



**Conference of the States Parties
to the United Nations
Convention against Corruption**

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**Open-ended Intergovernmental Working
Group on the Prevention of Corruption**

Vienna, 31 August-2 September 2015

Annotated provisional agenda

Provisional agenda

1. Organizational matters:
 - (a) Opening of the meeting;
 - (b) Adoption of the agenda and organization of work.
2. Implementation of Conference resolution 5/4, entitled “Follow-up to the Marrakech declaration on the prevention of corruption”, and of the recommendations made by the Working Group at its meeting held in September 2014:
 - (a) Good practices and initiatives in the prevention of corruption:
 - (i) Measures to prevent money-laundering (article 14 of the United Nations Convention against Corruption);
 - (ii) Integrity in public procurement processes and transparency and accountability in the management of public finances (articles 9 and 10 of the United Nations Convention against Corruption);
 - (b) Other recommendations.
3. Future priorities.
4. Adoption of the report.



Annotations

1. Organizational matters

(a) Opening of the meeting

The meeting of the Open-ended Intergovernmental Working Group on the Prevention of Corruption will be opened on Monday, 31 August 2015, at 10 a.m.

(b) Adoption of the agenda and organization of work

The provisional agenda for the meeting was prepared in accordance with resolution 5/4, entitled “Follow-up to the Marrakech declaration on the prevention of corruption”, adopted by the Conference of the States Parties to the United Nations Convention against Corruption at its fifth session, held in Panama City from 25 to 29 November 2013, and in accordance with the conclusions and recommendations agreed upon by the Working Group at its meeting held in Vienna from 8 to 10 September 2014.

The proposed organization of work annexed to the present document was prepared in accordance with Conference resolution 5/4 and the recommendations agreed upon by the Working Group at its meeting held in September 2014, to enable the Working Group to consider the agenda items within the time and according to the conference services available.

The resources available to the meeting will permit the holding of two plenary meetings per day with full interpretation in the official languages of the United Nations.

2. Implementation of Conference resolution 5/4, entitled “Follow-up to the Marrakech declaration on the prevention of corruption”, and of the recommendations made by the Working Group at its meeting held in September 2014

In its resolution 3/2, the Conference stressed the importance of implementing articles 5-14 of the Convention against Corruption to prevent and fight corruption. Also in that resolution, the Conference decided to establish an interim open-ended intergovernmental working group to advise and assist the Conference in the implementation of its mandate on the prevention of corruption and also decided that the working group should perform the following functions:

(a) Assist the Conference in developing and accumulating knowledge in the area of prevention of corruption;

(b) Facilitate the exchange of information and experience among States on preventive measures and practices;

(c) Facilitate the collection, dissemination and promotion of best practices in the prevention of corruption;

(d) Assist the Conference in encouraging cooperation among all stakeholders and sectors of society in order to prevent corruption.

In its resolution 5/4, the Conference decided that the Open-ended Intergovernmental Working Group on the Prevention of Corruption should continue its work to advise

and assist the Conference in the implementation of its mandate on the prevention of corruption and should hold at least two meetings prior to the sixth session of the Conference, to be held in 2015. Taking into account the workplan for the forthcoming meetings of the Working Group, the Conference encouraged the Group to seek inputs, as appropriate, from the private sector, in accordance with the Convention and its rules of procedure.

Also in its resolution 5/4, the Conference noted with appreciation the achievements of the Working Group in facilitating the sharing of information between States parties on their initiatives and good practices on the topics considered at the meetings of the Group held in 2012 and 2013, and encouraged States parties to continue to share with the Secretariat new as well as updated information on such initiatives and good practices.

In the same resolution, the Conference requested the Secretariat, subject to the availability of extrabudgetary resources, to continue to perform the functions of an international observatory and, at the request of the Working Group or the Conference, to provide information on lessons learned and the adaptability of good practices, as well as related technical assistance activities, which could be offered to States parties upon request.

At its meeting held in September 2014, the Working Group decided that at its meeting to be held in 2015, it would focus its attention on the following topics:

(a) Measures to prevent money-laundering (article 14);

(b) Integrity in public procurement processes and transparency and accountability in the management of public finances (articles 9 and 10).

At its meeting held in Vienna from 22 to 24 August 2011, the Working Group recommended that in advance of each of its future meetings States parties should be invited to share their experiences of implementing the provisions under consideration, preferably by using the self-assessment checklist and including, where possible, successes, challenges, technical assistance needs and lessons learned in implementation. The Working Group requested the Secretariat to prepare background papers synthesizing that information and decided that panel discussions should be held during its meetings, involving experts from countries who had provided written responses on the priority themes under consideration.

(a) Good practices and initiatives in the prevention of corruption

(i) *Thematic discussion on measures to prevent money-laundering (article 14 of the United Nations Convention against Corruption)*

The consideration of the topic on measures to prevent money-laundering will be introduced by a panel discussion, including a presentation on the background paper prepared by the Secretariat on that topic, based on input from States parties and the private sector in advance of the meeting.

- (ii) *Thematic discussion on integrity in public procurement processes and transparency and accountability in the management of public finances (articles 9 and 10 of the United Nations Convention against Corruption)*

The consideration of the topic on integrity in public procurement processes and transparency and accountability in the management of public finances will be introduced by a panel discussion, including a presentation on the background paper prepared by the Secretariat on that topic based on input from States parties and the private sector in advance of the meeting.

(b) Other recommendations

A discussion will be held on the implementation of Conference resolution 5/4 and the recommendations made by the Working Group at its meeting held in September 2014.

Documentation

Background paper prepared by the Secretariat on good practices and initiatives in the prevention of corruption: measures to prevent money-laundering (article 14 of the United Nations Convention against Corruption) (CAC/COSP/WG.4/2015/2)

Background paper prepared by the Secretariat on good practices and initiatives in the prevention of corruption: integrity in public procurement processes and transparency and accountability in the management of public finances (articles 9 and 10 of the United Nations Convention against Corruption) (CAC/COSP/WG.4/2015/3)

3. Future priorities

A discussion will be held on future possibilities for the Working Group in advising and assisting the Conference in the implementation of its mandates on the prevention of corruption.

Particularly in view of the forthcoming review of implementation of chapter II of the Convention during the second cycle of the Mechanism for the Review of Implementation of the United Nations Convention against Corruption, in accordance with resolution 3/1, the importance of implementing legislative and institutional frameworks consistent with the requirements of that chapter, and how such implementation can be effectively reviewed, should be considered.

4. Adoption of the report

The Working Group is to adopt a report on its meeting, the draft of which will be prepared by the Secretariat.

Annex

Proposed organization of work

<i>Date</i>	<i>Time</i>	<i>Item</i>	<i>Title or description</i>
Monday, 31 August	10 a.m.-1 p.m.	1 (a)	Opening of the meeting
		1 (b)	Adoption of the agenda and organization of work
	3-6 p.m.	2 (a)(i)	Good practices and initiatives in the prevention of corruption: thematic discussion on measures to prevent money-laundering (article 14)
		2 (a)(i)	Good practices and initiatives in the prevention of corruption: thematic discussion on measures to prevent money-laundering (article 14) (<i>continued</i>)
Tuesday, 1 September	10 a.m.-1 p.m. and 3-6 p.m.	2 (a)(ii)	Good practices and initiatives in the prevention of corruption: thematic discussion on integrity in public procurement processes and transparency and accountability in the management of public finances (articles 9 and 10)
		2 (b)	Other recommendations
	Wednesday, 2 September	10 a.m.-1 p.m.	3
3-6 p.m.		4	Adoption of the report