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List of statements of financial implications presented to the United Nations Convention against Transnational Organized Crime before its consideration of draft resolutions at its sixth session

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Annex I

Financial statement on the revised draft resolution entitled “Ensuring effective implementation of the United Nations Convention against Transnational Organized Crime and the Protocols thereto”

1. The present statement is made in accordance with rule 75 of the rules for procedure of the Conference of the Parties to the United Nations Convention against Transnational Organized Crime.

2. Under the terms of operative paragraphs 4, 5, 7, 8, 10, 12, 13, 16, 19, and 20 of revised draft resolution CTOC/COP/2012/L.4/Rev.2, the Conference of the Parties to the United Nations Convention against Transnational Organized Crime would:

(a) *Requests* the United Nations Office on Drugs and Crime, in consultation with Member States and in cooperation with the institutes of the United Nations crime prevention and criminal justice programme network, to continue its activities to strengthen the collection, analysis and reporting of accurate, reliable and comparable data on organized crime trends and patterns, in accordance with article 28 of the Convention;

(b) *Also requests* the United Nations Office on Drugs and Crime to continue raising awareness of the negative impact of organized crime, through advocacy campaigns and other measures, including outreach to and partnerships with civil society and the private sector, commends the Office for its public service announcement on organized crime and ongoing media campaign and encourages States parties to support that campaign;

(c) *Requests* the United Nations Office on Drugs and Crime to continue providing technical assistance in order to support and complement national, regional and thematic programmes and activities based on the needs and priorities of Member States in combating transnational organized crime;

(d) *Encourages* the United Nations Office on Drugs and Crime to make use of the technical assistance tools that have been developed, such as handbooks, digests and legal tools, and continue developing new tools, as appropriate, with a view to improving the capacity of States to implement the Convention and the Protocols thereto, and requests the Office to promote and disseminate such tools and to continue facilitating the exchange of experiences and good practices among practitioners, including through the Sharing Electronic Resources and Laws against Organized Crime knowledge management portal and an online digest newsletter;

(e) *Welcomes* the activities carried out by the Working Group on International Cooperation and requests the United Nations Office on Drugs and Crime, in conjunction with Member States, to continue to establish networks and other mechanisms to facilitate formal and informal cooperation, including through regional and interregional meetings and exchanges of experience among practitioners, with a view to taking stock of and sharing knowledge acquired through the above-mentioned instruments and mechanisms and the Working Group;

(f) *Decides* that the mandates for the Working Group on Trafficking in Persons should be continued and that its areas for future work should reflect, as appropriate, the recommendations contained in the report of the Working Group,¹ welcomes the United Nations Office on Drugs and Crime issue paper on abuse of a position of vulnerability and other means within the definition of trafficking in persons and requests the Secretariat to continue its work on the analysis of key concepts of the Protocol to Prevent, Suppress and Punish Trafficking in Persons, Especially Women and Children, supplementing the United Nations Convention on Transnational Organized Crime,² by preparing similar technical papers;

(g) *Requests* the United Nations Office on Drugs and Crime to increase its inter-agency coordination work concerning efforts to combat trafficking in persons, especially regarding the work of the Inter-Agency Coordination Group against Trafficking in Persons, and to promote the use of new technologies to raise awareness of the issue of trafficking in persons, through activities such as virtual teaching and the involvement of adolescents and young people in the development of prevention strategies in order to keep them mindful of the responsible use of information and communication technologies;

(h) *Welcomes* the report on technical assistance provided to States in the application of the Convention to new forms and dimensions of transnational organized crime,³ concerning cybercrime, maritime piracy, environmental crime, trafficking in cultural property, trafficking in organs and fraudulent medicines, encourages States parties to further strengthen their domestic laws, as appropriate, to prevent and combat new forms and dimensions of transnational organized crime, including when committed at sea, in a manner consistent with the Convention, and requests the United Nations Office on Drugs and Crime to support States parties with the relevant technical assistance;

(i) *Urges* States parties to make adequate voluntary contributions to the account established in accordance with article 30, paragraph 2 (c), of the Convention for the provision of technical assistance, and invites Member States and other donors to provide extrabudgetary resources in accordance with the rules and procedures of the United Nations;

(j) *Also urges* States parties to promote, within the United Nations system, a strategic, proactive and holistic response to transnational organized crime, and requests the Secretariat to submit to the Conference, at its seventh session, a report on measures taken to implement the present resolution and to mainstream responses to transnational organized crime into the work of the United Nations system.

