



UNODC

United Nations Office on Drugs and Crime

Technical Assistance

Compendium and Thematic Index
of Recommendations,
Resolutions and Decisions

UNITED NATIONS OFFICE ON DRUGS AND CRIME

**Technical Assistance:
Compendium and Thematic Index
of Recommendations, Resolutions
and Decisions**



United Nations
Vienna, 2021

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Publishing production: English, Publishing and Library Section, United Nations Office at Vienna.

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Introduction

At its meetings, the Working Group of Government Experts on Technical Assistance adopts recommendations on pressing areas of concern, seeking to guide States towards effective implementation of the United Nations Convention against Transnational Organized Crime and to guide the United Nations Office on Drugs and Crime (UNODC) in supporting efforts in that regard. The recommendations are regularly submitted to the Conference of the Parties to the United Nations Convention against Transnational Organized Crime for further endorsement.

In order to facilitate future discussions and negotiations, UNODC has prepared a compendium in the six official languages of the United Nations containing all of the recommendations adopted by the Working Group. The compendium is complemented by a thematic index to facilitate navigation.

In addition, the compendium and the thematic index include all of the resolutions and decisions on technical assistance adopted to date by the Conference of the Parties to the Convention. This information is aimed at providing additional references to facilitate discussions in all relevant forums.

For ease of reference, the recommendations of the Working Group are listed in part A of the compendium, while resolutions and decisions of the Conference of the Parties are listed in part B and in grey boxes in the thematic index.

In many cases, the recommendations adopted by the Working Group have been endorsed by the Conference in its resolutions and are therefore not reproduced in both parts of the compendium. In such instances, the thematic index contains a cross-reference to the section of the compendium in which the recommendation is reproduced.

Compendium of recommendations and relevant resolutions and decisions

Part A of the compendium contains all of the recommendations adopted by the Working Group at its first 11 meetings, while part B contains all of the resolutions and decisions on technical assistance adopted by the Conference of the Parties to the Convention at its first nine sessions. Both parts are organized chronologically.

A. Recommendations adopted by the Working Group at its first 11 meetings

I. First meeting, 16 and 17 October 2006

The first meeting of the Working Group was held in the margins of the third session of the Conference of the Parties to the United Nations Convention against Transnational Organized Crime. The recommendations adopted are contained in Conference decision 3/4 (see part B).

II. Second meeting, 3 to 5 October 2007

1. The Open-ended Interim Working Group of Government Experts on Technical Assistance was established pursuant to decision 2/6 of the Conference of the Parties to the United Nations Convention against Transnational Organized Crime. Pursuant to Conference decisions 2/6 and 3/4, the Working Group held a meeting in Vienna from 16 to 17 October 2007 and formulated a number of recommendations for consideration by the Conference at its fourth session. Those recommendations are reproduced below. The Working Group in addition requested the Secretariat to take a number of measures, which are also reproduced below.

2. With regard to the gathering of information on the implementation of the United Nations Convention against Transnational Organized Crime,¹ the Working Group requested the Secretariat:

(a) To develop immediately an efficient and user-friendly information-gathering tool in the form of an interim computer-based checklist;

(b) To ensure that the checklist does not depart from the substantive content of the questionnaires instituted by the Conference under the two reporting cycles in order to avoid duplication of effort for States that have already reported through the questionnaires;

(c) To forward the checklist to States that have not responded to the existing questionnaires and encourage them to use it and to provide their responses sufficiently in advance of the fourth session of the Conference in order that the Secretariat may complete the analytical reports requested by the Conference;

¹General Assembly resolution 55/25, annex I.

(d) To begin developing comprehensive software-based information-gathering tools for the Convention and each of its Protocols,² accompanied by a guide facilitating the use of the tools, and to report on its efforts to the Conference at its fourth session.

3. With regard to the strengthening of criminal justice responses to organized crime based on the Organized Crime Convention and the Protocols thereto, the Working Group requested the Secretariat to submit to the Conference, at its fourth session, proposals for specific technical assistance activities, in particular in the following areas:

(a) Providing legal expertise and legislative assistance in key areas of the Convention and its Protocols and developing focused model legislation in such areas as appropriate;

(b) Developing legislative tools and training material to build the capacity of the criminal justice system;

(c) Building capacity and providing assistance in witness and victim protection procedure and practice;

(d) Building capacity in legislation, procedure and practice regarding joint investigations and special investigative techniques;

(e) Facilitating the exchange of information on good practices in criminal justice responses to organized crime, based on the Convention and its Protocols.

4. With regard to international cooperation and the establishment or strengthening of central authorities for mutual legal assistance and extradition, the Working Group requested the Secretariat to continue its current activities aimed at promoting international legal cooperation and to submit to the Conference, at its fourth session, proposals for specific technical assistance activities in the following areas:

(a) Providing legal expertise and legislative assistance to States in the implementation of the international cooperation provisions of the Convention;

(b) Building the capacity of central and other competent authorities and enhancing working relationships with and among them, in particular through the organization of regional and interregional workshops;

(c) Developing legislative and training tools and material in the area of international legal cooperation.

5. With regard to data collection, the Working Group requested the Secretariat to submit to the Conference, at its fourth session, proposals for specific technical assistance activities in the following areas:

(a) Building the capacity of law enforcement authorities to collect and analyse data on organized crime;

²Protocol to Prevent, Suppress and Punish Trafficking in Persons, Especially Women and Children, supplementing the United Nations Convention against Transnational Organized Crime (General Assembly resolution 55/25, annex II); Protocol against the Smuggling of Migrants by Land, Sea and Air, supplementing the United Nations Convention against Transnational Organized Crime (Assembly resolution 55/25, annex III); and Protocol against the Illicit Manufacturing of and Trafficking in Firearms, Their Parts and Components and Ammunition, supplementing the United Nations Convention against Transnational Organized Crime (Assembly resolution 55/255, annex).

(b) Building the capacity of States to manage knowledge on organized crime trends and threat assessments, bridging gaps in the coverage of existing data collection and analysis systems.

6. With regard to the implementation of the Protocols to the Organized Crime Convention, the Working Group requested the Secretariat to submit to the Conference, at its fourth session, proposals for specific technical assistance activities in the following areas:

(a) Providing assistance for the promotion and ratification of and accession to all three Protocols to the Organized Crime Convention, with particular attention to the Protocol against the Smuggling of Migrants by Land, Sea and Air and the Protocol against the Illicit Manufacturing of and Trafficking in Firearms, Their Parts and Components and Ammunition;

(b) Providing legislative and capacity-building assistance for the implementation of the Protocols, with emphasis on the requirements for the full implementation of the provisions of the Migrants Protocol and the Firearms Protocol and taking into consideration other existing agreements in those areas.

7. With regard to coordination among technical assistance providers, the Working Group recommended that the Conference, at its fourth session, should give thorough consideration to the issue of coordination and ways to obtain a global picture of the technical assistance provided and to the outcome of, best practices in and lessons learned from that assistance, including through the relevant reports of the Secretariat.

8. The Working Group recommended that States parties, in their capacity as members of international and regional organizations providing technical assistance to fight transnational organized crime, should relay to those organizations the need to coordinate with the Conference and its secretariat.

9. The Working Group recommended that States parties should continue to pursue coordination at the national and regional levels, in particular through existing in-country and regional coordination groups and other existing channels, including the communication of priorities and needs identified by the Conference.

10. The Working Group requested the Secretariat to organize, during the fourth session of the Conference, a round table for the technical assistance providers represented at the session to facilitate the exchange of information on technical assistance being provided and the promotion of and closer coordination in the delivery of such assistance; and to report to the Conference on the outcome of the discussion of the round table.

11. The Working Group recommended that the Secretariat should work with specialized agencies of the United Nations system and other international organizations to share experiences and provide information on established priorities.

12. With regard to the determination of performance indicators, the Working Group recommended that the Conference should consider the use of assessment and evaluation tools, such as result-based management and performance indicators, in the design, management and implementation of technical assistance.

13. With regard to the mobilization of resources, the Working Group recommended that sufficient, sustainable and predictable funding be provided to the Secretariat.

III. Third meeting, 15 and 16 October 2008

The third meeting of the Working Group was held in the margins of the fourth session of the Conference of the Parties to Convention. The recommendations adopted are contained in Conference decision 4/3 (see part B).

