defendant had knowledge of another person's proceeds of a criminal conduct.
- (k) Section 18 of the MLP Act criminalizes inchoate offences to money laundering such as conspiracy, attempt, aiding and abetting, facilitating and counseling the commission of ML.

- iii. Terrorism Prevention Act, 2013
- iv. The Fiscal Responsibility Act (FRA) 2007 and the Public Procurement Act
- v. The Evidence Act 2011. The enactment of the Evidence Act, 2011 has attempted to largely correct some of the difficulties which the admissibility of electronically generated evidence used to encounter in Nigerian Courts.
- vi. AML/CFT Regulations:
  - a. National Insurance Commission (Anti-Money Laundering and Countering the Financing of Terrorism) Regulations, 2013

- b. Federal Ministry of Industry, Trade and Investment (Designation of Non-Financial Institutions and Other Related Matters) Regulations, 2013
- c. Terrorism Prevention (Freezing of International Terrorist Funds and Other Related Measures) Regulations, 2013. These Regulations created the Nigeria Sanctions Committee (NSC) comprising eight members. It is chaired by the Attorney General of the Federation and Minister of Justice and the Secretary is the incumbent Director, NFIU.
- d. Securities and Exchange Commission (Capital Market Operators Anti-Money laundering and Combating the Financing of Terrorism) Regulations 2013
- e. Central bank of Nigeria (Anti-Money laundering and Combating the Financing of Terrorism in Banks and Other Financial Institutions in Nigeria) Regulations 2013

### **NEW LEGISLATIONS THAT WILL HELP AML/CFT MEASURES**

On the 3<sup>rd</sup> of June 2015, the 7<sup>th</sup> Assembly of the Nigeria National Assembly passed the last batches of their Bills before the end of that assembly. The Bills are pending presidential assent. Some of the Bills which relate to adding impetus to existing AML/CFT laws are:

- 1) Office of the Nigerian Financial Ombudsman Bill;
- 2) National Convicts and Criminal Records (Registry) Bill, 2015;

- 3) Electronic Transactions Bill, 2015;
- 4) Nigerian International Financial Centre (Establishment, etc.) Bill,
- 5) Investment and Securities (Amendment) Bill
- 6) Whistle-blower Protection Bill,

### **EFCC Strategy Document 2013– 2018**

A. In order to make the Federal Government of Nigeria's initiatives more forceful in the implementation of AML/CFT measures, the EFCC has put in place a Statement of Strategy **2013 - 2018** with the support of Justice for All/Department for International development (J4A/DFID). It has five principal strategic objectives for the period in question:

- i. Increased citizens' engagement in the fight against economic and financial crimes
- ii. Improved prevention of economic and financial crimes.
- iii. Improved intelligence driven investigation, prosecution and asset recovery.
- iv. Improved law enforcement coordination and collaboration with relevant stakeholders.
- v. Improved organizational structure and human capacity.

**B. The Strategy Document of the Network of National Anti-Corruption Institutions in West Africa (NACIWA) 2015 – 2017.** This is intended to *inter alia* designate and strengthen the Economic and Financial Crimes Commission (EFCC) Academy in Abuja, Nigeria, to serve as a regional center of excellence for capacity building and knowledge sharing for anti-corruption authorities within the region. The action plan includes:

- i. Signing a legal agreement between NACIWA and EFCC for the establishment of a NACIWA anti-corruption academy at the EFCC Academy.
- ii. Making a pool of experts available through an advisory board to advice and support the EFCC academy in the development of its curriculum based on an assessment and analysis of identified capacity needs, constraints and gaps.
- iii. Working with the EFCC academy, through the advisory board, to have in place required academic expertise to oversee and manage the NACIWA anti-corruption academy. This includes bilingual (and francophone) academics and staff to implement the capacity building programmes and trainings to be delivered at the academy.