3. The requests contained in operative paragraphs 4, 7, 16 and 19 would be subject to the availability of extrabudgetary resources. UNODC will implement such activities subject to the availability of assistance from States parties and signatory States.

4. With regards to the request contained in operative paragraph 5, it is envisaged that additional extrabudgetary resources in the amount of \$238,500 would be

¹ CTOC/COP/WG.4/2011/8, paras. 46-51.

² United Nations, *Treaty Series*, vol. 2237, No. 39574.

³ CTOC/COP/2012/7.

required to support the dissemination of the UNODC transnational organized crime campaign and national campaigns.

5. With regards to the request contained in operative paragraph 8, it is envisaged that additional extrabudgetary resources in the amount of \$304,300 would be required for the development of three new tools on aspects of the Convention and the Protocols thereto.

6. With regards to the request contained in operative paragraph 8, it is envisaged that the promotion and dissemination of the digest of organized crime cases will require extrabudgetary resources in the amount of \$976,000 for the holding of 4 regional meetings of practitioners, one expert group meeting, the development and maintenance of an online newsletter (4 issues per year) and an exchange platform, as well as the drafting and publication of a second edition of the digest in 2014. In addition, it is estimated that further development of the Sherlock knowledge management portal will require extrabudgetary resources in the amount of \$216,600.

7. With regards to operative paragraph 10, it is expected that two regional meetings on international cooperation will require extrabudgetary resources in the amount of \$133,100 and that networks and regional and interregional meetings will require extrabudgetary resources in the amount of \$1,341,600.

8. With regards to operative paragraph 12, it is expected that the development of two technical issue papers providing guidelines and basic principles on key concepts in Article 3 of the Trafficking in Persons Protocol will require extrabudgetary resources in the amount of \$373,400.

9. With regards to operative paragraph 12 and the extension of the mandate of the Working Group on Trafficking in Persons the entitlements of the Working Group established by the Conference will be reviewed in the context of the budget submission for the biennium 2014-2015. The conference servicing requirements of the working group on trafficking in persons will be accommodated during the biennium 2014-2015 within the overall entitlements of the Conference of the Parties to the United Nations Convention against Transnational Organized Crime and its individual working group(s). The scheduling of the meetings will have to be done in consultation with the Conference Management Service of the United Nations Office at Vienna. With regards to documentation, on the understanding that documents will be submitted in accordance with established guidelines, i.e. in a timely manner and within the indicative page limit, they will be processed within available resources as follows: working group on trafficking in persons (1 report). The resource requirements for the biennium 2014-2015 will be presented in the context of established budgetary procedures.

10. With regards to operative paragraph 13, it is expected that UNODC support to interagency cooperation will require extrabudgetary resources in the amount of \$298,400. In addition, it is envisaged that extrabudgetary resources in the amount of \$360,100 would be required to promote the use of new technologies to raise awareness of the trafficking in persons activities.

11. With regards to operative paragraph 20, it is envisaged that the report will be part of the background documents for the sixth session of the Conference. The

resource requirements for the biennium 2014-2015 will be reviewed in the context of established budgetary procedures.

12. Should the resources mentioned above not be provided, the activities will not take place.

13. Hence, the adoption of revised draft resolution CTOC/COP/2012/L.4/Rev.2 would not entail any additional appropriation under the programme budget for the biennium 2012-2013.

Annex II

Financial statement on the revised draft resolution entitled “Illicit manufacturing of and trafficking in firearms, their parts and components and ammunition”

1. The present statement is made in accordance with rule 75 of the rules of procedure for the Conference of the Parties to the United Nations Convention against Transnational Organized Crime.