IV. Fourth meeting, 1 and 2 October 2009

1. In the framework of the priority areas identified in Conference decision 3/4, the Working Group recommends to the Conference that technical assistance projects should focus on the following priority activities, with a view, when appropriate, to furthering cooperation at the regional and subregional levels:

(a) Raise awareness among States parties and, where appropriate, non-parties, of all aspects of capacity-building, including education, in the area of international cooperation against transnational organized crime;

(b) Assist States parties and, where appropriate, non-parties, in their efforts to promote cooperation to combat transnational organized crime through implementation of the provisions of the United Nations Convention against Transnational Organized Crime and the Protocols thereto,³ giving particular attention to mutual legal assistance and extradition;

(c) Assist all Member States in their efforts to ratify or accede to the Convention and its Protocols;

(d) Build capacity, including through education, and raise awareness of officials with the primary responsibility for providing replies to the questionnaires and checklist, and provide assistance in the preparation of reports on the implementation of the Convention and its Protocols;

(e) Assist States parties, and, where appropriate, non-parties, through legal assistance in the formulation and enhancement of national laws and standards for the prevention and prosecution of all forms of transnational organized crime and provide capacity-building for the implementation of such laws and standards;

(f) Build capacity, including through education, and conduct awareness-raising activities, with a view to the following:

- (i) The establishment of a competent central authority, giving particular attention to mutual legal assistance;
- (ii) The establishment or enhancement of a scheme for coordination among competent governmental entities, including law enforcement officials, experts from scientific laboratories, prosecutors, judges and other responsible officials, in the fight against transnational organized crime;

³United Nations, *Treaty Series*, vols. 2225, 2237, 2241 and 2326, No. 39574.

(g) Assist States parties and, where appropriate, non-parties, in the development of national, bilateral and regional programmes for the protection of witnesses and victims of transnational organized crime;

(h) Assist States parties and, where appropriate, non-parties, in their efforts to promote law enforcement cooperation in accordance with article 27 of the Convention.

2. The Working Group invites States parties to identify and communicate to the Secretariat the names of experts, and the corresponding institutions to which they are affiliated, with expertise in the implementation of the Convention and its Protocols, including expertise in specific fields of technical assistance, in order to facilitate the provision of such assistance to requesting States. The Working Group recommends that the Conference should consider the establishment and maintenance of a roster of experts.

3. The Working Group requests the Secretariat to complete the software-based comprehensive self-assessment checklist, and to supplement it, if necessary, with questions on the use of the Convention and its Protocols.

4. The Working Group also requests the Secretariat to prepare, for submission to the Working Group at its next meeting, to be held during the fifth session of the Conference, a report on the current technical assistance programmes and the programmes envisaged for the future, with an evaluation of the outcome of those programmes.

5. The Working Group further requests the Secretariat to prepare for submission to the Working Group at its next meeting, to be held during the fifth session of the Conference, a report on the proposals for implementing the priority activities contained in paragraph 2 above.

6. The Working Group encourages providers of technical assistance for the implementation of the Convention and its Protocols, to coordinate, together with the United Nations Office on Drugs and Crime (UNODC), for the better efficiency of the process, noting in this regard the principles laid out in the Paris Declaration on Aid Effectiveness.

V. Fifth meeting, 19 and 20 October 2010

The Open-ended Working Group of Government Experts on Technical Assistance:

(a) Takes note of the recommendations of the meeting of the Working Group held on 1 and 2 October 2009;

(b) Acknowledges the continued need for technical assistance to ensure the effective implementation of the United Nations Convention against Transnational Organized Crime and the Protocols thereto;

(c) Welcomes the efforts of the United Nations Office on Drugs and Crime (UNODC) to integrate the Convention and its Protocols more visibly and fully within the appropriate range of the technical assistance programmes of the Office, as well as the efforts of UNODC to raise awareness of the Convention and its Protocols among national partners as a practical tool for international judicial cooperation and law enforcement cooperation;

(d) Emphasizes the importance of ensuring a strong connection between the research carried out and the data collected by UNODC and the delivery by UNODC of technical assistance in relation to transnational organized crime;

(e) Endorses the strategic and comprehensive approach to technical assistance adopted by UNODC, incorporating elements of the Convention and its Protocols, as a key priority in integrated national and regional programmes;

(f) Notes that the comprehensive self-assessment software (the “omnibus survey software”) shall be used as a tool to facilitate the gathering of information on the implementation of the Convention and the Protocols thereto, and requests the Secretariat to further improve that software, including by making it available in all the official languages of the Conference, to continue to consult with States parties and signatories with a view to finalizing the software as soon as possible and to submit the software to the open-ended intergovernmental working group established in Conference resolution 5/5 for its consideration;

(g) Requests UNODC to continue to share information gathered on technical assistance needs, in particular information on needs at the country level, with other possible providers of technical assistance in order to coordinate assistance activities with beneficiary countries;

(h) Emphasizes the need for UNODC and States parties to continue to coordinate the delivery of technical assistance with all relevant international and regional organizations and with bilateral assistance entities;

(i) Encourages UNODC to develop a comprehensive thematic approach to preventing and combating organized crime that takes into consideration regional and national needs and that includes legal assistance, the development of tools and best practices and the establishment of mechanisms involving court trials through a thematic programme on the prevention of organized crime;

(j) Requests UNODC to pursue work on a digest of successful cases involving the investigation or prosecution of transnational organized crime for the identification of lessons learned and best practices, to be presented to the Conference at its sixth session, and to convene a meeting of experts to identify good practices in the establishment and functioning of specialized units dealing with organized crime, to be used as a reference by States considering the establishment of such units;

(k) Requests UNODC to provide technical assistance to help Member States apply, where appropriate, the provisions of the Convention to new forms and dimensions of transnational organized crime within the scope of the Convention that are of common concern to States parties, and to report to the Conference at its sixth session on progress made in that regard;

(l) Requests UNODC to consider, in consultation with Member States, the development of specific tools, such as best practices, legislative guidelines and model provisions, which would help Member States to apply, where appropriate, the provisions of the Convention to new forms and dimensions of transnational organized crime within the scope of the Convention that are of common concern to States parties;

(m) Invites States and other donors to provide voluntary resources for the purposes outlined in the present document, in accordance with the rules and procedures of the United Nations;

(n) Urges States parties to endeavour to make adequate and voluntary contributions to the account established in accordance with article 30, paragraph 2 (c), of the Convention for the purpose of technical assistance;

(o) Requests the Secretariat to report to the Conference at its sixth session on the provision of technical assistance to help States implement the Convention and the Protocols thereto at the global, regional and national levels.

VI. Sixth meeting, 17 and 18 October 2012

The recommendations adopted by the Working Group of Government Experts on Technical Assistance at its sixth meeting are contained in Conference resolution 6/4 (see part B).

VII. Seventh meeting, 28 to 30 October 2013

1. The Working Group recommended that the Conference, at its seventh session, should consider initiating discussions regarding the possibility of the Working Group developing and following a multi-year workplan for its future meetings.
2. The Working Group also adopted the recommendations presented below.
3. States should share best practices in implementing, in addition to articles 24 and 25 of the Convention, article 26, in particular, the provisions of that article on providing for the possibility of: mitigating punishment of an accused person who cooperates in the investigation of an offence covered by the Convention; and granting immunity from prosecution to a person providing cooperation in the investigation of an offence covered by the Convention.
4. States should consider strengthening in-court and procedural protection measures.
5. States should consider setting up special facilities for recording the testimony of vulnerable witnesses such as children.
6. States should provide the possibility for vulnerable witnesses to be supported before and during proceedings and to be accompanied by persons trained in assisting victims and witnesses within prosecutors' offices, as appropriate.
7. States should consider placing within prosecutors' offices, as appropriate, persons trained in assisting victims and witnesses.
8. States should provide specialized training for law enforcement, prosecutors and judicial officials.
9. States should consider establishing standardized procedures for phased protection schemes, taking into account the risks faced by witnesses and victims in order to identify

the appropriate protection measures, including video link testimony and other technical facilities for communication.

10. States should carry out threat assessments, as appropriate, to determine the level of risk to an individual witness or victim.