## **EFCC/NFIU/SCUML Anti-Money Laundering Achievements (2012—2015)**

- A. **Enhanced professionalism** through capacity building in partnership with international organizations and experts. In 2012 the EFCC prosecuted and secured the conviction of 87 persons for various offences of Advance Fee Fraud, ML, Bank Fraud, Illegal Bunkering and other economic crimes; 117 were convicted in 2013 and 126 in 2014 aggregating an 88% increase between 2011 and 2014.
- B. **Drastic reduction of cash smuggling:** This was enabled through the implementation efforts of the EFCC applying the stringent measures in the amended ML Act. The new measure accounted for the drop in currency outflow from Nigeria in 2013, as currency declaration dropped from Nine Billion, Nine Hundred and Twenty-Six Million, Seven hundred and Thirty Nine Thousand Six Hundred and Forty-Eight Dollars (\$9, 926, 739, 648.00) to One Billion, Three Hundred and Twenty-Four Million, Forty-Five Thousand, Six Hundred and Seventeen Dollars (\$1,324,045,617.00) in 2013. There was a further drop of 91.8 % in 2014 as currency outflows recorded an all-time low of \$807,585,061.70.
- C. **Asset Recovery:** The sums of ₦65,320,669,350.35 (Sixty-Five Billion, Three Hundred and Twenty Million, Six Hundred and Sixty-Nine Thousand, Three Hundred and Fifty Naira, Thirty Five Kobo); \$245,952,030.13 (Two Hundred and Forty-Five Million, Nine Hundred and Fifty-two Thousand, Thirty Dollars and Thirteen Cents); £693,399.00 (Six Hundred and Ninety-Three Thousand, Three Hundred and ninety-Nine Pound Sterling; and €62,600.00 (Sixty-Two

Thousand, Six Hundred Euro) were recovered from persons convicted of economic crimes, within the period.

D. In its approach to prevention of Money Laundering within the DNFBPs in Nigeria, **Special Control Unit against Money Laundering (SCUML)** has developed a multi-dimensional strategic focus which includes; Sensitization, Public enlightenment/Training, Engagement with Self-Regulatory Organization (SROs) and Collaboration with LEAs and other relevant Government agencies.

i. **Sensitization, Public Enlightenment and Training**

The novelty of Anti-Money Laundering supervision within the Non-Financial sector in Nigeria necessitates an extensive and carefully targeted awareness creation and public enlightenment programme. To achieve this, SCUML has continuously developed and conducted sensitization seminars at sector, state and regional levels. Sensitization and training exercises have been conducted for the designated non – financial sectors such as the Non-Profit Organizations, Hotel and Casino, Real Estate, Car Dealership and Professionals. At regional levels, SCUML has been holding sensitization seminars in all the six geo-political zones of Nigeria. In a similar vein SCUML has also posted advertisement in newspapers, television and on social media through the Economic and Financial Crimes Commission (EFCC). SCUML offices are located in all the six geo-political zones, sensitization sessions are held every month of the year for newly registered DNFBs.

ii. **Engagement with Self-Regulatory Organization (SROs) and Business Associations**

To achieve a balanced and comprehensive supervision of the DNFI sector, SCUML has been engaging and collaborating with relevant Self-Regulatory Organizations (SROs) such as the Nigerian Bar Association, Institute of Chartered Accountants of Nigeria, Association of National Accountants of Nigeria, Chartered Institute of Taxation of Nigeria, Hotel Owners Association of Nigeria, NGO networks and coalitions etc., The engagement with SROs has been mainly focused on training and compliance education. SCUML in the recent past established the National Advisory Council DNFI; which comprise representatives of all relevant professional bodies in Nigeria.

iii. **Engagement and Collaboration with Relevant Government Agencies**

To achieve its mandate within the overall Anti-Money Laundering and Anti-Corruption agenda of Nigeria, SCUML has been collaborating with relevant government agencies such as the Economic and Financial Crimes Commission (EFCC), Central Bank of Nigeria (CBN), Federal Inland Revenue Service (FIRS), Code of Conduct Bureau, etc. A particular success story of this collaboration is the CBN circular to all commercial banks mandating DNFI to register with SCUML as precondition for opening bank accounts and as part of customers due diligence. SCUML is currently negotiating

signing of **memoranda of understanding** (MOUs) with several other government agencies, which will cover information sharing, sensitization and public enlightenment, compliance and enforcement.