2. Under the terms of operative paragraphs 4 to 9 and 12 to 14 of the revised draft resolution CTOC/COP/2012/L.5/Rev.2, the Conference of the Parties to the United Nations Convention against Transnational Organized Crime would:

(a) *Requests* the United Nations Office on Drugs and Crime, in order to support ratification of, accession to and the implementation of the United Nations Convention against Transnational Organized Crime⁴ and its Firearms Protocol, to promote knowledge- and awareness-raising activities, to assist Member States, upon request, in the adoption of national laws and strategies on firearms, to continue to facilitate, whenever possible, technical assistance to States consistent with needs identified by those Member States and to promote inter-agency and international cooperation;

(b) *Also requests* the United Nations Office on Drugs and Crime to continue developing technical assistance tools, in particular in the areas identified by the Working Group on Firearms, in close consultation with Member States and, where appropriate, drawing on the expertise of the International Criminal Police Organization (INTERPOL) according to its statutory rules;

(c) *Further requests* the United Nations Office on Drugs and Crime, through its global programme on firearms, to assist Member States, upon request, in strengthening their capacities to investigate and prosecute illicit manufacturing of and trafficking in firearms and related forms of transnational organized crime, inter alia, through practical workshops and exchanges of experience and direct contact among investigators and prosecutors on the application of the Convention and its Firearms Protocol;

(d) *Takes note* of the information gathered so far by the United Nations Office on Drugs and Crime in carrying out the study asked for by the Conference in its resolution 5/4 of 22 October 2010, requests the United Nations Office on Drugs and Crime to improve methodology, in close consultation with Member States, and to complete the study in accordance with the given mandate, for consideration by the Conference at its seventh session, and calls upon States to participate in and contribute to the study, as appropriate;

(e) *Invites* States to consider making use of the Model Law against the Illicit Manufacturing of and Trafficking in Firearms, Their Parts and Components and Ammunition as a tool of technical assistance, inter alia, for accession, ratification and implementation of the Firearms Protocol, and requests the United Nations

⁴ Ibid., vol. 2225, No. 39574.

Office on Drugs and Crime to disseminate the Model Law in the six official languages of the United Nations;

(f) *Requests* the Working Group on Firearms to continue advising and assisting the Conference in the implementation of its mandate with regard to the Firearms Protocol in accordance with that resolution, and invites the Working Group to consider practical proposals for implementing the recommendations formulated at its meeting held on 21 and 22 May 2012;

(g) *Requests* the Secretariat to inform the Working Group about: (a) the activities of the United Nations Office on Drugs and Crime to assist the Conference in promoting and supporting the implementation of the Firearms Protocol, (b) coordination with other relevant international and regional organizations, (c) best practices in the areas of training and capacity-building, and (d) awareness-raising strategies to prevent and combat the illicit manufacturing of and trafficking in firearms, their parts and components and ammunition;

(h) *Also requests* the Secretariat to support the Working Group in the performance of its functions;

(i) *Decides* that the Secretariat, in cooperation with the Chair of the Working Group on Firearms, shall prepare a report on the activities of the Working Group, to be submitted to the Conference at its seventh session.

3. With regards to the request contained in operative paragraph 4, it is estimated that extrabudgetary resources in the amount of: (a) \$332,200 would be required for three 3-day workshops to promote knowledge and raise awareness, (b) \$525,400 for three 3-day workshops on legislative harmonization and for provision of advisory services, and (c) \$182,000 for a workshop on inter-agency and international cooperation. It is also estimated that extrabudgetary resources in the amount of \$144,800 would be required for 6 months of a P-3 staff and 6 months of a GS OL staff to support these activities.

4. With regards to the request contained in operative paragraph 5, it is estimated that the development of a comprehensive training curriculum would require extrabudgetary resources in the amount of \$201,000, representing the organization of one expert group meeting of 3 days, the engagement of consultancy services, and publication and printing costs. It is also estimated that extrabudgetary resources in the amount of \$226,000 would be required for software development to facilitate the implementation of specific Protocol provisions and the piloting of the application in at least two States parties. It is also estimated that extrabudgetary resources in the amount of \$153,500 would be required for the publication and translation of technical tools and the organization of one expert working group meeting with a duration of three days to develop standard procedures for the deactivation and disposal of seized and confiscated firearms and ammunition.