11. States should consider enacting legislation to regulate the protection of witnesses and should have the assistance of the model law on witness protection, created by UNODC in 2008.

12. States should endeavour to expeditiously handle cases in which witnesses are receiving protection.

13. States should consider availing themselves of technical assistance made available by UNODC on witness protection, including tools, study visits, legislative assistance and drafting, as well as training for prosecutors, judges and law enforcement officers.

14. States should provide appropriate protection measures not only for victims, witnesses, informants and experts, but also for judges, prosecutors, law enforcement officials and other persons involved in criminal proceedings, as well as for their family members.

15. With the assistance of UNODC and within existing resources, States should implement capacity-building programmes to educate relevant officials regarding the protection of victims and witnesses of organized crime, as well as whistle-blowers, and regarding witness protection schemes.

16. UNODC should prepare a study on the institutionalization of witness protection programmes in Member States, subject to the availability of extrabudgetary resources.

17. States should share good practices and experiences regarding ways to foster coordination and cooperation between national authorities with overlapping mandates.

18. States, UNODC and intergovernmental organizations should continuously seek to share information concerning technical assistance activities in order to better coordinate activities with a view to enhancing synergy.

19. States, in coordination with UNODC and within existing resources, should put in place training programmes that are tailored to the needs of the beneficiaries. In this regard, the use of case studies, mock trials and other practical exercises can be highly effective in providing training for certain officials; for others, round-table discussions may provide a more effective means of developing skills.

20. States should consider participating in and supporting the establishment of new and the strengthening of existing networks of central authorities, prosecutors and other criminal justice practitioners, which are facilitated by UNODC in the framework of international judicial cooperation. Consideration should be given to strengthening cooperation and joint activities with the institutes of the United Nations crime prevention and criminal justice programme network.

21. States should facilitate bilateral meetings and consultations of central authorities to discuss practical issues, including good practices and challenges.

22. UNODC should, subject to the availability of extrabudgetary resources, facilitate regional and international meetings of central authorities to discuss practical issues, including good practices and challenges.
23. While finalizing formal requests for mutual legal assistance, the States concerned should consider having informal consultations.
24. UNODC should, subject to the availability of extrabudgetary resources, assist States by preparing an issue paper on the criminal, civil or administrative liability of legal persons.
25. UNODC should continue to provide coordinated technical assistance to States to ensure the effective implementation of the Convention and the Protocols thereto.
26. In its delivery of technical assistance, UNODC should continue to apply the principles contained in the Paris Declaration on Aid Effectiveness, the Accra Agenda for Action ([A/63/539](#), annex) and the Busan Partnership for Effective Development Cooperation, without any prejudice to new developments agreed upon by the international community in that area.
27. UNODC should expand the knowledge base on legislative and administrative measures to combat transnational organized crime, including by preparing, subject to the availability of extrabudgetary resources, issue papers on provisions of the Convention.
28. States should consider using the omnibus survey software as a self-assessment tool to assist the Conference in gathering information on the measures taken and in identifying the technical assistance needed to implement the Convention and the Protocols thereto.
29. UNODC, subject to the availability of extrabudgetary resources, should hold pre-ratification and self-assessment workshops for States, upon request, utilizing the omnibus survey software and other relevant technical assistance tools.
30. UNODC, subject to the availability of extrabudgetary resources, should continue the development of technical assistance tools, for the Convention and the Protocols thereto and on specialized issues, including mutual legal assistance and extradition. In particular, UNODC should continue to work on the knowledge management portal known as Sharing Electronic Resources and Laws against Organized Crime (SHERLOC), building on the work accomplished in the development of the digest of organized crime cases.
31. States in need of technical assistance in implementing the Convention and the Protocols thereto are encouraged to request UNODC to provide such technical assistance.

VIII. Eighth meeting, 6 and 7 October 2014

1. States are encouraged to adopt a comprehensive approach to investigating and prosecuting participation in an organized criminal group, taking into consideration, as appropriate, information from all relevant stakeholders, including with regard to new forms and dimensions of organized crime.
2. States shall make participation in an organized criminal group liable to sanctions that take into account the gravity of that offence and should consider providing for higher penalties for more high-ranking members of organized criminal groups and for those who organize and direct the criminal activities.
3. States should consider requesting or providing training or assistance, as appropriate, to improve the capacity of law enforcement efforts to focus on those who direct and organize the commission of serious crimes, in order to dismantle organized criminal groups.
4. States should consider requesting or providing training or assistance, as appropriate, to improve the capacity of law enforcement authorities to use special investigative techniques.
5. States should consider requesting or providing training or assistance, as appropriate, to ensure that legislation creating liability of legal persons does not preclude the criminal liability of natural persons who have committed the offences.
6. States should consider requesting or providing training or assistance, as appropriate, with regard to spontaneously and proactively sharing information with one another to facilitate holding legal persons liable, when relevant.
7. States should consider requesting or providing training or assistance, as appropriate, to define the concept of legal persons within their legal systems.
8. States parties and signatories are encouraged to provide to UNODC information on the liability of legal persons so that the Office may report to the Working Group at its next meeting, subject to the availability of extrabudgetary resources.
9. States should consider requesting or providing technical assistance, as appropriate, to review the types of sanctions imposed on legal persons, which are to be effective, proportionate and dissuasive.
10. The Working Group of Government Experts on Technical Assistance reiterates article 32, paragraph 5, of the United Nations Convention against Transnational Organized Crime, which requires each State party to provide the Conference of the Parties with information on its programmes, plans and practices, as well as legislative and administrative measures to implement the Convention.
11. The Office should continue to develop a knowledge base for assessing challenges in identifying good practices and needs for technical assistance in implementing the Organized Crime Convention and the Protocols thereto, through analytical reports and

updates to previous reports provided to the Conference, based on information provided by States parties and signatories.

12. The Working Group may wish to include in future a standing item on its agenda to consider the status of responses by States parties and signatories on implementation of the Convention and the Protocols thereto.

13. To support a systematic information-gathering effort in order to advance implementation of the Convention and the provision of technical assistance, the Working Group may wish to develop a multi-year workplan focused on considering the status of responses to requests for information by the Conference and the identification of technical assistance needs and good practices relating to the following articles:

- (a) Article 5, on criminalization of participation in an organized criminal group;
- (b) Article 6, on criminalization of the laundering of proceeds of crime;
- (c) Article 7, on measures to combat money-laundering;
- (d) Article 10, on liability of legal persons;
- (e) Article 23, on criminalization of obstruction of justice.

14. Consistent with article 29 of the Convention, States should consider measures to engage with and provide assistance to responding States, as requested, including through the Office, subject to the availability of extrabudgetary resources.

IX. Ninth meeting, 17 to 19 October 2016

1. The Working Group of Government Experts on Technical Assistance reiterates article 32 of the United Nations Convention against Transnational Organized Crime, which requires each State party to provide the Conference of the Parties to the Convention with information on its programmes, plans and practices, as well as legislative and administrative measures to implement the Convention and, *mutatis mutandis*, the Protocols thereto to which they are a party.

2. States parties should designate a focal point for the purpose of communicating with the Secretariat to facilitate implementation of paragraphs 4 and 5 of article 32 of the Convention and of the Protocols to the Convention and should provide UNODC with the contact details of that focal point. UNODC should compile the contact details of those focal points.

3. States should consider financially contributing to the maintenance and further development of the knowledge management portal known as Sharing Electronic Resources and Laws on Crime (SHERLOC) in order to sustain the gathering, dissemination and analysis of information.

4. States should consider making legislation public and accessible, preferably through the Internet. States that have repositories of legislation and case law should provide links to those repositories to the Secretariat for inclusion in the SHERLOC knowledge management portal.

