



Conference of the Parties to the United Nations Convention against Transnational Organized Crime

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Items 4 and 7 of the provisional agenda*

Technical assistance

Financial and budgetary matters

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Note by the Secretariat

1. The present note has been prepared pursuant to rule 72, entitled “Preparation of a budget”, of the rules of procedure for the Conference of the Parties to the United Nations Convention against Transnational Organized Crime, in which the secretariat is requested to prepare a budget for the financing of activities of the Conference related to technical cooperation undertaken in accordance with articles 29 to 32 of the United Nations Convention against Transnational Organized Crime,¹ article 10 of the Protocol to Prevent, Suppress and Punish Trafficking in Persons, Especially Women and Children, supplementing the Organized Crime Convention,² article 14 of the Protocol against the Smuggling of Migrants by Land, Sea and Air, supplementing the Organized Crime Convention,³ and article 14 of the Protocol against the Illicit Manufacturing of and Trafficking in Firearms, Their Parts and Components and Ammunition, supplementing the Organized Crime Convention.⁴

2. Pursuant to article 30, paragraph 2 (c), of the Organized Crime Convention, States parties to the Convention shall make concrete efforts to provide technical assistance to developing countries and countries with economies in transition to assist them in meeting their needs for the implementation of the Convention and, to that end, to endeavour to make adequate and regular voluntary contributions to an account specifically designated for that purpose in a United Nations funding mechanism.

* CTOC/COP/2010/1.

¹ United Nations, *Treaty Series*, vol. 2225, No. 39574.

² *Ibid.*, vol. 2237, No. 39574.

³ *Ibid.*, vol. 2241, No. 39574.

⁴ *Ibid.*, vol. 2326, No. 39574.



3. By its resolution 55/25, the General Assembly adopted the Organized Crime Convention and decided that, until the Conference decided otherwise, that account would be operated within the United Nations Crime Prevention and Criminal Justice Fund, and encouraged Member States to begin making adequate voluntary contributions to the above-mentioned account for the provision to developing countries and countries with economies in transition of the technical assistance that they might require for implementation of the Convention and the Protocols thereto, including for the preparatory measures needed for that implementation.
4. In order to comply with General Assembly resolution 55/25, an account was opened within the United Nations Crime Prevention and Criminal Justice Fund for the provision of the above-mentioned activities.
5. In its decision 2/6, entitled “Technical assistance activities”, the Conference decided to establish an open-ended interim working group to advise and assist it in the implementation of its mandate on technical assistance. The first meeting of the Open-ended Interim Working Group of Government Experts on Technical Assistance took place during the third session of the Conference, held from 9 to 18 October 2006, when it identified priority areas and adopted recommendations for technical assistance activities. Those recommendations were endorsed by the Conference in its decision 3/4, entitled “Recommendations of the Open-ended Interim Working Group of Government Experts on Technical Assistance”.
6. The Working Group held its second meeting in Vienna from 3 to 5 October 2007. On the basis of the identified priority areas, the Conference set out a number of proposals for technical assistance activities (CTOC/COP/2008/16). During the fourth session of the Conference, held from 8 to 17 October 2008, the Working Group held another meeting. Its recommendations were adopted by the Conference and are contained in Conference decision 4/3, entitled “Implementation of the provisions on technical assistance of the United Nations Convention against Transnational Organized Crime”. Pursuant to that decision, another meeting of the Working Group was held in Vienna on 1 and 2 October 2009.
7. Contributions for the technical assistance activities required to implement the Convention and the Protocols thereto have been made to various accounts and projects, not solely to the account opened within the United Nations Crime Prevention and Criminal Justice Fund for the provision of the above-mentioned activities. In line with article 30 of the Convention and pursuant to General Assembly resolution 55/25, the Secretariat invites Member States to contribute directly to the account specifically created to assist in the implementation of the Convention, with a view to enhancing transparency and reporting. Information on the current status of the account is contained in annex I to the present note, while annex II presents information on all the projects implemented by the United Nations Office on Drugs and Crime that contribute to countering transnational organized crime and trafficking.