5. With regards to the request contained in operative paragraph 6, it is estimated that extrabudgetary resources in the amount of \$221,400 would be required for two 3-day regional workshops for investigators and prosecutors.

6. With regards to the request contained in operative paragraph 7, it is estimated that extrabudgetary resources in the amount of \$273,700 would be required, covering the organization of one expert group meeting, research, and the translation and publication of the study.

7. With regards to operative paragraph 8, it is estimated that extrabudgetary resources in the amount of \$52,500 would be required to print and disseminate the Model Law against the Illicit Manufacturing of and Trafficking in Firearms, Their Parts and Components and Ammunition.

8. With regards to operative paragraph 9 and the extension of the mandate of the Working Group on Firearms, the entitlements of the Working Groups established by the Conference will be reviewed in the context of the budget submission for the biennium 2014-2015. The conference servicing requirements for one session of the intergovernmental working group on firearms will be accommodated during the biennium 2014-2015 within the overall entitlements of the Conference of the Parties to the United Nations Convention against Transnational Organized Crime and its individual working group(s). The scheduling of the session will have to be done in consultation with the Conference Management Service of the United Nations Office at Vienna. With regards to documentation for the session, on the understanding that documents will be submitted in accordance with established guidelines, i.e. in a timely manner and within the indicative page limit, they will be processed within available resources as follows: working group on firearms (1 report). The resource requirements for the biennium 2014-2015 will be presented in the context of established budgetary procedures. for one session of the intergovernmental working group on firearms. A second meeting of the working group will require extrabudgetary resources in the amount of \$191,600 for 3 days (6 meetings) with interpretation in all official languages, and pre- and post-session documents (expected 2 pre-session documents, each of 16 pages + agenda + report of the meeting, in all official languages).

9. Operative paragraphs 12 and 13 would not have any budgetary implications.

10. With regards to operative paragraph 14, it is envisaged that the report of the working group will be part of the background documents for the sixth session of the Conference. The resource requirements for the biennium 2014-2015 will be reviewed in the context of established budgetary procedures.

11. Should the resources mentioned above not be provided, the activities will not take place.

12. Hence, the adoption of revised draft resolution CTOC/COP/2012/L.5/Rev.2 would not entail any additional appropriation under the programme budget for the biennium 2012-2013.

Annex III

Financial statement on the revised draft resolution entitled “Implementation of the Protocol against the Smuggling of Migrants by Land, Sea and Air, supplementing the United Nations Convention against Transnational Organized Crime”

1. The present statement is made in accordance with rule 75 of the rules of procedure for the Conference of the Parties to the United Nations Convention against Transnational Organized Crime.

2. Under the terms of operative paragraphs 19 to 21 of revised draft resolution CTOC/COP/2012/L.6/Rev.2, the Conference of the Parties to the United Nations Convention against Transnational Organized Crime would:

(a) *Decides* that the Working Group on the Smuggling of Migrants should continue to perform the functions set forth in Conference resolution 5/3 of 22 October 2010;

(b) *Also decides* that the Working Group should hold at least one intersessional meeting prior to the seventh session of the Conference, and notes in that regard the recommendation of the Working Group that the next meeting should focus on good practices in special investigative techniques and the establishment of multiagency centres;

(c) *Requests* the Secretariat to continue to assist the Working Group in the performance of its functions, and to submit a report to the Conference at its seventh session on the implementation of the present resolution.