5. The Working Group of Government Experts on Technical Assistance recommends that the Working Group on International Cooperation consider requesting States parties to report on the use of the Organized Crime Convention as a legal basis for international cooperation, in particular extradition and mutual legal assistance, including examples of various crime types. States should also report, by providing examples of cases, on the practical use of the Convention and the Protocols thereto with other States. UNODC should compile that information and include it in the SHERLOC knowledge management portal.
6. UNODC should continue to gather, disseminate and analyse information on the implementation of the Convention, with a focus on successful practices and the difficulties encountered by States, and develop technical assistance tools on the basis of the information gathered.
7. States should take measures to ensure that legislation on criminalization of obstruction of justice covers all stages of proceedings, including the pretrial stage.
8. States should combine the effective criminalization of obstruction of justice with witness protection schemes, including both physical and procedural protection measures.
9. States should consider expanding the scope of obstruction of justice offences to protect all persons participating in or contributing to the criminal justice process, as well as those who play a role in exposing organized criminal activities.
10. States should take measures to ensure that their legislation on criminalization of obstruction of justice covers both completed offences and attempts to impede the truth-seeking process.
11. States should consider tackling obstruction of justice in all its forms and manifestations and encompassing all offences, not only serious crime.
12. UNODC should continue to gather national examples of the implementation of obstruction of justice offences based on article 23 of the Convention, for inclusion in the SHERLOC knowledge management portal, with a focus on the identification of successful practices, challenges and technical assistance needs.
13. As provided for in the Convention, States should apply their legislation on the criminalization of money-laundering to the widest range of predicate offences and consider requesting or providing training or assistance, as appropriate, to that effect.
14. States should adopt a comprehensive approach to investigating and prosecuting money-laundering in order to ensure the effectiveness of their criminalization regimes.
15. States should consider requesting or providing training or assistance, as appropriate, to improve the capacity of law enforcement authorities to investigate illicit financial flows in order to unravel transactions related to money-laundering.
16. States should consider requesting or providing training or assistance, as appropriate, to improve the capacity of relevant criminal justice authorities to use special investigative techniques and investigate the use of virtual currencies.

17. States should consider including in their technical assistance requests information concerning the use of informal networks and the exchange of information for international cooperation to complement formal cooperation systems, such as the asset recovery inter-agency networks established in the Asia-Pacific region, East Africa, Latin America, Southern Africa and West Africa.
18. When investigating the widest range of predicate offences for money-laundering, States should consider, where appropriate, conducting parallel financial investigations.
19. States should consider establishing funds for confiscated assets, in which confiscated funds are deposited for public interest use, including for capacity-building and law enforcement activities.

X. Tenth meeting, 9 to 13 October 2017

The Working Group of Government Experts on Technical Assistance adopted the following recommendations for endorsement by the Conference:

- (a) States should update their records in the directory of competent national authorities available under the knowledge management portal known as Sharing Electronic Resources and Laws on Crime (SHERLOC) to make the directory a useful tool for practitioners and foster international cooperation;
- (b) States should consider contributing to the maintenance and further development of SHERLOC to support the gathering, dissemination and analysis of information. Such contributions can be financial or in kind, for example, support for the translation of relevant legislation and case laws.

XI. Eleventh meeting, 28 to 31 May 2018

The Working Group of Government Experts on Technical Assistance formulated the following recommendations:

- (a) States are invited to encourage their training and learning institutes to participate in the development, dissemination and utilization of teaching material, in particular the newly developed teaching modules on organized crime under the UNODC Education for Justice programme;
- (b) States should consider encouraging interaction between academics and practitioners, and engaging criminal justice practitioners in delivering education against organized crime;
- (c) UNODC should continue developing, in close consultation with States parties, multidisciplinary and interactive teaching material and other technical assistance tools on organized crime at the primary, secondary and, in particular, tertiary levels. Such teaching material ought to be based on identified needs and be adaptable to local circumstances and the local cultural context;

(d) States should consider providing extrabudgetary resources to support education against organized crime and to enable UNODC to further develop and regionalize teaching materials and tools promoting the implementation of the Organized Crime Convention and the Protocols thereto;

(e) States and other technical assistance providers should work closely with beneficiary countries to design and implement capacity-building programmes directed against transnational organized crime and ensure that those programmes are aligned with the needs and objectives of the beneficiary country, and to facilitate donor coordination efforts at the local level.

B. Resolutions and decisions on technical assistance adopted by the Conference of the Parties at its first nine sessions

I. First session, 28 June to 9 July 2004

Decision 1/4

Technical assistance activities

The Conference of the Parties to the United Nations Convention against Transnational Organized Crime requests the Secretariat to prepare a working paper for submission to the Conference of the Parties at its second session and to provide informal briefings to States parties and signatories with a view to obtaining further input to that working paper. The working paper would contain:

(a) Information on technical assistance provided by the Secretariat, including assistance funded through the special account established pursuant to article 30 of the United Nations Convention against Transnational Organized Crime⁴ and General Assembly resolution 55/25 of 15 November 2000;

(b) A description of the methodology applied by the Secretariat in providing such assistance;

(c) Readily available information on technical assistance provided by other relevant international and regional organizations;

(d) Information on action taken by bodies similar to the Conference of the Parties in dealing with matters related to technical cooperation;

(e) Information on the methods used and experience gained by bodies similar to the Conference of the Parties in the financing of their technical cooperation activities.

⁴General Assembly resolution 55/25, annex I.

II. Second session, 10 to 21 October 2005

Decision 2/6

Technical assistance activities

The Conference of the Parties to the United Nations Convention against Transnational Organized Crime, bearing in mind that matters relating to technical assistance should be addressed primarily by the Conference of the Parties,

(a) Decided to establish an open-ended interim working group, chaired by a member of the bureau, in accordance with article 32, paragraph 3, of the United Nations Convention against Transnational Organized Crime,⁵ and rule 2, paragraph 2, of the rules of procedure of the Conference of the Parties, to advise and assist it in the implementation of its mandate on technical assistance;

(b) Requested the secretariat to continue to develop an information base for assessing challenges in implementing the Convention and the Protocols thereto, through analytical reports on the implementation of the Convention and the Protocols based on information provided by States parties and signatories in response to the questionnaires sent to them by the secretariat, requests from States for technical assistance and lessons learned from assistance previously rendered;

(c) Decided that the working group should perform the following functions:

- (i) Review needs for technical assistance in order to assist the Conference of the Parties on the basis of the information bases established by the secretariat;
- (ii) Provide guidance on priorities based on multi-year programmes approved by the Conference of the Parties and its directives;
- (iii) Take into consideration, as appropriate and readily available, information on technical assistance activities of the secretariat, as well as of States, and on projects and priorities of States, other entities of the United Nations system and international organizations, in the areas covered by the Convention and its Protocols;
- (iv) Facilitate mobilization of potential resources;

(d) Requested the secretariat, on the basis of guidance provided by the Conference of the Parties and its working group, to develop project proposals to address the needs identified, taking into consideration equitable geographical distribution, and different legal systems as appropriate;

(e) Decided that the working group should meet during the third session of the Conference of the Parties and, within existing resources, should hold at least one intersessional meeting before the fourth session of the Conference of the Parties;

⁵Ibid.

- (f) Requested the secretariat to assist the working group in the performance of its functions;
- (g) Decided that the Chairman of the working group should submit a report on the activities of the working group to the Conference of the Parties;
- (h) Requested the secretariat to submit a report to the Conference of the Parties at its fourth session on its relevant technical assistance activities;
- (i) Decided to review and take a decision on the effectiveness and future of the working group at its fourth session, in 2008.

III. Third session, 9 to 18 October 2006

Decision 3/4

Recommendations of the open-ended interim working group of government experts on technical assistance

The Conference of the Parties to the United Nations Convention against Transnational Organized Crime, recalling its decision 2/6, by which it established the open-ended interim working group on technical assistance:

- (a) Endorses the recommendations of the working group made during the third session of the Conference of the Parties, which are set out below;
- (b) Requests States parties to be guided by those recommendations in the development and delivery of technical assistance activities for the implementation of the Convention⁶ and the Protocols thereto;⁷
- (c) Requests its secretariat to develop proposals for technical assistance activities designed to meet the needs identified by the working group in the priority areas determined by it in its recommendations and submit such proposals to the working group for consideration at its meeting to be held before the fourth session of the Conference;
- (d) Also requests its secretariat prior to, and with a view to better preparing for, the meeting of the working group to be held before the fourth session of the Conference, to consult with relevant departments or agencies of the United Nations, other international organizations, regional organizations and financial institutions such as the World Bank and other multilateral development banks in order to exchange information on and promote technical assistance related to the implementation of the Convention and the Protocols thereto and to identify ways to improve coordination in that area, and further requests the secretariat to inform the working group of the results of those consultations;

⁶Ibid.

