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English only

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Statement of financial implications presented to the United Nations Convention against Transnational Organized Crime before its consideration of draft resolution entitled “Establishment of the mechanism for the review of the implementation of the United Nations Convention against Transnational Organized Crime and the Protocols thereto” at its ninth session

1. The present statement is made in accordance with rule 75 of the rules of procedure of the Conference of the Parties to the United Nations Convention against Transnational Organized Crime.

2. By operative paragraphs 2, 6, 7, 8 and 9 of draft resolution CTOC/COP/2018/L.4/Rev.1, the Conference of the Parties to the United Nations Convention against Transnational Organized Crime would:

(2) *Adopt*, subject to the provisions of the present resolution, the Procedures and Rules for the Functioning of the Mechanism for the Review of the Implementation of the United Nations Convention against Transnational Organized Crime and the Protocols thereto, contained in the annex to the present resolution;

(6) *Request* the United Nations Office on Drugs and Crime to convene, within existing resources, at least one meeting of an open-ended intergovernmental expert group, for the purpose of finalizing and harmonizing, as necessary, the self-assessment questionnaires and preparing the guidelines for conducting the country review and a blueprint for the lists of observations and for the summaries, referred to in the annex to the present resolution. The outcome of the work of the open-ended intergovernmental expert group will be submitted for consideration by the Conference at its tenth regular session;

(7) *Invite* the President of the Conference of the Parties, with the support of the Bureau, to facilitate the work of the open-ended intergovernmental expert group through informal consultations and request the Secretariat to support this process, including by preparing the draft of the guidelines and of the blueprints, for consideration by the open-ended intergovernmental expert group;

(8) *Request* the Secretariat, in close consultation with and reflecting inputs from States parties, including via a test phase, to further develop the knowledge management portal known as Sharing Electronic Resources and Laws on Crime in accordance with the Procedures and Rules contained in the annex to the present resolution, and to present it to the Conference for consideration at its tenth regular session;



(9) *Stress* the importance of ensuring under future budget cycles the efficient, continued and impartial functioning of the Mechanism, in accordance with the provisions of the Procedures and Rules contained in the annex to the present resolution and decides to consider taking appropriate measures including, if necessary, to request the Secretary-General to take appropriate actions.

3. With regard to operative paragraphs 6 and 7, the conference servicing requirements of the open-ended intergovernmental meeting will be accommodated within the overall entitlements of the Conference of the Parties to the United Nations Convention against Transnational Organized Crime and its individual working groups. The scheduling of the meeting will have to be done in consultation with the Conference Management Service of the United Nations Office at Vienna. With regards to documentation, on the understanding that documents will be submitted in accordance with established guidelines, i.e. in a timely manner and within the indicative page limit, and remain within the overall entitlements of the Conference, they will be processed within available resources.

4. With regard to operative paragraphs 2 and 8, the Secretariat has reviewed the workload requirements and concluded that the additional technical and substantive support required for the review mechanism could not be fully absorbed from within existing capacity. The Secretariat, therefore, estimated that the following additional extrabudgetary resources would be required:

(a) Year 1 to year 12 of the Review Mechanism: extrabudgetary resources in the amount of \$318,200 per year to provide for one professional staff at the P-3 level and one General Service (other level) staff per year;

(b) Year 4 to year 12 of the Review Mechanism: additional extrabudgetary resources in the amount of \$569,400 per year to provide for three additional professional staff at the P-3 level per year;

(c) Year 6, 8 and 10 of the Review Mechanism: additional extrabudgetary resources in the amount of \$759,200 per year to provide for 4 additional professional staff at the P-3 level per year.

5. In addition, it is estimated that extrabudgetary resources in the amount of \$339,600 would be required in year 1 for the development and set-up of a secure written communication platform under the knowledge management portal known as Sharing Electronic Resources and Laws on Crime (SHERLOC). It is also estimated that extrabudgetary resources in the amount of \$104,600 per year would be required as of year 2 for the maintenance of the software.

6. With regard to the documentation requirements, the total documentation entitlement of the Conference of the Parties to the United Nations Convention against Transnational Organized Crime is 64 reports per biennium. On the understanding that the working groups would meet biennially, the future sessions of the Conference would be provided with a total of 19 documents as follows: agenda and reports of Conference of the Parties and working groups (12); background documents (5); report on financial and budgetary matters (1); transmittal note (1). This would mean that 45 entitlements would remain available per biennium for the general reports on trends, patterns and best practices, and the summaries of the lists of observations. On the understanding that the summaries will be submitted in accordance with established guidelines, i.e. in a timely manner and within the word limit of 1,500 per summary, the remaining documentation entitlement of the Conference would cover five summaries provided under the same document symbol. The requirements of the review mechanism would be covered as follows:

(a) Year 2: two documents of 16 pages in six languages, namely (i) the guidelines for conducting the country review; and (ii) the blueprint for the list of observations and summaries;

(b) Year 4, 6, 8, 10 and 12: one report of 16 pages in six languages, namely, the report on trends and patterns;

(c) Year 4, 5, 7, 9, 11 and 12: 13 reports of 16 pages in six languages, constituted of five summaries of the lists of observations;

(d) Year 6, 8 and 10: 26 reports of 16 pages in six languages, constituted of five summaries of the lists of observations.

7. If the servicing of the country reviews requires additional translation and interpretation, these would require additional extrabudgetary resources.

8. In addition, in order to promote fruitful engagement with relevant stakeholders, including NGOs, and have a constructive dialogue on the review process, additional extrabudgetary resources in the amount of \$32,900 per year would be required to provide for one work month per year of one professional staff at the P-3 level and two work months per year one General Service (other level) staff.