3. With regards to the request contained in operative paragraphs 19 to 21 and the extension of the mandate of the Working Group on the Smuggling of Migrants, the entitlements of the Working Groups established by the Conference will be reviewed in the context of the budget submission for the biennium 2014-2015. The conference servicing requirements for one session of the working group on the smuggling of migrants will be accommodated during the biennium 2014-2015 within the overall entitlements of the Conference of the Parties to the United Nations Convention against Transnational Organized Crime and its individual working group(s). The scheduling of the session will have to be done in consultation with the Conference Management Service of the United Nations Office at Vienna. With regards to documentation for the session, on the understanding that documents will be submitted in accordance with established guidelines, i.e. in a timely manner and within the indicative page limit, they will be processed within available resources as follows: working group on the smuggling of migrants (1 report). The resource requirements for the biennium 2014-2015 will be presented in the context of established budgetary procedures. for one session of the working group on the smuggling of migrants. A second meeting of the Working Group will require extrabudgetary resources in the amount of \$191,600 for 3 days (6 meetings) with interpretation in all official languages, and pre- and post-session documents (expected 2 pre-session documents, each of 16 pages + agenda + report of the meeting, in all official languages).

4. Should the resources mentioned above not be provided, the activities will not take place.
5. Hence, the adoption of revised draft resolution CTOC/COP/2012/L.6/Rev.2 would not entail any additional appropriation under the programme budget for the biennium 2012-2013.

Annex IV

Financial statement on draft resolution entitled “Implementation of the provisions on technical assistance of the United Nations Convention against Transnational Organized Crime”

1. The present statement is made in accordance with rule 75 of the rules of procedure for the Conference of the Parties to the United Nations Convention against Transnational Organized Crime.

2. Under the terms of operative paragraphs 2 of draft resolution CTOC/COP/2012/L.9, the Conference of the Parties to the United Nations Convention against Transnational Organized Crime:

(a) *Reaffirms* its decision 4/3 of 17 October 2008, in which it decided that the Working Group should be a constant element of the Conference.

3. With regards to the extension of the mandate of the Working Group on Technical Assistance, the entitlements of the Working Group established by the Conference will be reviewed in the context of the budget submission for the biennium 2014-2015. The conference servicing requirements of the working group on technical assistance will be accommodated during the biennium 2014-2015 within the overall entitlements of the Conference of the Parties to the United Nations Convention against Transnational Organized Crime and its individual working group(s). The scheduling of the meetings will have to be done in consultation with the Conference Management Service of the United Nations Office at Vienna. With regards to documentation, on the understanding that documents will be submitted in accordance with established guidelines, i.e. in a timely manner and within the indicative page limit, they will be processed within available resources as follows: working group on trafficking in persons (1 report). The resource requirements for the biennium 2014-2015 will be presented in the context of established budgetary procedures).

4. Should the resources mentioned above not be provided, the activities will not take place.

5. Hence, the adoption of draft resolution CTOC/COP/2012/L.9 would not entail any additional appropriation under the programme budget for the biennium 2012-2013.

Annex V

Financial statement on draft decision entitled “Organization of the work of the seventh session of the Conference of the Parties to the United Nations Convention against Transnational Organized Crime”

1. The present statement is made in accordance with rule 75 of the rules of procedure for the Conference of the Parties to the United Nations Convention against Transnational Organized Crime.

2. Under the terms of operative paragraphs 2 of draft decision CTOC/COP/2012/L.11, the Conference of the Parties to the United Nations Convention against Transnational Organized Crime would:

(a) *Requested* that the resources allocated to the Conference should be maintained at the same level, and should be made available, inter alia, for any working group or Committee of the Whole established by the Conference.

3. With regards to the extension of the mandates of the Working Groups established by the Conference, the entitlements of the Working Groups will be reviewed in the context of the budget submission for the biennium 2014-2015. The conference servicing requirements of the working group on technical assistance will be accommodated during the biennium 2014-2015 within the overall entitlements of the Conference of the Parties to the United Nations Convention against Transnational Organized Crime and its individual working group(s). The scheduling of the meetings will have to be done in consultation with the Conference Management Service of the United Nations Office at Vienna. With regards to documentation, on the understanding that documents will be submitted in accordance with established guidelines, i.e. in a timely manner and within the indicative page limit, they will be processed within available resources as follows: 1 report per working group. The resource requirements for the biennium 2014-2015 will be presented in the context of established budgetary procedures.

4. Should the resources mentioned above not be provided, the activities will not take place.

5. Hence, the adoption of draft resolution CTOC/COP/2012/L.11 would not entail any additional appropriation under the programme budget for the biennium 2012-2013.