⁷General Assembly resolutions [55/25](#), annexes II and III, and [55/255](#), annex.

(e) Requests the working group to include in the agenda of its meeting to be held before the fourth session of the Conference an item on coordination of technical assistance for the implementation of the Convention and the Protocols thereto.

Recommendations

I. Determination of needs for technical assistance

1. The working group stressed that receiving complete and accurate information from the States parties concerned about technical assistance needed for the implementation of the United Nations Convention against Transnational Organized Crime and the Protocols thereto was the best approach to designing and delivering appropriate and effective technical assistance activities and ensuring the impact of those activities on implementation efforts. The determination of needs should be done on the basis of requests from States parties, using information provided by the requesting States parties in the context of fulfilling their reporting obligations in accordance with article 32, paragraph 5, of the Convention, such as information provided by completing existing questionnaires and supplementary information provided to the Conference of the Parties.

II. Priorities for technical assistance

2. The working group identified the following priority areas for technical assistance to support and promote the implementation of the Convention and the Protocols thereto:

(a) Criminalization of the offences covered by the Convention and its Protocols;

(b) International cooperation in criminal matters and for the purpose of confiscation, with special emphasis on extradition and mutual legal assistance, paying particular attention to raising the awareness and training of criminal justice practitioners and other relevant authorities, especially judges and prosecutors, regarding the forms of international cooperation;

(c) Assistance in the establishment and/or strengthening of central authorities dealing with requests for mutual legal assistance and/or extradition.

3. The working group recognized the need for developing the capacity of States parties to collect data on organized crime and for providing to States parties, at their request, technical assistance to build their capacity to collect and analyse data related to the subject matter of the Convention and the Protocols thereto.

4. The working group was aware that detailed recommendations in the area of implementation of the provisions on international cooperation in the Convention had been formulated by the open-ended working group of government experts on extradition, mutual legal assistance and international cooperation for purposes of confiscation (see decision 3/2 of the Conference of the Parties).

5. The working group was also aware of proposals made as a result of the deliberations of the Conference on the implementation of the Protocol to Prevent, Suppress and Punish

Trafficking in Persons, Especially Women and Children, and the Protocol against the Smuggling of Migrants by Land, Sea and Air, supplementing the Convention (see decision 3/3 of the Conference of the Parties).

6. The working group emphasized that the provision of information on the implementation of the Convention and the Protocols thereto was a legal obligation of States parties that should be discharged without further delay. The working group was aware of the provision of article 30, paragraph 2 (c), of the Convention. Recognizing that non-compliance with the obligation to provide information on the implementation of the Convention and the Protocols thereto might be due to insufficient capacity, the working group recommended the provision of assistance to States in need of such assistance either individually, at their request, or through subregional or regional activities undertaken by the secretariat of the Conference, in cooperation with relevant regional organizations. The working group also recommended that the secretariat of the Conference, without prejudice to established official channels of communication with States, explore all ways to simplify and expedite communication with the authorities competent to provide the requested information, including through the use of the Internet. The working group further recommended that States parties appoint focal points for the provision of the information required by the Conference and communicate to the secretariat the contact details of those focal points to facilitate and expedite direct communication.

7. With regard to technical assistance to support and promote the implementation of the Protocols, the working group identified the following areas in which such assistance could be provided:

(a) Assistance in the implementation of the requirements of the Protocols regarding the needs of victims, as well as the requirements related to repatriation of victims of trafficking in persons and return of smuggled migrants;

(b) Assistance related to the implementation of the provisions on witness protection, noting that that was an area covered not only by the Protocols but also by the Convention;

(c) Assistance in the form of subregional or regional workshops involving the countries of origin, transit and destination of victims of trafficking in persons and smuggled migrants, with particular emphasis on law enforcement and judicial personnel.

8. With regard to technical assistance for the implementation of the Protocol against the Illicit Manufacturing of and Trafficking in Firearms, Their Parts and Components and Ammunition, supplementing the Convention,⁸ the working group identified a particular need for assistance in implementing the requirements of the Protocol on deactivation, record-keeping and marking of firearms and the identification of competent authorities.

III. Information on technical assistance activities

9. The working group noted that there was an urgent need to improve information-sharing and coordination regarding technical assistance activities provided by Governments

⁸General Assembly resolution [55/255](#), annex.

or international organizations and financial institutions, as well as a need to improve coordination among the providers of technical assistance. The working group recommended that the secretariat of the Conference invite relevant departments or agencies of the United Nations, other international and regional organizations and financial institutions, including at the field level, such as the World Bank and other multilateral development banks, to the meeting of the working group to be held before the fourth session of the Conference to exchange information on and promote technical assistance and international cooperation related to the Convention and its Protocols and to identify ways of improving coordination in that area.

10. The working group agreed that at its meeting to be held before the fourth session of the Conference, it would explore the possibility of determining performance indicators for technical assistance and the best way to identify lessons learned from the provision of technical assistance, with a view to developing best practices.

11. The working group recommended that the secretariat of the Conference intensify its coordination efforts, including through mechanisms such as the inter-agency coordination group on trafficking in persons established pursuant to Economic and Social Council resolution 2006/27 of 27 July 2006.

IV. Mobilization of potential resources

12. The working group expressed the view that mobilization of potential resources would be facilitated through the identification of specific needs and the development of activities tailored to meet those needs. The working group was also of the view that for better mobilization of resources it was necessary to demonstrate that technical assistance contributed to the objective of implementation of the Convention and the Protocols thereto and that it met the specific needs identified. The working group stressed the importance of result-based assessments and project evaluation in developing recommendations on mobilizing resources to meet priorities. The working group recommended that mobilization of resources be promoted through partnerships and coordination with other relevant international and regional organizations, as well as through the coordination activities that the proposed national focal points should undertake.

IV. Fourth session, 8 to 17 October 2008

Decision 4/3

Implementation of the provisions on technical assistance of the United Nations Convention against Transnational Organized Crime

The Conference of the Parties to the United Nations Convention against Transnational Organized Crime, recalling articles 29 and 30 of the United Nations Convention against Transnational Organized Crime,⁹ which set forth the obligation of States parties to promote technical assistance and training, and recalling Conference decisions 2/6 and 3/4:

(a) Took note with appreciation of the information on and proposals for technical assistance activities developed by the Secretariat contained in the working paper prepared by the Secretariat on proposals for technical assistance activities designed to meet the needs identified in the priority areas determined by the Conference,¹⁰ following the five priority areas determined by the Conference at its third session;

(b) Noted the discussion at the round table of technical assistance providers held in Vienna on 14 October 2008 to facilitate the exchange of information on technical assistance being provided and the promotion of closer coordination in the delivery of such assistance;

(c) Noted that the Open-ended Interim Working Group of Government Experts on Technical Assistance had been convened during the fourth session of the Conference and, working in an environment of cooperation and goodwill, had engaged in a detailed review of the implementation of the articles on technical assistance of the Organized Crime Convention, resulting in a fruitful exchange of ideas and experiences;

(d) Decided that the Open-ended Interim Working Group of Government Experts on Technical Assistance should be a constant element of the Conference;

(e) Urged donor countries and technical assistance providers, including the United Nations Office on Drugs and Crime, to take into consideration the needs identified in the analytical reports¹¹ in the formulation of technical assistance activities, in conjunction with recipient Governments, and in particular the need for legislative assistance and training of criminal justice practitioners;

(f) Welcomed the analysis by the Secretariat of the technical assistance needs based on the questionnaires and the needs and priorities of requesting States;

(g) Noted with appreciation the specialized expertise of the United Nations Office on Drugs and Crime and the network of experts upon which it could call in areas such as legal assistance;

⁹United Nations, *Treaty Series*, vol. 2225, No. 39574.

¹⁰CTOC/COP/2008/16.

¹¹CTOC/COP/2005/2/Rev.2, CTOC/COP/2005/3/Rev.2, CTOC/COP/2005/4/Rev.2, CTOC/COP/2006/2/Rev.1, CTOC/COP/2006/6/Rev.1, CTOC/COP/2006/7/Rev.1 and CTOC/COP/2006/8/Rev.1.