iv. **Designation/classification of Additional Businesses and Professions as DNFBPs**

For purpose of AML regulations, the designation of a DNFI is guided by National/Industry AML Risk Assessment Reports revealing that such businesses and professions are vulnerable to money laundering risks. Consequent upon the conduct of the risk and vulnerability assessment of Nigerian non-financial sector and reliable AML/CFT typology studies, additional sectors have been added such as, Non-Profit organizations, construction companies, mechanized farming, etc.

v. **Maintenance of a Database on DNFI's Profile and their Financial Transactions**

SCUML maintains a database of DNFI's Profile and reportable transactions. This database has helped to provide technical and operational support to criminal investigations and serves as a viable input into developing strategic policy options in the prevention of money laundering.

vi. **Customer/client Identification and Due Diligence**

SCUML compliance enforcement strategy has placed emphasis on proper and adequate internal policies and

procedures for conducting customer identification and due diligence. As a measure to prevent money laundering, DNFI's are mandated to obtain and verify the identity of clients, including where necessary, information on their business, profession and source of funds and wealth.

vii. **Record-Keeping Requirements**

Maintaining records of customers' identity and transactions for a minimum of five years is an important requirement for the prevention and detection of money laundering.

viii. **Conduct AML supervision on risk based approach**

This includes on-site and off-site inspection/examination of DNFI's to ascertain level of AML compliance. Where gaps are identified from onsite or offsite inspection, measures are taken to remedy the gaps and such remedies are used to guide policy development before the gaps becomes a systemic issue.

**E. Civil Society Collaboration Programmes**

The EFCC has been collaborating with the civil society community to engage the populace in the fight against graft. In September 2014 EFCC signed MoUs with a coalition of Civil Society Organizations (CSOs) to create the Anti-corruption and Economic Crimes Support Network (ACE Network) as a new platform to foster citizen's participation in the fight against corruption and money laundering.

Part of the Civil Society Engagement include: Mobilizing a youth vanguard Against Cybercrime and Fraud; publication and launch of the Faith Based Anti- Corruption Manuals involving the two major religions in Nigeria, Islam and Christianity in 2014.

**F. Carrying out anti -corruption awareness programmes in other organizations and offices** including law enforcement agencies, banks, the media and educational institutions.

### **G. Extraditions**

In collaboration with foreign partners, the EFCC ensures that criminals cannot commit offences outside jurisdiction and escape to hide in Nigeria:

- I. One Emmanuel Ekhator who defrauded a top American Lawyer of over Thirty Million US Dollars (\$30,000,000) was successfully extradited on the order of a Federal High Court.
- II. Rasheed Abayomi Mustapha who took refuge in Nigeria after defrauding an American company of Eight Hundred Thousand US Dollars (\$800,000) was extradited on the order of a Federal High Court. There are other pending cases of extradition on which the EFCC is working with the office of the Attorney General of the Federation and Minister of Justice to effect.

## Challenges

In spite of successes recorded by the country generally, two recent judgments given by the Federal High Court and the Supreme Court of Nigeria prove that there is still much work to do.

In the judgment of the Federal High Court on the 17<sup>th</sup> day of December, 2014 in a civil case between **REGISTERED TRUSTEES OF NIGERIAN BAR ASSOCIATION Vs ATTORNEY GENERAL AND CENTRAL BANK OF NIGERIA**. The substance of the judgment is the Court order declaring Section 5 of the MLPA, 2011 as amended, invalid, null and void insofar as it purports to apply to legal practitioners in Nigeria; and Section 25 of the MLPA held not to apply to legal Practitioners. The anti-corruption agencies are still studying and consulting on the impact of the judgment and the relevant Sections.