Annex I

Unaudited financial report on the use of contributions to the United Nations Crime Prevention and Criminal Justice Fund, United Nations Convention against Transnational Organized Crime component

Table 1
Status of unpaid pledges to the United Nations Crime Prevention and Criminal
Justice Fund, United Nations Convention Against Transnational Organized
Crime component, as at 30 June 2010
(United States dollars)

Donor	Amount pledged							Total (a)	Collection (b)	Unpaid pledges (a) minus (b) ^d
	1998- 1999	2000- 2001	2002- 2003	2004- 2005	2006- 2007	2008- 2009	2010- 2011			
Austria	35 956	-	-	-	-	-	-	35 956	35 956	-
Canada ^a	-	129 776	41 379	-	21 160	92 193	-	284 508	269 062	-
France	-	200 123	-	-	129 683	290 281	134 590	754 677	755 040	-
Greece	-	-	30 000	-	-	-	-	30 000	30 000	-
Italy ^b	13 549	238 377	227 390	163 094	-	-	-	642 410	642 410	-
Japan	300 000	433 410	94 000	-	-	50 000	-	877 410	877 410	-
Monaco	-	-	16 432	-	-	-	-	16 432	16 432	-
Norway	13 047	-	-	-	-	303 905	-	316 952	327 908	-
Poland	19 726	-	-	-	-	-	-	19 726	19 726	-
Korea	-	-	-	-	200 000	300 000	-	500 000	500 000	-
United Kingdom	-	-	-	-	-	-	-	-	-	-
United States	421 590	177 910	200 000	17 000	-	245 000	-	1 061 500	816 500	245 000
	803 868	1 179 596	609 201	180 094	350 843	1 281 379	134 590	4 539 571	4 290 444	245 000
Asia Crime Prevention Foundation ^c	9 397	35 846	-	-	-	-	-	45 243	45 243	-
Total	813 265	1 215 442	609 201	180 094	350 843	1 281 379	134 590	4 584 814	4 335 687	245 000

^a Canada's contribution for the period 2006-2007 of \$27,394 was transferred and used for the second meeting of the Intergovernmental Expert Group to Prepare a Study on Fraud and the Criminal Misuse and Falsification of Identity, held in Vienna from 16 to 19 January 2007.

^b Italy contributed a total of \$689,610, of which \$47,200 was transferred and used for activities to address transnational organized crime in Brazil and Viet Nam.

^c The Asia Crime Prevention Foundation contributed a total of \$55,243, of which \$10,000 was transferred to project VIER21 and used for activities in Viet Nam (Asia) to address transnational organized crime.

^d Except for the United States of America, the difference between the total (a) and the collection (b) are the result of exchange rate fluctuations.

Table 2
Projected availability of funds, as at 30 June 2010
 (United States dollars)

I. Income		
(a)	Contributions received as at 30 June 2010	4 335 687
(b)	Add: interest available	39 567
(c)	Add: prior periods savings	74 665
(d)	Add: miscellaneous income	10 372
(e)	Add: adjustment (gain/loss) as at 30 June 2010	-4 125
Amounts available for expenditure ((a)+(b)+(c)+(d)+(e))		4 456 166
II. Requirements		
(f)	Total expenditure for the period 1 January 2000-31 December 2009	3 362 105
(g)	Add: allotments issued in 2010	961 700
(h)	Add: estimates for 2011	3 000 000
Total estimated requirements		7 323 805
Estimated availability of funds (I-II)		-2 867 639

Annex II

Expenditures and estimates for projects implemented by the United Nations Office on Drugs and Crime that contribute to countering transnational organized crime and trafficking, based on information available as of 31 July 2010

<i>Thematic area</i>	<i>Project</i>	<i>Expenditures 2008-2009 (thousands of United States dollars)</i>	<i>Estimates 2010-2011 (thousands of United States dollars)</i>
Human trafficking			
	Combating trafficking in human beings in Colombia (COLR52)	411.7	289.8
	Establishment of a database on flows of trafficking in human beings (GLOR37)	(0.2)	-
	Creation of advanced anti-human-trafficking training manuals on the protection of victims and the investigation and prosecution of traffickers (GLOS58)	162.8	1 370.0
	Global Initiative to Fight Human Trafficking (UN.GIFT) (GLOS83)	6 976.9	5 879.0
	Promoting the implementation of the Protocol to Prevent, Suppress and Punish Trafficking in Persons, especially Women and Children, and the Protocol against the Smuggling of Migrants by Land, Sea and Air, supplementing the United Nations Convention against Transnational Organized Crime (GLOT55)	235.9	2 987.0
	Global programme against trafficking (GLOT59)	1 643.3	1 441.0
	Strengthening the law enforcement response in India against trafficking in persons and sex tourism in key states and cities through training and capacity-building (INDS16)	727.8	35.6
	Reducing children's vulnerability to abuse and rehabilitating survivors (INDS98)	355.0	142.3
	Building capacity to combat trafficking in persons in the Republic of Moldova (MOLR85)	81.1	16.6
	Assistance for the implementation of the Economic Community of West African States plan of action against trafficking in persons (RAFR60)	(16.2)	-
	Criminal justice responses to trafficking in human beings in Slovakia (SLOR19)	206.7	-
	Strengthening the criminal justice response to trafficking in persons in Uzbekistan (UZBS20)	398.6	58.5
	Strengthening legal and law enforcement institutions preventing and combating trafficking in persons in Viet Nam (phase two) (VIER21)	47.4	-
	Capacity-building for member States of the Southern African Development Community in the ratification and implementation of the United Nations Convention against Transnational Organized Crime and its first two Protocols (XASS69)	146.1	555.3
	Strengthening the criminal justice response to trafficking in persons in the Black Sea region (XCES45)	321.1	-
	Enhancing operational capacity to investigate and disrupt human trafficking activities in the Western Balkans (XCES50)	967.2	79.3

