



# Conference of the States Parties to the United Nations Convention against Corruption

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**Open-ended Intergovernmental Working Group  
on the Prevention of Corruption**  
Vienna, 16–18 June 2021

## Annotated provisional agenda

### Provisional agenda

1. Organizational matters:
  - (a) Opening of the meeting;
  - (b) Adoption of the agenda and organization of work.
2. Implementation of relevant Conference resolutions:<sup>1</sup>
  - (a) Good practices and initiatives in the prevention of corruption:
    - (i) Thematic discussion on the role of parliaments and other legislative bodies in strengthening the implementation of the Convention;
    - (ii) Thematic discussion on the role of supreme audit institutions in the prevention of and fight against corruption;
  - (b) Other recommendations.
3. Future priorities.
4. Adoption of the report.

### Annotations

#### 1. Organizational matters

##### (a) Opening of the meeting

The twelfth meeting of the Open-ended Intergovernmental Working Group on the Prevention of Corruption will be opened on Wednesday, 16 June 2021, at 11 a.m., at the Vienna International Centre, M-Building, Plenary Room M. Subject to

<sup>1</sup> Resolution 8/3, entitled “Promoting integrity in the public sector among States parties to the United Nations Convention against Corruption”; resolution 8/7, entitled “Enhancing the effectiveness of anti-corruption bodies in fighting corruption”; resolution 8/8, entitled “Follow-up to the Marrakech declaration on the prevention of corruption”; resolution 8/10, entitled “Measurement of corruption”; resolution 8/11, entitled “Strengthening the implementation of the United Nations Convention against Corruption in small island developing States”; resolution 8/12, entitled “Preventing and combating corruption as it relates to crimes that have an impact on the environment”; and resolution 8/13, entitled “Abu Dhabi declaration on enhancing collaboration between the supreme audit institutions and anti-corruption bodies to more effectively prevent and fight corruption”.



developments relating to the coronavirus disease (COVID-19) pandemic, it is currently planned that the session will be held in a hybrid format (in person and online). Further information on the format of the session will be communicated in due course and will be available on the website of the meeting.

**(b) Adoption of the agenda and organization of work**

In its resolution 8/8, entitled “Follow-up to the Marrakech declaration on the prevention of corruption”, the Conference of the States Parties to the United Nations Convention against Corruption decided that the Working Group should continue its work to advise and assist the Conference in the implementation of its mandate on the prevention of corruption and should hold at least two meetings prior to the ninth session of the Conference.

The provisional agenda for the twelfth meeting was prepared in accordance with Conference resolution 8/13, entitled “Abu Dhabi declaration on enhancing collaboration between the supreme audit institutions and anti-corruption bodies to more effectively prevent and fight corruption”, and resolution 8/14, entitled “Promoting good practices in relation to the role of national parliaments and other legislative bodies in preventing and combating corruption in all its forms”. In those resolutions, the Conference requested the Working Group to include in its agenda the topics of the role of supreme audit institutions in the prevention of and fight against corruption and the role of parliaments and other legislative bodies in strengthening the implementation of the Convention.

The proposed organization of work (see annex) was prepared in line with the guidance contained in the workplan for the subsidiary bodies of the Conference, which was adopted by the Conference at its eighth session, held in Abu Dhabi from 16 to 20 December 2019, in order to enable consideration of agenda item 2 jointly with the Implementation Review Group.

**2. Implementation of relevant Conference resolutions**

In its resolution 3/2, the Conference stressed the importance of implementing articles 5 to 14 of the Convention against Corruption to prevent and fight corruption. In the same resolution, the Conference decided to establish an interim open-ended intergovernmental working group to advise and assist the Conference in the implementation of its mandate on the prevention of corruption and decided that the working group should perform the following functions:

- (a) Assist the Conference in developing and accumulating knowledge in the area of prevention of corruption;
- (b) Facilitate the exchange of information and experience among States on preventive measures and practices;
- (c) Facilitate the collection, dissemination and promotion of best practices in the prevention of corruption;
- (d) Assist the Conference in encouraging cooperation among all stakeholders and sectors of society in order to prevent corruption.

In its resolution 8/8, the Conference welcomed the ongoing efforts of the Working Group to facilitate the sharing of information between States parties and underlined the importance of the conclusions and recommendations of the Working Group at its meetings held in Vienna from 5 to 7 September 2018 and from 4 to 6 September 2019.

In the same resolution, the Conference requested States parties to continue to share information and requested the Secretariat, subject to the availability of extrabudgetary resources, to continue its work as an international observatory, including by updating the thematic website of the Working Group with relevant information.

In its resolution 6/1, the Conference requested the Secretariat to structure the provisional agendas of its subsidiary bodies in such a way as to avoid the duplication of discussions, while respecting their mandates.

In its resolution 8/13, the Conference requested the Working Group to include, as a topic for discussion at its future meetings, strengthening the role of supreme audit institutions in the prevention of and fight against corruption.

