



**PREVENTING CONFLICTS OF INTEREST
IN THE
U.S. FEDERAL EXECUTIVE BRANCH**

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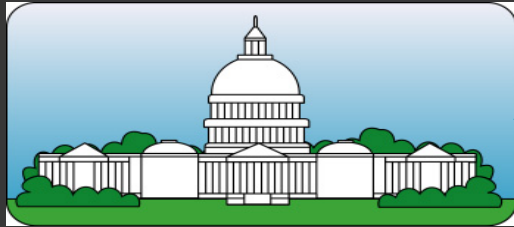
UNCAC Article 7(4)

“Each State Party shall, in accordance with the fundamental principles of its domestic law, endeavour to adopt, maintain and strengthen systems that promote transparency and prevent conflicts of interest.”

U.S. Federal Executive Branch

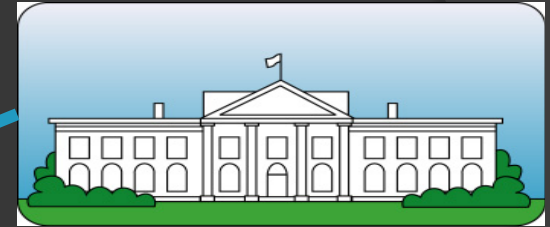
Conflict of Interest Laws and Standards of Conduct

Overview of How Conflict of Interest Laws Are Created & Implemented



LEGISLATURE

Pass Ethics Statutes:
Ethics in Government Act
Criminal Conflict of Interest Laws



PRESIDENT

Issues Executive Orders:
Principles of Ethical Conduct (12674)
Ethics Commitments by Executive Branch Appointees (13770)



- Issues Standards of Conduct
- Interprets and Advises on Statutes and Executive Orders
- Implements and Oversees Ethics Program



136 Agencies—2.7 Million Employees

- Conducts day-to-day ethics program management
- Advises employees of agency
- Works with OGE to determine if Supplemental Standards of Conduct needed

STATUTORY CONFLICT OF INTEREST PROVISIONS

CRIMINAL

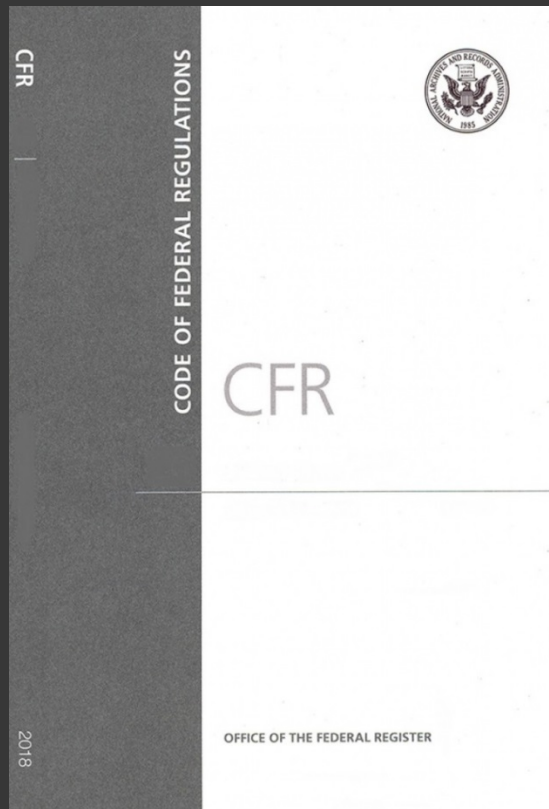
- Self-Dealing/Financial Conflicts of Interest
- Representing Outside Parties Back to the Government
- Receipt of Salary/Supplementation of Salary from Outside Source
- Post-Employment Laws
- Failure to file or lying on a financial disclosure form



CIVIL

- Limitations on outside income and affiliations for certain non-career officials