<i>Thematic area</i>	<i>Project</i>	<i>Expenditures 2008-2009 (thousands of United States dollars)</i>	<i>Estimates 2010-2011 (thousands of United States dollars)</i>
	Preparatory regional project on fostering cooperation between non-governmental organizations and law enforcement entities in preventing and combating human trafficking in, from and to the Baltic Sea region (XEET56)	98.1	73.4
	Building capacity and expanding anti-trafficking networks for improved support to victims of trafficking (XSAS78)	386.4	213.3
	Subtotal	13 149.6	13 141.1
Money-laundering			
	Global Programme against Money-Laundering (GLOB79)	541.2	1 375.1
	Global Programme against Money-Laundering, Proceeds of Crime and Financing of Terrorism (GLOU40)	4 328.3	4 009.2
	Subtotal	4 869.5	5 384.3
Organized crime			
	Preventing and combating the illicit manufacturing of and trafficking in firearms and ammunition as a means to prevent crime and promote a culture of peace in Colombia (COLS60)	577.6	119.4
	Preventing and combating organized crime in Colombia (COLS58)	7.3	246.6
	Anti-organized crime and counter-narcotics enforcement in Cape Verde (CPVS28)	2 423.4	2 232.3
	Assistance for the implementation of the national integrated Programme to fight transnational organized crime and the strengthening of the criminal justice system in Ghana (GHAU80)	-	149.9
	Assistance to the signatories of the United Nations Convention against Transnational Organized Crime and its Protocols (GLOR22)	522.4	1 274.3
	Intelligence and law enforcement systems (GLOT29)	816.1	3 705.6
	Global Programme for Strengthening the Capacities of Member States to Prevent and Combat Organized and Serious Crime (GLOT32)	795.6	1 394.1
	Support to the Conference of the Parties to the United Nations Convention against Transnational Organized Crime (GLOT60)	1 065.6	961.7
	Support in the fight against drug trafficking in Guinea-Bissau: strengthening the rule of law and ameliorating the institutional and legislative framework in Guinea-Bissau (GNBJ74)	186.0	400.4
	Guinea-Bissau anti-trafficking: establishment of a specialized unit within the judicial police to investigate and combat drug trafficking and organized crime (GNBU44)	1 587.2	1 266.7
	Centre for training the security forces of Guinea-Bissau: United Nations Office on Drugs and Crime-Brazil partnership for the promotion of South-South cooperation (GNBU70)	-	3 263.0
	Support to improve security by building the capacity of the Jakarta Centre for Law Enforcement Cooperation (IDNT80)	-	5 452.8
	Support for prison reform in Kyrgyzstan (KGZT90)	-	1 389.8
	Assistance for the implementation of the integrated national programme for the control of trafficking and crime in Mali (phase one) (MLIU58)	-	782.0
	Provision of a crime prevention/law enforcement adviser for the United Nations Office on Drugs and Crime programme in Pakistan (PAKU16)	447.7	28.9