(*h*) Stressed the need to ensure that the technical assistance provided made the maximum impact, in accordance with the Paris Declaration on Aid Effectiveness, and avoided duplication and overlap;

(*i*) Recognized that the following principles should underpin mechanisms for ensuring coordination and should be taken into account:

- (i) The importance of recipient States carrying out needs analyses, taking into account information given in response to questionnaires and the discussions and views expressed at the sessions of the Conference;
- (ii) The importance of technical assistance providers taking such needs analyses into account when designing programmes of assistance, which should preferably be provided in a language agreed to by the receiving State;
- (iii) The need for a partnership approach, common goals and commitment on the part of those receiving and providing technical assistance, and the need to take into account the activities of other bodies, such as civil society and international or regional organizations;
- (iv) The need for effective coordination within States providing and receiving assistance;
- (v) The need for coordination between donors, building on existing local, regional and multilateral mechanisms;

(*j*) Emphasized the importance of maintaining a continuous flow of information to the Office, including through responses to questionnaires authorized by the Conference, in order to ensure the reflection of the latest information on technical assistance activities and needs;

(*k*) Recalled the need for technical assistance providers to raise awareness and to emphasize the importance of the Organized Crime Convention and the Protocols thereto¹² and the role of the United Nations Office on Drugs and Crime in implementing those instruments;

(*l*) Stressed the importance of sharing the results of evaluation of technical assistance provided so that there is a greater common understanding of what works and what does not;

(*m*) Requested the Secretariat and other technical assistance providers to take into account ongoing regional and bilateral technical assistance efforts with a view to creating areas of synergy and leveraging resources;

(*n*) Invited providers of technical assistance, together with the United Nations Office on Drugs and Crime and, as appropriate, its field offices, to strengthen coordination efforts in host countries, noting, in particular, the potential for the Office to act as a coordinator and facilitator of requests for and the provision of technical assistance, in order to ensure the effectiveness of such assistance;

(*o*) Invited technical assistance providers to partner, where appropriate, with the United Nations Office on Drugs and Crime when providing assistance for the purpose of

¹²United Nations, *Treaty Series*, vols. 2237, 2241 and 2326, No. 39574.

building the capacity of recipient States to implement the Convention and its Protocols, and to raise awareness of those instruments;

(p) Requested the Open-ended Interim Working Group of Government Experts on Technical Assistance, taking as a basis the above-mentioned recommendations as well as the proposals contained in the working paper prepared by the Secretariat on proposals for technical assistance activities designed to meet the needs identified in the priority areas determined by the Conference, to further reflect on ways and means to strengthen and better coordinate the scheme of technical assistance for the implementation of the Convention and its Protocols, and submit recommendations in that regard to the Conference at its fifth session;

(q) Requested the Secretariat to organize an intersessional meeting of the Working Group before the end of 2009;

(r) Encouraged donor countries and relevant organizations to allocate funds to the United Nations Crime Prevention and Criminal Justice Fund in order to improve its capacity as a provider and coordinator of technical assistance;

(s) Invited States parties and States signatories to the Convention to provide voluntary contributions to the Office for its technical assistance activities in order to further the implementation of the Convention and its Protocols, in particular with respect to the above-mentioned recommendations as well as the proposals contained in the working paper prepared by the Secretariat.

V. Fifth session, 18 to 22 October 2010

Resolution 5/6

Implementation of the provisions on technical assistance of the United Nations Convention against Transnational Organized Crime

The Conference of the Parties to the United Nations Convention against Transnational Organized Crime,

Noting that technical assistance is a fundamental part of the work carried out by the United Nations Office on Drugs and Crime to assist Member States in the effective implementation of the United Nations Convention against Transnational Organized Crime and the Protocols thereto,¹³

Welcoming the work of the Open-ended Working Group of Government Experts on Technical Assistance,

¹³United Nations, *Treaty Series*, vols. 2225, 2237, 2241 and 2326, No. 39574.

1. *Endorses* the recommendations of the meeting of the Open-ended Working Group of Government Experts on Technical Assistance held on 19 and 20 October 2010, during the fifth session of the Conference, annexed to the present resolution;
2. *Reaffirms* its decision 4/3 of 17 October 2008, in which it decided that the Open-ended Working Group of Government Experts on Technical Assistance should be a constant element of the Conference.

Annex

Recommendations of the meeting of the Open-ended Working Group of Government Experts on Technical Assistance

Please see the recommendations listed under the fifth meeting of the Working Group.

VI. Sixth session, 15 to 19 October 2012

Resolution 6/4

Implementation of the provisions on technical assistance of the United Nations Convention against Transnational Organized Crime

The Conference of the Parties to the United Nations Convention against Transnational Organized Crime,

Noting that technical assistance is a fundamental part of the work carried out by the United Nations Office on Drugs and Crime to assist Member States in the effective implementation of the United Nations Convention against Transnational Organized Crime and the Protocols thereto,¹⁴

Welcoming the work of the Working Group of Government Experts on Technical Assistance,

1. *Endorses* the recommendations adopted by the Working Group of Government Experts on Technical Assistance at its meeting held on 17 October 2012, during the sixth session of the Conference, which are annexed to the present resolution;
2. *Reaffirms* its decision 4/3 of 17 October 2008, in which it decided that the Working Group should be a constant element of the Conference.

¹⁴Ibid.

Annex

Recommendations adopted by the Working Group of Government Experts on Technical Assistance at its meeting held on 17 October 2012

The Working Group of Government Experts on Technical Assistance:

(a) Encourages States to develop “whole of government” strategies to combat transnational organized crime in order to:

- (i) Promote intragovernmental coordination;
- (ii) Respond to the constantly evolving nature of groups engaged in transnational organized crime;
- (iii) Raise public awareness about the negative consequences of the activities of organized criminal groups;

(b) Requests the Secretariat, for the next meeting of the Working Group, to organize discussions, including panel discussions, on the following topics:

- (i) Inter-agency task forces to combat organized crime;
- (ii) Assistance, good practices and the comparison of national legislation in the area of identifying and protecting victims of and witnesses to organized crime;
- (iii) Establishing capacity-building programmes for prosecutors and members of the judiciary and law enforcement agencies, including to enhance inter-agency cooperation and coordination;
- (iv) Criminal threat assessment tools;
- (v) Assistance in harmonizing domestic legislation with the United Nations Convention against Transnational Organized Crime and the Protocols thereto;¹⁵

(c) Invites States and other donors:

- (i) To continue to provide resources for the assistance efforts of the United Nations Office on Drugs and Crime in order to further the implementation of the Convention and the Protocols thereto;
- (ii) To continue to provide coordinated assistance through existing channels, including relevant international and regional organizations and bilateral assistance programmes;

(d) Invites States and international organizations to evaluate, measure and assess technical assistance efforts and their results, with a view to maximizing the coordination and effectiveness of those efforts and the impact of those efforts on combating organized criminal groups and related criminal networks, and encourages States and international organizations to share good practices in that area.

¹⁵Ibid.

VII. Seventh session, 6 to 10 October 2014

Resolution 7/3

Implementation of the provisions on technical assistance of the United Nations Convention against Transnational Organized Crime

The Conference of the Parties to the United Nations Convention against Transnational Organized Crime,

Noting that technical assistance is a fundamental part of the work carried out by the United Nations Office on Drugs and Crime to assist Member States in the effective implementation of the United Nations Convention against Transnational Organized Crime and the Protocols thereto,¹⁶

Welcoming the work of the Working Group of Government Experts on Technical Assistance,

1. *Endorses* the recommendations adopted by the Working Group of Government Experts on Technical Assistance at its meeting held from 28 to 30 October 2013, which are contained in annex I to the present resolution;
2. *Also endorses* the recommendations adopted by the Working Group at its meeting held on 6 and 7 October 2014, during the seventh session of the Conference, which are contained in annex II to the present resolution;
3. *Reaffirms* its decision 4/3 of 17 October 2008, in which it decided that the Working Group should be a constant element of the Conference.

Annex I

Recommendations adopted by the Working Group of Government Experts on Technical Assistance at its meeting held from 28 to 30 October 2013

Please see the recommendations listed under the seventh meeting of the Working Group.

