



Conference of the Parties to the United Nations Convention against Transnational Organized Crime

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Open-ended intergovernmental working group on the review of the implementation of the United Nations Convention against Transnational Organized Crime and the Protocols thereto

Vienna, 17-19 May 2011

Provisional agenda and annotations

1. Organizational matters:
 - (a) Opening of the meeting;
 - (b) Adoption of the agenda and organization of work.
2. Lessons learned from the pilot programme to review the implementation of the United Nations Convention against Transnational Organized Crime and the Protocols thereto.
3. Presentation of the comprehensive self-assessment software (“omnibus survey software”).
4. Terms of reference for a review mechanism or mechanisms, guidelines for governmental experts and a blueprint for the country review reports: proposals and initiatives of States parties and signatories.
5. Other matters.
6. Adoption of the report.

Annotations

1. **Organizational matters**
 - (a) **Opening of the meeting**

The meeting of the open-ended intergovernmental working group on the review of the implementation of the United Nations Convention against Transnational Organized Crime and the Protocols thereto will be opened on Tuesday, 17 May 2011, at 10 a.m.



(b) Adoption of the agenda and organization of work

The provisional agenda for the meeting was prepared in accordance with resolution 5/5, entitled “Review of the implementation of the United Nations Convention against Transnational Organized Crime and the Protocols thereto”, adopted by the Conference of the Parties to the United Nations Convention against Transnational Organized Crime at its fifth session, held in Vienna from 18 to 22 October 2010.

In its resolution 5/5, the Conference recalled article 32 of the United Nations Convention against Transnational Organized Crime, according to which the Conference is to promote and review the implementation of the Convention and is to agree upon mechanisms for achieving that objective.

Also in its resolution 5/5, the Conference noted the progress report on the voluntary pilot programme to review implementation of the Convention and the Protocols thereto and decided to establish an open-ended intergovernmental working group to consider and explore options and make proposals for the establishment of a mechanism or mechanisms to assist the Conference in reviewing the implementation.

The proposed organization of work (see annex) was prepared to enable the working group to perform its mandated functions within the time and according to the conference services available to the meeting.

The resources available to the working group will permit the holding of two plenary meetings per day with interpretation in the six official languages of the United Nations.

2. Lessons learned from the pilot programme to review the implementation of the United Nations Convention against Transnational Organized Crime and the Protocols thereto

In its decision 4/1, the Conference considered that it was necessary to explore options regarding an appropriate and effective mechanism to assist the Conference in the review of the implementation of the Convention and its Protocols and requested the United Nations Office on Drugs and Crime to convene at least one meeting of experts on possible mechanisms to review implementation of the United Nations Convention against Transnational Organized Crime. The experts held two meetings in Vienna: on 30 September 2009 and on 25 and 26 January 2010. At the second meeting, the experts recommended that, subject to the availability of extrabudgetary resources, interested States parties might explore with the Secretariat ways and means of reviewing their implementation of the Convention and its Protocols.

On 14 April 2010, the Secretariat informed States parties of the launch of the pilot programme to review the implementation of the Convention and the Protocols thereto, which would be voluntary and limited in scope and time.

The Secretariat assisted 12 participating States in such a review and presented, to the Conference at its fifth session, a progress report and lessons learned from the pilot programme (CTOC/COP/2010/CRP.1). A summary of lessons learned will be presented to the working group.

3. Presentation of the comprehensive self-assessment software (“omnibus survey software”)

In its resolution 5/5, the Conference stressed the urgent need to finalize a software-based user-friendly comprehensive self-assessment checklist, including its availability in the six official languages of the United Nations, in order to facilitate the gathering of information on the implementation of the Convention and the Protocols thereto.

The Secretariat has made efforts to finalize the English version of the software and improve its effectiveness. The working group will view a presentation of the comprehensive self-assessment software (“omnibus survey software”). The Secretariat is seeking extrabudgetary resources to have the software translated into the official languages of the United Nations, pursuant to Conference resolutions 5/5 and 5/6.

4. Terms of reference for a review mechanism or mechanisms, guidelines for governmental experts and a blueprint for the country review reports: proposals and initiatives of States parties and signatories

In its resolution 5/5, the Conference decided to establish a working group to prepare terms of reference for the proposed review mechanism or mechanisms, guidelines for governmental experts and a blueprint for the country review reports, for consideration and possible adoption by the Conference at its sixth session; and agreed that the working group might consider, as a basis of its work, proposals and initiatives submitted by States parties and signatories in that regard in advance of the meetings of the working group, including the proposals contained in the annexes I and II to the resolution.

The Secretariat sent notes verbales dated 31 December 2010 and 10 March 2011, inviting States parties and signatories to provide such proposals and initiatives in view of the preparation of the meeting. In order to facilitate the discussion, the Secretariat will make available in a conference room paper (CTOC/COP/WG.5/2010/CRP.1) the proposals and initiatives received.

The working group will have before it for its consideration the following proposals annexed to Conference resolution 5/5:

(a) Terms of reference of the mechanism for the review of implementation of the United Nations Convention against Transnational Organized Crime and the Protocols thereto;

(b) Guidelines for governmental experts and the secretariat in the conduct of country reviews;

(c) Blueprint for country review reports and executive summaries.

Documentation

Draft terms of reference of the mechanism for the review of implementation of the United Nations Convention against Transnational Organized Crime and the Protocols thereto (CTOC/COP/WG.5/2011/2)

Draft guidelines for governmental experts and the secretariat in the conduct of country reviews (CTOC/COP/WG.5/2011/3)

Draft blueprint for country review reports and executive summaries
(CTOC/COP/WG.5/2011/4)

5. Adoption of the report

The working group is to adopt a report on its meeting, the draft of which will be prepared by the Secretariat.

Annex

Proposed organization of work

<i>Date and time</i>	<i>Agenda item</i>	<i>Title or description</i>
Tuesday, 17 May		
10 a.m.-1 p.m.	1 (a)	Opening of the meeting
	1 (b)	Adoption of the agenda and organization of work
	2	Lessons learned from the pilot programme to review the implementation of the Convention and the Protocols thereto
3-6 p.m.	3	Presentation of the “omnibus survey software”
Wednesday, 18 May		
10 a.m.-1 p.m.	4	Terms of reference for a review mechanism or mechanisms, guidelines for governmental experts and a blueprint for the country review reports: proposals and initiatives of States parties and signatories
3-6 p.m.	4	Terms of reference for a review mechanism or mechanisms, guidelines for governmental experts and a blueprint for the country review reports (<i>continued</i>)
Thursday, 19 May		
10 a.m.-1 p.m.	4	Terms of reference for a review mechanism or mechanisms, guidelines for governmental experts and a blueprint for the country review reports (<i>continued</i>)
3-6 p.m.	4	Terms of reference for a review mechanism or mechanisms, guidelines for governmental experts and a blueprint for the country review reports (<i>continued</i>)
	5	Other matters
	6	Adoption of the report