STANDARDS OF CONDUCT CONFLICT OF INTEREST PROVISIONS



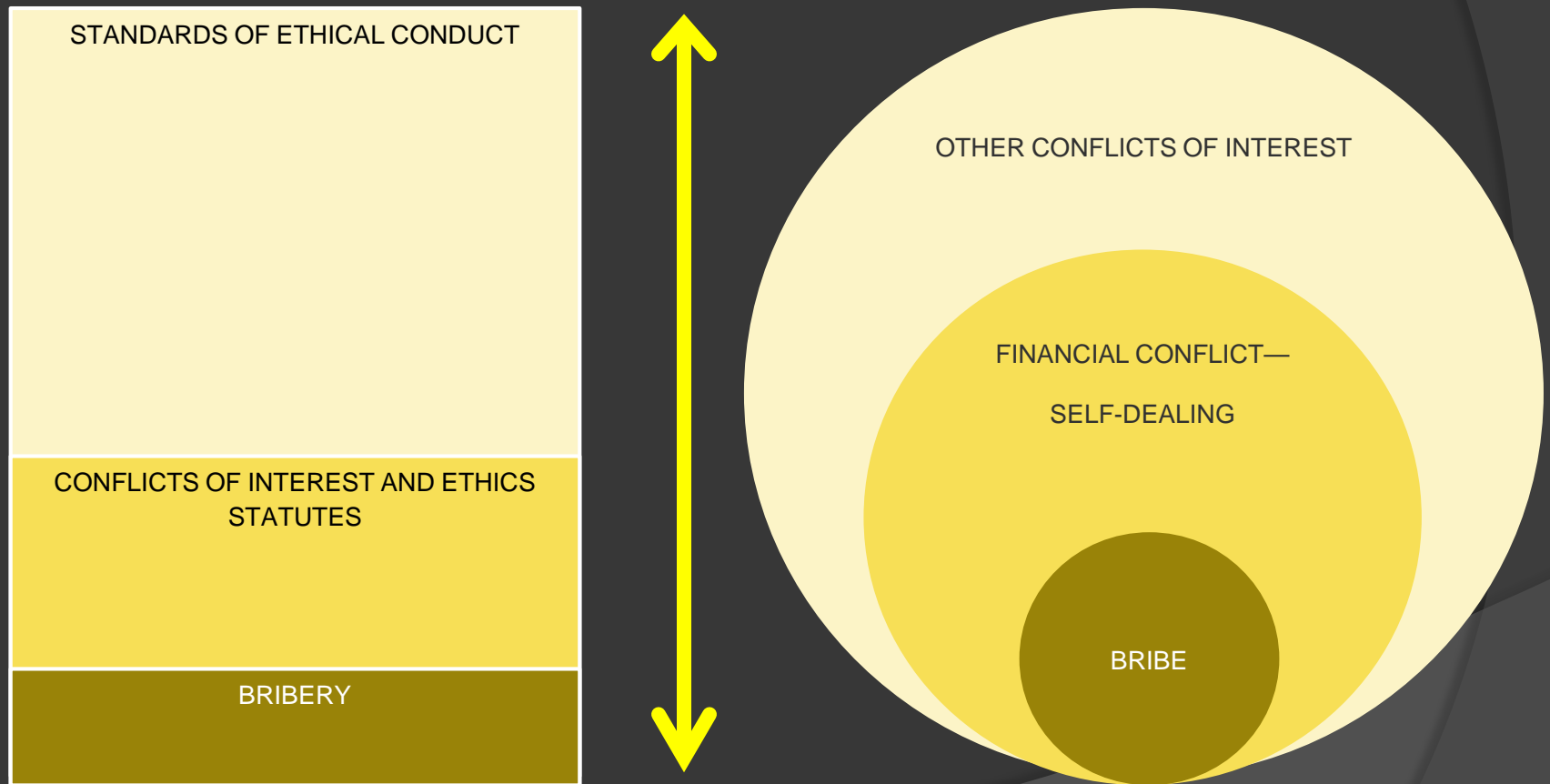
STANDARDS OF CONDUCT

- 14 General Principles
 - Public Service is a Public Trust
- Gifts From Outside Sources
- Gifts Between Employees
- Misuse of Position
- Misuse of Government Time and Resources
- Outside Activities
- Personal Fundraising