Annex II

Recommendations adopted by the Working Group of Government Experts on Technical Assistance at its meeting held on 6 and 7 October 2014

Please see the recommendations listed under the eighth meeting of the Working Group.

¹⁶Ibid.

VIII. Eighth session, 17 to 21 October 2016

Resolution 8/4

Implementation of the provisions on technical assistance of the United Nations Convention against Transnational Organized Crime

The Conference of the Parties to the United Nations Convention against Transnational Organized Crime,

Noting that technical assistance is a fundamental part of the work carried out by the United Nations Office on Drugs and Crime to assist Member States in the effective implementation of the United Nations Convention against Transnational Organized Crime and the Protocols thereto,¹⁷

Welcoming the work of the Working Group of Government Experts on Technical Assistance,

1. *Endorses* the recommendations adopted by the Working Group of Government Experts on Technical Assistance at its meeting held from 17 to 19 October 2016, during the eighth session of the Conference, annexed to the present resolution;

2. *Reaffirms* its decision 4/3 of 17 October 2008, in which it decided that the Working Group should be a constant element of the Conference.

Annex

Please see the recommendations listed under the ninth meeting of the Working Group.

¹⁷Ibid.

**Thematic index of
recommendations and
relevant resolutions
and decisions**

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Topic	Meeting report			Paragraphs/recommendations in compendium (section/paragraph/subparagraph)
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Topic	Meeting report			Paragraphs/recommendations in compendium (section/paragraph/subparagraph)
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Extradition	CTOC/COP/2008/7		4	II.4
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Topic	Meeting report			Paragraphs/recommendations in compendium (section/paragraph/subparagraph)
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	CTOC/COP/WG.2/2016/5		21	IX.17
	CTOC/COP/2014/13	Resolution 7/3	Annex I, 20	See part A.VII
	CTOC/COP/2016/15	Resolution 8/4	Annex, 18	See part A.IX
Judiciary (judges)	CTOC/COP/WG.2/2009/4		2 (f) (ii)	Part A IV.1.f.ii
	CTOC/COP/WG.2/2013/5		12, 17 and 18	VII.8, VII.13 and VII.14
	CTOC/COP/2006/14	Decision 3/4	2 (b) and 7 (c)	Part B III.2.b and III.7.c
	CTOC/COP/2012/15	Resolution 6/4	Annex, (b) (iii)	VI, annex, (b) (iii)
	CTOC/COP/2014/13	Resolution 7/3	Annex I, 8, 13 and 14	See part A.VII
Law enforcement authorities, agencies or officials	CTOC/COP/2008/7		5 (a)	Part A II.5.a
	CTOC/COP/WG.2/2009/4		2 (f) (ii) and 2 (h)	IV.1.f.ii and IV.1.h
	CTOC/COP/WG.2/2010/1		4 (c)	V.c
	CTOC/COP/WG.2/2013/5		12, 17 and 18	VII.8, VII.13 and VII.14
	CTOC/COP/WG.2/2014/4		7 and 8	VIII.3 and VIII.4
	CTOC/COP/WG.2/2016/5		19	IX.15
	CTOC/COP/2012/15	Resolution 6/4	Annex, (b) (iii)	Part B: VI, annex, (b) (iii)
	CTOC/COP/2014/13	Resolution 7/3	Annex I, 8, 13 and 14 Annex II, 3 and 4	See part A, VII and VIII
	CTOC/COP/2016/15	Resolution 8/4	Annex, 16	See part A.IX
Legal persons, liability of	CTOC/COP/WG.2/2013/5		28	Part A VII.24
	CTOC/COP/WG.2/2014/4		9–13 and 17 (d)	VIII.5–9 and VIII.13.d
	CTOC/COP/2014/13	Resolution 7/3	Annex I, 24 Annex II, 5–9 and 13 (d)	See part A, VII and VIII

Topic	Meeting report			Paragraphs/recommendations in compendium (section/paragraph/subparagraph)
	Document symbol	Resolution/decision	Paragraphs	
Legislative assistance	CTOC/COP/2008/7		3 and 4	Part A II.3 and II.4
	CTOC/COP/WG.2/2009/4		2 (e)	IV.1.e
	CTOC/COP/WG.2/2010/1		4 (i)	V.i
	CTOC/COP/WG.2/2013/5		17	VII.13
	CTOC/COP/2008/19	Decision 4/3	(e)	Part B: IV.e
	CTOC/COP/2014/13	Resolution 7/3	Annex I, 13	See part A.VII
Money-laundering	CTOC/COP/WG.2/2014/4		17 (c)	Part A VIII.13.c
	CTOC/COP/WG.2/2016/5		17–19 and 22	IX.13–15 and IX.18
	CTOC/COP/2014/13	Resolution 7/3	Annex II, 13 (c)	See part A.VIII
	CTOC/COP/2016/15	Resolution 8/4	Annex, 14–16 and 19	See part A.IX
Mutual legal assistance	CTOC/COP/WG.2/2009/4		2 (b) and 2 (f) (i)	Part A IV.1.b and IV.1.f.i
	CTOC/COP/WG.2/2013/5		27 and 34	VII.23 and VII.30
	CTOC/COP/WG.2/2016/5		9	IX.5
	CTOC/COP/2006/14	Decision 3/4	2 and 4	Part B: III.2 and III.4
	CTOC/COP/2014/13	Resolution 7/3	Annex I, 23 and 30	See part A.VII
	CTOC/COP/2016/15	Resolution 8/4	Annex, 6	See part A.IX
Paris Declaration on Aid Effectiveness	CTOC/COP/WG.2/2009/4		7	Part A IV.6
	CTOC/COP/WG.2/2013/5		30	VII.26
	CTOC/COP/2008/19	Decision 4/3	(h)	Part B: IV.h
	CTOC/COP/2014/13	Resolution 7/3	Annex I, 26	See part A.VII
Participation in an organized criminal group	CTOC/COP/WG.2/2014/4		5, 6 and 17 (a)	Part A: VIII.1 , VIII.2 and VIII.13.a
	CTOC/COP/2014/13	Resolution 7/3	Annex II, 1, 2 and 13 (a)	See part A.VIII
Practitioners	CTOC/COP/WG.2/2013/5		24	Part A VII.20
	CTOC/COP/WG.2/2017/4-CTOC/COP/WG.3/2017/4		7 (a)	X.a
	CTOC/COP/WG.2/2018/3-CTOC/COP/WG.3/2018/3		5 (b)	XI.b

Topic	Meeting report			Paragraphs/recommendations in compendium (section/paragraph/subparagraph)
	Document symbol	Resolution/decision	Paragraphs	
Practitioners <i>(continued)</i>	CTOC/COP/2006/14	Decision 3/4	2 (b)	Part B III.2.b
	CTOC/COP/2008/19	Decision 4/3	(e)	IV.e
	CTOC/COP/2014/13	Resolution 7/3	Annex I, 20	See part A.VII
Prosecution authorities	CTOC/COP/WG.2/2009/4		2 (f) (ii)	Part A IV.1.f.ii
	CTOC/COP/WG.2/2013/5		10–12, 17, 18 and 24	VII.6–8 , VII.13 , VII.14 and VII.20
	CTOC/COP/2006/14	Decision 3/4	2 (b)	Part B III.2.b
	CTOC/COP/2012/15	Resolution 6/4	Annex, (b) (iii)	VI, annex, (b) (iii)
	CTOC/COP/2014/13	Resolution 7/3	Annex I, 6–8, 13, 14 and 20	See part A.VII
Sharing Electronic Resources and Laws on Crime (SHERLOC) knowledge management portal	CTOC/COP/WG.2/2013/5		34	Part A VII.30
	CTOC/COP/WG.2/2016/5		7–9 and 16	IX.3–5 and IX.12
	CTOC/COP/WG.2/2017/4–CTOC/COP/WG.3/2017/4		7 (a) and (b)	X.a and X.b
	CTOC/COP/2014/13	Resolution 7/3	Annex I, 30	See part A.VII
	CTOC/COP/2016/15	Resolution 8/4	Annex, 4–6 and 13	See part A.IX
Technical assistance	CTOC/COP/2008/7		3–8, 10 and 12	Part A II.3–8 , II.10 and II.12
	CTOC/COP/WG.2/2009/4		2, 3, 5 and 7	IV.1 , IV.2 , IV.4 and IV.6
	CTOC/COP/WG.2/2010/1		4 (b), (c), (g)–(i), (k), (n) and (o)	V.b , V.c , V.g–i , V.k , V.n and V.o
	CTOC/COP/WG.2/2013/5		22, 29, 30 and 32–35	VII.18 , VII.25 , VII.26 and VII.28–31
	CTOC/COP/WG.2/2014/4		13, 15 and 17	VIII.9 , VIII.11 and VIII.13
	CTOC/COP/WG.2/2016/5		10, 16 and 21	IX.6 , IX.12 and IX.17
	CTOC/COP/2004/6	Decision 1/4	(a), (c) and (e)	Part B I.a , I.c and I.e
	CTOC/COP/2005/8	Decision 2/6	(c) (i), (c) (iii) and (d)	II.c.i , II.c.iii and II.d
	CTOC/COP/2006/14	Decision 3/4	(b), (c), (e), 7 and 12	III.b , III.c , III.e , III.7 and III.12
	CTOC/COP/2008/19	Decision 4/3	(a)–(c), (h), (i) (ii), (l), (m), (p) and (s)	IV.a–c , IV.h , IV.i.ii , IV.l , IV.m , IV.p and IV.s

