



Conference of the Parties to the United Nations Convention against Transnational Organized Crime

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Items 2, 4 and 5 of the provisional agenda*

Review of the implementation of the United Nations Convention against Transnational Organized Crime and the Protocols thereto

**International cooperation, with particular emphasis
on extradition, mutual legal assistance and
international cooperation for the purpose of
confiscation, and the establishment and
strengthening of central authorities**

Technical assistance

Reports on the meetings of the subsidiary bodies of the Conference of the Parties to the United Nations Convention against Transnational Organized Crime and the Protocols thereto

Note by the Secretariat

Reports on the meetings of the open-ended intergovernmental expert group established in accordance with resolution 9/1 held in Vienna from 9 to 11 October 2019 and from 13 to 15 July 2020

1. In its resolution 9/1, entitled “Establishment of the Mechanism for the Review of the Implementation of the United Nations Convention against Transnational Organized Crime and the Protocols thereto”, the Conference of the Parties to the United Nations Convention against Transnational Organized Crime established the Implementation Review Mechanism, adopted, subject to the provisions of the same resolution, the procedures and rules for its functioning and decided to launch the preparatory phase of the review process.

2. In the same resolution, the Conference requested the United Nations Office on Drugs and Crime to convene, within existing resources, at least one meeting of an open-ended intergovernmental expert group for the purpose of finalizing and harmonizing, as necessary, the self-assessment questionnaires and preparing the guidelines for conducting the country reviews and a blueprint for the lists of observations and the summaries, referred to in the annex to the resolution. The Conference also requested that the outcome of the work of the expert group be submitted to the Conference for its consideration at its tenth session.

* CTOC/COP/2020/1.



3. A first meeting of the open-ended intergovernmental expert group was held in Vienna from 9 to 11 October 2019, and a second meeting is scheduled to be held from 13 to 15 July 2020, also in Vienna. In accordance with resolution 9/1, the reports on those meetings ([CTOC/COP/WG.10/2019/5](#) and [CTOC/COP/WG.10/2020/6](#), respectively) will be made available to the Conference at its tenth session.

Reports on the meetings of the Working Group on Trafficking in Persons held in Vienna from 9 to 11 September 2019 and on 10 and 11 September 2020

4. Pursuant to decision 4/4 of the Conference of the Parties, on trafficking in human beings, an open-ended interim working group was established to advise and assist the Conference in the implementation of its mandate with regard to the Protocol to Prevent, Suppress and Punish Trafficking in Persons, Especially Women and Children, supplementing the United Nations Convention against Transnational Organized Crime.

5. In its resolution 7/1, on strengthening the implementation of the Convention and the Protocols thereto, the Conference decided, inter alia, that the Working Group on Trafficking in Persons would be a constant element of the Conference, forwarding its reports and recommendations to the Conference.

6. The Working Group on Trafficking in Persons held its ninth meeting from 9 to 11 September 2019, and its tenth meeting is scheduled to be held on 10 and 11 September 2020. In accordance with resolution 7/1, the reports on those meetings ([CTOC/COP/WG.4/2019/6](#) and [CTOC/COP/WG.4/2020/4](#), respectively) will be made available to the Conference at its tenth session.

Reports on the meetings of the Working Group on the Smuggling of Migrants held in Vienna from 11 to 13 September 2019 and on 8 and 9 September 2020

7. Pursuant to resolution 5/3 of the Conference of the Parties, on the implementation of the Protocol against the Smuggling of Migrants by Land, Sea and Air, supplementing the United Nations Convention against Transnational Organized Crime, an open-ended intergovernmental interim working group on the smuggling of migrants was established to advise and assist the Conference in the implementation of its mandate with regard to the Smuggling of Migrants Protocol.

8. In its resolution 7/1, the Conference decided, inter alia, that the Working Group on the Smuggling of Migrants would be a constant element of the Conference, forwarding its reports and recommendations to the Conference.

9. The Working Group on the Smuggling of Migrants held its sixth meeting from 11 to 13 September 2019, and its seventh meeting is scheduled to be held on 8 and 9 September 2020. In accordance with resolution 7/1, the reports on those meetings ([CTOC/COP/WG.7/2019/6](#) and [CTOC/COP/WG.7/2020/4](#), respectively) will be made available to the Conference at its tenth session.

Report on the meeting of the Working Group on Firearms held in Vienna on 16 and 17 July 2020

10. Pursuant to resolution 5/4 of the Conference of the Parties, on the illicit manufacturing of and trafficking in firearms, their parts and components and ammunition, an open-ended intergovernmental working group on firearms was established to advise and assist the Conference in the implementation of its mandate with regard to the Protocol against the Illicit Manufacturing of and Trafficking in Firearms, Their Parts and Components and Ammunition, supplementing the United Nations Convention against Transnational Organized Crime.

11. In its resolution 7/1, the Conference decided, inter alia, that the Working Group on Firearms would be a constant element of the Conference, forwarding its reports and recommendations to the Conference.

12. The seventh meeting of the Working Group on Firearms is scheduled to be held on 16 and 17 July 2020. In accordance with resolution 7/1, the report on that meeting (CTOC/COP/WG.6/2020/4) will be made available to the Conference at its tenth session.

Report on the meeting of the Working Group on International Cooperation held in Vienna on 7 and 8 July 2020

13. Pursuant to decision 3/2 of the Conference of the Parties, on the implementation of the provisions on international cooperation in the Convention, an open-ended working group on international cooperation was established as a constant element of the Conference. This decision was reaffirmed consistently in subsequent resolutions of the Conference.

14. In its resolution 7/1, the Conference, *inter alia*, encouraged the Working Group on International Cooperation and the Working Group of Government Experts on Technical Assistance to consider meeting on an annual basis, as needed, and to hold their meetings consecutively, in order to ensure the effective use of resources.

15. The eleventh meeting of the Working Group on International Cooperation is scheduled to be held on 7 and 8 July 2020, back-to-back with the twelfth meeting of the Working Group of Government Experts on Technical Assistance. The report on the eleventh meeting (CTOC/COP/WG.3/2020/4) will be made available to the Conference at its tenth session.

Report on the meetings of the Working Group of Government Experts on Technical Assistance held in Vienna on 9 and 10 July 2020

16. In its decision 2/6, on technical assistance activities, the Conference of the Parties established an open-ended interim working group of government experts on technical assistance.

17. In its resolution 7/1, the Conference, *inter alia*, encouraged the Working Group on International Cooperation and the Working Group of Government Experts on Technical Assistance to consider meeting on an annual basis, as needed, and to hold their meetings consecutively, in order to ensure the effective use of resources.

18. The twelfth meeting of the Working Group of Government Experts on Technical Assistance is scheduled to be held on 9 and 10 July 2020, back-to-back with the eleventh meeting of the Working Group on International Cooperation. The report on the twelfth meeting (CTOC/COP/WG.2/2020/3) will be made available to the Conference at its tenth session.
