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Integrity in public procurement processes and
transparency and accountability in the management
of public finances

Articles 9 and 10

6th intersessional meeting of the open-ended
intergovernmental Working Group on Prevention

31 August-2 September 2015, Vienna

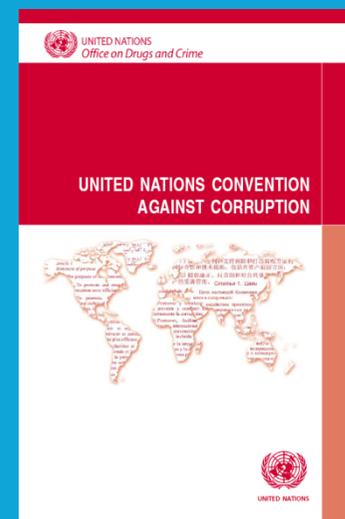


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Integrity in public procurement processes (article 9)

- **Article 9, para 1** of the Convention requires States parties to take the necessary steps to establish appropriate systems of procurement, based on transparency, competition and objective criteria in decision-making, that are effective, inter alia, in preventing corruption

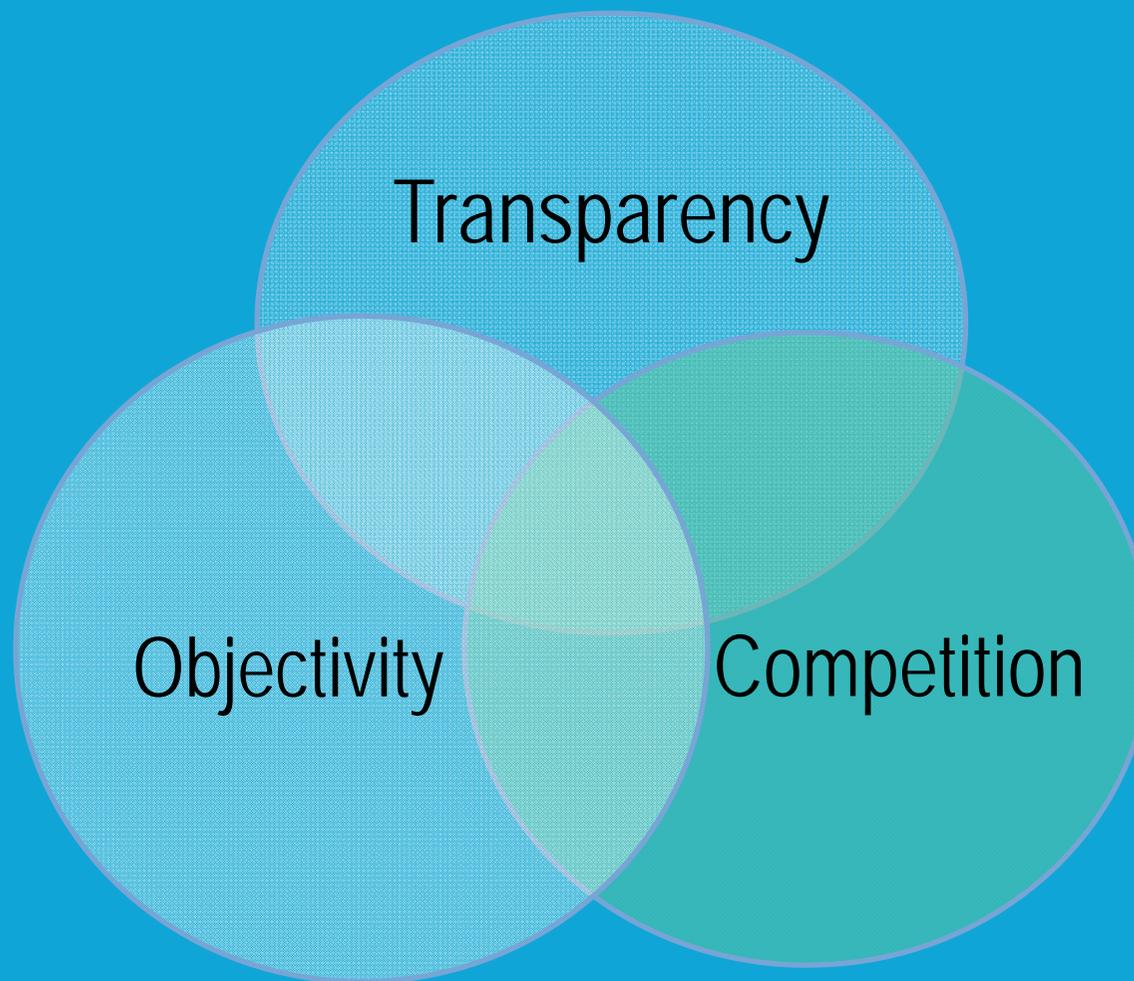




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Three Fundamental Principles of UNCAC: Article 9