The Second Judgment was by the Supreme Court in January 2015 in which the apex court set free **Tahal Roda** a Lebanese sentenced to life imprisonment by a Federal High Court on terrorism charges.

**The Independent Corrupt Practices and Other Related Offences Commission - ICPC** plays a complementary role in the enforcement and prevention of Money Laundering (ML) by virtue of section 6(a) of The Corrupt Practices Act -the enabling law. Presently, the Commission is prosecuting five cases of ML in the Federal High Court.

In 2009, the Commission set up Financial Intelligence Unit (FIU) to enable it deal effectively with ML issues arising from cases being investigated. FIU collaborates and shares information directly with the Nigerian Financial Intelligence Unit (NFIU) domiciled in the EFCC.

**The Code of Conduct Bureau (CCB)** also plays a role in the prevention of Money Laundering. The 3rd Code of Conduct for Public Officers states as

follows:- “The President, Vice -President, Governor, Deputy Governor, Ministers of the Government of the Federation and Commissioners of the Governments of the States, members of the National Assembly and of the Houses of Assembly of the States, and such other public officers or persons as the National Assembly may by law prescribe shall not maintain or operate a bank account in any country outside Nigeria.”

CCB has evolved a comprehensive anti- money laundering strategy through a process of working in collaboration with the Nigerian Financial Intelligence Unit (NFIU).

## **ANNEXTURE 1**

### **Bureau of Public Procurement’s (BPP) experience of implementing Article 9 (Public procurement) of United Nations Convention Against Corruption (UNCAC)**

**Article 9(1): Each State Party shall, in accordance with the fundamental principles of its legal system, take the necessary steps to establish appropriate systems of procurement, based on transparency, competition and objective criteria in decision-making, that are effective, inter alia, in preventing corruption. Such systems, which may take into account appropriate threshold values in their application, shall address, inter alia:**

<b>UNCAC Provisions</b>		<b>Description</b>	<b>Successes</b>
(a) The public distribution of information relating to procurement procedures and contracts, including information on invitations to tender and relevant or pertinent	Initiatives	1. Publication and wide circulation of the Public Procurement Act (PPA), 2007	<ul style="list-style-type: none"> <li>Increased awareness on public procurement</li> </ul>
		2. Translation of the Public Procurement Act, 2007 into three major Nigerian languages – Hausa, Igbo and Yoruba	<ul style="list-style-type: none"> <li>Increased awareness of public procurement at the grass-root level</li> </ul>

<b>UNCAC Provisions</b>		<b>Description</b>	<b>Successes</b>
<p>information on the award of contracts, allowing potential tenderers sufficient time to prepare and submit their tenders;</p>		<p>3. Development of:</p> <ul style="list-style-type: none"> <li>• Procurement Procedures Manual;</li> <li>• Procurement Regulations for Goods, Works; and</li> <li>• Procurement Regulations for Services</li> </ul>	<ul style="list-style-type: none"> <li>• Enhanced understanding of public procurement practice</li> </ul>
		<p>4. Development of Standard Bidding Documents for Goods, Works and Services</p>	<ul style="list-style-type: none"> <li>• World Bank approved the adoption of Nigeria's Revised National Standard Bidding Documents and Request for Proposals to be used for National Competitive Bidding for procurement of goods, works and consultancy services for all World Bank funded projects in the country</li> </ul>
		<p>5. Establishment of an Internet Portal for all Public Procurement Information</p>	<ul style="list-style-type: none"> <li>• Provision of 24 hours x 365 days access to procurement information anywhere in the world</li> <li>• Information access to all stakeholders</li> </ul>
		<p>6. Weekly publication of FEC Approved Contracts on the BPP Website</p>	
		<p>7. Yearly serialization of all federal contract awards</p>	
		<p>8. Development of the following procurement document as supplemental to PPA, 2007:</p>	<ul style="list-style-type: none"> <li>• Enhanced understanding of public procurement practice</li> </ul>
		<p>9. Public Procurement Journal, a quarterly publication with a print-run of 2,000 copies</p>	<p>Creates a platform for sharing procurement information and scholarly articles with stakeholders</p>