In its resolution 8/14, the Conference requested the Working Group to include the role of parliaments and other legislative bodies in strengthening the implementation of the Convention as a topic on the agenda for its twelfth meeting.

In line with those resolutions, the topics for discussion at the twelfth meeting will be “Role of supreme audit institutions in the prevention of and fight against corruption” and “Role of parliaments and other legislative bodies in strengthening the implementation of the Convention”.

At its meeting held in Vienna from 22 to 24 August 2011, the Working Group recommended that, in advance of each of its future meetings, States parties should be invited to share their experiences of implementing the provisions under consideration, preferably by using the self-assessment checklist and including, where possible, successes, challenges, technical assistance needs and lessons learned in implementation. The Working Group requested the Secretariat to prepare a background paper summarizing that information and decided that panel discussions should be held during its meetings, involving experts from countries that had provided written responses on the priority themes under consideration.

Agenda item 2 will be discussed together with items 4 and 5 of the agenda of the twelfth session of the Implementation Review Group at the joint meetings of the Working Group on the Prevention of Corruption and the Implementation Review Group.

**(a) Good practices and initiatives in the prevention of corruption**

**(i) Thematic discussion on the role of parliaments and other legislative bodies in strengthening the implementation of the Convention**

The consideration of the topic on the role of parliaments and other legislative bodies in strengthening the implementation of the Convention will be introduced by panel discussions, including a presentation on the background paper prepared by the Secretariat on that topic, based on input from States parties sought in advance of the meeting.

**(ii) Thematic discussion on the role of supreme audit institutions in the prevention of and fight against corruption**

The consideration of the topic on the role of supreme audit institutions in the prevention of and fight against corruption will be introduced by panel discussions, including a presentation on the background paper prepared by the Secretariat on that topic, based on input from States parties sought in advance of the meeting.

**Documentation**

Background paper prepared by the Secretariat on the role of parliaments and other legislative bodies in strengthening the implementation of the Convention (CAC/COSP/WG.4/2021/2)

Background paper prepared by the Secretariat on the role of supreme audit institutions in the prevention of and fight against corruption (CAC/COSP/WG.4/2021/3)

**(b) Other recommendations**

In its decision 7/1, the Conference recalled its resolution 6/1 of 6 November 2015 and requested the Secretariat to structure the provisional agendas of the Implementation

Review Group and of other subsidiary bodies established by the Conference in such a way as to avoid the duplication of discussions, while respecting their mandates.

Agenda item 2 (b), “Other recommendations”, will be discussed together with item 5, “Technical assistance”, of the agenda of the twelfth session of the Implementation Review Group at joint meetings of the two groups, to be held on 17 and 18 June 2020. The Secretariat will provide an oral update on the implementation of the relevant Conference resolutions.

### **3. Future priorities**

A discussion will be held on future priorities for the Working Group in advising and assisting the Conference in the implementation of its mandate on the prevention of corruption. The discussion will be informed by previous deliberations of the Working Group and by the ongoing review of implementation of chapter II of the Convention under the second cycle of the Mechanism for the Review of Implementation of the United Nations Convention against Corruption. Consideration will be given to the importance of implementing legislative and institutional frameworks consistent with the requirements of that chapter and how such implementation can be effectively reviewed.

### **4. Adoption of the report**

The Working Group is to adopt a report on its meeting. Given the hybrid nature of the meeting, the report is likely to be adopted after the meeting by means of a silence procedure.

## Annex

### Proposed organization of work

<i>Date</i>	<i>Item</i>	<i>Title or description</i>
<b>Wednesday, 16 June 2021</b>		
11 a.m.–1 p.m.	1 (a)	Opening of the meeting
	1 (b)	Adoption of the agenda and organization of work
	2	Implementation of relevant Conference resolutions <sup>a</sup>
	2 (a) (i)	Good practices and initiatives in the prevention of corruption: thematic discussion on the role of parliaments and other legislative bodies in strengthening the implementation of the Convention
3–5 p.m.		Implementation of relevant Conference resolutions ( <i>continued</i> )
<b>Thursday, 17 June 2021</b>		
11 a.m.–1 p.m.	2 (a) (ii)	Good practices and initiatives in the prevention of corruption: thematic discussion on the role of supreme audit institutions in the prevention of and fight against corruption
3–5 p.m.	2 (b)	Other recommendations <sup>a</sup>
<b>Friday, 18 June 2021</b>		
11 a.m.–1 p.m.	2 (b)	Other recommendations ( <i>continued</i> )
3–5 p.m.	3	Future priorities
	4	Adoption of the report

<sup>a</sup> Agenda item 2 will be discussed together with items 4 and 5 of the agenda of the twelfth session of the Implementation Review Group at the joint meetings of the Working Group on the Prevention of Corruption and the Implementation Review Group.