Topic	Meeting report			Paragraphs/recommendations in compendium (section/paragraph/subparagraph)
	Document symbol	Resolution/decision	Paragraphs	
Technical assistance <i>(continued)</i>	CTOC/COP/2010/17	Resolution 5/6	1	V.1
	CTOC/COP/2012/15	Resolution 6/4	1	VI.1
	CTOC/COP/2014/13	Resolution 7/3	Annex, (d) Annex I, 18, 25, 26 and 28–31 Annex II, 9, 11 and 13	VI, annex, (d) See part A, VII and VIII
	CTOC/COP/2016/15	Resolution 8/4	Annex, 7, 13 and 18	See part A.IX
Threat assessment	CTOC/COP/2008/7		5	Part A II.5
	CTOC/COP/WG.2/2013/5		14	VII.10
	CTOC/COP/2012/15	Resolution 6/4	Annex, (b) (iv)	Part B: VI, annex, (b) (iv)
	CTOC/COP/2014/13	Resolution 7/3	Annex I, 10	See part A.VII
Tools	CTOC/COP/WG.2/2010/1		4 (i) and (l)	Part A V.i and V.l
	CTOC/COP/WG.2/2013/5		17 and 34	VII.13 and VII.30
	CTOC/COP/WG.2/2018/3-CTOC/COP/WG.3/2018/3		5 (c)	XI.c
	CTOC/COP/2012/15	Resolution 6/4	Annex, (b) (iv)	Part B: VI, annex, (b) (iv)
	CTOC/COP/2014/13	Resolution 7/3	Annex I, 13 and 30	See part A.VII
Training	CTOC/COP/WG.2/2013/5		12, 17 and 23	Part A VII.8 , VII.13 and VII.19
	CTOC/COP/WG.2/2014/4		7–11	VIII.3–7
	CTOC/COP/WG.2/2016/5		17, 19 and 20	IX.13 , IX.15 and IX.16
	CTOC/COP/WG.2/2018/3-CTOC/COP/WG.3/2018/3		5 (a)	XI.a
	CTOC/COP/2006/14	Decision 3/4	2 (b)	Part B III.2.b
	CTOC/COP/2008/19	Decision 4/3	(e)	IV.e
	CTOC/COP/2014/13	Resolution 7/3	Annex I, 8, 13 and 19 Annex II, 3–7	See part A, VII and VIII
	CTOC/COP/2016/15	Resolution 8/4	Annex, 14, 16 and 17	See part A.IX

Topic	Meeting report			Paragraphs/recommendations in compendium (section/paragraph/subparagraph)
	Document symbol	Resolution/decision	Paragraphs	
United Nations Convention against Transnational Organized Crime	CTOC/COP/2008/7		2-4 and 6	Part A II.2-4 and II.6
	CTOC/COP/WG.2/2009/4		2 (b)-(d), 3 and 7	IV.1.b-d , IV.2 and IV.6
	CTOC/COP/WG.2/2010/1		4 (b), (f), (k), (n) and o	V.b , V.f , V.k , V.n and V.o
	CTOC/COP/WG.2/2013/5		7, 29, 32, 33 and 35	VII.3 , VII.25 , VII.28 , VII.29 and VII.31
	CTOC/COP/WG.2/2014/4		14-16	VIII.10-12
	CTOC/COP/WG.2/2016/5		5, 9, 10 and 17	IX.1 , IX.5 , IX.6 and IX.13
	CTOC/COP/WG.2/2018/3-CTOC/COP/WG.3/2018/3		5 (d)	XI.d
	CTOC/COP/2004/6	Decision 1/4	(a)	Part B I.a
	CTOC/COP/2005/8	Decision 2/6	(a), (b) and (c) (iii)	II.a , II.b and II.c.iii
	CTOC/COP/2006/14	Decision 3/4	(b), (d), 1, 3, 4, 6 and 12	III.b , III.d , III.1 , III.3 , III.4 , III.6 and III.12
	CTOC/COP/2008/19	Decision 4/3	(c), (k), (o) and (p)	IV.c , IV.k , IV.o and IV.p
	CTOC/COP/2010/17	Resolution 5/6	PP 1	V.PP.1
	CTOC/COP/2012/15	Resolution 6/4	PP 1 Annex, (b) (v) and (c) (i)	VI.PP.1 VI , annex, (b) (v) and (c) (i)
	CTOC/COP/2014/13	Resolution 7/3	Annex I, 3, 25, 28, 29 and 31 Annex II, 10-12	See part A, VII and VIII
	CTOC/COP/2016/15	Resolution 8/4	Annex, 2, 6, 7 and 14	See part A.IX
United Nations crime prevention and criminal justice programme network	CTOC/COP/WG.2/2013/5		24	Part A: VII.20
	CTOC/COP/2008/19	Decision 4/3	(r)	Part B: IV.r
	CTOC/COP/2014/13	Resolution 7/3	Annex I, 20	See part A.VII
United Nations Office on Drugs and Crime (UNODC)	CTOC/COP/WG.2/2009/4		7	Part A IV.6
	CTOC/COP/WG.2/2016/5		6, 9, 10 and 16	IX.2 , IX.5 , IX.6 and IX.12
	CTOC/COP/WG.2/2018/3-CTOC/COP/WG.3/2018/3		5 (a), (c) and (d)	XI.a , XI.c and XI.d
	CTOC/COP/2016/15	Resolution 8/4	Annex, 3, 6, 7 and 13	See part A.IX

Topic	Meeting report			Paragraphs/recommendations in compendium [section/paragraph/subparagraph]
	Document symbol	Resolution/decision	Paragraphs	
Victims assistance	CTOC/COP/2008/7		3 (c)	Part A II.3.c
	CTOC/COP/WG.2/2009/4		2 (g)	IV.1.g
	CTOC/COP/WG.2/2013/5		10, 11, 13, 14, 18 and 19	VII.6 , VII.7 , VII.9 , VII.10 , VII.14 and VII.15
	CTOC/COP/2006/14	Decision 3/4	7 (a) and (c)	Part B III.7.a and III.7.c
	CTOC/COP/2012/15	Resolution 6/4	Annex, (b) (ii)	VI, annex, (b) (ii)
	CTOC/COP/2014/13	Resolution 7/3	Annex I, 6, 7, 9, 10, 14 and 15	See part A.VII
Witness protection	CTOC/COP/2008/7		3 (c)	Part A II.3.c
	CTOC/COP/WG.2/2013/5		15, 17, 19 and 20	VII.11 , VII.13 , VII.15 and VII.16
	CTOC/COP/WG.2/2016/5		12	IX.8
	CTOC/COP/2012/15	Resolution 6/4	Annex, (b) (ii)	Part B: VI, annex, (b) (ii)
	CTOC/COP/2014/13	Resolution 7/3	Annex I, 11, 13, 15 and 16	See part A.VII
	CTOC/COP/2016/15	Resolution 8/4	Annex, 9	See part A.IX



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