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**Practical measures to prevent the smuggling of migrants
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campaigns and training sessions on fraudulent documents**

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Note by the Secretariat

Addendum

IV. Key tools and recommended resources

Toolkit to Combat Smuggling of Migrants

The *Toolkit to Combat Smuggling of Migrants* has been designed by the United Nations Office on Drugs and Crime (UNODC) to assist countries to implement the Protocol against the Smuggling of Migrants by Land, Sea and Air, supplementing the United Nations Convention against Transnational Organized Crime. The *Toolkit* provides guidance, offers promising practices and recommends resources in thematic areas. Tool 9 specifically addresses prevention of the smuggling of migrants, with dedicated sections on border control, security and control of documents, and addressing root causes. Tool 10 is dedicated to capacity-building and training to prevent and combat the smuggling of migrants. Available at:

www.unodc.org/unodc/en/human-trafficking/migrant-smuggling/toolkit-to-combat-smuggling-of-migrants.html.

* CTOC/COP/WG.7/2015/1.



Basic Training Manual on Investigating and Prosecuting the Smuggling of Migrants

The UNODC *Basic Training Manual on Investigating and Prosecuting the Smuggling of Migrants* is a practical guide and training tool for criminal justice practitioners around the world. Individual modules are designed to be adapted to the needs of different regions and countries, and can serve as a basis for upgrading or supplementing the training programmes of national training institutes.

Module 1 addresses the concepts and categories of the smuggling of migrants and related conduct. Available at:

www.unodc.org/unodc/en/human-trafficking/migrant-smuggling/electronic-basic-training-manual-on-investigating-and-prosecuting-smuggling-of-migrants.html.

In-depth Training Manual on Investigating and Prosecuting the Smuggling of Migrants

The UNODC *In-depth Training Manual on Investigating and Prosecuting the Smuggling of Migrants* builds on the *Basic Training Manual* to promote a common understanding of relevant concepts and encourages States parties to take a mutually reinforcing approach in their responses to the transnational organized crime of the smuggling of migrants. The manual offers a practical approach to the investigation and prosecution of the smuggling of migrants, offering effective practices relevant to all countries of origin, transit and destination, regardless of their legal system. Module 1 addresses understanding the smuggling of migrants and related conduct, module 2 contains a comparative analysis of the smuggling of migrants and trafficking in persons and module 3 covers detecting migrant smuggling. Available at:

www.unodc.org/unodc/en/human-trafficking/migrant-smuggling/in-depth-training-manual-on-smuggling-of-migrants.html.

International Framework for Action to Implement the Smuggling of Migrants Protocol

The *International Framework for Action to Implement the Smuggling of Migrants Protocol* is a technical assistance tool that aims to support effective implementation of the Smuggling of Migrants Protocol. The purpose of the *International Framework for Action* is to assist States parties and non-State actors to identify and address gaps in their response to the smuggling of migrants in accordance with international standards.

International instruments, political commitments, guidelines and best practices are drawn upon to present a comprehensive approach in preventing and combating the smuggling of migrants. The *International Framework for Action* contains four tables, addressing prosecution (and investigation), protection (and assistance), prevention, and cooperation (and coordination). Available at:

www.unodc.org/documents/human-trafficking/Migrant-Smuggling/Framework_for_Action_Smuggling_of_Migrants.pdf.

Model Law against the Smuggling of Migrants

The UNODC *Model Law against the Smuggling of Migrants* has been developed to assist States in implementing the provisions contained in the Smuggling of Migrants Protocol. The *Model Law* is aimed at facilitating the review and amendment of existing legislation, as well as the adoption of new legislation, by model provisions on criminalization of the offence of the smuggling of migrants; protection and assistance of smuggled migrants; cooperation and coordination among and between agencies; cooperation in respect of smuggling of migrants and sea; and the processes related to return of smuggled migrants. Available at:

www.unodc.org/documents/human-trafficking/Model_Law_Smuggling_of_Migrants_10-52715_Ebook.pdf.

Legislative Guides for the Implementation of the United Nations Convention against Transnational Organized Crime and the Protocols Thereto

The main purpose of the *Legislative Guides for the Implementation of the United Nations Convention against Transnational Organized Crime and the Protocols Thereto* is to assist States seeking to ratify and implement the United Nations Convention against Transnational Organized Crime and its Protocols. Available at:

www.unodc.org/unodc/en/treaties/CTOC/legislative-guide.html#_Full_Version_2.

Assessment Guide to the Criminal Justice Response to the Smuggling of Migrants

The UNODC *Assessment Guide to the Criminal Justice Response to the Smuggling of Migrants* is a standardized and cross-referenced set of measures designed to enable government officials in immigration, customs and law enforcement agencies and United Nations agencies, as well as other organizations, industry and individuals, to conduct comprehensive assessments of domestic systems, to identify areas of technical assistance, to assist in the design of interventions incorporating international standards and norms on the prevention and suppression of the smuggling of migrants, and to assist in training on these issues.

Chapter X focuses on identity and travel documents and chapter XII deals with prevention and awareness. Available at:

www.unodc.org/documents/human-trafficking/Migrant-Smuggling/UNODC_2012_Assessment_Guide_to_the_Criminal_Justice_Response_to_the_Smuggling_of_Migrants-EN.pdf.