<i>Thematic area</i>	<i>Project</i>	<i>Expenditures 2008-2009 (thousands of United States dollars)</i>	<i>Estimates 2010-2011 (thousands of United States dollars)</i>
	Umbrella project in support of the development of new initiatives and the implementation of the programme for Panama and Central America on strengthening capacities to fight organized crime and drug trafficking (PANU66)	-	205.0
	Assessment of transnational organized crime in Central Asia (RASR09)	- 6.8	-
	Strengthening alliances in the Common Market of the Southern Cone (MERCOSUR): citizen security, violence prevention and firearms control (preparatory assistance) (RLAS06)	- 0.5	-
	Support to criminal intelligence capacities in Montenegro and Serbia (SCGS29)	58.4	-
	Strengthening the capacity of the forestry protection department's task force on forest crime (VNMT30)	21.0	287.3
	Combating maritime piracy in the Horn of Africa and the Indian Ocean: increasing regional capacities to deter, detain and prosecute pirates (XAMT72)	1 161.1	3 966.0
	Assistance to the Economic Community of West African States commission for the development and implementation of a drug control and organized crime strategy for West Africa (phase one) (XAMU50)	413.1	1 550.6
	Establishment of real-time operational communication between selected airports in West Africa (XAWU72)	-	1 620.3
	Santo Domingo Pact and Managua Mechanism Central American Integration System (SICA) and United Nations Office on Drugs and Crime (XCAU81)	-	381.2
	Support to criminal intelligence capacities in Montenegro and Serbia (XCET86)	225.6	19.2
	Strengthening witness protection in the Republic of Moldova and Ukraine (XEET46)	-	129.6
	Strengthening witness protection in the southern Caucasus (XEEU25)	160.8	-
	Strengthening law enforcement capacity (border control operations) and the criminal justice response to smuggling of migrants and trafficking in persons (ZAFI54)	188.9	325.6
	Proposed programme on cybercrime (estimated) (GLOXXX)	-	1 500.0
	Legal Advisory Programme (GLO900)	2 845.3	2 713.5
	Strengthening the capacity of Pakistan to respond to threats from drugs, crime and terrorism: development of a comprehensive programme of assistance (PAKU63)	-	631.2
	Regional Programme for Promoting the Rule of Law and Human Security in South-Eastern Europe (2009-2011) (phase one) (XCEU60)	1 523.7	435.4
	Subtotal	15 019.7	36 432.4
Counter-narcotics enforcement			
	Strengthening counter-narcotics law enforcement capacities in Afghanistan (phase one) (AFGG38)	0.8	-
	Strengthening Afghan-Iranian drug border control and cross-border cooperation (AFGH16)	1 204.8	-
	Support for a counter-narcotics training unit within the Afghan police academy (AFGI77)	2 335.0	2 153.1

<i>Thematic area</i>	<i>Project</i>	<i>Expenditures 2008-2009 (thousands of United States dollars)</i>	<i>Estimates 2010-2011 (thousands of United States dollars)</i>
	Regional cooperation in precursor control between Afghanistan and neighbouring countries (AFGI85)	1 540.6	1 204.8
	Strengthening the operational capability of the Counter-Narcotics Police of Afghanistan (AFGJ43)	2 178.8	2 228.0
	Integrated border control project in western and south-western Afghanistan (AFGJ55)	2 859.2	4 262.4
	Strengthening the operational capacity of the United Nations Office on Drugs and Crime to support the Government of Afghanistan in tackling drugs and crime (AFGK12)	222.9	1 260.6
	Strengthening border control capacity in Albania (ALBG70)	783.5	661.2
	Strengthening the capacity of the Bulgarian National Customs Agency to use intelligence against drugs (BGRJ41)	3.9	-
	Law enforcement and intelligence cooperation against cocaine trafficking from Latin America to West Africa (COLJ66)	710.5	158.6
	Container Control Programme (GLOG80)	3 397.2	2 818.5
	Strengthening drug and related crime control measures at selected checkpoints in Kazakhstan (KAZI76)	515.6	239.7
	Establishment of inter-agency law enforcement mobile groups in Kyrgyzstan (KGZI75)	819.2	133.3
	Drug control agency in Kyrgyzstan (KYRG64)	452.3	-
	Computer-based law enforcement training to Pakistan (PAKI47)	506.3	564.1
	Pakistan border management (PAKJ61)	2 403.2	1 274.4
	Regional precursor control project for South and South-West Asia (RASH60)	285.3	11.8
	Strengthening capacities for collection and analysis of criminal intelligence in South-Eastern Europe (RERF35)	-	-
	Building institutional capacity to respond to the threat posed by drug trafficking and organized crime in Sierra Leone (SLEU74)	-	797.3
	Strengthening the enforcement capacity of the Customs Administration of Serbia (SRBT82)	155.6	350.6
	Strengthening the Turkish International Academy against Drugs and Organized Crime (TURG36)	286.4	174.9
	Strengthening capacity for the interdiction of drugs in rural areas in Turkey (TURJ65)	3 087.5	29.5
	Law enforcement capacity-building in the fight against drug trafficking in selected countries in West Africa (XAWU53)	451.3	759.2
	Strengthening national capacities and cross-border cooperation to tackle organized crime and drug trafficking undermining peace and development in the Mano River Union (phase one) (XAWU64)	201.0	222.7
	Programme of capacity-building in the Western Balkans and the Mediterranean region through the exchange of selected drug law enforcement officers (XCEI89)	1 008.2	74.1
	Strengthening drug law enforcement capacities in South Asia (XSAJ81)	291.4	403.7
	Subtotal	25 700.4	19 782.5

<i>Thematic area</i>	<i>Project</i>	<i>Expenditures 2008-2009 (thousands of United States dollars)</i>	<i>Estimates 2010-2011 (thousands of United States dollars)</i>
Smuggling of migrants			
	Global programme against smuggling of migrants (GLOT92)	-	150.0
	Subtotal	-	150.0
	Grand total	58 739.2	74 890.3