UNCAC Provisions		Description	Successes																								
		10. BPP initiated Federal Tenders Journal for publication of procurement opportunities	<ul style="list-style-type: none"> <li>• Known source of procurement opportunity with wide geographical spread</li> <li>• BPP is on the Editorial Board</li> </ul>																								
		11. BPP develops and broadcast jingles on electronic media (radio and television)	<ul style="list-style-type: none"> <li>• Increased awareness on new regulations and topical issues</li> </ul>																								
		12. Monitoring of published Advertisements to ensure sufficient tendering period are given	<ul style="list-style-type: none"> <li>• Reduction in cases of insufficient tendering period in monitored advertisement</li> </ul> <table border="1" data-bbox="865 785 1490 1016"> <thead> <tr> <th colspan="3">Contraventions in advertisements</th> </tr> <tr> <th>Type</th> <th>2013</th> <th>2014</th> </tr> </thead> <tbody> <tr> <td>Inadequate tendering period</td> <td>30</td> <td>10</td> </tr> <tr> <td>Specific date and time for submission deadline not stated</td> <td>8</td> <td>7</td> </tr> </tbody> </table> <ul style="list-style-type: none"> <li>• Reduction defective advertisements being a basis for petitions:</li> </ul> <table border="1" data-bbox="865 1115 1490 1251"> <thead> <tr> <th colspan="4">Number of Petitions based on defective advertisement</th> </tr> <tr> <th>Year</th> <th>2012</th> <th>2013</th> <th>2014</th> </tr> </thead> <tbody> <tr> <td>Number</td> <td>33</td> <td>74</td> <td>22</td> </tr> </tbody> </table>	Contraventions in advertisements			Type	2013	2014	Inadequate tendering period	30	10	Specific date and time for submission deadline not stated	8	7	Number of Petitions based on defective advertisement				Year	2012	2013	2014	Number	33	74	22
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Number	33	74	22																								
Challenges	#3 production of Tenders Journal is currently domiciled at Federal Ministry of Information preventing vetting before publishing																										
Lessons learnt																											
Technical assistance needs																											
(b) The establishment,	Initiatives	1. Issuance of Federal	<ul style="list-style-type: none"> <li>• Provision of selection, award criteria and tendering rules to bidders in advance</li> </ul>																								

<b>UNCAC Provisions</b>		<b>Description</b>	<b>Successes</b>	
<p>in advance, of conditions for participation, including selection and award criteria and tendering rules, and their publication;</p>		<p>Government Circular to compel all procuring entities to use the Standard Bidding Documents and its Conditions of Contracts therein</p>		
		<p>2. Issuance of Federal Government Circular to prevent award of contract without the completion of final designs</p>	<ul style="list-style-type: none"> <li>• Reduction in variation arising from incomplete project design</li> </ul>	
		<p>3. Monitoring of published Advertisements to ensure adequacy of selection criteria</p>		
	<p>Challenges</p>			
	<p>Lessons learnt</p>			
<p>Technical assistance needs</p>				
<p>(c) The use of objective and predetermined criteria for public procurement decisions, in order to facilitate the subsequent verification of the correct application of the rules or procedures;</p>	<p>Initiatives</p>	<p>1. Development and full deployment of proprietary Procurement Planning Software to facilitate review and analysis of submitted procurement plans which includes checking the predetermined criteria to be used</p>	<ul style="list-style-type: none"> <li>• Creation of a controlled regime on selection of predetermined criteria for public procurement decisions</li> </ul>	
		<p>2. Monitoring of Bid Opening sessions. This gives opportunity for</p>	<ul style="list-style-type: none"> <li>• Creation of a controlled regime on selection of predetermined criteria for public procurement decisions</li> </ul>	