AGENCY SUPPLEMENTAL STANDARDS

EXECUTIVE ORDER 13770—ADDITIONAL REQUIREMENTS FOR POLITICAL APPOINTEES

Relationship Between Conflict of Interest Provisions



From Code to Prevention

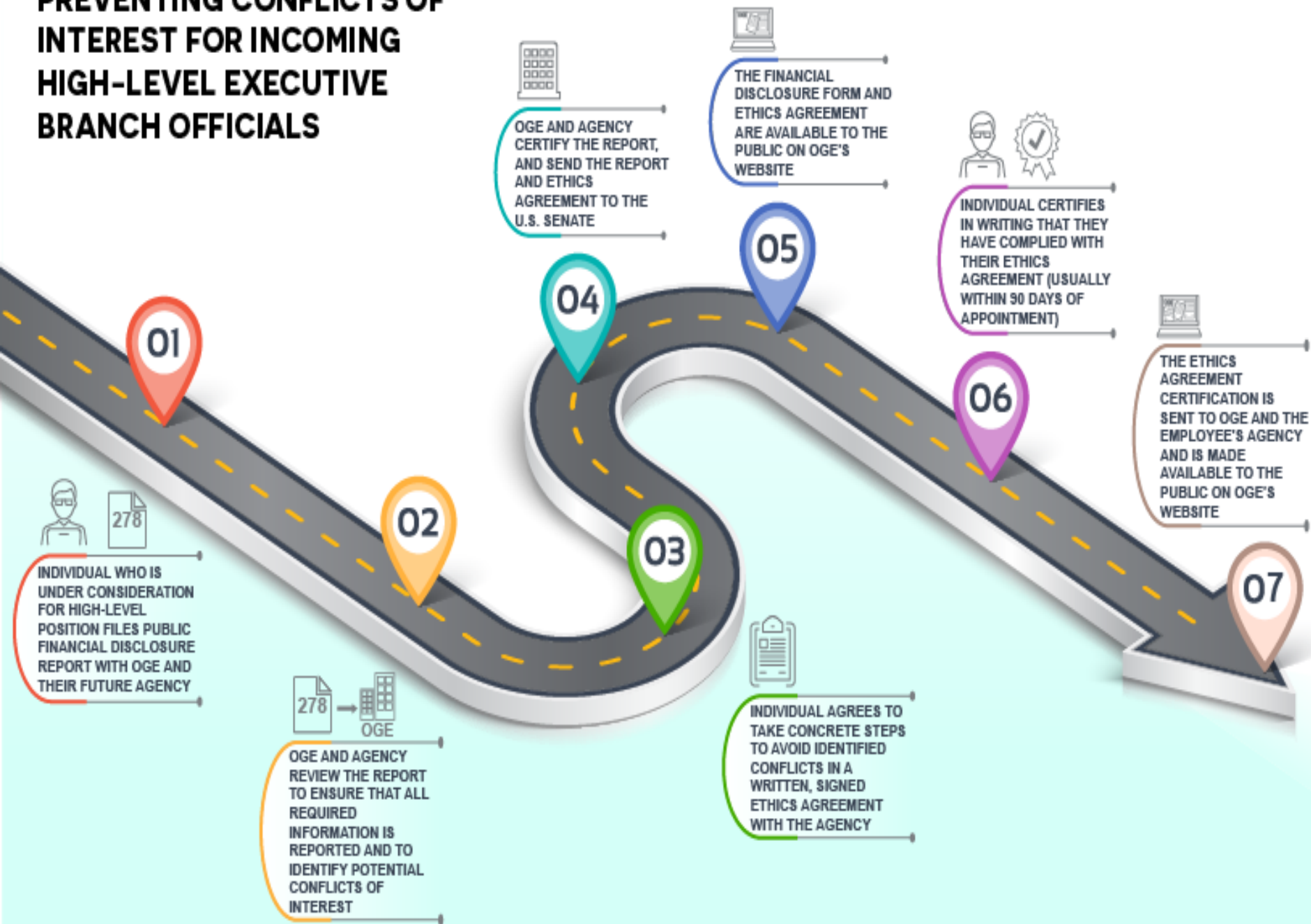
From Code to Prevention



Ethics Prevention in Practice:

Officials Being Considered for
Positions Requiring
Presidential Nomination and
Senate Confirmation

PREVENTING CONFLICTS OF INTEREST FOR INCOMING HIGH-LEVEL EXECUTIVE BRANCH OFFICIALS





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