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UNCAC's Approach for Ensuring the Three Fundamental Principles

- Public distribution of information
- Publication of conditions for participation
- Use of objective criteria for decision-making
- Effective systems of domestic review
- Regulation/responsibilities of public officials

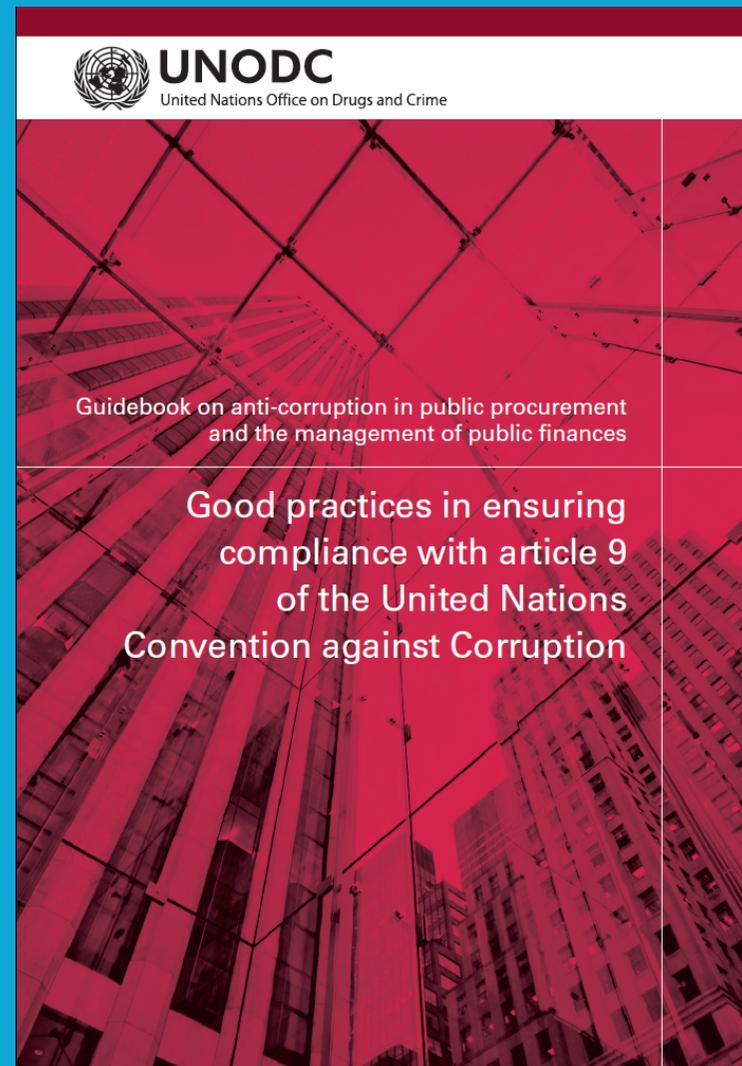


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Guidebook on anti-corruption in public procurement and the management of public finances

<http://www.unodc.org/unodc/en/corruption/publications.html>





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Transparency and accountability in the management of public finances (art. 9 para 2)

- Article 9 para 2 of the Convention requires States parties to take appropriate measures to promote transparency and accountability in the management of public finances



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UNCAC approach for ensuring transparency and accountability in management of public finances

- Ensuring that procedures for the adoption of the national budget are put in place;
- Ensuring timely reporting on revenue and expenditure;
- Establishing a system of accounting and auditing standards and related oversight;
- Putting in place risk management and internal control systems
- Providing for corrective action in the case of non-compliance
- Taking civil and administrative measures to preserve the integrity of accounting books, records, financial statements or other documents related to public expenditure and revenue and to prevent the falsification of such documents.



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Potential Points for Discussion for art. 9 para 1

- Establishing measures to promote integrity in the bidding process
- Providing for exclusion of non-compliant participants from tenders
- Promoting involvement of civil society
- Using debarment to promote integrity
- Ensuring transparency and effective civic oversight and enforcement mechanisms are put in place
- Using electronic tools and portals to facilitate and simplify procurement procedures and to provide access to information and promote civic engagement.



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Potential Points for Discussion for art. 9 para 2

- The importance of strong systems of management of public finances for the integrity of the institutions,
- Procedures for developing and adopting budget and internal controls.
- Introducing measures for ensuring transparency and civic oversight of budgetary spending
- The importance of strong and effective systems of auditing public finances.
- How to introduce effective risk management systems.

Thank you!

For further information:

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