Competent national authorities online directory

The database provides contact information for the competent authorities of more than 150 States or dependent territories that had been provided to UNODC, including information on specific procedures to be followed in urgent cases. The directory currently contains the contact information of over 600 competent national authorities, organized into thematic categories: extradition, mutual legal assistance, illicit traffic by sea and smuggling of migrants by sea. Available at:

www.unodc.org/compauth_dct/en/index.html.

Issue paper: corruption and the smuggling of migrants

This UNODC issue paper aims to assist policymakers and practitioners in preventing and addressing corruption related to migrant smuggling operations. It does not offer a review of all successful practices in the prevention of the smuggling of migrants, as this has already been provided by other UNODC publications. Instead, it focuses more narrowly on the prevention of corruption activities that facilitate the smuggling of migrants or defeat efforts to respond to it.

This issue paper reviews available evidence on the links between corruption and the smuggling of migrants, including how corruption facilitates such smuggling and undermines efforts to control it. It reviews different forms of corruption associated with migrant smuggling, both in the public and private sectors, and offers examples of cases of the smuggling of migrants involving corruption. Available at:

www.unodc.org/documents/human-trafficking/2013/The_Role_Of_Corruption_in_the_Smuggling_of_Migrants_Issue_Paper_UNODC_2013.pdf.

Issue paper: smuggling of migrants by sea

The purpose of this UNODC issue paper is to capture expertise from a range of experiences of countries of origin, countries of transit, and countries of destination in order to increase understanding of the issue and the challenge of addressing it.

The overall goals of the issue paper are to provide a global perspective on the issue of the smuggling of migrants by sea, examining the framework in which it is addressed, the key challenges inherent therein, and to recommend concrete measures that can be taken to strengthen response in this respect. Available at:

www.unodc.org/documents/human-trafficking/Migrant-Smuggling/Issue-Papers/Issue_Paper_-_Smuggling_of_Migrants_by_Sea.pdf.

UNODC Issue paper: Migrant smuggling by air

This UNODC issue paper is the result of an expert group meeting held in Vienna in December 2009, which brought together expert practitioners from all over the world.

This issue paper explores the particular modus operandi of the smuggling of migrants by air and touches upon the various challenges involved in addressing this issue. The paper also offers some recommendations about strengthening and coordinating response to this issue. Available at:

www.unodc.org/documents/human-trafficking/Migrant-Smuggling/Issue-Papers/Issue_Paper_-_Migrant_Smuggling_by_Air.pdf.

Introduction to security document examination: training programme and trainers' guide

UNODC published the modular training programme pursuant to Conference resolution 5/3, in order to assist States parties in strengthening the integrity and security of travel and identity documents and promote cooperation among States parties with the goal of stemming the misuse of travel or identity documents. Experts and partner organizations contributed to the elaboration of this tool. This tool is aimed at assisting States in: (a) raising awareness of the use of fraudulent

identity documents; (b) increasing knowledge on the legitimate manufacture of identity documents; (c) developing methodologies for reviewing and recognizing fraudulent identity documents; (d) developing methodologies for recognizing genuine documents that are used fraudulently; (e) identifying knowledge elements of basic forensic document examination that are related to document manufacture and how documents are falsified; and (f) incorporating the concepts presented into a flexible and modular training programme. Available on request. See:

www.unodc.org/unodc/en/scientists/publications-crime-prevention.html.

Guide for the development of forensic document examination capacity

The Guide is intended to be used by officials in both donor and beneficiary countries in their efforts to design, build and strengthen forensic document examination and intelligence-dissemination capacities. The intention is to present a holistic approach to document examinations encompassing identity, security and other types of documents without security features. The Guide is aimed at providing practical assistance for the establishment or upgrading of forensic document examination capacities in two categories of service providers: (a) immigration and border control agencies and (b) forensic science laboratories. Several levels of infrastructure development, ranging from basic to advanced capacity are covered. The Guide should not be used as a simple checklist of equipment and materials to be obtained but rather as an aid for developing capacity in the area of document examinations. The Guide complements the UNODC manual on staff skill requirements and equipment recommendations for forensic science laboratories, which covers all forensic disciplines and contains more detailed information on document examinations. Available at:

www.unodc.org/documents/scientific/Forensic_Document_Examination_Capacity.pdf.

Staff skill requirements and equipment recommendations for forensic science laboratories

The manual consists of three parts, two annexes and a bibliography. It is intended to be used as a checklist to provide guidance on the staff skills, training needs, equipment and accessories required for establishing, upgrading and/or maintaining services in one or more forensic fields (disciplines). The manual is designed to allow the user to focus on forensic science fields of their choice and to use only the corresponding, relevant sections. Available at:

www.unodc.org/documents/scientific/Ebook_STNAR_02Rev1_E.pdf.

Anne T. Gallagher and Fiona David, *The International Law of Migrant Smuggling*

This book contains a comprehensive, in-depth analysis of the subject of the smuggling of migrants. It covers the major legal obligations of States with respect to the smuggling of migrants, including those related to criminalization, interdiction and rescue at sea, protection, prevention, detention and return. Available at:

www.cambridge.org/al/academic/subjects/law/human-rights/international-law-migrant-smuggling.