<b>UNCAC Provisions</b>		<b>Description</b>	<b>Successes</b>	
		spot checks on solicitation documents before evaluation and award take place		
	Challenges			
	Lessons learnt			
	Technical assistance needs			
(d) An effective system of domestic review, including an effective system of appeal, to ensure legal recourse and remedies in the event that the rules or procedures established pursuant to this paragraph are not followed;	Initiatives	1. Established an effective Right-of-Reply Meeting involving all bidders & procuring entities	<ul style="list-style-type: none"> <li>Increased number of petitions due to increased awareness of the fairness and transparency of the system</li> </ul>	
		2. Establishment of Feedback Platform on BPP web portal		
		3. Publicized the use and acceptance of BPP e-mail (info@bpp.gov.ng) for sending anonymous petition/complaint		
			4. Development and deployment of the first ever Public Procurement Review Software (goPRS) for online submission of request for reviews	<ul style="list-style-type: none"> <li>Enhanced effectiveness in prior reviews process for pilot procuring entities</li> </ul>
	Challenges	#4 Limited internet infrastructure in procuring entities		
	Lessons learnt			
	Technical assistance needs	Increased funding for the on-going development of remaining modules of the Public Procurement Review Software:		
(e) Where appropriate, measures to	Initiatives	1. Establishment of Public Procurement	<ul style="list-style-type: none"> <li>Provision of resources personnel for the Centre since 2012</li> <li>Feedback from procuring entities revealed</li> </ul>	

<b>UNCAC Provisions</b>		<b>Description</b>	<b>Successes</b>
regulate matters regarding personnel responsible for procurement, such as declaration of interest in particular public procurements, screening procedures and training requirements.		Research Centre (PPRC) at FUTO, Owerri	that there is an improvement in capacity of previous attendees on key procurement practices
		2. BPP has been organizing Annual Retreat for Permanent Secretaries since 2008 to create platform for enhancing the procurement capacity of Accounting Officers in all Federal Ministries	<ul style="list-style-type: none"> <li>• Increased procurement knowledge of Accounting Officers in all Federal Ministries</li> <li>• Increased support for the ongoing procurement reform</li> </ul>
		3. Production of Code of Conduct booklet for procurement officers	
		4. Production of Code of Conduct booklet for Procurement Observers	
		5. Yearly subscription to individual Oath of Allegiance by members of the Tenders Board and procurement officers	
		6. Development and deployment of the first ever Public Procurement Review Software (goPRS) to limit physical contact between regulators and procuring entities	<ul style="list-style-type: none"> <li>• Reduction in the need for procuring entities to physically visit the Bureau on their procurement under review</li> </ul>
		7. Establishment and Management of the Procurement	<ul style="list-style-type: none"> <li>• Improved recruitment process into procurement cadre</li> </ul>

<b>UNCAC Provisions</b>		<b>Description</b>	<b>Successes</b>
		<i>Cadre within the Federal Public Service</i>	
	<i>Challenges</i>		
	<i>Lessons learnt</i>		
	<i>Technical assistance needs</i>		
<i>Other Initiatives</i>			
		<i>Description</i>	<i>Successes</i>
		<i>1. Deployment of the first National Data Centre on Public Procurement (with technical support from the UNODC-ITS)</i>	<ul style="list-style-type: none"> <li>• <i>Existence of IT Infrastructure to support other IT initiatives of the BPP</i></li> </ul>
		<i>2. Development and deployment of the first ever Public Procurement Review Software (goPRS)</i>	<ul style="list-style-type: none"> <li>• <i>It has spurred request for similar deployment by other African countries</i></li> </ul>
		<i>3. Development of National Database of Particulars, Classification and Categorization of Federal Contractors and Service Providers</i>	<ul style="list-style-type: none"> <li>• <i>Prevention of distorted competition</i></li> </ul>
		<i>4. Development and full deployment of proprietary Procurement Planning Software</i>	<ul style="list-style-type: none"> <li>• <i>Simplified preparation have increased the culture of preparing procurement plans</i></li> </ul>
		<i>5. Ongoing development of Procurement Management System Software (e-procurement)</i>	